



DOUGLAS A. DUCEY
GOVERNOR

Arizona Department of Corrections

1601 WEST JEFFERSON
PHOENIX, ARIZONA 85007
(602) 542-5497
www.azcorrections.gov



CHARLES L. RYAN
DIRECTOR

September 3, 2019

The Honorable Douglas A. Ducey
Governor, State of Arizona
1700 West Washington
Phoenix, Arizona 85007

Dear Governor Ducey:

The Arizona Department of Corrections (ADC) respectfully submits for your consideration two copies of its Strategic Plan for Fiscal Years 2020-2024 (Strategic Plan), Fiscal Year 2021 Operating Budget Request (Budget Request), and Consolidated Ledger of Information on Federal Funds (CLIFF) in accordance with instructions from the Office of Strategic Planning and Budgeting.

September 13 will be my last day as the Director of the Arizona Department of Corrections (ADC) and will mark the end of a 40 year career. During my career, I have seen the prison population increase from approximately 3,000 inmates to over 42,000. The explosive growth of the inmate population during the 1980's and 1990's required a dramatic increase to ADC's scope and responsibility. There simply were not enough prison beds to accommodate the increasing inmate population and the level of growth and financial investment required seemed insurmountable. Ultimately, the State of Arizona overcame these challenges with steadfast leadership, commitment, and investment.

ADC is again at a critical juncture. It is my duty to provide a candid assessment of ADC's operational challenges and funding needs. As noted in the recent Arizona Supreme Court Retired Chief Justices report, "...its [ADC] message was for years not presented forcefully enough..." The Justices further note "The picture presented until mid-2019 is of a somewhat laissez faire attitude by ADC about requesting/demanding money..." While these remarks are referring to locks and security, the message was received and will be applied for both operational and capital needs. ADC's approach to the FY 2021 Budget Request will provide a clearer message of funding needs and priorities in accordance with the Justices' report.

Today's crisis is the result of a vastly deteriorated physical plant system and staffing levels that are far below required levels. The reduction of 565 CO II positions in 2006 by the

former Director changed the staffing posting patterns, resulting in dramatic increases in staff and inmate assaults. In addition, certain segments of the inmate population are close to exceeding the bed capacity with no new beds on the horizon and few alternative housing options available. These challenges will not be solved in one year; however, there must be a commitment to make the required investment both now and for the long-term.

First and foremost, ADC's current vacancy rate of 19% systemically, reaching as high as 37% at the ASPC-Eyman, is unacceptable. Current staffing levels present imminent safety concerns to staff and inmates. The primary reason for the current vacancy problem is the lack of competitive compensation. When compared to the Maricopa County Sherriff Office (MCSO), ADC is 11.6% below the starting wage. ADC has become a training ground for staff who terminate their employment with ADC for significantly more money at our competitor agencies. From January through July 2019, MCSO requested pre-employment background checks be completed for 180 current or former ADC employees. The 10% salary increase funded in FY 2020 was a start, but additional compensation resources are needed to become competitive with ADC's biggest competitors (Maricopa County Sheriff's Office, Pima County, Border Patrol, Federal Bureau of Prisons, U.S. Immigration and Customs Enforcement).

While the highest priority is focused on the correctional series, additional compensation is needed for all ADC employees. In a corrections setting it is difficult, if not impossible, to separate public safety and security positions from the others. Maintenance staff, librarians, administrative staff, and others work with and supervise inmates on a daily basis and all ADC employees contribute to public safety throughout ADC's enterprise. Not addressing compensation for all ADC employees has created morale issues and exacerbated a cultural divide between the security and non-security series. The inability to offer competitive salaries impedes ADC's ability to recruit highly qualified non-security applicants and retain top performers.

In June 2019, I submitted ADC's Capital Budget request to the Arizona Department of Administration. The request included over 200 projects at a cost of over \$400 million. The projects have been prioritized based on an objective scoring tool that more clearly emphasizes locking and fire systems. It is not realistic to address all of these issues in 2 or 3 years, but a significant investment is needed in FY 2021 along with a long-term commitment to addressing the infrastructure needs. ADC's budget request includes a funding request to finish the Lewis and Yuma Lock, Fire Suppression, and HVAC project. In addition, ADC is requesting that the statutory building renewal formula be fully funded in FY 2021.

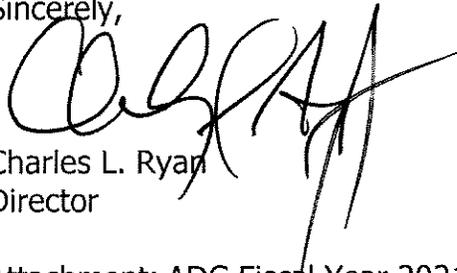
In direct support of ADC's goal to lower recidivism by 25% in 10 years, funding is requested to enhance reentry and recidivism reduction initiatives. The ADC reentry and recidivism reduction plan will invest in: (1) critical service expansion of substance abuse treatment and the recovery support specialist program, (2) resources to establish and maintain cognitive restructuring as a major program, (3) improving supervision outcomes

and reducing the number of offenders who abscond from supervision, and (4) expanding educational services.

In summary, as my final budget letter, I want to emphasize that I have been completely dedicated to serving the public sector and specifically corrections. The vast majority of my career has been spent here in the great State of Arizona. I would like all to understand this message is one of urgency. My letter is meant to ask for funds to address critical challenges that, if left unattended, will result in indefensible outcomes. We must take action. I implore all of those involved in the budget process to work together to address this critical public safety crisis.

A summary of the Fiscal Year 2021 Budget Request highlighting ADC's most critical needs is attached.

Sincerely,

A handwritten signature in black ink, appearing to read "C. Ryan", with a long horizontal stroke extending to the right.

Charles L. Ryan
Director

Attachment: ADC Fiscal Year 2021 Decision Package Summary

Enclosures: ADC Strategic Plan for Fiscal Years 2020-2024 (2 copies)

ADC Fiscal Year 2021 Operating Budget Request (2 copies)

Consolidated Ledger of Information on Federal Funds (2 copies)

CLR/JCG/rw

ADC FISCAL YEAR 2021 DECISION PACKAGE SUMMARY

<u>Priority</u>	<u>Decision Package Description</u>	<u>FTE</u>	<u>Amount</u>
1	<p><u>Salary Increase for Correctional Officer Series Staff:</u> Despite the FY 2020 10% salary increase (Laws 2019, First Regular Session, Chapter 263 - HB 2747), Correctional Officer (CO) vacancy rates continue to rise. From July 2018 to July 2019, the CO vacancy rate increased 4.7% (from 14.3% to 19.0%) a loss of 313 positions and the highest year over year loss in recent history.</p> <p>The July 2019 vacancy rate of 19.0% is a crisis situation that compromises the secure and safe operation of prisons and challenges Recidivism Reduction Initiatives. In FY 2019, vacancy rates at Eyman, Florence and Winslow prison complexes range from 19.5% to 37.0%. Overall, the FY 2019 vacancy rate average was 16.4%. Recent trends indicate the CO vacancy rate is accelerating. In FY 2018, 139 COs were lost, or approximately 2.7 per week. In FY 2019, 313 COs were lost, or approximately 6.0 per week.</p> <p>CO vacancy rates have increased 17.3% from July 2012 (1.7%) to July 2019 (19.0%). This is a crisis. With high CO vacancy rates, there are few options to staff critical security posts. Existing staff can only cover a limited number of critical security posts through overtime and compensatory hours. Understaffing and reliance on overtime contributes to fatigue, stress and burnout. If a competitive salary increase is not funded in FY 2021, ADC estimates CO vacancy rates of 22.4% (1,490 positions) in July 2020 and 25.7% (1,713 positions) in July 2021.</p> <p>Persistently high CO vacancy rates inhibit inmates' access to vital behavioral programming and re-entry services. In some prisons, COIIs must sacrifice caseload assignments to fill a security post. Also, ever increasing CO vacancy rates cause Sergeants to be posted. This creates additional operational strain and minimizes a COs access to their first line supervisor. Ultimately, when existing staffing resources are stretched beyond their capacity it contributes to unsafe working conditions for correctional staff, unsafe living conditions for inmates, and curtails access to inmate programming. The compounding impact of high CO vacancy rates is a direct challenge to ADC's Recidivism Reduction Initiatives, and building an engaged and skilled work force to achieve ADC's Strategic Goal of reducing recidivism by 25% over the next ten years.</p> <p>ADC eagerly anticipates follow-up conversations and collaboration with the Governor's Office on compensation strategies during the development of the FY 2021 Executive Budget.</p>	0.0	Placeholder

- | | | | |
|---|---|-----|------------|
| 2 | <u>Lewis & Yuma Locks, Fire and HVAC Phase 2 and 3:</u> Incidents of inmate lock tampering and cell door malfunctions that compromise inmate and staff safety require repair at specific units within ASPC-Lewis and ASPC-Yuma. | 0.0 | 22,000,000 |
|---|---|-----|------------|

In addition to the locks, fire alarm and suppression systems will be repaired or replaced and existing evaporative cooling units will be upgraded. The total estimated project cost is \$51,400,000 which has increased by \$5,530,500 from \$45,869,500 due to higher than projected locking systems pricing, labor costs, sales tax, and insurance fees. The project will be broken down into three phases. Phase I includes the immediate replacement of locks, suppression and fire alarm systems at ASPC-Lewis and the estimated cost is \$25,890,000. Phase II includes the replacement of the evaporative cooling systems at ASPC-Lewis and the estimated cost is \$11,610,000. The third and final phase includes the replacement of locks, suppression, fire alarm systems and evaporative cooling systems at ASPC-Yuma and the estimated cost is \$13,900,000.

On June 18, 2019, ADC and ADOA attended JCCR for review of a \$17,700,000 expenditure plan. ADC has identified an additional \$2,000,000 in non-appropriated funds that will require JCCR review in early calendar year 2020. This initial funding will cover the majority of Phase I costs and is funded through non-appropriated funding sources. The project is critical to the safety and security of staff and inmates and represents a necessary investment in the physical plants at ASPC-Lewis and ASPC-Yuma.

ADC requests \$31,700,000 General Fund to fully fund all three phases of the project. The \$31,700,000 request is being offset by \$9,700,000 of one-time, non-appropriated funds that will be transferred in FY 2020. These FY 2020 one-time transfers must be removed from the budget in FY 2021.

- | | | | |
|---|---|------|------------|
| 3 | <u>Inmate Reentry & Recidivism Reduction Programs:</u> Early indications are demonstrating improvement in Arizona’s recidivism rate. The two year review of FY 2017 releases shows that 32.2% of inmates returned to prison, down from 35.3% two years prior. | 23.0 | 12,248,400 |
|---|---|------|------------|

There are additional steps that should be taken to effectively target recidivism. The problem is that programming opportunities are still not available to all inmates that can benefit from them.

ADC requests 23.0 FTE and \$12,248,400 targeted at reentry and recidivism reduction strategies and initiatives. These resources are a critical investment that directly supports the Governor’s vision of safer communities and ADC’s overarching Strategic Goal of lowering recidivism by 25% over the next 10 years. The FY 2021 request will support Substance Abuse Treatment Services Expansion (\$10,177,500); Recovery Support Specialist (RSS) Expansion (\$139,900); Cognitive Restructuring Program Fidelity (\$206,200); Community Corrections: Improving Reentry (\$657,700); and Education Services Expansion (\$1,067,100).

- | | | | |
|---|--|-----|------------|
| 4 | <u>Fully Fund Building Renewal Formula:</u> The ADC building inventory is comprised of 1,523 structures and over 8.8 million square feet. The approximate replacement cost of the system is estimated at over \$2 billion. | 0.0 | 18,476,000 |
|---|--|-----|------------|

The FY 2021 Capital Improvement Plan (CIP), submitted in June 2019, includes a total funding need of \$400,235,500. The CIP plan includes projects ranging from locking systems and fire systems to perimeter road repair. Priority is placed on fire and life safety projects.

A.R.S. §41-793 directs ADOA to determine the amount of appropriation required to fund building renewal on an annual basis according to a formula approved by the legislature. ADC's FY 2021 building renewal amount is \$25,340,300 based on the formula calculation. However, over the last 30 years the ADOA Building System formula has been fully funded only once. Due to the formula not being fully funded deferred maintenance costs, estimated to be over \$600 million for the ADOA Building System, have steadily increased. ADC's estimated share of the deferred maintenance costs is \$125,057,000.

ADC requests that the statutory building renewal formula be fully funded in FY 2021. It is essential that a dedicated and consistent funding source is identified so ADC can begin implementation of a phased approach for critical building renewal projects, including locking systems and fire suppression and alarm systems.

- 5 Staff Safety Equipment: Two key types of staff safety equipment, radios and safety vests, are required for staff to perform their jobs efficiently and to support the safety of the public, staff and inmates. These items endure constant high usage, wear and tear and have a predictable useful life that when surpassed they can become too degraded, outdated or unsafe to operate. Hand held radios become obsolete or incompatible with other communication equipment due to circumstances like new frequency requirements, software changes and replacement parts no longer available. Safety vests are only certified for specific timeframes as part of industry safety standards.

0.0 2,744,500

ADC does not have a separate budget for replacing staff safety equipment on a fixed cycle. Despite ADC's attempts to identify and replace staff safety equipment, a significant segment of these staff safety equipment categories have exceeded their useful life.

ADC proposes funding a standard life cycle replacement plan for radios and safety vests.

- 6 Leadership Enhancement & Development: The development of ADC leadership has been identified as a priority. In a recent survey of COs that resigned, 13% cited improved treatment by supervisor as a need to stay.

0.0 6,285,400

ADC is proposing three initiatives to increase leadership presence in prisons, to properly incentivize career advancement, and to enhance leadership development for ADC employees. These initiatives include: (1) Establish Correctional Corporals (this would be achieved by eliminating some CO II position and reassigning as CO Corporals); (2) Incentivize Career Advancement - Salary Compression Adjustment; and (3) Extend Education Stipends to Correctional Officers.

- | | | | |
|----|---|-----|-----------|
| 7 | <p><u>Inmate Food Contract – CPI, Minimum Wage Increase:</u> ADC has successfully contracted food service for over 20 years. The current contract allows for the contractor to submit requests for price increases. ADC evaluates the requests by reviewing the contractor’s justification and the average consumer price index (CPI). In the 1st half of calendar year 2019 the CPI measures ranged from 2.6% to 2.9% on an annualized basis.</p> <p>On November 8, 2016, Arizona voters approved Proposition 206, referred to as the Fair Wages and Healthy Families Act, which raised the minimum wage.</p> <p>ADC requests \$1,054,200 to fund contractual food price increases resulting from increasing prices and the implementation of Proposition 206.</p> | 0.0 | 1,054,200 |
| 8 | <p><u>Private Prison Rate Increase:</u> A.R.S. 41-1609.01 gives ADC the authority to award annual contract price or cost adjustments. The FY 2020 Budget included an increase of \$5,083,500 to the Private Prison Per Diem Special Line Item (SLI) for a correctional officer salary increase of 10%. The correctional officer salary increase equated to a 3.5% per diem increase on rated private prison beds.</p> <p>Despite the FY 2020 increase, per diem rates for contracted, rated beds are not sufficient to absorb the increased costs private prisons are experiencing. During June/July 2019 contract partners from each private prison requested FY 2021 rate increases due to increasing operating costs. Cost increases reported by contract partners focused on health care (staffing, pharmacy, Hep C, outpatient care, etc.), wage pressures, food costs, as well as building related expenditures (property taxes, utilities, repair and maintenance, etc.).</p> <p>The cost to operate prisons continues to increase annually; however, rate adjustments for contracted private prisons have not been sufficient to reimburse contract partners.</p> <p>ADC requests \$2,768,700 to provide a 1.8% CPI increase to the per diem rates of private prison contracts. The requested 1.8% is lower than the published CPI for CY 2018 of 2.4%.</p> | 0.0 | 2,768,700 |
| 9 | <p><u>Community Corrections SLI Adjustment:</u> The FY 2019 budget established the Community Corrections Special Line Item Appropriation (SLI). Certain personnel and operating costs such as CORP contribution increases, and the Community Corrections share of expenditures for rent, risk, fuel, and telephones were not fully accounted for in the initial appropriation.</p> <p>ADC requests the reallocation of \$1,355,000 from the Lump Sum Appropriation to the Community Corrections SLI.</p> | 0.0 | 0 |
| 10 | <p><u>Prison Construction & Operations Fund Backfill:</u> In FY 2018, the appropriation from the Prison Construction & Operations Fund (2504) was reduced by \$1,186,300 to align revenues with the fund’s appropriation. Despite this action a structural imbalance remains due to persistent revenue declines. Revenues have declined consecutively over the past six years. FY</p> | 0.0 | 0 |

2013 revenue was \$13,948,000 and FY 2019 revenue was \$10,348,700 a 25.81% decline.

ADC estimates annual revenue of \$10,400,000 which does not sufficiently fund the appropriation of \$12,500,000 from the Prison Construction & Operations Fund. The appropriation is allocated to inmate food (\$2,500,000) and health care (\$10,000,000). Assuming revenue stabilizes, the structural deficit is projected to result in a \$912,700 shortfall in FY 2021. If no action is taken, the shortfall will grow to \$3,012,700 by FY 2022.

ADC requests reallocation of \$2,100,000 from the Prison Construction & Operations Fund to the General Fund to ensure a stable funding source for critical inmate food and health care expenditures.

11	<u>CORP/ASRS Adjustment:</u> FY 2021 retirement rates are unknown; however, as recent trends indicate, the ADC will require an increase in its appropriation in order to cover the rise. The actuarial valuation reports, which will not be made public until late CY 2019, will determine the rates for FY 2021. Even a relatively small percentage variation may translate into a substantial funding need. As an illustration, a 1% rate increase for CORP and ASRS is approximately \$3,567,700 and \$590,300 respectively. Once the actual FY 2021 retirement rates are published, these amounts will be revised accordingly.	0.0	Placeholder
----	--	-----	-------------

12	<u>Technical & Non-Appropriated Funding Adjustments:</u> In FY 2021, the ADC will have several funds with a net reduction in expenditures. Expenditures vary for a variety of reasons, including the elimination of one-time funding sources, year-to-year variations in grants or other funding sources, and the completion of projects.	0.0	-6,443,600
----	---	-----	------------

The ADC is reporting an expenditure decrease of \$6,443,600 due to the elimination of one-time funding allocated in FY 2020, year-to-year variations in Federal grants, and the completion of the AIMS2 Project.

FY 2021 Operating Budget Request

23.0	59,133,600
-------------	-------------------



State of Arizona Budget Request

State Agency

Department of Corrections (for Budget)

A.R.S. Citation: **41-1062**

Governor DUCEY:

This and the accompanying budget schedules, statements and explanatory information constitute the operating budget request for this agency for Fiscal Year 2021.

To the best of my knowledge all statements and explanations contained in the estimates submitted are true and correct.

