

 <p>ARIZONA DEPARTMENT OF CORRECTIONS</p> <p>DEPARTMENT ORDER MANUAL</p>	<p>CHAPTER: 900</p> <p>INMATE PROGRAMS AND SERVICES</p>	<p>OPR:</p> <p>IP&R</p>
	<p>DEPARTMENT ORDER: 917</p> <p><i>ADDICTION TREATMENT SERVICES</i></p>	<p>SUPERSEDES:</p> <p>DO 917 (11/18/09)</p>
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PURPOSE

This Department Order establishes a series of well-managed, cost effective addiction treatment program interventions in a continuum for all inmates and offenders whose assessments and histories suggest they have a need for intervention. These services support the Department goal of zero tolerance for illegal drug and alcohol abuse and the philosophy that effective programming is also an effective security tactic.

RESPONSIBILITY

The Division Director for Inmate Programs and Reentry, through the Addiction Treatment Services Administrator, shall administer the Department's institution-based addiction treatment programming. The Division Director for Offender Operations through the Community Corrections Operations Director shall administer addiction treatment services in the community. The administrators for Addiction Treatment Services and Community Corrections shall collaborate and cooperate to ensure a continuum of care is equally available to all inmates without discrimination.

PROCEDURES

917.01 OFFICE OF ADDICTION TREATMENT SERVICES (ATS) - To assure full utilization of existing social service and behavioral health networks, the Department participates on various interagency councils and task forces, seeks collaborative relationships with agencies having similar client bases, and continually seeks external funding in the form of grants or partnerships.

1.1 The Office of Addiction Treatment Services is responsible for:

- 1.1.1 Administering and delivering Department institution-based addiction treatment programs.
- 1.1.2 Administering institution-based contracted addiction education and treatment services.
- 1.1.3 Collaborating with other agencies to access services.
- 1.1.4 Assisting and supporting the efforts of the Community Corrections Operations Director in obtaining and managing community-based addiction education and treatment for offenders.
- 1.1.5 Assisting and supporting the efforts of the Contract Beds Bureau in oversight of the clinical aspects of addiction treatment delivered at private prisons.
- 1.1.6 Establishing data collection systems that document services provided, to include contracts that involve services that begin in prison and extend into the community.
- 1.1.7 Development and presentation of specialized training for Department staff and others in addictions-related topics. This training is in addition to, and more specific than, that provided by the Staff Development and Training Bureau.

917.02 CONFIDENTIALITY

- 1.1 The staff members responsible for the delivery of addiction pre-treatment, treatment, and aftercare programs shall adhere to confidentiality laws and regulations promulgated in the United States (U.S.) Code of Federal Regulations
- 1.2 Staff members working in areas considered to be "qualified service organizations" (See Definitions) who, in the course of their duties, have access to information which identifies an inmate is a participant in a pre-treatment, treatment or aftercare program shall not re-disclose any participant-identifying information.
- 1.3 Inmates participating in alcohol and other addiction pre-treatment, treatment and aftercare programs shall be asked to sign an Informed Consent for Alcohol and/or Drug Treatment, Form 917-1, authorizing:
 - 1.3.1 The documentation of their participation in and completion of the program in the Adult Information Management System (AIMS).
 - 1.3.1.1 Inmates who do not authorize the documentation of their participation shall be removed from the program and replaced by an inmate who has signed or is willing to sign the form, in accordance with the U.S. Code of Federal Regulations.
 - 1.3.1.2 An Informed Consent for Alcohol and/or Drug Treatment form is not required for participation in educational classes only.
 - 1.3.2 Disclosure of confidential program-related information only to the person(s) specifically identified by job title or name on the form. Persons having a legitimate need to access program information may include:
 - 1.3.2.1 Department Administrators.
 - 1.3.2.2 Correctional Officers (CO) III or IV.
 - 1.3.2.3 Community Corrections Officers.
 - 1.3.2.4 Board of Executive Clemency Members.
 - 1.3.2.5 Department contracted addiction treatment providers.
- 1.3 At the discretion of the ATS Administrator and unit Deputy Warden, contracted addiction treatment providers working within the unit may be permitted to view Department inmate records, to include AIMS and hard copy inmate files in accordance with Department Order #901, Inmate Records Information and Court Action. Review of this information may be critical in attaining a full understanding of the inmates' addiction history when doing initial assessment and treatment planning.
- 1.4 Staff professionally licensed through the Arizona Board of Behavioral Health Examiners (AzBBHE) shall develop written treatment plans with an inmate and shall maintain clinical files containing documents with inmates' signature, treatment plans and progress notes. A copy of the Treatment Plan shall be kept by the inmate and reviewed periodically with a licensed professional to identify progress and make necessary adjustments.