Agency Head: **Charles L. Ryan**

Title: **Director**

Charles L. Ryan 9/3/2019

(signature)

Phone: **(602) 542-1561**

Prepared By: **Jacob Gable**

Email Address: **Jgable@azadc.gov**

Date Prepared: **Tuesday, September 3, 2019**

Appropriated Funds

	FY 2020 Approp	FY 2021 Fund. Issue	FY 2021 Total Budget
Total Amount Requested:	1,220,391.9	75,277.2	1,295,669.1
General Fund	1,167,111.3	78,617.9	1,245,729.2
Corrections Fund	30,312.3	0.0	30,312.3
State Education Fund for Correctional Education	729.3	0.0	729.3
DOC - Alcohol Abuse Treatment	555.5	0.0	555.5
Transition Program Fund	2,400.1	0.0	2,400.1
Prison Construction and Operations Fund	12,500.0	(2,100.0)	10,400.0
Inmate Store Proceeds Fund	1,341.3	0.0	1,341.3
DOC Building Renewal & Preventive Maintenance	0.0	(1,240.7)	(1,240.7)
Penitentiary Land Earnings	2,780.3	0.0	2,780.3
State Charitable, Penal & Reformatory Land Earnings	2,661.8	0.0	2,661.8

Non-Appropriated Funds

	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Budget
Total Amount Planned:	88,099.8	(16,143.6)	71,956.2
Federal GrantS FUND	9,147.6	(528.7)	8,618.9
Community Corrections Enhancement Fund	422.5	0.0	422.5
Employee Recognition Fund	122.1	0.0	122.1
IGA and ISA Fund	3,954.6	(3,914.9)	39.7
Inmate Store Proceeds Fund	5,574.8	(1,700.0)	3,874.8
State DOC Revolving-Transition	4,889.2	0.0	4,889.2
DOC Special Services Fund	14,713.1	(4,000.0)	10,713.1
ARCOR Enterprises Revolving	47,003.4	(4,000.0)	43,003.4
Indirect Cost Recovery Fund	2,272.5	(2,000.0)	272.5
Total:	1,308,491.7	59,133.6	1,367,625.3

Vision: Safer communities through effective corrections

Mission: To serve and protect the people of Arizona by securely incarcerating convicted felons, by providing structured programming designed to support inmate accountability and successful community reintegration, and by providing effective supervision for those offenders conditionally released from prison.

Agency Description: The Arizona Department of Corrections (ADC) carries out its mission by incarcerating inmates in correctional facilities and supervising conditionally released offenders in the community. During incarceration, health and welfare services are provided to inmates. Programs such as work, education, and substance abuse treatment increase the likelihood of success upon release. ADC supervises offenders released to community supervision and supports effective reentry using a continuum of strategies and evidence-based programs. ADC facilitates return to custody of offenders who represent a threat to the safety of the community.

Executive Summary: Strategies align to achieve ADC's 10-year goal: *Engaged employees and communities committed to a 25% reduction in recidivism.* **Staff Engagement** – ADC faces significant vacancies and turnover among Correctional Officers. Initiatives include conducting a breakthrough project on employee retention, utilizing available compensation strategies, and expanding the Field Training Officer program. **Correctional Environment and Operational Practices** – Inmate violence results in injury and increased security requirements and is detrimental to a prosocial environment. Initiatives include training staff on inmate management/non-violent crisis intervention, enhancing contraband detection, and increasing inmate work/program involvement. **Community Support and Inmate Preparation** – The recidivism rate for Arizona inmates is 40.6%. Reducing recidivism will help reduce prison population, decrease spending for prisons, and enhance community safety. Initiatives include leading the state-level recidivism reduction breakthrough project, improving inmate programs/increasing program completion, promoting community engagement/volunteerism, improving use of offender interventions/sanctions, and increasing successful completion of community supervision.

Summary of Multi-Year Strategic Priorities

#	Five Year Strategic Priority	Start Fiscal Year	Progress / Successes (FY2019)
1	Staff is engaged in recidivism reduction	2018	<ul style="list-style-type: none"> Correctional Officer IIIs trained in Motivational Interviewing techniques Implemented Spot Incentive Program for staff recognition Formalized Lieutenant Field Training Officer Program
2	The environment is conducive to recidivism reduction	2018	<ul style="list-style-type: none"> Correctional Officers trained in inmate management/crisis intervention Staff assaults A3 in progress; multi-state effort Centralized housing for inmates with mental health issues to improve specialized safety, security, and service delivery
3	Operational practices support recidivism reduction	2018	<ul style="list-style-type: none"> Increased the number of Health Services Contract Monitoring staff Awarded contract for new health care provider, effective July 1, 2019 Awarded contract for inmate tablets Completed deployment of AMS down to line level
4	Communities are committed to supporting recidivism reduction/reentry	2018	<ul style="list-style-type: none"> Designated position as agency Volunteer Coordinator Engaged with community through participation in Arizona Town Halls Collaborating with Special Olympics and Thunderbird Charities for multi-purpose hub facility at Perryville prison Received AmeriCorps grant to enhance program resources Partnered with Cenpatico to train inmates as Recovery Support Specialists
5	Inmates are engaged in preparation for successful reentry	2018	<ul style="list-style-type: none"> Identified available certifications for in-demand trades prior to release Implemented audit to ensure that inmate mental health needs are met prior to release Decreased 1 and 2-year recidivism rates Initiated Fleet 100 program for inmate training and cost-savings

Strategy #	FY20 Annual Objectives	Objective Metrics	Annual Initiatives
1	1. Reduce Correctional Officer II vacancies	<ul style="list-style-type: none"> Number of Correctional Officer II vacancies 	Develop a comprehensive 'culture plan' to maximize current initiatives, including: <ul style="list-style-type: none"> Motivational Interviewing training Utilize available compensation strategies Formalize Captain Field Training Program Retention (Breakthrough Project – A3) CO Call-Ins (Breakthrough Project – A3) Expanding/formalizing employee feedback mechanisms and internal communication
	2. Increase employee engagement	<ul style="list-style-type: none"> Percent of positive responses to ADOA Annual Employee Engagement Survey Percent of employee ideas implemented 	
2	1. Reduce inmate on staff assaults	<ul style="list-style-type: none"> Number of inmate on staff assaults per month 	<ul style="list-style-type: none"> Staff Assault (Breakthrough Project – A3) Develop a comprehensive model/multi-year plan for physical plant preventive maintenance , repair, and replacement that optimizes efficient use of resources (Year 1: Emphasis on lock replacement)
	2. Reduce staff assaults resulting in injury	<ul style="list-style-type: none"> Number of inmate on staff assaults resulting in injury Days of work lost due to inmate on staff assault injury Percent of identified locks replaced 	
	3. Reduce inmate suicide attempts	<ul style="list-style-type: none"> Number of inmate suicide attempts per month 	Mental Health safety, security, and service delivery
	4. Reduce inmate self-injurious behavior incidents	<ul style="list-style-type: none"> Number of self-injurious behavior incidents per month 	
	5. Reduce inmate major contraband	<ul style="list-style-type: none"> Number of major contraband finds per month 	
3	1. Increase Inmate Health Services stipulation agreement overall compliance	<ul style="list-style-type: none"> Health Services stipulation agreement compliance 	<ul style="list-style-type: none"> Establish a formal Continuous Quality Improvement (CQI) plan/process for Health Services (Year 1: Establish baseline data, explore expanding partnerships) Monitor vendor compliance with contract requirements for provision of inmate health care
	2. Increase inmate work/program involvement	<ul style="list-style-type: none"> Percent of inmates involved in work/programs 	<ul style="list-style-type: none"> Cognitive Restructuring as a major program Tablets and WIFI for use in inmate programming
4	1. Increase volunteer hours	<ul style="list-style-type: none"> Number of volunteer hours per month 	Coordinated, agency-wide volunteer program
5	1. Increase high risk, high needs inmates program completions	<ul style="list-style-type: none"> Number of high target , high needs inmates completing programming 	High Risk/High Needs (Breakthrough Project – A3)
	2. Increase inmate program availability and effectiveness	<ul style="list-style-type: none"> Number of program slots available 	Build and monitor capacity to meet inmate population need for programs
	3. Reduce reincarceration due to technical violations	<ul style="list-style-type: none"> Number of offenders reincarcerated due to technical violations 	<ul style="list-style-type: none"> Formal vocational certifications Use of interventions and sanctions, including release planning and post-release best practices Optimize Community Corrections
	4. Increase successful completion of community supervision	<ul style="list-style-type: none"> Percent of offenders successfully completing community supervision 	

Agency 5-Year Plan

Issue 1 Building an Engaged and Skilled Workforce

Description: ADC faces significant vacancies and high turnover, particularly among Correctional Officers.

Solutions:

FY 2020 strategies continue efforts on the employee retention breakthrough project, utilizing available compensation strategies, and expanding the Field Training Officer program. New strategies for FY 2020 include developing a comprehensive 'culture plan' to integrate and maximize all initiatives related to this issue, along with expanding/formalizing employee feedback mechanisms and internal communication strategy.

Issue 2 Managing the Inmate Population

Description: Incidents of inmate violence result in possible injury and increased security requirements and are detrimental to creation of a prosocial program environment.

Solutions:

FY2020 strategies include ongoing staff training on positive interactions with inmates, continuing work on the staff assault breakthrough project, enhancing contraband interdiction and detection, and building program capacity and utilization. New initiatives for FY2020 focus on safety of the physical environment through development of a comprehensive model/multi-year plan for physical plant preventive maintenance, repair, and replacement that optimizes efficient use of resources and promotes staff and inmate safety; year 1 emphasis will be on lock replacement. In addition, ADC seeks to reduce inmate suicides, suicide attempts, and incidents of self-injurious behavior through increased mental health safety, security and service delivery.

Issue 3 Reducing Recidivism through Reentry Preparation and Support

Description: The current recidivism rate for Arizona inmates is 40.6%. Reducing recidivism will help to reduce prison population, decrease spending for prisons, and enhance community safety. In FY 2017, the Department of Corrections established the following 10-year goal: Engaged employees and communities committed to a 25% reduction in recidivism.

Solutions:

FY2019 strategies carried forward into FY2020 include: Leading the state-level breakthrough project on recidivism reduction; improving inmate programs, to include expanding education, substance abuse program, and Second Chance Center capacity; increasing emphasis on inmate program completions; increasing community engagement; and improving use of offender interventions and sanctions, including release planning and post-release best practices. To further increase support for successful reentry, ADC's strategic plan includes an initiative to optimize Community Corrections.

Resource Assumptions

	FY2022 Estimate	FY2023 Estimate	FY2024 Estimate
Full-Time Equivalent Positions	9,589.0	9,589.0	9,589.0
General Fund	1,213,903,300.0	1,213,903,300.0	1,213,903,300.0
Other Appropriated Funds	49,939,900.0	49,939,900.0	49,939,900.0
Non-Appropriated Funds	63,337,300.0	63,337,300.0	63,337,300.0
Federal Funds	8,618,900.0	8,618,900.0	8,618,900.0

DCA 0.0 **Agency Summary**
 DEPARTMENT OF CORRECTIONS
 Charles L. Ryan, Director
 Department of Corrections (602) 542-5225
 A.R.S. § 41-1601
 Plan Contact: Denise M. Stravia, Strategic Planning Administrator
 Office of the Director (602) 542-1576

Mission:

To serve and protect the people of Arizona by securely incarcerating convicted felons, providing structured programming designed to support inmate accountability and successful community reintegration, and providing effective supervision for offenders conditionally released from prison.

Description:

The Department of Corrections (ADC) serves and protects the people of Arizona by incarcerating inmates in correctional facilities and supervising conditionally released offenders in the community. During incarceration, welfare and health care services, including medical, dental, and mental health, are provided to inmates. In addition, structured programming - including work, education, career training, substance abuse treatment, sex offender treatment, spiritual services, and recreation - is provided to inmates to promote employability, literacy, sobriety, and accountability to crime victims and to increase the likelihood that inmates will become law-abiding citizens upon release. In the community, the Department supervises offenders released from prison to serve the remainder of their sentence on community supervision. The Department ensures the accurate release, effective re-entry, transition, and supervision of released offenders, utilizing a continuum of supervision services, strategies, evidence-based programs, and meaningful incentives and sanctions. The Department also facilitates the swift return to custody of those offenders who violate conditions of supervision and who represent a serious threat to the safety of the community.

◆ **Goal 1** To create a more effective and efficient adult corrections system in Arizona.

Objective: 1 FY2019: FTE Count
 FY2020: FTE Count
 FY2021: FTE Count

Performance Measures	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
# of Agency FTE Count	8,612	NA	NA

Explanation: As of 6/30/2019

Objective: 2 FY2019: Arizona Management System (AMS) Deployment
 FY2020: Arizona Management System (AMS) Deployment
 FY2021: Arizona Management System (AMS) Deployment

Performance Measures	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
AMS Deployment (percent)	93.0	100.0	100.0

Objective: 3 FY2019: Services Online
 FY2020: Services Online
 FY2021: Services Online

Performance Measures	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
% of Services Online	100.0	100.0	100.0

DCA 1.0 **Program Summary**
 PRISON OPERATIONS AND SERVICES
 Charles L. Ryan, Director
 Department of Corrections (602) 542-5225
 A.R.S. § 41-1602

Mission:

To ensure public and staff safety by imprisoning inmates, providing inmate

programming opportunities, providing statutorily required health care, and administering prison operations in an environment that is secure and humane.

Description:

This program establishes prison operations and administers prison budgets. This encompasses security; physical plant; personnel and business office functions; inmate records; occupational safety; fleet/motor pool; warehouse; laundry; food services; classification; mail and property; telecommunications and security systems; information technology; inmate programs including work, treatment, education, religious services, and recreation; and Arizona Correctional Industries, which develops and manages revenue-generating inmate work activities. This program also ensures provision of medical, dental, and mental health services through a private vendor that is monitored by the Department for contract compliance and quality of care.

This Program Contains the following Subprograms:

- ▶ Security
- ▶ Inspections and Investigations
- ▶ Inmate Education, Treatment, and Work Programs
- ▶ Health Care
- ▶ Private Prisons
- ▶ Prison Management and Support

DCA 1.1 **Subprogram Summary**
 SECURITY
 Tara Diaz, Division Director
 Prison Operations (602) 542-3894
 A.R.S. § 41-1604

Mission:

To maintain effective custody and control over inmates in an environment that is safe, secure, and humane.

Description:

This subprogram is responsible for implementation and oversight of operational areas of inmate accountability; key control; security/facility inspections; inmate regulations; inmate transportation; emergency preparedness; incident management; inmate escape prevention/response; searches; substance abuse detection, interdiction, and control; execution procedures; inmate death or hospitalization notification/disposition; tool and restricted product control; inmate levels of supervision; armory procedures; and security systems. It also includes evaluating and allocating security staff and providing for their in-service training; implementing gang management strategies; developing operational intelligence (acquisition, analysis, storage, dissemination); and enhancing security and safety measures through utilization of service dog resources and security technology transfer and product review.

◆ **Goal 1** To safeguard the public, staff, and inmates through the efficient, safe, and secure operation of prisons

Objective: 1 FY2019: To reduce the occurrence of inmate behavior that poses a threat to the public, staff, and inmates
 FY2020: To reduce the occurrence of inmate behavior that poses a threat to the public, staff, and inmates
 FY2021: To reduce the occurrence of inmate behavior that poses a threat to the public, staff, and inmates

2019 - 2021 ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

Performance Measures	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
Number of escapes of inmates from any location	0	0	0
Number of staff assaults (average per month)	59.9	45.3	42.7
Explanation:	Initiative to reduce inmate on staff assaults extends into FY2020. Targets have been revised.		
Contraband volume (average per month)	249.2	169.8	145.6
Number of suicides	7	6	6
Explanation:	National standard is 6 suicides per year. Source of the suicide rates in state prisons comes from the Bureau of Justice Statistics, covering years 2001 and 2005-2014.		
Number of suicide attempts (per month)	9.7	8.0	8.0
Explanation:	Revised counting rules/definition for this data in October 2018. Separated suicide attempts from self-injurious behavior. Revised definition of suicide attempt is: any act of self-harm with the intention to end one's life. Intentionality shall be determined by health care or mental health professionals		

To require inmate participation in self-improvement programming opportunities and services including work, education, substance abuse treatment, sex offender treatment, and spiritual access designed to prepare inmates to be responsible citizens upon release.

Description:

This subprogram establishes structured access to work, education, substance abuse treatment, sex offender treatment, and spiritual services to improve the inmate's successful reintegration into the community, in accordance with Department goals, mandates, and statutes.

◆ **Goal 1** To maximize inmate participation in Department programming opportunities

Objective: 1 FY2019: To maintain or increase opportunities for eligible inmates to participate in program and work assignments
 FY2020: To maintain or increase opportunities for eligible inmates to participate in program and work assignments
 FY2021: To maintain or increase opportunities for eligible inmates to participate in program and work assignments

Performance Measures	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
Inmate work/program involvement (average monthly percent)	72.5	76.0	76.0
Explanation:	Data source and counting rules for this measure were revised at the beginning of FY 2019 to increase the precision of this measure; this resulted in lower estimates for FY 2019 and FY 2020		
Inmate Program Completions (average per month)	885	958	1054
Inmate Program Utilization Rate (average percent per month)	92.5	90.0	92.0
High risk, high needs inmate program completions (average percent per month)	26.9	32.0	38.1
Volunteer hours (average per month)	4,046	4,360	4,549

Subprogram Summary

DCA 1.2
 INSPECTIONS AND INVESTIGATIONS
 Charles L. Ryan, Director
 Department of Corrections (602) 542-5225
 A.R.S. § 41-1604

Mission:

To promote Department safety and security by conducting administrative, civil, criminal, and gang-related investigations; conducting daily, weekly, monthly, and annual inspections and performance audits; and ensuring agency compliance with fire and life safety codes.

Description:

This subprogram conducts background and administrative investigations in support of the hiring and retention of professional staff; conducts investigations into criminal acts and civil violations committed by inmates, staff, or others, to support successful prosecution and/or effective applications of discipline; develops intelligence, and investigates Security Threat Group activity to support management of inmates and the safe operation of institutions; conducts annual compliance audits of each prison; and provides consultation and assistance in fire and life safety code compliance to support staff, inmate, and environmental safety in all agency matters.

◆ **Goal 1** To conduct investigations and audits to ensure State prisons and Department staff are compliant with Department policies and procedures

Objective: 1 FY2019: To ensure State prisons and Department staff are compliant with Department policies/procedures through an annual audit process
 FY2020: To ensure State prisons and Department staff are compliant with Department policies/procedures through an annual audit process
 FY2021: To ensure State prisons and Department staff are compliant with Department policies/procedures through an annual audit process

Performance Measures	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
Average annual Arizona State-Operated Prison compliance audit percent score	93.77	92.50	93.00
Explanation:	FY2019 actual will be updated upon completion of the audit report for ASPC-Lewis.		

Subprogram Summary

DCA 1.4
 HEALTH CARE
 Richard Pratt, Assistant Director
 Health Services Contract Monitoring Bureau (602) 255-2491
 A.R.S. § 31-201.01, 41-1604

Mission:

To ensure the provision of medical, dental, and mental health care to inmates through a private vendor.

Description:

This subprogram ensures that the inmate population is provided with statutorily required medical, dental, and mental health services through a private vendor that is monitored by the Department for contract compliance and quality of care.

◆ **Goal 1** To provide the inmate population with statutorily required medical, dental, and mental health services through a private vendor that is monitored by the Department for contract compliance and quality of care.

Objective: 1 FY2019: To provide the inmate population with statutorily required medical, dental, and mental health services through a private vendor that is monitored by the Department for contract compliance and quality of care.
 FY2020: To provide the inmate population with statutorily required medical, dental, and mental health services through a private vendor that is monitored by the Department for contract compliance and quality of care.
 FY2021: To provide the inmate population with statutorily required medical, dental, and mental health services through a private vendor that is monitored by the Department for contract compliance and quality of care.

Performance Measures	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
Vendor staffing levels (percent per month)	91.5	100.0	100.0
Stipulation Agreement compliance (average percent per month)	91.0	95.0	95.0

Subprogram Summary

DCA 1.3
 INMATE EDUCATION, TREATMENT, AND WORK PROGRAMS
 Karen Hellman, Division Director
 Inmate Programs & Reentry (602) 542-5155
 A.R.S. § 41-1604, 1604.02, 41-1623

Mission:

DCA 1.5 **Subprogram Summary**
 PRIVATE PRISONS
 Tara Diaz, Division Director
 Prison Operations (602) 542-3894
 A.R.S. § 41-1604, 1604-02

Mission:

To develop private prison contracts and provide oversight to monitor their safe, secure and cost-effective operation, while imprisoning inmates according to the Department's mission.

Description:

This subprogram manages all aspects of private prison contracts including initial research and development, proposal evaluation, contract negotiations, and contract maintenance functions. This subprogram works with private prison firms to ensure comparable confinement and program services are provided to all Arizona state inmates, regardless of location. Oversight of private prisons in Arizona is provided by Department staff who monitor facility operations, inmate management, inmate services, clearance of contractor personnel, and payment of fees consistent with the terms outlined in individual facility and service contracts.

- ◆ **Goal 1** To develop private prison contracts and provide oversight to monitor their safe, secure, and cost-effective operation, while imprisoning inmates according to the Department's mission

- Objective:** 1 FY2019: To ensure private prison compliance with contract requirements and Department policies/procedures through an annual audit process
 FY2020: To ensure private prison compliance with contract requirements and Department policies/procedures through an annual audit process
 FY2021: To ensure private prison compliance with contract requirements and Department policies/procedures through an annual audit process

Performance Measures	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
Average annual Contracted Private Prison compliance audit percent score	96.7	97.0	97.5

DCA 1.6 **Subprogram Summary**
 PRISON MANAGEMENT AND SUPPORT
 Tara Diaz, Division Director
 Prison Operations (602) 542-3894
 A.R.S. § 41-1604

Mission:

To provide leadership and direction in the administration and operations of all prisons to ensure inmate accountability and staff safety.

Description:

This subprogram oversees prison operations, Regional Operations Directors, and Wardens and their immediate staff; administers prison budgets and staffing/safety programs; manages prison activation/deactivation; and directs centralized operational systems and services. This subprogram includes fiscal management, fleet management, fire and safety, food service, warehouse, and maintenance. This subprogram is also responsible for inmate classification, protective segregation, time computation and records, legal access, and inmate family assistance services.

- ◆ **Goal 1** To provide leadership and direction in the management of inmate population growth and the allocation of physical and fiscal resources

- Objective:** 1 FY2019: To accurately project bed needs
 FY2020: To accurately project bed needs
 FY2021: To accurately project bed needs

Performance Measures	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
Average daily inmate population	42,074	42,475	42,775
Average daily rated bed surplus or (deficit)	(3,338)	(3,603)	(3,903)

DCA 2.0 **Program Summary**
 COMMUNITY CORRECTIONS
 Karen Hellman, Division Director
 Inmate Programs & Reentry (602) 542-5155
 A.R.S. § 41-1604

Mission:

To provide effective community supervision of offenders, facilitate their successful transition from prison to the community, and return offenders to prison when necessary to protect the public.

Description:

This program is charged with supervising offenders on community supervision and identifying and returning to prison offenders who violate conditions of supervision and represent a serious threat to public safety. The program refers to law enforcement and prosecutorial agencies sex offenders subject to registration, community notification, and sexually violent person laws; coordinates sex offender registration prior to release; assists in the apprehension, extradition and transportation of fugitives; completes due process on all offenders returned to custody; represents the Department at revocation hearings conducted by the Board of Executive Clemency; conducts administrative hearings; provides criminal history information to authorized criminal justice agencies; manages the implementation of the Interstate Compact for the Supervision of Adult Inmates and Offenders (releasees on community supervision); collaborates with state and community agencies; and interacts with individual victims and victim associations. This program also operates Reentry Centers in the community to assist offenders in successful completion of community supervision by offering programming, intermediate sanctions, and temporary housing for offenders released to homelessness; this program contributes to public safety and community well-being, particularly related to housing for homeless sex offenders.

- ◆ **Goal 1** To promote successful completion of community supervision

- Objective:** 1 FY2019: To promote successful completion of community supervision
 FY2020: To promote successful completion of community supervision
 FY2021: To promote successful completion of community supervision

Performance Measures	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
Community supervision successes (average percent per month)	69.7	75.0	75.0
Re-incarcerated due to technical violations (average per month)	248	225	206

DCA 3.0 **Program Summary**
 ADMINISTRATION
 Charles L. Ryan, Director
 Department of Corrections (602) 545-5225
 A.R.S. § 41-1602, 41-1604

Mission:

To provide leadership, support, and resources that enable Department employees to perform their duties and achieve professional excellence and to ensure that the Department is responsive to internal and external stakeholders

Description:

This program determines current policy and future direction of the

Department through the following functional areas: legal services; legislative affairs; public and internal communications; constituent services; policy promulgation; human services, employee relations, equal opportunity, employee grievances and disciplinary actions; training and employee development; budgeting, planning, and research; engineering and physical plant services; financial and procurement services; and information technology services.

◆ **Goal 1** To recruit, retain, recognize, and develop staff

Objective: 1 FY2019: To reduce staff vacancies/turnover
 FY2020: To reduce staff vacancies/turnover
 FY2021: To reduce staff vacancies/turnover

Performance Measures	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
Quantity of vacant Correctional Officer II positions (as of June 30, 2019)	1,266	413	413
Correctional Officer II regrettable attrition rate	66.2	52.0	52.0

◆ **Goal 2** To ensure fiscal responsibility in the administration of the agency's budget

Objective: 1 FY2019: To ensure fiscal responsibility in the administration of the agency's budget
 FY2020: To ensure fiscal responsibility in the administration of the agency's budget
 FY2021: To ensure fiscal responsibility in the administration of the agency's budget

Performance Measures	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
Appropriated funds spending variance (percent)	1.5	2.0	2.0

AGENCY SUMMARY

Program: DCA 0 . 0 DEPARTMENT OF CORRECTIONS
Director: Charles L. Ryan, Director
Phone: Department of Corrections (602) 542-5225
Statute: A.R.S. § 41-1601
Plan Contact: Denise M. Stravia, Strategic Planning Administrator
 Office of the Director (602) 542-1576

Mission:

To serve and protect the people of Arizona by securely incarcerating convicted felons, providing structured programming designed to support inmate accountability and successful community reintegration, and providing effective supervision for offenders conditionally released from prison.

Description:

The Department of Corrections (ADC) serves and protects the people of Arizona by incarcerating inmates in correctional facilities and supervising conditionally released offenders in the community. During incarceration, welfare and health care services, including medical, dental, and mental health, are provided to inmates. In addition, structured programming - including work, education, career training, substance abuse treatment, sex offender treatment, spiritual services, and recreation - is provided to inmates to promote employability, literacy, sobriety, and accountability to crime victims and to increase the likelihood that inmates will become law-abiding citizens upon release. In the community, the Department supervises offenders released from prison to serve the remainder of their sentence on community supervision. The Department ensures the accurate release, effective re-entry, transition, and supervision of released offenders, utilizing a continuum of supervision services, strategies, evidence-based programs, and meaningful incentives and sanctions. The Department also facilitates the swift return to custody of those offenders who violate conditions of supervision and who represent a serious threat to the safety of the community.

◆ **Goal:** 1 To create a more effective and efficient adult corrections system in Arizona.

Objectives: 1 2019 Obj: FTE Count
 2020 Obj: FTE Count
 2021 Obj: FTE Count

Performance Measures:

ML	Budget	Type	FY 2018 Actual	FY 2019 Estimate	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
1	<input checked="" type="checkbox"/>	<input type="checkbox"/> EF # of Agency FTE Count As of 6/30/2019	8,887	NA	8,612	NA	NA

Objectives: 2 2019 Obj: Arizona Management System (AMS) Deployment
 2020 Obj: Arizona Management System (AMS) Deployment
 2021 Obj: Arizona Management System (AMS) Deployment

Performance Measures:

ML	Budget	Type	FY 2018 Actual	FY 2019 Estimate	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
1	<input checked="" type="checkbox"/>	<input type="checkbox"/> QL AMS Deployment (percent)	34.0	100.0	93.0	100.0	100.0

Objectives: 3 2019 Obj: Services Online
 2020 Obj: Services Online
 2021 Obj: Services Online

Performance Measures:

ML	Budget	Type	FY 2018 Actual	FY 2019 Estimate	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
1	<input checked="" type="checkbox"/>	<input type="checkbox"/> OC % of Services Online	89.0	100.0	100.0	100.0	100.0

PROGRAM SUMMARY

Program: DCA 1 . 0 PRISON OPERATIONS AND SERVICES
Contact: Charles L. Ryan, Director
Phone: Department of Corrections (602) 542-5225
Statute: A.R.S. § 41-1602

Mission:

To ensure public and staff safety by imprisoning inmates, providing inmate programming opportunities, providing statutorily required health care, and administering prison operations in an environment that is secure and humane.

Description:

This program establishes prison operations and administers prison budgets. This encompasses security; physical plant; personnel and business office functions; inmate records; occupational safety; fleet/motor pool; warehouse; laundry; food services; classification; mail and property; telecommunications and security systems; information technology; inmate programs including work, treatment, education, religious services, and recreation; and Arizona Correctional Industries, which develops and manages revenue-generating inmate work activities. This program also ensures provision of medical, dental, and mental health services through a private vendor that is monitored by the Department for contract compliance and quality of care.

This Program Contains the following Subprograms:

- ▶ Security
- ▶ Inspections and Investigations
- ▶ Inmate Education, Treatment, and Work Programs
- ▶ Health Care
- ▶ Private Prisons
- ▶ Prison Management and Support

SUBPROGRAM SUMMARY

Program: DCA 1 . 1 SECURITY
Contact: Tara Diaz, Division Director
Phone: Prison Operations (602) 542-3894
Statute: A.R.S. § 41-1604

Mission:

To maintain effective custody and control over inmates in an environment that is safe, secure, and humane.

Description:

This subprogram is responsible for implementation and oversight of operational areas of inmate accountability; key control; security/facility inspections; inmate regulations; inmate transportation; emergency preparedness; incident management; inmate escape prevention/response; searches; substance abuse detection, interdiction, and control; execution procedures; inmate death or hospitalization notification/disposition; tool and restricted product control; inmate levels of supervision; armory procedures; and security systems. It also includes evaluating and allocating security staff and providing for their in-service training; implementing gang management strategies; developing operational intelligence (acquisition, analysis, storage, dissemination); and enhancing security and safety measures through utilization of service dog resources and security technology transfer and product review.

◆ **Goal:** 1 To safeguard the public, staff, and inmates through the efficient, safe, and secure operation of prisons

Objectives: 1 2019 Obj: To reduce the occurrence of inmate behavior that poses a threat to the public, staff, and inmates
 2020 Obj: To reduce the occurrence of inmate behavior that poses a threat to the public, staff, and inmates
 2021 Obj: To reduce the occurrence of inmate behavior that poses a threat to the public, staff, and inmates

Performance Measures:

ML	Budget	Type		FY 2018 Actual	FY 2019 Estimate	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	OC	Number of escapes of inmates from any location	3	0	0	0
2	<input checked="" type="checkbox"/>	<input type="checkbox"/>	OC	Number of staff assaults (average per month)	40	22	59.9	42.7
3	<input checked="" type="checkbox"/>	<input type="checkbox"/>	QL	Contraband volume (average per month) Average per month	222.0	189.0	249.2	145.6
4	<input checked="" type="checkbox"/>	<input type="checkbox"/>	QL	Number of suicides National standard is 6 suicides per year. Source of the suicide rates in state prisons comes from the Bureau of Justice Statistics, covering years 2001 and 2005-2014.	7	0	7	6
5	<input checked="" type="checkbox"/>	<input type="checkbox"/>	QL	Number of suicide attempts (per month) Revised counting rules/definition for this data in October 2018. Separated suicide attempts from self-injurious behavior. Revised definition of suicide attempt is: any act of self-harm with the intention to end one's life. Intentionality shall be determined by health care or mental health professionals	NA	NA	9.7	8.0

SUBPROGRAM SUMMARY

Program: DCA 1.2 INSPECTIONS AND INVESTIGATIONS
Contact: Charles L. Ryan, Director
Phone: Department of Corrections (602) 542-5225
Statute: A.R.S. § 41-1604

Mission:

To promote Department safety and security by conducting administrative, civil, criminal, and gang-related investigations; conducting daily, weekly, monthly, and annual inspections and performance audits; and ensuring agency compliance with fire and life safety codes.

Description:

This subprogram conducts background and administrative investigations in support of the hiring and retention of professional staff; conducts investigations into criminal acts and civil violations committed by inmates, staff, or others, to support successful prosecution and/or effective applications of discipline; develops intelligence, and investigates Security Threat Group activity to support management of inmates and the safe operation of institutions; conducts annual compliance audits of each prison; and provides consultation and assistance in fire and life safety code compliance to support staff, inmate, and environmental safety in all agency matters.

◆ **Goal:** 1 To conduct investigations and audits to ensure State prisons and Department staff are compliant with Department policies and procedures

Objectives: 1 2019 Obj: To ensure State prisons and Department staff are compliant with Department policies/procedures through an annual audit process

2020 Obj: To ensure State prisons and Department staff are compliant with Department policies/procedures through an annual audit process

2021 Obj: To ensure State prisons and Department staff are compliant with Department policies/procedures through an annual audit process

Performance Measures:

ML	Budget	Type		FY 2018 Actual	FY 2019 Estimate	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
1	<input checked="" type="checkbox"/> <input type="checkbox"/>	QL	Average annual Arizona State-Operated Prison compliance audit percent score	91.05	92.50	93.77	92.50	93.00
FY2019 actual will be updated upon completion of the audit report for ASPC-Lewis.								

SUBPROGRAM SUMMARY

Program: DCA 1.3 INMATE EDUCATION, TREATMENT, AND WORK PROGRAMS
Contact: Karen Hellman, Division Director
Phone: Inmate Programs & Reentry (602) 542-5155
Statute: A.R.S. § 41-1604, 1604.02, 41-1623

Mission:

To require inmate participation in self-improvement programming opportunities and services including work, education, substance abuse treatment, sex offender treatment, and spiritual access designed to prepare inmates to be responsible citizens upon release.

Description:

This subprogram establishes structured access to work, education, substance abuse treatment, sex offender treatment, and spiritual services to improve the inmate's successful reintegration into the community, in accordance with Department goals, mandates, and statutes.

◆ **Goal:** 1 To maximize inmate participation in Department programming opportunities

Objectives: 1 2019 Obj: To maintain or increase opportunities for eligible inmates to participate in program and work assignments

2020 Obj: To maintain or increase opportunities for eligible inmates to participate in program and work assignments

2021 Obj: To maintain or increase opportunities for eligible inmates to participate in program and work assignments

Performance Measures:

ML	Budget	Type		FY 2018 Actual	FY 2019 Estimate	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
1	<input checked="" type="checkbox"/> <input type="checkbox"/>	EF	Inmate work/program involvement (average monthly percent)	89	76	72.5	76.0	76.0
Data source and counting rules for this measure were revised at the beginning of FY 2019 to increase the precision of this measure; this resulted in lower estimates for FY 2019 and FY 2020								
2	<input checked="" type="checkbox"/> <input type="checkbox"/>	OC	Inmate Program Completions (average per month)	896	915	885	958	1054
3	<input checked="" type="checkbox"/> <input type="checkbox"/>	EF	Inmate Program Utilization Rate (average percent per month)	89.4	90.0	92.5	90.0	92.0
4	<input checked="" type="checkbox"/> <input type="checkbox"/>	OC	High risk, high needs inmate program completions (average percent per month)	22.3	32.0	26.9	32.0	38.1
5	<input checked="" type="checkbox"/> <input type="checkbox"/>	EF	Volunteer hours (average per month)	3,972	4,220	4,046	4,360	4,549

SUBPROGRAM SUMMARY

Program: DCA 1 . 4 HEALTH CARE
Contact: Richard Pratt, Assistant Director
Phone: Health Services Contract Monitoring Bureau (602) 255-2491
Statute: A.R.S. § 31-201.01, 41-1604

Mission:

To ensure the provision of medical, dental, and mental health care to inmates through a private vendor.

Description:

This subprogram ensures that the inmate population is provided with statutorily required medical, dental, and mental health services through a private vendor that is monitored by the Department for contract compliance and quality of care.

◆ **Goal:** 1 To provide the inmate population with statutorily required medical, dental, and mental health services through a private vendor that is monitored by the Department for contract compliance and quality of care.

- Objectives:** 1 2019 Obj: To provide the inmate population with statutorily required medical, dental, and mental health services through a private vendor that is monitored by the Department for contract compliance and quality of care.
 2020 Obj: To provide the inmate population with statutorily required medical, dental, and mental health services through a private vendor that is monitored by the Department for contract compliance and quality of care.
 2021 Obj: To provide the inmate population with statutorily required medical, dental, and mental health services through a private vendor that is monitored by the Department for contract compliance and quality of care.

Performance Measures:

ML	Budget	Type		FY 2018 Actual	FY 2019 Estimate	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate	
1	<input checked="" type="checkbox"/>	<input type="checkbox"/>	QL	Vendor staffing levels (percent per month)	97.0	100.0	91.5	100.0	100.0
2	<input checked="" type="checkbox"/>	<input type="checkbox"/>	QL	Stipulation Agreement compliance (average percent per month)	91.0	95.0	91.0	95.0	95.0

SUBPROGRAM SUMMARY

Program: DCA 1 . 5 PRIVATE PRISONS
Contact: Tara Diaz, Division Director
Phone: Prison Operations (602) 542-3894
Statute: A.R.S. § 41-1604, 1604-02

Mission:

To develop private prison contracts and provide oversight to monitor their safe, secure and cost-effective operation, while imprisoning inmates according to the Department's mission.

Description:

This subprogram manages all aspects of private prison contracts including initial research and development, proposal evaluation, contract negotiations, and contract maintenance functions. This subprogram works with private prison firms to ensure comparable confinement and program services are provided to all Arizona state inmates, regardless of location. Oversight of private prisons in Arizona is provided by Department staff who monitor facility operations, inmate management, inmate services, clearance of contractor personnel, and payment of fees consistent with the terms outlined in individual facility and service contracts.

◆ **Goal:** 1 To develop private prison contracts and provide oversight to monitor their safe, secure, and cost-effective operation, while imprisoning inmates according to the Department's mission

- Objectives:** 1 2019 Obj: To ensure private prison compliance with contract requirements and Department policies/procedures through an annual audit process
 2020 Obj: To ensure private prison compliance with contract requirements and Department policies/procedures through an annual audit process
 2021 Obj: To ensure private prison compliance with contract requirements and Department policies/procedures through an annual audit process

Performance Measures:

ML	Budget	Type		FY 2018 Actual	FY 2019 Estimate	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate	
1	<input checked="" type="checkbox"/>	<input type="checkbox"/>	QL	Average annual Contracted Private Prison compliance audit percent score	95.87	96.50	96.7	97.0	97.5

SUBPROGRAM SUMMARY

Program: DCA 1 . 6 PRISON MANAGEMENT AND SUPPORT
Contact: Tara Diaz, Division Director
Phone: Prison Operations (602) 542-3894
Statute: A.R.S. § 41-1604

Mission:

To provide leadership and direction in the administration and operations of all prisons to ensure inmate accountability and staff safety.

Description:

This subprogram oversees prison operations, Regional Operations Directors, and Wardens and their immediate staff; administers prison budgets and staffing/safety programs; manages prison activation/deactivation; and directs centralized operational systems and services. This subprogram includes fiscal management, fleet management, fire and safety, food service, warehouse, and maintenance. This subprogram is also responsible for inmate classification, protective segregation, time computation and records, legal access, and inmate family assistance services.