917.03 PROGRAM AND SERVICES DESCRIPTION

- 1.1 Structured addiction treatment programs are available, as follows:
 - 1.1.1 Programs shall only be offered where inmates are permitted to participate in group activities.
 - 1.1.2 All group behavioral treatment shall be delivered utilizing evidenced based Cognitive Behavioral treatment.
 - 1.1.2.1 Treatment groups shall be conducted by professional staff licensed through the AzBBHE.
 - 1.1.2.2 Treatment groups shall not exceed 15 members.
- 1.2 Addiction education may be provided by a CO III. Clinical files shall not be maintained for educational programming.
- 1.3 Self-directed educational programs may be delivered through closed circuit television. Clinical files shall not be maintained for self-directed educational programming.
- 1.4 Self-Help/12-Step Support Groups are available in units where inmates are permitted to meet in groups.
 - 1.4.1 The ATS Quality Assurance Coordinator shall conduct a pre-screening interview with volunteers.
 - 1.4.2 Once volunteers have completed the volunteer badging process, in accordance with Department Order #204, Volunteer Services, the unit CO IV shall be responsible for scheduling and oversight of Self-Help/12-Step Support Groups conducted in their unit.
 - 1.4.3 The unit CO IV shall contact the ATS Quality Assurance Coordinator if there are any issues with volunteers or if more volunteers are needed.
- 1.5 Driving Under the Influence (DUI) education and treatment is offered through contracted providers and/or at private prisons.
 - 1.5.1 Approval as a DUI provider through the Arizona Department of Health Services, Division of Licensing Services is required.
 - 1.5.2 Neither contracted nor Department treatment staff shall complete Motor Vehicle Revocation Investigation Packets.
- 1.6 Offenders who participate in specific treatment programs while incarcerated may be directed or referred to community-based addiction education and treatment programming based on assessed need and available resources.
 - 1.6.1 Referrals shall be based upon the extent of the offender's participation in specific treatment programs while incarcerated.

- 1.6.2 Community Corrections Officers shall refer all offenders with a substance abuse history to a community-based addiction treatment program as outlined in the Community Supervision Technical Manual.

917.04 PROGRAM STANDARDS

- 1.1 The overarching goal of the Addiction Treatment Services is to provide the appropriate level of treatment intervention to inmates who have substance addictions so they may return to the community as clean and sober individuals. Objectives toward achieving the goal include:
 - 1.1.1 Providing relevant cognitive - behavioral-based pre-treatment, treatment and aftercare which addresses addiction and abuse.
 - 1.1.2 Placement of the inmates in a moderate or an intensive level of treatment.
 - 1.1.3 Placement in specialized addiction programs, such as co-occurring or methamphetamine specific, when warranted and available.
 - 1.1.4 Providing gender specific programming to female inmates.
 - 1.1.5 Assisting inmates and offenders in identifying and accessing services available to them in prison and/or the community and providing information on how to independently access the services should future needs arise.
- 1.2 In accordance with available resources, the Department provides access to some type of pre-treatment or treatment to all inmates or offenders who request such services.
 - 1.2.1 Inmates' ranking on the Priority Ranking Report shall be given consideration when placing inmates into groups. If two inmates are requesting the treatment, the inmate with the higher ranking shall be given preference.
 - 1.2.2 Inmates may be matched with addiction education or treatment in the community as a condition of supervision based on reviews of their criminal history and substance abuse history.
 - 1.2.3 Grant funded addiction treatment shall be made available to inmates contingent upon the ability of the Department:
 - 1.2.3.1 To apply for and be awarded grant funds.
 - 1.2.3.2 To meet and strictly adhere to all requirements of any awarded grants.
- 1.3 Institution-Based Programs (Mandatory Standards) - To meet the established mandatory program standards, institution-based addiction treatment programming shall:
 - 1.3.1 Ensure that confidentiality regulations are followed, in accordance with section 917.02 of this Department Order.
 - 1.3.2 Contain clearly stated program goals.