◆ **Goal:** 1 To provide leadership and direction in the management of inmate population growth and the allocation of physical and fiscal resources

Objectives: 1 2019 Obj: To accurately project bed needs
 2020 Obj: To accurately project bed needs
 2021 Obj: To accurately project bed needs

Performance Measures:

ML	Budget	Type		FY 2018 Actual	FY 2019 Estimate	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate	
1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	IP	Average daily inmate population	42,038	42,308	42,074	42,475	42,775
2	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	OC	Average daily rated bed surplus or (deficit)	(3,123)	(3,700)	(3,338)	(3,603)	(3,903)

PROGRAM SUMMARY

Program: DCA 2 . 0 COMMUNITY CORRECTIONS
Contact: Karen Hellman, Division Director
Phone: Inmate Programs & Reentry (602) 542-5155
Statute: A.R.S. § 41-1604

Mission:

To provide effective community supervision of offenders, facilitate their successful transition from prison to the community, and return offenders to prison when necessary to protect the public.

Description:

This program is charged with supervising offenders on community supervision and identifying and returning to prison offenders who violate conditions of supervision and represent a serious threat to public safety. The program refers to law enforcement and prosecutorial agencies sex offenders subject to registration, community notification, and sexually violent person laws; coordinates sex offender registration prior to release; assists in the apprehension, extradition and transportation of fugitives; completes due process on all offenders returned to custody; represents the Department at revocation hearings conducted by the Board of Executive Clemency; conducts administrative hearings; provides criminal history information to authorized criminal justice agencies; manages the implementation of the Interstate Compact for the Supervision of Adult Inmates and Offenders (releasees on community supervision); collaborates with state and community agencies; and interacts with individual victims and victim associations. This program also operates Reentry Centers in the community to assist offenders in successful completion of community supervision by offering programming, intermediate sanctions, and temporary housing for offenders released to homelessness; this program contributes to public safety and community well-being, particularly related to housing for homeless sex offenders.

◆ **Goal:** 1 To promote successful completion of community supervision

Objectives: 1 2019 Obj: To promote successful completion of community supervision
 2020 Obj: To promote successful completion of community supervision
 2021 Obj: To promote successful completion of community supervision

Performance Measures:

ML	Budget	Type		FY 2018 Actual	FY 2019 Estimate	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate	
1	<input checked="" type="checkbox"/>	<input type="checkbox"/>	QL	Community supervision successes (average percent per month)	67.7	80.0	69.7	75.0	75.0
2	<input checked="" type="checkbox"/>	<input type="checkbox"/>	QL	Re-incarcerated due to technical violations (average per month)	255	225	248	225	206

PROGRAM SUMMARY

Program: DCA 3 . 0 ADMINISTRATION
Contact: Charles L. Ryan, Director
Phone: Department of Corrections (602) 545-5225
Statute: A.R.S. § 41-1602, 41-1604

Mission:

To provide leadership, support, and resources that enable Department employees to perform their duties and achieve professional excellence and to ensure that the Department is responsive to internal and external stakeholders

Description:

This program determines current policy and future direction of the Department through the following functional areas: legal services; legislative affairs; public and internal communications; constituent services; policy promulgation; human services, employee relations, equal opportunity, employee grievances and disciplinary actions; training and employee development; budgeting, planning, and research; engineering and physical plant services; financial and procurement services; and information technology services.

◆ **Goal:** 1 To recruit, retain, recognize, and develop staff

Objectives: 1 2019 Obj: To reduce staff vacancies/turnover
 2020 Obj: To reduce staff vacancies/turnover
 2021 Obj: To reduce staff vacancies/turnover

Performance Measures:

ML	Budget	Type		FY 2018 Actual	FY 2019 Estimate	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate	
1	<input checked="" type="checkbox"/>	<input type="checkbox"/>	QL	Quantity of vacant Correctional Officer II positions (as of June 30, 2019)	939	413	1,266	413	413
2	<input checked="" type="checkbox"/>	<input type="checkbox"/>	QL	Correctional Officer II regrettable attrition rate	63.2	52.0	66.2	52.0	52.0

◆ **Goal:** 2 To ensure fiscal responsibility in the administration of the agency's budget

Objectives: 1 2019 Obj: To ensure fiscal responsibility in the administration of the agency's budget
 2020 Obj: To ensure fiscal responsibility in the administration of the agency's budget
 2021 Obj: To ensure fiscal responsibility in the administration of the agency's budget

Performance Measures:

ML	Budget	Type		FY 2018 Actual	FY 2019 Estimate	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate	
1	<input checked="" type="checkbox"/>	<input type="checkbox"/>	EF	Appropriated funds spending variance (percent)	1.2	1.0	1.5	2.0	2.0

5	DCA2043 Agency Breakthrough - MM15.B High risk, high needs inmates program completions	FY20 Target	6/30/2016	Target	32.00%	23.29%	23.81%	24.64%	25.44%	26.28%	27.08%	27.92%	28.72%	29.50%	30.33%	31.44%	32.00%
				Actual	21.5%	26.92%	26.92%										
5	DCA2034 MM16.A Community supervision successes	FY20 Target	6/30/2016	Target	75.00%	69.00%	69.55%	70.11%	70.64%	71.20%	71.73%	72.29%	72.82%	73.34%	73.89%	74.43%	75.00%
				Actual	69.7%	66.40%	66.40%										
5	DCA2033 MM17.B Re-incarcerated due to technical violations	FY20 Target	6/30/2016	Target	2835.10	246.85	245.56	243.47	241.45	239.36	237.33	235.25	233.22	231.27	229.18	227.16	225.00
				Actual	273	264	264										
5	DCA2044 OP3.F: Inmate Program Utilization Rate	FY20 Target	6/30/2016	Target	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%
				Actual	73.6%	92.4%	92.4%										
5	DCA3360 OP3.J: Return to Custody after release from Second Chance Centers	FY20 Target	1/31/2019	Target	22.90	22.90	22.90	22.90	22.90	22.90	22.90	22.90	22.90	22.90	22.90	22.90	22.90
				Actual	22.9	25.50	25.50										
5	DCA3321 OP3.K: Offenders successfully completing services/sanctions at Re-Entry Centers	FY20 Target	7/31/2018	Target	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%
				Actual	90.20%	92.30%	92.3%										
5	DCA3478 OP20.B: Number of Program Slots Available	FY20 Target		TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD
N/A	DCA2046 MM8.B Appropriated funds spending variance	FY20 Target	9/30/2016	Target	2%	2%	2%	2%	2%	2%	2%	2%	2%	2%	2%	2%	2%
				Actual	4.6%	1.7%	1.7%										
N/A	DCA2979 SWM.4: # of Agency FTE Count -STATEWIDE MEASURE	FY20 Target	3/30/2016	Actual	8,545	8545											
					9,214												

Custom Field Legend

Mission - M	Mission Specific
People - P	Retain Employees / Safe Employees
Quality - Q	Quality of Work
Speed - S	Go Faster - Respond, Resolve, Decide
Cost / Qty - C	Cost Avoided; Funds Raised / Qty

Fiscal Year 2019 Agency Performance Bowling Chart (Scorecard) - Arizona Department of Corrections

Last Updated: 7/15/19

Performance Metric Title	Custom Field	JOP	YTD	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	March	April	May	June	
Strategic Metrics																
DCA2768 Agency Breakthrough - MM17.C: Recidivism (NEW)	FY19 Target 36%	9/30/2016	Target	37.0%	37.0%	N/A	N/A	N/A	N/A	N/A	36.5%	N/A	N/A	N/A	N/A	
			Actual	40.6%	41.4%	N/A	N/A	N/A	N/A	N/A	40.6%	N/A	N/A	N/A	N/A	N/A
DCA3360 OP3.J: Return to Custody after release from Second Chance Centers	FY19 Target TBD	1/31/2019	Target	22.90					22.90	22.90	22.90	22.90	22.90	22.90	22.90	
			Actual	22.83	N/A	N/A	N/A	N/A	N/A	17.90	29.34	19.04	21.50	29.00	24.00	19.00
DCA3321 OP3.K: Offenders successfully completing services/sanctions at Re-Entry Centers	FY19 Target 90%	7/31/2018	Target	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	
			Actual	87.90%	90.2%	89.0%	85.8%	89.4%	83.2%	95.8%	86.3%	90.1%	83.2%	89.6%	83.6%	88.6%
DCA2043 Agency Breakthrough - MM15.B High risk, high needs inmates program completions	FY19 Target 32%	6/30/2016	Target	24.95%	23.37%	24.18%	24.95%	25.75%	26.53%	27.33%	28.13%	28.85%	29.65%	30.42%	31.23%	32.00%
			Actual	26.86%	23.85%	20.44%	32.32%	28.30%	25.04%	25.51%	26.89%	31.52%	27.67%	26.06%	26.09%	28.64%
DCA2033 MM17.B Re-incarcerated due to technical violations	FY19 Target 225	6/30/2016	Target	767.53	258.95	255.80	252.78	249.69	246.60	243.52	240.43	237.35	234.26	231.17	228.09	225.00
			Actual	2971	265	269	225	227.00	226.00	231.00	286.00	217.00	248.00	244.00	274.00	259.00
DCA2034 MM16.A Community supervision successes	FY19 Target 80%	6/30/2016	Target	70.99%	68.99%	69.99%	70.99%	71.99%	72.99%	73.99%	75.00%	76.00%	77.00%	78.00%	79.00%	80.00%
			Actual	69.74%	67.00%	70.50%	69.00%	64.80%	74.80%	70.30%	67.40%	69.60%	72.90%	73.50%	69.90%	67.20%
DCA2035 MM2.B Quantity of vacant COII positions	FY19 Target 413	6/30/2016	Target	506.9	516.3	506.9	497.5	488.1	478.7	469.4	460.0	450.6	441.2	431.8	422.4	413.0
			Actual	1103	962	963	994	1028	1069	1128	1148	1141	1141	1175	1225	1266
DCA2037 MM7.B Number of staff assaults	FY19 Target 22	7/31/2016	Target	30	37.1	35.7	34.4	33.0	31.6	30.2	28.9	27.5	26.1	24.7	23.4	22.0
			Actual	60	45	54	58	78	66	41	60	55	59	54	77	72
DCA2038 MM6.C Suicides	FY19 Target 0	9/30/2016	Target	0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
			Actual	7	0	1	0	1	1	0	0	0	2	0	2	0
DCA2042 OP1.G Suicides Attempts	FY19 Target	{ref date}	Target	2				4	4	3	3	2	2	1	0	0
		{jop}	Actual	10	N/A	N/A	N/A	12	5	8	5	20	7	12	8	10
DCA2039 OP1.D Inmate contraband volume	FY19 Target 189	6/30/2016	Target	670.0	226.8	223.3	219.9	216.5	213.0	209.6	206.2	202.7	199.3	195.9	192.4	189.0
			Actual	2990	229	212	208	233	229	221	248	233	303	298	293	283
DCA2040 OP1.E Inmate work/program involvement	FY19 Target 76%	6/30/2018	Target	71.1%	70.0%	70.5%	71.1%	71.6%	72.2%	72.7%	73.2%	73.8%	74.3%	74.9%	75.4%	75.9%
			Actual	72.5%	70.0%	74.0%	71.7%	71.5%	71.0%	70.9%	73.4%	73.1%	73.3%	73.5%	74.1%	74.0%
DCA2045 MM13.A Volunteer hours	FY19 Target 4220	6/30/2016	Target	4013.3	3992.7	4013.3	4034.0	4054.7	4075.3	4096.0	4116.7	4137.3	4158.0	4178.7	4199.3	4220.0
			Actual	4063.4	3713	3978	4068	4257	4017	4310	3821	4014	4274	4598	3851	3860
Operational Metrics																
DCA2036 MM2.C COII regrettable attrition rate	FY19 Target 52%	6/30/2016	Target	56.81%	61.62%	60.74%	59.87%	59.00%	58.12%	57.25%	56.37%	55.50%	54.62%	53.75%	52.88%	52.00%
			Actual	66.38%	71.60%	67.57%	61.33%	75.00%	68.13%	62.65%	70.27%	66.18%	61.82%	61.90%	62.50%	67.65%
DCA2041 MM15.C Inmate program completions	FY19 Target 10980	6/30/2016	Target	10980	752	1800.48	2714.50	3600.97	4544.5	5430.97	6345.97	7287.32	8205.48	9119.50	10035.48	10980
			Actual	10620	958	1788	2521	3303	3962	5006	6050	6805	7637	8629	10222	10620
DCA2046 MM8.B Appropriated funds spending variance	FY19 Target 1.00%	9/30/2016	Target	0% - 3.0%	0% - 3.5%	0% - 3.5%	0% - 3.0%	0% - 3.0%	0% - 3.0%	0% - 2.5%	0% - 2.5%	0% - 2.5%	0% - 2.0%	0% - 2.0%	0% - 2.0%	
			Actual	4.1%	2.1%	3.0%	6.4%	6.2%	2.8%	6.1%	3.7%	3.6%	5.5%	3.9%	4.6%	1.5%
DCA3357 MM5.B : Stipulation Agreement Compliance (Overall)	FY2019 Target 100	10/31/2016	Target	100	100	100	100	100	100	100	100	100	100	100	100	
			Actual	91	89	89	89	90	92	92	91	90	91	92	91	91
DCA3359 OP2.B : Hospital admissions	FY2019 Target 75	10/31/2016	Target	75	90.14	88.74	87.39	85.98	84.63	83.23	81.83	80.56	79.16	77.8	76.45	75.05
			Actual	111	112	106	97	117	112	102	125	98	117	112	135	101
DCA3363 SP3.H: AIMS 2- 40 Modules Compliance rate	FY2019 Target 40	4/9/2019	Target	40									27.46	29.75	40	
			Actual	22	N/A	27	18	22								
DCA3364 SP3.I : AIMS2 - Number of Critical and High System Functionality bugs	FY2019 Target 0	4/9/2019	Target	0									66.89	54.64	42.4	
			Actual	67	N/A	102	82	67								
DCA3365 SP3.J: AIMS 2 - Number of Critical and High Data Migration Bugs	FY2019 Target 0	4/9/2019	Target	0									100.33	81.97	63.61	
			Actual	54	N/A	107	86	54								

DCA3366 SP3.K: AIMS2 Reports Completed	FY2019 Target 340	4/9/2019	Target	340										55.74	107.76	159.78
		0	Actual	31	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	9	15	7
DCA3358 OP2.A : Stipulation failure rate variance	FY19 Target 0	10/31/2016	Target	0	65.62	59.55	53.67	47.6	41.72	35.65	29.58	24.09	18.02	12.15	6.27	0
		143	Actual	130	142	143	144	136	135	131	128	123	121	119	119	118
DCA3130 MM6.E: Self-Injurious Behavior	FY19 Target TBD	{ref date}	Target	163				176.63	173.34	169.95	166.55	163.48	160.41	157.01	153.73	150.33
		{jop}	Actual	158	N/A	N/A	N/A	230	225	152	133	121	143	147	138	129
DCA2044 OP3.F: Inmate Program Utilization Rate	FY19 Target 90%	6/30/2016	Target	87.5%	87.0%	87.2%	87.5%	87.8%	88.1%	88.3%	88.6%	88.9%	89.2%	89.4%	89.7%	90.0%
		73.6%	Actual	92.5%	92.7%	92.5%	93.6%	92.1%	93.0%	92.4%	91.2%	93.0%	91.9%	92.6%	92.2%	92.5%
Statewide Reporting Metrics																
DCA3015 SWM.1: AZ Management System Maturity Score	FY19 Target 3.0	6/1/2016	Actual	1.58				1.58			1.85			1.93		2.02
		0%														
DCA2979 SWM.4: # of Agency FTE Count	FY19 Target N/A	3/30/2016	Target	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
		9,214	Actual	1	8,875	8,841	8,840	8818	8779	8732	8702	8685	8689	8677	8621	8612

Custom Field Legend	
Mission - M	Mission Specific
People - P	Retain Employees / Safe Employees
Quality - Q	Quality of Work
Speed - S	Go Faster - Respond, Resolve, Decide
Cost / Qty - C	Cost Avoided; Funds Raised / Qty

ADC Master Scorecard Performance Bowling Chart - FY 2020

Last Updated: 8.02.19

SP Goal #	Performance Metric Title	FY20 TARGET	OWNER	FREQUENCY	DIRECTION	JOP	YTD	FY20											
								July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	March	April	May	June
4	MM13.A Volunteer hours	FY20 Target 4220	B. Alteneder	Monthly	↑	6/30/2016 3187 Actual	Target 4220 Actual 3860.00	3976.64	3999.09	4021.55	4043.27	4065.73	4087.46	4109.91	4131.64	4152.64	4175.09	4196.82	4220.00
4	MM13.B Dollars saved through inmate labor	FY20 Target 23.0M	B. Alteneder	Monthly	↑	9/30/2016 0.87 Actual	Target 23 Actual 2.25	0	2.12	4.24	6.30	8.42	10.47	12.60	14.65	16.63	18.76	20.81	23.00
4	MM14.B Service Contacts Volumes - Crime Victims	FY20 Target 601	B. Keogh	Monthly	↑	9/30/2016 602 Actual	Target 601 Actual 698	601	601	601	601	601	601	601	601	601	601	601	601
4	OP4.C Offenders enrolled in an intervention or sanction program	FY20 Target 500	G. Lauchner	Monthly	↑	11/30/2016 464 Actual	Target 500.0 Actual 92	427.41	434.13	440.63	447.13	453.85	460.35	467.06	473.56	479.85	486.57	493.07	500.00
4	OP5.D Inmate fundraising balances	FY19 Target 800K	B. Alteneder	Monthly	↑	9/30/2016 75.3 Actual	Target 1800.00 Actual	0.00	166.07	332.14	492.86	658.93	819.64	985.71	1146.43	1301.79	1467.86	1628.57	1800.00
4	SP2.F Service Contacts Volume-Inmate Families And Friends	FY20 Target 1750	A. Wilder	Monthly	↓	9/30/2016 1908 Actual	Target 1750.0 Actual 1868.0	1750.0	1750.0	1750.0	1750.0	1750.0	1750.0	1750.0	1750.0	1750.0	1750.0	1750.0	1750.0
5	MM15.B High risk, high needs inmates program completions	FY20 Target 32 %	K. Hellman	Monthly	↑	7/31/2016 20.3 Actual	Target 32.00% Actual 26.92%	23.29%	23.81%	24.64%	25.44%	26.28%	27.08%	27.92%	28.72%	29.50%	30.33%	31.14%	32.00%
5	MM15.c Inmate Program Completions	FY20 Target 11500	K. Hellman	Monthly	↑	6/30/2016 848 Actual	Target 11500 Actual 939	0.00	1061.01	2122.02	3148.81	4209.82	5236.61	6297.62	7324.40	8316.96	9377.98	10404.76	11500.00
5	MM16.A Community supervision successes	FY20 Target 75%	K. Hellman	Monthly	↑	6/30/2016 69.7% Actual	Target 75.00% Actual 66.40%	69.00%	69.55%	70.11%	70.64%	71.20%	71.73%	72.29%	72.82%	73.34%	73.89%	74.43%	75.00%
5	MM16.B Intervention and Sanction program successes	FY20 Target 77%	K. Hellman	Monthly	↑	1/31/2017 76% Actual	Target 77.00% Actual 70.00%	77.00%	77.00%	77.00%	77.00%	77.00%	77.00%	77.00%	77.00%	77.00%	77.00%	77.00%	77.00%
5	MM17.B Reincarcerated due to technical violations	FY20 Target 225	G. Lauchner	Monthly	↓	6/30/2016 273 Actual	Target 225 Actual 264	247	246	243	241	239	237	235	233	231	229	227	225
5	OP3.D Volume of Applications for Replacement for Social Security Cards	FY20 Target 550	K. Hellman	Monthly	↑	9/30/2016 114 Actual	Target 550.0 Actual 326.0	550.0	550.0	550.0	550.0	550.0	550.0	550.0	550.0	550.0	550.0	550.0	550.0
5	OP3.E Volume of Driver's License or State IDs applications	FY20 Target 600	K. Hellman	Monthly	↑	9/30/2016 81 Actual	Target 600.0 Actual 714.0	600.0	600.0	600.0	600.0	600.0	600.0	600.0	600.0	600.0	600.0	600.0	600.0
5	OP3.F Inmate Program Utilization Rate	FY20 Target 90%	K. Hellman	Monthly	↑	6/30/2016 73.6% Actual	Target 90.00% Actual 92.00%	90.00%	90.00%	90.00%	90.00%	90.00%	90.00%	90.00%	90.00%	90.00%	90.00%	90.00%	90.00%
5	OP3.G Mandatory Literacy Completions	FY20 Target 4153	K. Hellman	Monthly	↑	9/30/2016 60 Actual	Target 4153.0 Actual 372.0	0.00	383.16	766.33	1137.13	1520.29	1891.10	2274.26	2645.07	3003.51	3386.67	3757.48	4153.00
5	OP3.H HSE Equivalent - Completions	FY20 Target 900	K. Hellman	Monthly	↑	9/30/2016 133 Actual	Target 900.0 Actual 62.0	0.00	83.04	166.07	246.43	329.46	409.82	492.86	573.21	650.89	733.93	814.29	900.00
5	OP3.J Return to custody after release From Second Chance Centers	FY20 Target 90	K. Hellman	Monthly	↓	{ref date} {jop}	Target 22.9 Actual 25.5	22.9	22.9	22.9	22.9	22.9	22.9	22.9	22.9	22.9	22.9	22.9	22.9
5	OP3.K Offenders successfully completing services/sancations at re-entry centers	FY20 Target 22.91	K. Hellman	Monthly	↑	{ref date} {jop}	Target 90.00% Actual 92.30%	90.00%	90.00%	90.00%	90.00%	90.00%	90.00%	90.00%	90.00%	90.00%	90.00%	90.00%	90.00%
5	OP20. B. Number of Program Slots Available	FY20 Target	K. Hellman	Monthly		{ref date} {jop}	Target TBD Actual	TBD	TBD										
N/A	SP2.C Website Volume	FY20 Target 50.5%	A. Wilder	Monthly	↓	9/30/2016 47.9% Actual	Target 50.5 Actual 53.46%	50.5%	50.5%	50.5%	50.5%	50.5%	50.5%	50.5%	50.5%	50.5%	50.5%	50.5%	50.5%
N/A	MM8.B Appropriated funds spending variance	FY20 Target 2.00%	M. Kearns	Monthly	↑	9/30/2016 4.6% Actual	Target 2.00% Actual 1.7%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%
N/A	SP2.D ADC Followers	FY20 Target 10500	A. Wilder	Monthly	↑	9/30/2016 592 Actual	Target 10500.0 Actual 9216.0	8668.8	8837.8	9006.7	9170.2	9339.2	9502.7	9671.6	9835.1	9993.2	10162.1	10325.6	10500.0
N/A	SWM.4 # of Agency FTE Count	FY19 Target N/A	J. Gable	Monthly	↑	3/30/2016 9,214 Actual	Target N/A Actual	N/A	N/A										

COLOR KEY	
Mission - M	Mission Specific
People - P	Retain Employees / Safe Employees
Quality - Q	Quality of Work
Speed - S	Go Faster - Respond, Resolve, Decide
Cost / Qty - C	Cost Avoided; Funds Raised / Qty
Inactive Measure (want)	Measure we still want to activate
Inactive Measure (remove)	Measure has not been activated with data
ADC Scorecard Measure	Measure is on ADC Bowling Sheet to GTO

RETIRED

ADC Master Scorecard Performance Bowling Chart - FY 2019

Last Updated: 7-15-2019

Performance Metric Title	FY19 TARGET	OWNER	FREQUENCY	DIRECTION	FY18												FY19												
					July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	March	April	May	June	
Operating / Supporting Metrics (OP / SP - Lead Measure)																													
OP1.A Inmate Reception	FY19 Target 5.0	T. Diaz	Monthly	↓	3.0	3.0	3.0	3.0	3.0	6.0	5.9	5.9	5.8	5.8	5.7	5.7	5.6	5.6	5.5	5.5	5.4	5.3	5.3	5.2	5.2	5.1	5.1	5.0	
OP1.D Inmate contraband volume	FY19 Target 189	T. Diaz	Monthly	↓	268.0	264.6	261.1	257.7	254.3	250.8	247.4	244.0	240.5	237.1	233.7	230.2	226.8	223.3	219.9	216.5	213.0	209.6	206.2	202.7	199.3	195.9	192.4	189.0	
OP1.E Inmate work/program involvement	FY19 Target 76%	T. Diaz	Monthly	↑	81.7%	82.4%	83.1%	83.8%	84.5%	85.2%	85.9%	86.6%	87.3%	88.0%	88.7%	89.4%	70.0%	70.5%	71.1%	71.6%	72.2%	72.7%	73.2%	73.8%	74.3%	74.9%	75.4%	75.9%	
OP1.F Work loss due to staff injury	FY19 Target 50	T. Diaz	Monthly	↓	126.7	123.4	120.0	116.7	113.4	110.0	106.7	103.4	100.0	96.7	93.4	90.0	86.7	83.4	80.0	76.7	73.3	70.0	66.7	63.3	60.0	56.7	53.3	50.0	
OP1.G Suicides Attempts	FY19 Target TBD	T. Diaz	Monthly	↓	N/A	12	5	8.00	5.00	20.00	7.00	12.0	8.00	10.00															
OP2.A Stipulation failure rate variance	FY19 Target 0	R. Pratt	Monthly	↓	245	231	222	214	203	193	189	179	170	158	147	143	142	143	144	136	135	131	128	123	121	119	118		
OP2.B Hospital admissions	FY19 Target 75	R. Pratt	Monthly	↓	108.0	106.6	105.1	103.7	102.3	100.9	99.4	98.0	96.6	95.1	93.7	92.3	90.8	89.4	88.0	86.5	85.1	83.7	82.3	80.8	79.4	78.0	76.5	75.1	
OP2.D Vacant IPC Beds	FY19 Target 15	R. Pratt	Monthly	↓	30.0	29.3	28.7	28.0	27.4	26.7	26.1	25.4	24.8	24.1	23.5	22.8	22.2	21.5	20.9	20.2	19.6	18.9	18.3	17.6	17.0	16.3	15.7	15.0	
OP3.A Mandatory Literacy Completions	FY19 Target 5068	K. Hellman	Monthly	↑	N/A	0.0	173.6	353.0	761.0	1161.0	1545.0	1915.0	2241.0	2565.0	2863.0	3141.0	3776.0	4432.0	5068.0										
OP3.B HSE Equivalent - Completions	FY19 Target 605	K. Hellman	Monthly	↑	N/A	0.0	23.1	47.0	108.0	156.0	197.0	232.0	274.0	305.0	358.0	412.0	475.6	541.4	605.0										
OP3.D Volume of Applications for Replacement for Social Security Cards	FY19 Target 175	K. Hellman	Monthly	↑	119.0	124.1	129.2	134.3	139.4	144.5	149.6	154.6	159.7	164.8	169.9	175.0	119.0	124.1	129.2	134.3	139.4	144.5	149.6	154.6	159.7	164.8	169.9	175.0	
OP3.E Volume of Driver's License or State IDs applications	FY19 Target 201	K. Hellman	Monthly	↑	90.9	100.9	110.9	120.9	130.9	140.9	150.9	161.0	171.0	181.0	191.0	201.0	90.9	100.9	110.9	120.9	130.9	140.9	150.9	161.0	171.0	181.0	191.0	201.0	
OP3.F Inmate Program Utilization Rate	FY19 Target 90%	K. Hellman	Monthly	↑	74.4%	75.8%	77.2%	78.7%	80.1%	81.5%	82.9%	84.3%	85.7%	87.2%	88.6%	90.0%	87.0%	87.5%	88.0%	88.5%	89.0%	89.5%	90.0%	90.5%	91.0%	91.5%	92.0%	92.5%	93.0%
OP4.B Quantity of Inmates released to Transition Program for non violent offenders	FY19 Target 126	G. Lauchner	Monthly	↑	112.6	113.2	113.7	114.3	114.9	115.5	116.1	116.7	117.2	117.8	118.4	119.0	119.6	120.2	120.7	121.3	121.9	122.5	123.1	123.7	124.2	124.8	125.4	126.0	
OP4.C Offenders enrolled in an intervention or sanction program	FY19 Target 500	G. Lauchner	Monthly	↑	433.0	435.9	438.8	441.7	444.6	447.5	450.4	453.3	456.2	459.1	462.0	464.9	467.8	470.7	473.6	476.5	479.4	482.3	485.2	488.1	491.0	493.9	496.8	499.7	
OP5.D Inmate fundraising balances	FY19 Target 800K	B. Altoneder	Monthly	↑	65.9	132.7	199.4	266.1	332.9	399.6	466.3	533.1	599.8	666.5	733.3	800.0	65.9	132.7	199.4	266.1	332.9	399.6	466.3	533.1	599.8	666.5	733.3	800.0	
SP1.A CO hiring timeliness	FY19 Target 40.0	T. Rhyne	Monthly	↓	39.00	40.00	43.00	36.00	27.00	33.00	28.00	27.83	32.18	36.44	29.88	27.30	26.63	23.33	26.92	31.67	25.46	26.34	33.51	29.89	29.89	27.01	28.95	28.78	
SP2.B Public Records Requests Timeliness	FY19 Target 10	A. Wilder	Monthly	↓	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	24.00	
SP2.C Website Volume	FY19 Target 50.5%	A. Wilder	Monthly	↓	47.0%	47.0%	47.0%	47.0%	47.0%	47.0%	47.0%	47.0%	47.0%	47.0%	47.0%	47.0%	52.5%	52.3%	52.1%	52.0%	51.8%	51.6%	51.4%	51.2%	51.0%	50.9%	3.0%	2.8%	
SP2.D ADC Followers	FY19 Target 8250	A. Wilder	Monthly	↑	742.5	825.0	907.5	990.0	1072.5	1155.0	1237.5	1320.0	1402.5	1485.0	1567.5	1650.0	1732.5	1815.0	1897.5	1980.0	2062.5	2145.0	2227.5	2310.0	2392.5	2475.0	2557.5	2640.0	
SP2.F Service Contacts Volume	FY19 Target 2000	A. Wilder	Monthly	↓	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	2000	
SP3.B IT SLA compliance	FY19 Target 91%	M. Kearns	Monthly	↑	83.0%	83.7%	84.5%	85.2%	85.9%	86.6%	87.4%	88.1%	88.8%	89.6%	90.3%	91.0%	91.0%	91.0%	91.0%	91.0%	91.0%	91.0%	91.0%	91.0%	91.0%	91.0%	91.0%	91.0%	
SP3.C Project timeliness	FY19 Target 98%	M. Kearns	Monthly	↑	97.0%	97.1%	97.2%	97.3%	97.4%	97.5%	97.6%	97.7%	97.8%	97.9%	98.0%	98.0%	98.0%	98.0%	98.0%	98.0%	98.0%	98.0%	98.0%	98.0%	98.0%	98.0%	98.0%	98.0%	
SP3.D Customer IT and EFB Satisfaction	FY19 Target 75%	M. Kearns	Semi-Annually	↑	64.0%	N/A	N/A	N/A	N/A	N/A	67.3%	N/A	N/A	N/A	N/A	N/A	70.5%	N/A	N/A	72.0%	N/A	N/A	73.5%	N/A	N/A	75.0%	N/A	N/A	
SP3.E Safety Inspection Findings Correction Rate	FY19 Target 86%	C. McWilliams	Monthly	↑	73.0%	74.2%	75.4%	76.5%	77.7%	78.9%	80.1%	81.3%	82.5%	83.6%	84.8%	86.0%	86.0%	86.0%	86.0%	86.0%	86.0%	86.0%	86.0%	86.0%	86.0%	86.0%	86.0%	86.0%	
SP3.G Building Project on Budget	FY19 Target 98%	M. Kearns	Monthly	↑	97.0%	97.3%	97.6%	97.9%	98.2%	98.5%	98.8%	99.1%	99.4%	99.7%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	
SP3.I AIMS 2 Number of Critical and High System Functionality Bugs	FY2019 Target 0	M. Kearns	Monthly	↓	N/A	66.89	54.64	42.4																					
SP3.J AIMS 2 Number of Critical and High Data Migration Bugs	FY2019 Target 0	M. Kearns	Monthly	↓	N/A	107	86	54																					
SP3.K AIMS2 Report Completed	FY2019 Target 340	M. Kearns	Monthly	↑	N/A	9	15	7																					
SP3.H AIMS2-40 Modules Compliance Rate	FY19 Target 40	M. Kearns	Monthly	↑	N/A	27.46	29.75	32.05																					
SP4.C Population forecast variance	FY19 Target 0.99%	D. Stravia	Monthly	↓	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	0.99%	
SP4.D Release errors related to sentence calculation issues	FY19 Target 0	D. Stravia	Monthly	↓	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
SP5.B Non-Medical grievances reversed in favor of inmate	FY19 Target 10.0%	B. Keogh	Monthly	↓	7.41%	6.45%	8.33%	7.96%	13.89%	17.28%	7.27%	9.52%	3.09%	7.32%	3.33%	1.41%	3.00%	0.96%	3.85%	1.82%	0.00%	0.00%	3.57%	1.52%	1.52%	5.33%	3.28%	7.41%	
SP5.C EEOC charges	FY19 Target 90%	B. Keogh	Monthly	↑	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	
SP6.A Timeliness of budget and fiscal reporting	FY19 Target 90%	J. Gable	Monthly	↑	85.0%	85.5%	86.0%	86.6%	87.1%	87.7%	88.2%	88.8%	89.3%	89.9%	90.4%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	
SP6.C Customer satisfaction survey	FY19 Target 75%	J. Gable	Semi-Annually	↑	N/A	N/A	50.2%	N/A	N/A	N/A	50.4%	N/A	N/A	N/A	N/A	54.7%	N/A	N/A	N/A	N/A	N/A	49.8%	N/A	N/A	N/A	N/A	N/A	N/A	