- 1.3.3 Contain measurable objectives (e.g., attitude changes as documented by pre/post-tests assessments).
- 1.3.4 Include a written program description, describing methodology and activities that may occur over the course of the program.
- 1.3.5 Maintain clinical files for each inmate participant that include at a minimum:
 - 1.3.5.1 Authorization for treatment.
 - 1.3.5.2 Signed copy of program guidelines.
 - 1.3.5.3 Intake interview.
 - 1.3.5.4 Treatment plan.
 - 1.3.5.5 Data Assessment Plan (DAP) Progress Notes that reflect at a minimum:
 - 1.3.5.5.1 Inmate's attendance.
 - 1.3.5.5.2 Inmate's level of participation.
 - 1.3.5.5.3 Group topic for the session.
 - 1.3.5.6 Discharge Plan, which also may be kept electronically in the Release Management System.
 - 1.3.5.7 A certificate or other evidence of completion (e.g., entry in the AIMS system).
- 1.3.6 Be delivered by qualified staff, contractors or volunteers.
- 1.3.7 Have specific admission and discharge criteria.
- 1.3.8 Contain mechanisms for initial participant assessment and subsequent documentation of clinical progress by a qualified clinician (treatment programs only).
- 1.3.9 Conduct group treatment activities with no more than 15 participants.
- 1.3.10 Be delivered in an environment that is reasonably soundproof.
- 1.3.11 Provide a chair for each participant and the facilitator and offers adequate space and equipment for the activity being conducted (i.e., whiteboards, Video Cassette Recording (VCR)/Digital Versatile Disc (DVD)/monitor setups, flip charts).
- 1.4 The standardized unit of service for institution-based addiction group treatment shall be two hours.

- 1.5 Warden and Deputy Wardens are responsible for ensuring a minimum threshold of institution-based addiction services and shall notify the ATS Administrator if this minimum threshold cannot be maintained. CO IIIs or other staff may be trained to deliver required educational programs. Minimum required services may include:
 - 1.5.1 Addiction education groups.
 - 1.5.2 Self-Help/12-Step Support Group meetings such as Alcoholics Anonymous (AA), Narcotics Anonymous (NA), and Gamblers Anonymous (GA) or similar groups.
 - 1.5.2.1 Self-Help/12-Step Support Groups may be offered at least weekly in every unit where inmates are permitted to participate in group activities.
 - 1.5.2.2 Self-Help/12-Step Support Groups and similar groups are not inmate clubs; they are Department-sanctioned treatment support groups.
- 1.6 Staff and/or volunteers delivering addiction education, providing pre-treatment, providing Addiction treatment or managing Self-Help/12-Step Support Group meetings shall meet the following minimum qualifications:
 - 1.6.1 Addiction education and/or pre-treatment – Facilitator shall be a CO III or other staff and participate in Department-recognized training in the delivery of the program.
 - 1.6.2 Addiction Treatment – Professionals licensed through AzBBHE.
 - 1.6.3 Self-Help/12-Step Support Groups – Potential volunteer applicants shall be processed in accordance with Department Order #204, Volunteer Services.
 - 1.6.3.1 With approval from Warden and unit Deputy Warden, Self Help/12-Step Support Groups may be conducted by inmates with appropriate oversight by correctional staff.
 - 1.6.3.2 These groups must be of a purely voluntary nature with no incentive or reward for participation.
 - 1.6.3.3 No inmate shall be given authority over any other inmate.
- 1.7 The Department's use of methadone as a drug treatment medication is administered by the Health Services Contract Monitoring Bureau. Inmates or offenders who are stabilized on psychotropic medications may participate in addiction pre-treatment, treatment, and/or Self-Help/12-Step Support Group meetings to the extent they are physically and mentally capable.

917.05 CASE MANAGEMENT

- 1.1 Each inmate completes a self-report substance abuse screening instrument and has their substance abuse history reviewed as part of the initial classification process. From this, he/she is assigned a Substance Abuse Needs Score and a Substance Abuse Referral Score, which are recorded in AIMS.