ADC Master Scorecard Performance Bowling Chart - FY 2019

Last Updated: 7-15-2019

Performance Metric Title	FY19 TARGET	OWNER	FREQUENCY	DIRECTION	FY18												FY19												
					July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	March	April	May	June	
					2.60%	2.55%	2.49%	2.43%	2.38%	2.32%	2.27%	2.21%	2.16%	2.10%	2.05%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	
SP6.D Employee Costs % Surplus/(Deficit)	FY19 Target 2.00%	J. Gable	Monthly	↓	2.70%	2.20%	1.80%	1.70%	1.60%	1.20%	1.30%	1.30%	1.20%	1.40%	1.60%	N/A	2.00%	2.20%	2.40%	2.50%	2.60%	2.20%	2.40%	2.60%	2.50%	2.70%	2.70%	N/A	
SP6.E OT expenditures within target	FY19 Target 1500	J. Gable	Monthly	↓	1499.0	1499.0	1499.0	1499.0	1499.0	1499.0	1499.0	1499.0	1499.0	1499.0	1499.0	2000.0	1958.3	1916.7	1875.0	1833.4	1791.7	1750.0	1708.4	1666.7	1625.1	1583.4	1541.7	1500.1	
Mission Measure Metrics (Outcome Measures)																													
MM1.A Employee Engagement % positive response	FY19 Target 90%	D. Stravia	Annually	↑	N/A	79.5%	N/A	89.2%	N/A																				
MM1.B COII Call-Ins %	FY19 Target 0.9%	D. Stravia	Monthly	↓	1.38%	1.36%	1.34%	1.32%	1.30%	1.28%	1.26%	1.23%	1.21%	1.19%	1.17%	1.15%	1.13%	1.11%	1.09%	1.07%	1.05%	1.03%	1.01%	0.98%	0.96%	0.94%	0.92%	0.90%	
MM2.A COII vacancy rate	FY19 Target 6.8%	T. Rhyne	Monthly	↓	10.83%	10.66%	10.48%	10.31%	10.13%	9.96%	9.78%	9.61%	9.43%	9.26%	9.08%	8.91%	8.73%	8.56%	8.38%	8.21%	8.03%	7.86%	7.68%	7.51%	7.33%	7.16%	6.98%	6.81%	
MM2.B Quantity of vacant COII positions	FY19 Target 413	T. Rhyne	Monthly	↓	629.0	619.6	610.2	600.8	591.4	582.0	572.7	563.3	553.9	544.5	535.1	525.7	516.3	506.9	497.5	488.1	478.7	469.4	460.0	450.6	441.2	431.8	422.4	413.0	
MM2.C COII regrettable attrition rate	FY19 Target 52%	T. Rhyne	Monthly	↓	72.1%	71.2%	70.4%	69.5%	68.6%	67.7%	66.9%	66.0%	65.1%	64.2%	63.4%	62.5%	61.6%	60.7%	59.9%	59.0%	58.1%	57.2%	56.4%	55.5%	54.6%	53.7%	52.9%	52.0%	
MM4.A Quantity of inmate major disturbances	FY19 Target 0	G. Lauchner	Monthly	↓	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	
MM4.B Escapes per month	FY19 Target 0	G. Lauchner	Monthly	↓	0.0	1	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
MM4.C Quantity of fugitive apprehensions	FY19 Target 15	G. Lauchner	Monthly	↑	11.2	11.3	11.5	11.7	11.8	12.0	12.2	12.3	12.5	12.7	12.8	13.0	13.2	13.3	13.5	13.7	13.8	14.0	14.2	14.3	14.5	14.7	14.8	15.0	
MM5.A Vendor Staffing Levels	FY19 Target 100%	R. Pratt	Monthly	↑	90.78%	91.62%	92.46%	93.29%	94.13%	94.97%	95.81%	96.65%	97.48%	98.32%	99.16%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	
MM5.B Stipulation Agreement Compliance (Overall)	FY19 Target 95%	R. Pratt	Monthly	↑	88%	88%	88%	89%	90%	91%	92%	94%	94%	92%	90%	89%	89%	89%	89%	90%	92%	92%	91%	90%	90%	92%	91%	91%	
MM6.A Inmate Fights	FY19 Target 144	T. Diaz	Monthly	↓	152.0	151.7	151.3	151.0	150.6	150.3	149.9	149.6	149.2	148.9	148.5	148.2	147.8	147.5	147.1	146.8	146.4	146.1	145.7	145.4	145.0	144.7	144.3	144.0	
MM6.B Homicides	FY19 Target 0	T. Diaz	Monthly	↓	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
MM6.C Suicides	FY19 Target 0	T. Diaz	Monthly	↓	0	1	0	1	0	0	0	2	1	0	0	2	0	1	0	1	0	1	0	0	2	0	2	0	
MM7.A Inmate assault on staff resulting in injury	FY19 Target 0%	T. Diaz	Monthly	↓	12.47%	11.93%	11.39%	10.84%	10.30%	9.76%	9.22%	8.67%	8.13%	7.59%	7.05%	6.51%	5.96%	5.42%	4.88%	4.34%	3.80%	3.25%	2.71%	2.17%	1.63%	1.08%	0.54%	0.00%	
MM7.B Quantity of staff assaults	FY19 Target 22	T. Diaz	Monthly	↓	53.6	52.2	50.9	49.5	48.1	46.7	45.4	44.0	42.6	41.2	39.9	38.5	37.1	35.7	34.4	33.0	31.6	30.2	28.9	27.5	26.1	24.7	23.4	22.0	
MM7.C Days lost due to non-inmate staff injuries	FY19 Target 59	T. Diaz	Monthly	↓	142.2	138.6	135.0	131.3	127.7	124.1	120.5	116.9	113.3	109.6	106.0	102.4	98.8	95.2	91.6	87.9	84.3	80.7	77.1	73.5	69.9	66.2	62.6	59.0	
MM8.B Appropriated funds spending variance	FY19 Target 1.00%	M. Kearns	Monthly	↓	0% - 3.5%	0% - 3.5%	0% - 3.0%	0% - 3.0%	0% - 3.0%	0% - 2.5%	0% - 2.5%	0% - 2.5%	0% - 2.0%	0% - 2.0%	0% - 2.0%	0% - 3.5%	0% - 3.5%	0% - 3.0%	0% - 3.0%	0% - 3.0%	0% - 2.5%	0% - 2.5%	0% - 2.5%	0% - 2.0%	0% - 2.0%	0% - 2.0%	0% - 2.0%		
MM8.C Budget Savings	FY19 Target 1700	M. Kearns	Quarterly	↑	N/A	N/A	350.0	N/A	N/A	805.0	N/A	N/A	1250.0	N/A	N/A	1700.0	N/A	N/A	N/A	N/A	805.0	N/A	N/A	1250.0	N/A	N/A	1700.0		
MM9.A Daily rated bed surplus	FY19 Target 3700	J. Gable	Monthly	↓	-2886	-2896	-2903	-2941	-2935	-3427	-3120	-3064	-3147	-3273	-3436	-3444	-3462	-3501	-3372	-3438	-3411	-3485	-3104	-2916	-3054	-3361	-3479	-3440	
MM9.B Average daily inmate population	FY19 Target 42,308	J. Gable	Monthly	↓	42,161	42,171	42,178	42,216	42,210	42,082	41,775	41,719	41,802	41,928	42,091	42,099	42,117	42,156	42,027	42,093	42,062	42,020	41,811	41,780	41,918	42,225	42,345	42,312	
MM9.C Detention bed utilization	FY19 Target 90%	T. Diaz	Monthly	↓	91.0%	91.0%	91.0%	91.0%	91.0%	91.0%	91.0%	91.0%	91.0%	91.0%	91.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%	90.0%		
MM10.A Compliance audit percentage	FY19 Target 92.5%	J. O'Neil	Monthly	↑	90.00%	88.00%	88.00%	88.00%	87.50%	89.80%	91.30%	90.90%	91.60%	91.80%	91.05%	91.05%	91.05%	91.05%	87.50%	87.50%	87.50%	90.85%	90.85%	90.85%	93.00%	93.00%	93.71%		
MM10.B Private prison compliance audit	FY19 Target 96.5%	J. O'Neil	Monthly	↑	91.2%	91.5%	91.7%	91.9%	92.1%	92.4%	92.6%	92.8%	93.1%	93.3%	93.5%	93.8%	94.0%	94.2%	94.4%	94.7%	94.9%	95.1%	95.4%	95.6%	95.8%	96.0%	96.3%	96.5%	
MM10.C Breakthroughs achieved	FY19 Target 2	J. O'Neil	Monthly	↑	1.04	1.09	1.13	1.17	1.21	1.25	1.29	1.34	1.38	1.42	1.46	1.50	1.54	1.59	1.63	1.67	1.71	1.75	1.79	1.84	1.88	1.92	1.96	2.00	
MM12.A Quantity of key public safety partnerships	FY19 Target 18	A. Wilder	Monthly	↑	9.37	9.75	10.12	10.50	10.87	11.25	11.62	12.00	12.37	12.75	13.12	13.50	13.87	14.25	14.62	15.00	15.37	15.75	16.12	16.50	16.87	17.25	17.62	18.00	
MM13.A Volunteer hours	FY19 Target 4220	B. Alteneeder	Monthly	↑	3252.8	3318.2	3383.7	3449.2	3514.6	3580.1	3645.5	3711.0	3776.5	3841.9	3907.4	3972.0	3992.7	4013.3	4034.0	4054.7	4075.3	4096.0	4116.7	4137.3	4158.0	4178.7	4199.3	4220.0	
MM13.B Dollars saved through inmate labor	FY19 Target 20.0M	B. Alteneeder	Monthly	↑	1.65	3.32	4.99	6.65	8.32	9.99	11.66	13.33	14.99	16.66	18.33	20.00	21.65	23.32	24.99	26.65	28.32	29.99	31.66	33.33	34.99	36.66	38.33	40.00	
MM14.B Service Contacts Volumes	FY19 Target 601	B. Keogh	Monthly	↑	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0	601.0		
MM15.B High risk, high needs inmates program completions	FY19 Target 32%	K. Hellman	Monthly	↑	32%	32%	32%	32%	32%	32%	32%	32%	32%	32%	32%	23.37%	24.18%	24.95%	25.75%	26.53%	27.33%	28.13%	28.85%	29.65%	30.42%	31.23%	32.00%		
MM15.c Inmate Program Completions	FY19 Target 915	K. Hellman	Monthly	↑	n/a	884.5	1800.5	2714.5	3601.0	5444.5	5431.0	6346.0	7287.3	8205.5	9119.5	10006.0	10921.0												
MM16.A Community supervision successes	FY19 Target 80%	K. Hellman	Monthly	↑	69.66%	70.33%	70.99%	71.66%	72.33%	73.00%	73.67%	74.33%	75.00%	75.67%	76.33%	77.00%	77.67%	78.33%	79.00%	79.67%	80.33%	81.00%	81.67%	82.33%	83.00%	83.67%	84.33%	85.00%	
MM16.B Intervention and Sanction program successes	FY19 Target 77%	K. Hellman	Monthly	↑	65.07%	66.15%	67.24%	68.32%	69.41%	70.49%	71.58%	72.66%	73.75%	74.83%	75.92%	77.00%	78.07%	79.15%	80.24%	81.32%	82.41%	83.49%	84.58%	85.66%	86.75%	87.83%	88.92%	90.00%	
MM17.A Recidivism	FY19 Target 36%	G. Lauchner	Semi-Annually	↓	38.0%	N/A	N/A	N/A	N/A	N/A	37.5%	N/A	N/A	N/A	N/A	37.0%	N/A	N/A											
MM17.B Reincarcerated due to technical violations	FY19 Target 225	G. Lauchner	Monthly	↓	263.76	262.51	261.26	260.01	258.76	257.51	256.25	255.00	253.75	252.50	251.25	250.00	258.95	255.86	252.78	249.69	246.60	243.52	240.43	237.35	234.26	231.17	228.09	225.00	

ADC Master Scorecard Performance Bowling Chart - FY 2019

Last Updated: 7-15-2019

Performance Metric Title	FY19 TARGET	OWNER	FREQUENCY	DIRECTION	FY18												FY19											
					July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	March	April	May	June
SW1.H # of Agency FTE Count	FY19 Target N/A	J. Gable	Monthly	↑	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A		
SW1.A Arizona Management System Implementation Score	FY19 Target 3.0	J. O'Neil	Quarterly	↑	N/A	N/A	1.17	N/A	N/A	1.5	N/A	N/A	1.75	N/A	N/A	2.00	N/A	N/A	2.25	N/A	N/A	2.50	N/A	N/A	2.75	N/A	N/A	3.00
					N/A	N/A	1.30	N/A	N/A	2.01	N/A	N/A	2.09	N/A	N/A	1.70	N/A	N/A	1.58	N/A	N/A	1.85	N/A	N/A	1.93	N/A	N/A	2.02

COLOR KEY	
Mission - M	Mission Specific
People - P	Retain Employees / Safe Empl
Quality - Q	Quality of Work
Speed - S	Go Faster - Respond, Resolve,
Cost / Qty - C	Cost Avoided; Funds Raised /
Inactive Measure (want)	Measure we still want to activate
Inactive Measure (remove)	Measure has not been activated wit
ADC Scorecard Measure	Measure is on ADC Bowling Sheet to

Revenue Schedule

Agency:	Department of Corrections (for Budget)
----------------	---

Fund:	DC2000 Federal GrantS FUND
--------------	-----------------------------------

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4211	FEDERAL GRANTS	6,700.6	7,036.5	6,772.8
4901	OPERATING TRANSFERS IN	39.3	0.0	0.0
4911	FEDERAL TRANSFERS IN	1,214.5	2,325.2	1,846.1
Fund Total:		7,954.4	9,361.7	8,618.9

Department of Corrections Revenue Fund Description

Fund 2000 Federal Grant Fund

The Federal Grant Fund (2000) is established to account for the receipt and use of revenue from federal funds.

The statutory references for Fund 2000 include: A.R.S. 35-171, which establishes bookkeeping procedures for the State Treasurer regarding the custody and possession of public money; A.R.S. 35-142, which establishes the system for setting up separate funds and for receiving reimbursement from federal monies; and A.R.S. 41-1604, which establishes the duties and powers of the Director of the ADC; and A.R.S. 41-1605, which establishes the authority of the Director of the ADC to accept and expend federal funds.

Revenue projections for the Federal Grant Fund (2000) are estimated at \$9,361,700 for FY 2020 and at \$8,618,900 for FY 2021 which include anticipated grant awards from the State Criminal Alien Assistance Program (SCAAP), the Second Chance Reentry Initiative, and pass through funds (from other state agencies) such as Title I State Agency Program for Neglected and Delinquent Children and Youth.

Revenue Schedule

Agency:	Department of Corrections (for Budget)
Fund:	DC2088 Corrections Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4191	LUXURY TAX	32,160.2	32,068.6	32,068.6
4821	PRIOR YEAR REIMBURSEMENT - REFUNDS	8.6	0.0	0.0
Fund Total:		32,168.7	32,068.6	32,068.6

Department of Corrections Revenue Fund Description

Fund 2088 Corrections Fund

The statutory reference for the Corrections Fund (2088) can be found in A.R.S. 41-1641, A.R.S. 42-3104, and A.R.S. 42-3052. Its source of revenue is luxury taxes on alcohol and tobacco. The purpose of the fund is for the construction, maintenance, and operation of state prisons and juvenile correctional facilities.

The Corrections Fund is a shared fund between ADOR, ADOA, and ADC. Revenue is deposited exclusively by ADOR. Revenues are included in the Revenue Schedule and ADOA appropriated expenditures are included in Sources and Uses under "Capital Projects" to improve accuracy of fund's actuals and estimates.

In FY 2019, \$1,500,000 is transferred from the Corrections Fund (2088) to the General Fund (1000) pursuant to Laws 2018, Second Regular Session, Chapter 276 (SB 1520), Section 140, for the purpose of providing adequate support and maintenance for agencies of this state.

Based on a two-year average, revenues are projected at \$32,068,600 in FY 2020 and FY 2021.

Revenue Schedule

Agency: Department of Corrections (for Budget)

Fund: DC2107 State Education Fund for Correctional Education

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4901	OPERATING TRANSFERS IN	484.9	529.0	529.0
Fund Total:		484.9	529.0	529.0

Department of Corrections Revenue Fund Description

Fund 2107 State Education Fund for Correctional Education

ADC receives basic state aid funding from the ADE based on the average daily membership attending ADC education programs pursuant to A.R.S. 15-1372.

Revenue is received from state equalization aid, federal grants, and other monies and is used for educating minors incarcerated in state prisons. The Department is statutorily mandated to provide educational services to inmates under the age of eighteen years and to inmates with disabilities who are twenty-one or younger who are committed to ADC.

Revenues are projected at \$529,000 in FY 2020 and FY 2021 based on the most current ADE Equalization Assistance Calculation Schedule.

Revenue Schedule

Agency:	Department of Corrections (for Budget)
----------------	--

Fund:	DC2204 DOC - Alcohol Abuse Treatment
--------------	--------------------------------------

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4511	COURT ASSESSMENTS	450.0	468.2	468.2
Fund Total:		450.0	468.2	468.2

Department of Corrections Revenue Fund Description

Fund 2204 Alcohol Abuse Treatment Fund

The statutory reference for the establishment of the Alcohol Abuse Treatment Fund (2204) is A.R.S. 31-255.

Notwithstanding A.R.S. 31-254, the Director of the ADC shall deposit in the fund the lesser of sixty-seven percent or fifty cents per hour of the monies earned by persons sentenced to the ADC pursuant to A.R.S. 28-1381, 28-1382 or 28-1383 (DUI inmates) for work performed.

Based on a three-year average, revenues are projected at \$468,200 in FY 2020 and FY 2021.

Revenue Schedule

Agency:	Department of Corrections (for Budget)
----------------	---

Fund:	DC2379 Transition Program Fund
--------------	---------------------------------------

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4511	COURT ASSESSMENTS	1,153.3	1,098.5	1,098.5
4901	OPERATING TRANSFERS IN	1,908.6	2,110.7	2,110.7
Fund Total:		3,061.9	3,209.2	3,209.2

Department of Corrections Revenue Fund Description

Fund 2379 Transition Program Fund

The Transition Program Fund (2379) is established by A.R.S. 31-284, consisting of the monies collected pursuant to A.R.S. 31-254, subsection D, paragraph 3 and A.R.S. 31-285, subsection C.

Revenues are received from five percent of the wages earned by inmates not convicted of a violation of Title 28, Chapter 4 (Driving Under the Influence), and from cost savings resulting from implementation of a transition program that benefits nonviolent offenders through early release. Funds shall be used for costs related to the administration of the transition program and for transition program services.

Based on three-year averages, revenues are projected at \$3,209,200 in FY 2020 and FY 2021. Estimates are comprised of two components: 5% from inmate wage collections, \$1,098,500, and revenues derived from the cost savings of the transition program, \$2,110,700.

Revenue Schedule

Agency: Department of Corrections (for Budget)

Fund: DC2395 Community Corrections Enhancement Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4519	OTHER FINES OR FORFEITURES OR PENALTIES	344.1	378.7	378.7
Fund Total:		344.1	378.7	378.7

Department of Corrections Revenue Fund Description

Fund 2395 Community Corrections Enhancement Fund

The Community Corrections Enhancement Fund (2395) is established pursuant to A.R.S. 31-418 consisting of monies paid by released offenders during their term of community supervision.

There are three revenue sources for this fund: thirty percent of a monthly supervision fee of at least sixty-five dollars charged to released offenders during their term of community supervision, electronic monitoring costs, and interstate compact application fees. The department has not yet implemented the drug testing fee authorized by A.R.S. 31-418 D.

ADC shall require as a condition of community supervision that the prisoner pay a monthly supervision fee of at least sixty-five dollars unless, after determining the inability of the prisoner to pay the fee, the department requires payment of a lesser amount.

Per statute, 70% of community supervision fees collected is distributed to the victim compensation and assistance fund, administered by Arizona Criminal Justice Commission. 30% remains in this fund.

Since ADC collects all revenue (deposits) then subsequently distributes (transfers) ACJC's share, annual revenue may temporarily be inflated and fluctuate year over year.

Based on a two-year average, revenues are projected at \$378,700 in FY 2020 and FY 2021.

Revenue Schedule

Agency: Department of Corrections (for Budget)

Fund: DC2449 Employee Recognition Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4699	MISCELLANEOUS RECEIPTS	97.3	100.0	100.0
Fund Total:		97.3	100.0	100.0

Department of Corrections Revenue Fund Description

Fund 2449 Employee Recognition Fund

The Employee Recognition Fund (2449) is authorized by A.R.S. 41-709. The statute authorizes the department or agency to accept gifts and donations from public and private entities to conduct employee recognition programs. Gifts and donations for employee recognition programs are subject to the requirements of Title 35, Chapter 1, Article 3 and Title 41, Chapter 23.

In FY 2019, Employee Recognition funds previously held in external accounts were moved into AFIS.

Based on FY 2019 actuals, revenues are projected at \$100,000 in FY 2020 and FY 2021.

Revenue Schedule

Agency: Department of Corrections (for Budget)

Fund: DC2500 IGA and ISA Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4231	STATE AND LOCAL GOVT GRANTS - OPERATING	39.5	60.3	39.8
4901	OPERATING TRANSFERS IN	3,067.6	0.0	0.0
Fund Total:		3,107.1	60.3	39.8

Department of Corrections Revenue Fund Description

Fund 2500 IGA and ISA Fund

The IGA and ISA Fund (2500) was established for state agencies as a clearing account to properly account for, control, and report receipts and disbursements associated with intergovernmental and interagency service agreements, which are not reported in other funds.

Revenue projections for the IGA and ISA Fund include \$60,300 in FY 2020 and \$39,800 in FY 2021 from anticipated grant awards.

Revenue Schedule

Agency:	Department of Corrections (for Budget)
Fund:	DC2504 Prison Construction and Operations Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4519	OTHER FINES OR FORFEITURES OR PENALTIES	10,348.7	10,400.0	10,400.0
Fund Total:		10,348.7	10,400.0	10,400.0

Department of Corrections Revenue Fund Description

Fund 2504 Prison Construction and Operations Fund

The Prison Construction and Operations Fund (2504) is established pursuant to A.R.S. 41-1651, consisting of monies received from assessments ranging from \$500 to \$1,500 paid by persons convicted of driving under the influence.

In FY 2018, the appropriation from the Prison Construction & Operations Fund (2504) was reduced by \$1,186,300 to align revenues with the fund's appropriation. Despite this action a structural imbalance remains due to persistent revenue declines. Revenues have declined consecutively over the past six years. FY 2013 revenue was \$13,948,001 and FY 2019 revenue was \$10,348,656, a 25.81% decline.

Based on a two-year average, revenues are projected at \$10,400,000 in FY 2020 and FY 2021.

Revenue Schedule

Agency:	Department of Corrections (for Budget)
Fund:	DC2505 Inmate Store Proceeds Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4372	PUBLICATIONS AND REPRODUCTIONS	0.0	0.0	0.0
4632	RENTAL INCOME	603.7	610.5	610.5
4636	COMMISSIONS	6,597.1	6,587.1	6,587.1
4699	MISCELLANEOUS RECEIPTS	42.3	0.0	0.0
Fund Total:		7,243.1	7,197.6	7,197.6

Department of Corrections Revenue Fund Description

Fund 2505 Inmate Store Proceeds Fund

The statutory reference for the Inmate Store Proceeds Fund (2505) is A.R.S. 41-1604.02, which authorizes ADC to establish and maintain an inmate store at any prison, institution or facility in Arizona. The ADC shall enter into a contract or contracts with a private entity or entities to establish and maintain inmate stores.

The purpose of the fund is to account for the profits derived from the state's portion of privatization of inmate stores.

Revenues are comprised of space rental income paid by the commissary private contractor and commission on sales of goods. Timing of revenue collections (deposits) and distributions may cause annual revenue to fluctuate.

Fund 2505 is comprised of eleven sub funds, one for each ADC prison complex and one for central office. At times, sub fund transfers are required which may conflate revenue (transfers in) and expenditures (transfers out).

In FY 2017, Amendment 2 to the commissary contract incorporated price changes. While the ADC's sales commission did not change, overall prices increased which could impact fund revenue.

Laws 2017, First Regular Session, Chapter 286 (SB 1278), transferred \$2.75M to ACJC for Felony Pretrial Intervention Program from three ADC funds, Inmate Store Proceeds Fund (2505) \$750K, Penitentiary Land Fund (3140) \$1M, and State Penal, and Reformatory Land Earnings Fund (3141) \$1M. These transfers were posted as revenue transfers (residual equity transfers).

For additional information on the FY 2019 approved budget issues, reference the Sources and Uses Fund Description – Inmate Stores Proceeds Fund (2505).

Revenues are projected at \$7,197,600 in FY 2020 and FY 2021 which includes \$6,587,100 (Rent) and \$610,530 (FY 2019 average of commissary commissions (excluding 13th month)).