- 1.2 More specific assessment of treatment needs shall be performed using approved instruments as part of an inmate's participation in a more formal treatment program.
- 1.3 In accordance with available resources, inmates being released from prison after completing formal institution-based addiction treatment programs may be referred to continuing treatment services in the community.
- 1.4 Unit CO IIIs and Community Corrections Officers are responsible for the facilitation of individual inmate participation in educational programs, recognizing and encouraging continued sobriety, ensuring personal accountability for sobriety through urinalysis and promoting the continuity of care by connecting the institution-based programming with programming to occur during Community Supervision.

917.06 ALCOHOL ABUSE TREATMENT FUND

- 1.1 In accordance with the provisions of Arizona Revised Statute (A.R.S.) § 31-255, 67% of wages for all inmates convicted under the DUI statutes shall be deposited into the Alcohol Abuse Treatment Fund. This is an appropriated fund, for example: The Arizona Legislature appropriates the amount requested annually by the Department to be expended as requested by the Department.
 - 1.1.1 Fund monies shall be used to pay for alcohol abuse treatment for inmates housed in Department-operated DUI units.
 - 1.1.2 Expenditure of Alcohol Abuse Treatment Funds is carefully monitored by the Administrator for ATS to ensure spending is compliant with A.R.S. § 31-255.
- 1.2 The Contract Beds Operations Director shall ensure DUI Inmate labor contracts are established and monitored at private DUI units or treatment facilities.
- 1.3 The Inmate Programs and Reentry Budget Administrator shall:
 - 1.3.1 Encumber funds at the beginning of each fiscal year for the annual amount of all direct treatment service contracts.
 - 1.3.2 Process approved Requests for Purchase.
 - 1.3.3 Ensure money accrued by the Department for work performed by DUI inmates is deposited into the Alcohol Abuse Treatment Fund.
 - 1.3.4 Provide fiscal reports of receivables, expenditures and the fund balance upon request.

IMPLEMENTATION

The Division Director for Inmate Programs and Reentry shall ensure the Addiction Treatment Services Administrator develop, issue and maintain the Addiction Treatment Services Technical Manual, promulgating guidelines for managing an inmate's progress through the various substance abuse treatment services options available while under Department supervision.

The Community Corrections Operations Director shall include all necessary addiction treatment and treatment related guidelines for offenders in the Community Supervision Technical Manual.

DEFINITIONS

PRE-TREATMENT - Educating, preparing and motivating individuals for treatment when other programs are not immediately available. The pre-treatment process prepares inmates for treatment by; providing recovery information, increasing self-awareness about abuse and addiction and their effects on themselves and their families, understanding the need for treatment, increasing awareness about solutions and resources and generating treatment motivation.

QUALIFIED SERVICE ORGANIZATIONS - Staff in various offices, systems and functional areas within the Department become aware of specific participant-identifying information in the course of their routine duties. Examples within the Department are: inmate payroll, contracted medical or mental health staff, correctional officers (custodial, programmatic or administrative), contracted addiction treatment providers, correctional teachers, institution administrators, Department-approved researchers and others.

SELF-HELP/12-STEP SUPPORT GROUPS - Semi-structured group activities directed toward maintenance of substance-free lifestyles through peer support and encouragement.

SUBSTANCE ABUSE - The use of any chemical substance, including alcohol, that causes physical, mental, emotional, legal, financial, economic or social harm to the individual and/or others.

TREATMENT - Structured programs that engage the participant's intellect and emotions, employing generally-accepted therapeutic techniques of a non-medical nature common in the chemical dependency and recovery fields that are led by a professional trained to deliver such programs. Treatment programs have, as a basis, a written individual treatment plan for each participant, mutually developed by the participant and the counselor. Treatment programs may be comprised of several elements including group therapy, didactic instruction, written assignments, crisis intervention, structured residential settings with attendant behavioral expectations and limited individual therapy.

{Original Signature on File}

Charles L. Ryan
Director

FORMS LIST

917-1, Informed Consent for Alcohol and/or Drug Treatment

CROSS-REFERENCE INDEX

Department Order #204, Volunteer Services

Department Order #709, Substance Abuse, Detection and Control

Department Order #901, Inmate Records Information and Court Action

AUTHORITY

- A.R.S. § 31-255, Alcohol Abuse Treatment Fund
- A.R.S. § 32-3321, Certified Substance Abuse Counselors; Qualifications
- A.R.S. 41-1651, Prison Construction and Operations Fund
- A.A.C. R4-6-701, Licensure of Substance Abuse Counselors
- A.A.C. R9-20-904, Supplemental Requirements for DUI Treatment