Revenue Schedule

Agency:	Department of Corrections (for Budget)
Fund:	DC2515 State DOC Revolving-Transition

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4191	LUXURY TAX	3,891.8	3,867.7	3,867.7
Fund Total:		3,891.8	3,867.7	3,867.7

Department of Corrections Revenue Fund Description

Fund 2515 Arizona Department of Corrections (ADC) Revolving Fund

The statutory references for the ADC Revolving Fund (2515) are A.R.S. 42-3106 and 42-3052. The monies collected and allocated to the ADC revolving fund come from the Luxury Privilege tax and consist of three percent of the tax revenue collected on spirituous liquors and seven percent of the tax revenue collected on vinous and malt liquors.

Laws 2018, Second Regular Session, Chapter 344 (SB 1496), Section 1 expanded eligibility criteria for the Transition Program making approximately 400 additional inmates eligible for the Transition Program and increases the amount transferred from the ADC Revolving Fund to the Transition Program Fund (2379) by approximately \$600,000.

Based on a two-year average, revenues are projected at \$3,867,700 in FY 2020 and FY 2021.

Revenue Schedule

Agency:	Department of Corrections (for Budget)
Fund:	DC2551 DOC Building Renewal & Preventive Maintenance

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4339	OTHER FEES AND CHARGES FOR SERVICES	599.9	575.4	575.4
4449	OTHER FEES	572.6	571.6	571.6
4901	OPERATING TRANSFERS IN	4,500.0	4,500.0	4,500.0
Fund Total:		5,672.6	5,647.0	5,647.0

Department of Corrections Revenue Fund Description

Fund 2551 Building Renewal Fund

A.R.S. 41-797 establishes the Building Renewal fund for capital projects and preventive maintenance. The monies in the fund are used for building renewal projects that repair or rework buildings and supporting infrastructures.

Revenue includes annual fund transfers totaling \$4,500,000 from:

- Inmate Store Proceeds Fund (2505) - \$500,000 (A.R.S. 41-1604.02)
- DOC Special Services Fund (3187) - \$500,000 (A.R.S. 41-1604.03 B)
- Arizona Correctional Industries Fund (4002) - \$1,000,000 (A.R.S. 41-1624 B)
- Corrections Fund (2088) - \$2,500,000 (A.R.S. 41-1641 E)

Additionally, based on a three-year average, revenues in FY 2020 and FY 2021 are projected at:

- Visitation background check fee - \$571,600 (A.R.S. 41-1604 B 3)
- One percent inmate banking fee - \$575,400 (A.R.S. 31-230 D)

In FY 2018, a one-time transfer of \$1,450,000 from Arizona Correctional Industries Fund (4002) pursuant to Laws 2017, First Regular Session, Chapter 305 (SB 1522), Section 143, for Locking Systems Replacement.

In FY 2019, \$500,000 is transferred from the Building Renewal Fund (2551) to the General Fund (1000) pursuant to Laws 2018, Second Regular Session, Chapter 276 (SB 1520), Section 140, for the purpose of providing adequate support and maintenance for agencies of this state.

Revenue Schedule

Agency:	Department of Corrections (for Budget)
Fund:	DC3140 Penitentiary Land Earnings

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4631	TREASURERS INTEREST INCOME	57.8	50.8	50.8
4632	RENTAL INCOME	1,210.2	1,185.3	1,185.3
4634	OTHER INVESTMENT INCOME	1,273.0	1,367.8	1,367.8
Fund Total:		2,541.0	2,603.9	2,603.9

Department of Corrections Revenue Fund Description

Fund 3140 Penitentiary Land Earnings Fund

As set forth by the Arizona Enabling Act, Section 25 and in A.R.S. 37-525, the Penitentiary Land Earnings Fund (3140) consists of interest on monies in the fund and money derived from the rental of land and properties.

The purpose of the fund is to provide a continuous source of monies for the benefit and support of state penitentiaries. ADC is appropriated funds to pay for contracted in-state prison beds.

As a beneficiary of the Arizona State Land Trust's permanent fund investment distributions and due to the voter approved Proposition 123 in May 2016 which increased the Treasurer's annual distribution rate from 2.5% to 6.9% from FY 2016 to FY 2025, the ADC revenue into this fund will increase. The funds, however, are subject to appropriation.

Laws 2017, First Regular Session, Chapter 286 (SB 1278), Section 1 transferred \$2.75M to ACJC for Felony Pretrial Intervention Program from three ADC funds, Inmate Store Proceeds Fund (2505) \$750K, Penitentiary Land Fund (3140) \$1M, and State Penal, and Reformatory Land Earnings Fund (3141) \$1M. These transfers were posted as revenue transfers (residual equity transfers).

Base revenue is projected at \$2,603,900 for FY 2020 and FY 2021 based on a three-year average for rental income and interest income and FY 2020 investment income estimates from the Arizona State Treasurer.

Total projected revenue is therefore, \$2,603,900 in FY 2020 and FY 2021.

Revenue Schedule

Agency:	Department of Corrections (for Budget)
Fund:	DC3141 State Charitable, Penal & Reformatory Land Earnings

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4631	TREASURERS INTEREST INCOME	58.2	53.0	53.0
4632	RENTAL INCOME	436.4	536.4	536.4
4634	OTHER INVESTMENT INCOME	2,364.7	2,473.1	2,473.1
Fund Total:		2,859.3	3,062.5	3,062.5

Department of Corrections Revenue Fund Description

Fund 3141 State Charitable, Penal, & Reformatory Land Earnings Fund

As set forth by the Arizona Enabling Act, Section 25 and in A.R.S. 37-525, the State Charitable, Penal, & Reformatory Land Earnings Fund (3141) consists of interest on monies in the fund and twenty-five percent of monies derived from the rental of land and properties.

The fund provides a continuous source of monies for the benefit and support of state penal institutions. As a beneficiary of the Arizona State Land Trust's permanent fund investment distributions and due to the voter approved Proposition 123 in May 2016 which increased the Treasurer's annual distribution rate from 2.5% to 6.9% from FY 2016 to FY 2025, the ADC revenue into this fund will increase. The funds, however, are subject to appropriation.

Laws 2017, First Regular Session, Chapter 286 (SB 1278), Section 1 transferred \$2.75M to ACJC for Felony Pretrial Intervention Program from three ADC funds, Inmate Store Proceeds Fund (2505) \$750K, Penitentiary Land Fund (3140) \$1M, and State Penal, and Reformatory Land Earnings Fund (3141) \$1M. These transfers were posted as revenue transfers (residual equity transfers).

Laws 2018, Second Regular Session, Chapter 342 (SB 1476), Section 1 appropriates \$500,000 per year from the State Charitable, Penal, & Reformatory Land Earnings Fund in FY 2019, FY 2020, and FY 2021 to Yavapai County Sheriff for County Release Planning. ADOA-GAO processes this transfer to Yavapai County.

Revenues are projected at \$3,062,500 in FY 2020 and FY 2021 based on a two-year average for rental income and interest income and FY 2020 investment income estimates from the Arizona State Treasurer.

Revenue Schedule

Agency: Department of Corrections (for Budget)

Fund: DC3187 DOC Special Services Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4631	TREASURERS INTEREST INCOME	691.6	611.2	611.2
4636	COMMISSIONS	8,274.3	9,328.8	9,328.8
Fund Total:		8,965.9	9,940.0	9,940.0

Department of Corrections Revenue Fund Description

Fund 3187 Special Services Fund

A.R.S. 41-1604.03 establishes the ADC Special Services Fund (3187) for the benefit, education and welfare of committed offenders, and to pay the costs of implementing, operating and maintaining technologies and programs for inmate use.

Laws 2018, Second Regular Session, Chapter 198 (HB 2188) amended revenue collection “revenues that are generated by the inmate use of technology, including telephone systems, kiosks and tablets, shall be deposited in the Special Services Fund.” Also, a portion of an escapee's earnings may be forfeited and deposited into this fund (A.R.S. 31-254).

CenturyLink Inmate Telephone System Contract Amendment No. 2, effective March 17, 2016, established new per minute rates and an ADC Commission on all Gross Revenues of 75.3%. Pursuant to WC Docket 12-375, FCC 15-136, and US Court of Appeals Order No. 15-1461, surcharges per call for the Inmate Telephone Service were eliminated effective March 17, 2016.

In November 2018, ADC awarded a contract to JPay for wireless access secure tablets for all ADC locations. While all deployment and installation costs are the responsibility of JPay, ADC receives 5% commissions on total sales from a variety of revenue channels, e.g. electronic messaging, music, movies, games, etc. purchased by inmates. Deployment occurs in five phases and is currently in phase I (two units at ASPC-Florence and one unit at ASPC-Tucson). In August 2019, ADC received its first commission of \$3,535.15 for July 2019 ASPC-Florence North Unit sales. While ADC does not expect significant deployment issues with the remainder of phase I through phase V, it is premature to make an accurate revenue estimate on tablet commissions.

Revenues from inmate telephone services contract commission are projected at \$9,328,800 for FY 2020 and FY 2021, and from earned interest from the inmate trust account at \$611,200.

Revenue Schedule

Agency:	Department of Corrections (for Budget)
Fund:	DC4002 ARCOR Enterprises Revolving

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4631	TREASURERS INTEREST INCOME	189.6	137.1	137.1
4699	MISCELLANEOUS RECEIPTS	48,658.9	45,969.5	45,969.5
Fund Total:		48,848.6	46,106.6	46,106.6

Department of Corrections Revenue Fund Description

Fund 4002 Arizona Correctional Industries Revolving Fund

The statutory reference for the Arizona Correctional Industries Revolving Fund (4002) can be found in A.R.S. 41-1624. Revenue is generated from inmate labor contracts with for profit entities and the sale of goods produced by ACI.

The purpose is to compensate state employees and inmates employed at ACI, purchase materials for the manufacture of goods for resale, equipment and supplies and pay other associated ACI operational costs. Funds may also be used for inmate treatment programs at the state prisons.

The revenue projection is based on anticipated accounts receivable and projected sales. Revenues in this fund can fluctuate greatly due to the timing of revenue collection, inmate labor contracts and manufactured goods sales.

Based on a two-year average, revenue is projected at \$46,106,000 for FY 2020 and FY 2021.

Revenue Schedule

Agency:	Department of Corrections (for Budget)
Fund:	DC4216 Risk Management Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4823	CURRENT YEAR REIMBURSEMENTS -REFUNDS	(242.1)	0.0	0.0
Fund Total:		(242.1)	0.0	0.0

Department of Corrections Revenue Fund Description

Fund 4216 Risk Management Fund

The Risk Management Fund (4216) is authorized by A.R.S. 41-622 and is administered by ADOA.

The purpose of this fund is for reimbursements for loss of state property.

Revenues are received from risk management reimbursements for loss claims submitted by ADC.

During FY 2012 GAO requested that ADC transfer all revenues, expenditures, and fund balances to Fund 4216 and discontinue the use of Fund 3748. This change allowed for consistency across agencies for the accounting and administration of risk management claims.

In late FY 2018, ADOA-GAO provided direction to change how ADC utilized this fund. From that point forward ADOA-GAO directed that all of ADC's activity in the fund be recorded as revenue or as an offset to revenue. Activity in this fund occurs solely within revenue, as such, ADC projects net zero revenue.

Revenue Schedule

Agency:	Department of Corrections (for Budget)
Fund:	DC9000 Indirect Cost Recovery Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4902	INDIRECT COST TRANSFERS IN	660.1	609.1	591.3
Fund Total:		660.1	609.1	591.3

Department of Corrections Revenue Fund Description

Fund 9000 Indirect Cost Recovery Fund

The statutory basis for the Indirect Cost Recovery Fund (9000) is A.R.S. 41-1605, which establishes the authority of the Director of the Arizona Department of Corrections to accept and expend federal funds.

This fund is also based upon federal regulations as described in 2 C.F.R. Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards (Uniform Guidance), Appendix VII to Part 200 – States and Local Government and Indian Tribe Indirect Cost Proposals and in ADC's annual Negotiated Indirect Cost Rate Agreement with the U.S. Department of Justice (as the cognizant agency).

The purpose of the fund is to account for the receipt and use of revenue for the reimbursement of indirect costs. The fund is a clearing account used for the payment of administrative expenditures not directly attributable to any one program, but associated with federal grant monies and other non-appropriated funds.

Revenue projections are based on anticipated amounts for the following items: State Criminal Alien Assistance Program (SCAAP) and other miscellaneous grants where indirect costs are allowed by the grant.

Revenue is projected at \$609,100 for FY 2020 and at \$591,300 for FY 2021.

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2000 Federal GrantS FUND

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	584.2	(110.6)	103.5
Revenue (From Revenue Schedule)	7,954.4	9,361.7	8,618.9
Total Available	8,538.6	9,251.1	8,722.4
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	8,649.2	9,147.6	8,618.9
Balance Forward to Next Year	(110.6)	103.5	103.5

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	526.3	653.6	558.7
Employee Related Expenses	191.0	258.0	224.2
Prof. And Outside Services	269.5	1,057.2	894.5
Travel - In State	83.2	33.1	27.0
Travel - Out of State	82.8	40.8	26.8
Food	31.4	31.3	31.3
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	827.8	443.5	319.5
Equipment	128.0	119.5	96.4
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	(17.8)
Transfers	6,509.3	6,510.6	6,458.3
Expenditure Categories Total:	8,649.2	9,147.6	8,618.9
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	8,649.2	9,147.6	8,618.9
Non-Appropriated FTE:	28.0	28.0	28.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 2000 Federal Grant Fund

Justification: The Federal Grant Fund (2000) is established to account for the receipt and use of revenue from federal funds.

The statutory basis for Fund 2000 includes: A.R.S. 35-142, which establishes the system for setting up separate funds and for receiving reimbursement from federal monies and A.R.S. 41-1605, which establishes the authority of the Director of the Arizona Department of Corrections to accept and expend federal funds.

Fund Source: The source of revenue for the Federal Grant Fund (2000) is federal grant awards.

Fund Uses: The purpose of the Federal Grant Fund (2000) is to account for the receipt and use of revenue from federal grants.

OSPB Fund Description: This is a clearing account for federal funds used for treatment programming for inmates.

In FY 2019, the ADC changed its grant management procedure of how grant reimbursements are processed. Prior to the change grant expenditures were charged to the General Fund until the reimbursement was received and then grant expenditures were transferred to the Federal grant. The new procedure is to charge grant expenses directly to the grant fund followed by a request for reimbursement and revenue posting. The new methodology improves efficiency as there are fewer administrative steps involved. The negative fund balance of \$110,625.39 exists due to the approximate two-month lag from recording of expense to receipt of revenue. In an effort to minimize this from occurring in the future, ADC will explore ways to reduce the time between the recording of the expense and receipt of revenue.

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2088 Corrections Fund

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	21,986.5	15,808.4	8,142.4
Revenue (From Revenue Schedule)	32,168.7	32,068.6	32,068.6
Total Available	54,155.2	47,877.0	40,211.0
Total Appropriated Disbursements	38,346.8	39,734.6	33,386.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	15,808.4	8,142.4	6,825.0
Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	19,237.9	27,311.5	27,311.5
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	2,996.0	3,000.8	3,000.8
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	22,233.9	30,312.3	30,312.3
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	11,599.8	6,348.6	0.0
Capital Projects (Land, Buildings,Improvements)	3,013.2	3,073.7	3,073.7
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	1,500.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	38,346.8	39,734.6	33,386.0
Appropriated FTE:	0.0	0.0	0.0
Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 2088 Corrections Fund

Justification: The Corrections Fund is established to account for the receipt and use of revenue from luxury taxes on alcohol and tobacco for the construction, maintenance, purchase or lease of correctional facilities. The statutory references for the Corrections Fund (2088) can be found in A.R.S. 41-1641, A.R.S. 42-3104, and A.R.S. 42-3052.

The Corrections Fund (2088) is shared between ADOR, ADOA, and ADC. Revenues are deposited by the ADOR. Revenues are included in the Revenue Schedule and ADOA appropriated expenditures are included in Sources and Uses under "Capital Projects" to improve accuracy of fund's actuals and estimates.

ADC is reporting ADOA expenditures and the annual transfer to ADC's Building Renewal Fund (2551) in Capital Projects.

	2019	2020	2021
Transfer to Building Renewal Fund	\$2,500,000	\$2,500,000	\$2,500,000
ADOA expenditures	\$513,152	\$573,700	\$573,700
Total	\$3,013,152	\$3,073,700	\$3,073,700

Fund Source: Revenue in the Corrections Fund (2088) comes from luxury taxes on alcohol and tobacco.

Fund Uses: The Corrections Fund (2088) is used for the construction, maintenance, and operation of state prisons and juvenile correctional facilities. Funding is appropriated to ADC for operating requirements of contracted private prisons and food services. In FY 2017 the fund includes a one-time appropriation for Radio Replacement.

OSPB Fund Description: Revenue from alcohol and tobacco taxes is used for the construction, maintenance, and operation of state prisons and juvenile correctional facilities.

Recent Legislation:

Pursuant to A.R.S. 41-1641 E., the Director of the State Department of Corrections shall transfer \$2,500,000 annually from the Corrections Fund (2088) into the Building Renewal Fund (2551).

In FY 2017, as permanent law, the ADC is established as the administrator of the Corrections Fund pursuant to Laws 2016, Second Regular Session, Chapter 119, (HB 2701), Section 8.

In FY 2018, \$2,794,500 was appropriated for annualizing the cost of 1,000 new private male medium custody beds opened in FY 2017.

In FY 2019, \$1,500,000 is transferred from the Corrections Fund (2088) to the General Fund (1000) pursuant to Laws 2018, Second Regular Session, Chapter 276 (SB 1520), Section 140, for the purpose of providing adequate support and maintenance for agencies of this state.

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2107 State Education Fund for Correctional Education

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	1,047.7	806.6	606.3
Revenue (From Revenue Schedule)	484.9	529.0	529.0
Total Available	1,532.6	1,335.6	1,135.3
Total Appropriated Disbursements	726.0	729.3	729.3
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	806.6	606.3	406.0
Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	478.7	462.2	462.2
Employee Related Expenses	247.4	267.1	267.1
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	726.0	729.3	729.3
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	726.0	729.3	729.3
Appropriated FTE:	6.0	6.0	6.0
Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 2107 State Education Fund for Correctional Education

Justification: The State Education Fund for Correctional Education (2107) receives basic state aid funding from the Arizona Department of Education based on the average daily membership attending ADC education programs pursuant to A.R.S. 15-1372.

The Arizona Department of Corrections is statutorily mandated (A.R.S. 15-1372) to provide education to ADC inmates who are under the age of eighteen years and to inmates with disabilities who are twenty-one years old or younger.

Fund Source: The Arizona Department of Corrections (ADC) receives basic state aid funding for the State Education Fund for Correctional Education (2107) from the Arizona Department of Education. The level of funding is based on the average daily membership attending ADC education programs pursuant to A.R.S. 15-1372.

Fund Uses: Monies from the State Education Fund for Correctional Education (2107) are used to provide education to ADC inmates who are under the age of eighteen years and to inmates with disabilities who are twenty-one years old or younger.

OSPB Fund Description: Revenue is received from state equalization aid, federal grants, and other monies and is used for educating minors incarcerated in state prisons.

Recent Legislation:

Laws 2019, First Regular Session, Chapter 263 (HB 2747), Section 24 states: "Before spending any state education fund for correctional education monies in excess of \$727,900, the state department of corrections shall report the intended use of the monies to the director of the joint legislative budget committee."

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2204 DOC - Alcohol Abuse Treatment

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	1,205.3	1,167.3	998.6
Revenue (From Revenue Schedule)	450.0	468.2	468.2
Total Available	1,655.3	1,635.5	1,466.8
Total Appropriated Disbursements	488.1	636.9	555.5
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	1,167.3	998.6	911.3

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	443.0	555.5	555.5
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.7	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	443.7	555.5	555.5
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	44.4	81.4	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	488.1	636.9	555.5
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 2204 Alcohol Abuse Treatment Fund

Justification: The ADC is statutorily mandated to provide alcohol abuse treatment and rehabilitation services to persons sentenced to the department under Driving Under the Influence (DUI) statutes. The statutory reference for the establishment of the Alcohol Abuse Treatment Fund (2204) to fund these treatment services is A.R.S. 31-255.

Notwithstanding A.R.S. 31-254, the ADC Director shall deposit in the fund the lesser of sixty-seven percent or fifty cents per hour of the monies earned by persons sentenced to the ADC pursuant to A.R.S. 28-1381, 28-1382 or 28-1383 (DUI inmates) for work performed.

Monies in the alcohol abuse treatment fund are subject to legislative appropriation to the ADC and the ADC Director shall use the fund monies to provide alcohol abuse treatment and rehabilitation services to persons sentenced to the department pursuant to A.R.S. 28-1381, 28-1382 or 28-1383 (DUI inmates).

Fund Source: Notwithstanding A.R.S. 31-254, the ADC Director shall deposit in the Alcohol Abuse Treatment Fund (2204) the lesser of sixty-seven percent or fifty cents per hour of the monies earned by persons sentenced to the ADC pursuant to A.R.S. 28-1381, 28-1382 or 28-1383 (DUI inmates) for work performed.

Fund Uses: Fund 2204 shall be used to provide alcohol abuse treatment and rehabilitation services to persons sentenced to the ADC pursuant to A.R.S. 28-1381, 28-1382 or 28-1383 (DUI inmates).

OSPB Fund Description: Revenue is received from a portion of the wages earned by inmates convicted of driving under the influence offenses and is used for alcohol abuse treatment for those inmates.

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2379 Transition Program Fund

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	2,058.7	3,787.1	3,681.9
Revenue (From Revenue Schedule)	3,061.9	3,209.2	3,209.2
Total Available	5,120.6	6,996.3	6,891.1
Total Appropriated Disbursements	1,333.4	2,564.4	2,400.1
Total Non-Appropriated Disbursements	0.0	750.0	0.0
Balance Forward to Next Year	3,787.1	3,681.9	4,491.0

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	1,202.4	2,400.1	2,400.1
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	1,202.4	2,400.1	2,400.1
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	131.0	164.3	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	1,333.4	2,564.4	2,400.1
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	750.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	750.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 2379 Transition Program Fund

Justification: The Transition Program Fund (2379) is established by A.R.S. 31-284 consisting of the monies collected pursuant to A.R.S. 31-254, subsection D, paragraph 3 and A.R.S. 31-285, subsection C. Revenue comes from the collection of five percent of gross wages earned by non-DUI inmates and from cost savings resulting from implementation of the transition program. The Department is mandated to administer the fund to pay for any costs related to the administration of the transition program and for transition program services.

Fund Source: Revenue comes from monies collected pursuant to A.R.S. 31-254, subsection D, paragraph 3 and subsection E, paragraph 4 (five percent of gross wages deducted from prisoners not convicted of a DUI violation (Title 28, chapter 4)), and from costs reductions associated with the early release of nonviolent offenders participating in the transition program (31-285, subsection C).

Fund Uses: Funds are used to pay for any costs related to the administration of the transition program and for transition program services.

OSPB Fund Description: Revenue is received from an 5% share of prison inmate wages and is used, upon appropriation, to operate transition offices for inmates as they are released from prison.

Recent Legislation:

Laws 2018, Second Regular Session, Chapter 344 (SB 1496), Section 1 expanded eligibility criteria for the Transition Program. SB 1496 creates a new class of inmates eligible for 90 day early release under less restrictive criteria.

Laws 2019, First Regular Session, Chapter 228 (HB 2266), Section 1 revised the expanded eligibility criteria for the Transition Program.

In FY 2020, \$750,000 is transferred from this fund to the Arizona Criminal Justice Commission to distribute to the Yavapai county sheriff to administer felony pretrial intervention programs, pursuant to Laws 2019, First Regular Session, Chapter 263 (HB 2747), Section 131.

Sources and Uses of Funds

Agency: Department of Corrections (for Budget)

Fund: DC2395 Community Corrections Enhancement Fund

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	586.7	354.1	310.3
Revenue (From Revenue Schedule)	344.1	378.7	378.7
Total Available	930.7	732.8	689.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	576.6	422.5	422.5
Balance Forward to Next Year	354.1	310.3	266.5

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	133.0	100.0	100.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	29.2	12.5	12.5
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	97.1	150.0	150.0
Equipment	317.4	160.0	160.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	576.6	422.5	422.5
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	576.6	422.5	422.5
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 2395 Community Corrections Enhancement Fund

Justification: The Community Corrections Fund (2395) is established pursuant to A.R.S. 31-418 consisting of monies paid by released offenders during their term of community supervision.

ADC shall require as a condition of community supervision that the prisoner pay a monthly supervision fee of at least sixty-five dollars unless, after determining the inability of the prisoner to pay the fee, the department requires payment of a lesser amount.

Fund Source: The revenue in the Community Corrections Enhancement Fund (2395) comes from three revenue sources: thirty percent of a monthly supervision fee of at least sixty-five dollars charged to released offenders during their term of community supervision, electronic monitoring costs, and interstate compact application fees. The department has not yet implemented the drug testing fee authorized by A.R.S. 31-418 D.

Fund Uses: Monies in Fund 2395 are used to pay for costs related to Community Corrections.

OSPB Fund Description: The Community Corrections Fund consists of monies paid by prisoners during the time that the prisoner remains on community supervision. Monies in the fund are used for Community Corrections.

Sources and Uses of Funds

Agency: Department of Corrections (for Budget)

Fund: DC2449 Employee Recognition Fund

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	0.2	44.5	22.4
Revenue (From Revenue Schedule)	97.3	100.0	100.0
Total Available	97.5	144.5	122.4
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	53.0	122.1	122.1
Balance Forward to Next Year	44.5	22.4	0.3

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.3	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.3	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	52.4	122.1	122.1
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	53.0	122.1	122.1
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	53.0	122.1	122.1
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 2449 Employee Recognition Fund

Justification: The Employee Recognition Fund (2449) is authorized by A.R.S. 41-709. It authorizes the ADC to accept gifts and donations from public and private entities to conduct employee recognition programs. Gifts and donations for employee recognition programs are subject to the requirements of Title 35, Chapter 1, Article 3 and Title 41, Chapter 23.

Fund Source: Revenues in the Employee Recognition Fund (Fund 2449) come from gifts and donations from public or private entities.

Fund Uses: The purpose of Fund 2449 is to award and recognize the performance or achievement of employees.

OSPB Fund Description: Revenue is generated through donations from agency employees and through fund raising events, and used to recognize outstanding performance and to conduct events that enhance the morale of the agency.

In FY 2019, Employee Recognition funds previously held in external accounts were moved into AFIS.

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2500 IGA and ISA Fund

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	789.5	3,894.5	0.2
Revenue (From Revenue Schedule)	3,107.1	60.3	39.8
Total Available	3,896.6	3,954.8	40.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	2.2	3,954.6	39.7
Balance Forward to Next Year	3,894.5	0.2	0.3

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	(14.9)	26.6	26.6
Employee Related Expenses	(6.6)	10.2	10.2
Prof. And Outside Services	0.0	0.0	(2.1)
Travel - In State	4.8	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	10.9	27.5	2.9
Equipment	7.9	3,888.2	3,888.2
Capital Outlay	0.0	0.0	(3,888.2)
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	2.1	2.1
Expenditure Categories Total:	2.2	3,954.6	39.7
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	2.2	3,954.6	39.7
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 2500 IGA and ISA Fund

Justification: The IGA and ISA Fund (2500) was established for state agencies as a clearing account to properly account for, control, and report receipts and disbursements associated with intergovernmental and interagency service agreements, which are not reported in other funds.

Fund Source: The source of revenue for the IGA and ISA Fund (2500) is intergovernmental agreements (IGAs) and interagency service agreements (ISAs).

Fund Uses: The purpose of Fund 2500 is to account for the receipt and use of revenue from IGAs and ISAs, as specified in each grant or agreement.

OSPB Fund Description: This fund was established for state agencies as a clearing account to properly account for, control, and report receipts and disbursements associated with intergovernmental and interagency service agreements, which are not reported in other funds.

Recent Legislation:

Laws 2019, First Regular Session, Chapter 263 (HB 2747), Section 3 “any remaining balances on June 30, 2017 in the Automation Projects Fund... are appropriated through fiscal year 2019-2020 for the same purpose as specified in fiscal year 2016-2017.”

This fund includes activity for the AIMS replacement project appropriated to ADOA. Funds have been transferred from the Automated Projects Fund for ADC to expend on approved project expenditures. AIMS replacement project expenditures are expected to be fully completed by December 31, 2019.

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2504 Prison Construction and Operations Fund

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	5,973.3	3,287.3	1,187.3
Revenue (From Revenue Schedule)	10,348.7	10,400.0	10,400.0
Total Available	16,322.0	13,687.3	11,587.3
Total Appropriated Disbursements	13,034.7	12,500.0	10,400.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	3,287.3	1,187.3	1,187.3

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	10,000.0	10,000.0	10,000.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	2,500.0	400.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	10,000.0	12,500.0	10,400.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	3,034.7	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	13,034.7	12,500.0	10,400.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 2504 Prison Construction and Operations Fund

Justification: The Prison Construction and Operations Fund (2504) is established pursuant to A.R.S. 41-1651, consisting of monies received from assessments ranging from \$500 to \$1,500 paid by persons convicted of driving under the influence.

Monies in the fund are subject to legislative appropriation and shall be used to pay for any costs related to prison overcrowding and ADC support and maintenance.

Fund Source: The Prison Construction and Operations Fund (2504) is established pursuant to A.R.S. 41-1651 consisting of monies received from assessments ranging from \$500 to \$1,500 paid by persons convicted of driving under the influence.

Fund Uses: Monies in Fund 2504 are subject to legislative appropriation and shall be used to pay for any costs related to prison overcrowding and department support and maintenance.

OSPB Fund Description: Revenues are received from increased surcharges on DUI fines and are used for the operation or construction of prisons.

Recent Legislation:

The approved FY 2018 budget reduces the appropriation in this fund by \$1,186,300 and appropriates \$386,300 from the Inmate Store Proceeds Fund (2505), which is a non-appropriated fund, and \$800,000 from the State Charitable Land Fund (3141).

Because the current level of appropriation for this fund still exceeds its annual estimated revenue, the fund is structurally imbalanced making the year-end balance projection for FY 2021 negative.

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2505 Inmate Store Proceeds Fund

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	5,714.7	5,098.0	5,379.5
Revenue (From Revenue Schedule)	7,243.1	7,197.6	7,197.6
Total Available	12,957.8	12,295.6	12,577.1
Total Appropriated Disbursements	282.2	1,341.3	1,341.3
Total Non-Appropriated Disbursements	7,577.6	5,574.8	3,874.8
Balance Forward to Next Year	5,098.0	5,379.5	7,361.0

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	97.6	538.9	538.9
Employee Related Expenses	49.3	280.6	280.6
Prof. And Outside Services	0.0	386.3	386.3
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	135.5	135.5	135.5
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	282.4	1,341.3	1,341.3
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	(0.1)	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	282.2	1,341.3	1,341.3
Appropriated FTE:	10.0	10.0	10.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	2.9	2.0	2.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.7	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	2,662.0	3,239.0	3,239.0
Equipment	912.0	133.8	133.8
Capital Outlay	0.0	0.0	(1,700.0)
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	4,000.0	2,200.0	2,200.0
Expenditure Categories Total:	7,577.6	5,574.8	3,874.8
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	7,577.6	5,574.8	3,874.8
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 2505 Inmate Store Proceeds Fund

Justification: The statutory reference for the Inmate Store Proceeds Fund (2505) is A.R.S. 41-1604.02, which authorizes the ADC to establish and maintain an inmate store at any prison, institution or facility in Arizona. The ADC shall enter into a contract or contracts with a private entity or entities to establish and maintain inmate stores.

The purpose of the fund is to account for the profits derived from the state's portion of privatization of inmate stores. After an annual transfer of five hundred thousand dollars to the Building Renewal fund, any remaining monies may be used for incentive pay increases for corrections officers, equipment to enhance safety for the ADC, inmate activities, or other official needs.

Fund Source: The revenue in the Inmate Store Proceeds Fund (2505) comes from the profits derived from the State's portion of privatization of inmate stores. For more information on the fund's commissions please see the revenue justification.

Fund Uses: The monies in Fund 2505 are used for inmate activities, incentive pay increase for corrections officers, equipment to enhance safety for ADC personnel and inmates, or for other official needs.

OSPB Fund Description: Revenue is received from the State's share of the inmate stores proceeds and is used for inmate activities, incentive pay for officers, safety equipment or other needs of the Department.

Recent Legislation:

In FY 2018, \$750,000 is transferred from this fund to the ACJC (Arizona Criminal Justice Commission) for felony pretrial intervention services pursuant to Laws 2017, First Regular Session, Chapter 286, (SB 1278) , Section 1. This transfer was posted as a revenue transfer (residual equity transfer).

In FY 2018, \$386,300 is appropriated from the Inmate Store Proceeds Fund (2505), which is a non-appropriated fund.

The FY 2019 budget included an appropriation increase of \$954,100 from the Inmate Store Proceeds Fund (2505), which is a non-appropriated fund, for the Inmate Education Expansion.

On June 18 2019, JCCR gave a favorable review to the proposed expenditure for the first phase of the Locking, Fire, HVAC upgrades for ASPC-Lewis and ASPC-Yuma. \$5,200,000 is approved to be transferred from this fund to ADOA (amendment to existing ISA/IGA 16-042-21, project # PCS-19-042). In June 2019 \$3,500,000 was transferred and in August 2019 \$1,700,000 was transferred.

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2515 State DOC Revolving-Transition

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	1,690.7	2,045.9	1,024.4
Revenue (From Revenue Schedule)	3,891.8	3,867.7	3,867.7
Total Available	5,582.5	5,913.6	4,892.1
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	3,536.6	4,889.2	4,889.2
Balance Forward to Next Year	2,045.9	1,024.4	2.9

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	1,238.1	1,975.0	1,975.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	100.2	95.0	95.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	281.4	708.5	708.5
Equipment	8.3	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	1,908.6	2,110.7	2,110.7
Expenditure Categories Total:	3,536.6	4,889.2	4,889.2
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	3,536.6	4,889.2	4,889.2
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 2515 State DOC Revolving-Transition Fund

Justification: The statutory references for the ADC Revolving Transition Fund (2515) are A.R.S. 42-3106 and 42-3052. The monies collected and allocated to this fund are from the Luxury Privilege tax: three percent of the tax revenue collected on spirituous liquors and seven percent of the tax revenue collected on vinous and malt liquors.

Fund Source: The monies collected and allocated to the ADC Revolving Transition Fund (2515) come from the Luxury Privilege tax in the following proportions: three percent of the tax revenue collected on spirituous liquors and seven percent of the tax revenue collected on vinous and malt liquors.

Fund Uses: Monies deposited in the ADC Revolving Transition Fund (2515) are used for offender participation in appropriate drug treatment programs that are administered by the state Department of Corrections or by a qualified agency, organization or individual that is approved or licensed by the Arizona Department of Health Services or the Board of Behavioral Health Examiners; and for reentry, education or mental health assistance programs that are administered by the state Department of Corrections or by a qualified agency, organization or individual.

OSPB Fund Description: Revenues are received from taxes on tobacco and alcohol and are used for substance abuse treatment and education.

Recent Legislation:

Laws 2018, Second Regular Session, Chapter 344 (SB 1496), Section 1 expanded eligibility criteria for the Transition Program. SB 1496 creates a new class of inmates eligible for 90 day early release under less restrictive criteria.

Laws 2019, First Regular Session, Chapter 228 (HB 2266), Section 1 revised the expanded eligibility criteria for the Transition Program.

Both actions impact bed days savings which is transferred out from this fund and transferred in to the Transition Program Fund (2379).

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2551 DOC Building Renewal & Preventive Maintenance

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	4,311.0	2,988.1	249.2
Revenue (From Revenue Schedule)	5,672.6	5,647.0	5,647.0
Total Available	9,983.6	8,635.1	5,896.2
Total Appropriated Disbursements	6,995.5	8,385.9	5,623.6
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	2,988.1	249.2	272.6

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	(1,240.7)
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	(1,240.7)
Non-Lapsing Authority from Prior Years	0.0	384.0	0.0
Administrative Adjustments	2,168.8	1,137.6	0.0
Capital Projects (Land, Buildings, Improvements)	4,326.7	6,864.3	6,864.3
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	500.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	6,995.5	8,385.9	5,623.6
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 2551 Building Renewal Fund

Justification: A.R.S. 41-797 establishes the Building Renewal Fund (2551) for capital projects and preventive maintenance. The monies in the fund are used for building renewal projects that repair or rework buildings and supporting infrastructures. The ADC may use up to eight percent of the annual expenditures for routine preventive maintenance.

Fund Source: The source of revenue for the Building Renewal Fund (2551) is derived from fund transfers, visitation background check fees, and inmate banking fees.

Fund Uses: The purpose of the Fund 2551 is for capital projects and preventive maintenance.

OSPB Fund Description: The source of revenue for this fund is from the following sources: Corrections Fund annual transfer - \$2,500,000; Inmate Store Proceeds Fund annual transfer - \$500,000; DOC Special Service Fund annual transfer - \$500,000; Arizona Correctional Industries Fund - \$1,000,000; visitation background check fee; and a one percent inmate banking fee. Uses of the monies are for capital projects and preventive maintenance.

Recent Legislation:

In FY 2018, \$1,450,000 is transferred from the Arizona Correctional Industries revolving fund to the ADC Building Renewal fund (2551) pursuant to Laws 2017, First Regular Session, Chapter 305 (SB 1522), Section 143, for Locking Systems Replacement.

Laws 2018, Second Regular Session, Chapter 277 (SB 1522), Section 5, C. includes an appropriation for FY 2019 of \$5,464,300 for building renewal. The appropriations made in this section that are unexpended on June 30, 2020 revert to the fund from which the monies were appropriated. As a type 2 appropriation, the following one-year administrative period does not provide additional time to obligate or receive goods or services.

In FY 2019, \$500,000 is transferred from the Building Renewal Fund (2551) to the General Fund (1000) pursuant to Laws 2018, Second Regular Session, Chapter 276 (SB 1520), Section 140, for the purpose of providing adequate support and maintenance for agencies of this state.

Laws 2019, First Regular Session, Chapter 268 (HB2748), Section 2, C. includes an appropriation for FY 2020 of \$6,684,300 for building renewal. The appropriations made in this section that are unexpended on June 30, 2021 revert to the fund from which the monies were appropriated. As a type 2 appropriation, the following one-year administrative period does not provide additional time to obligate or receive goods or services.

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC3140 Penitentiary Land Earnings

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	3,574.8	3,064.2	2,887.8
Revenue (From Revenue Schedule)	2,541.0	2,603.9	2,603.9
Total Available	6,115.9	5,668.1	5,491.7
Total Appropriated Disbursements	3,051.7	2,780.3	2,780.3
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	3,064.2	2,887.8	2,711.4

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	133.3	231.4	231.4
Employee Related Expenses	85.4	133.6	133.6
Prof. And Outside Services	2,062.5	2,062.5	2,062.5
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	80.4	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	352.8	352.8
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	2,361.6	2,780.3	2,780.3
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	690.1	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	3,051.7	2,780.3	2,780.3
Appropriated FTE:	5.0	5.0	5.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 3140 Penitentiary Land Earnings

Justification: As set forth by the Arizona Enabling Act, Section 25 and in A.R.S. 37-525, the Penitentiary Land Earnings Fund (3140) consists of interests on monies in the fund and money derived from the rental of land and properties.

As a beneficiary of the Arizona State Land Trust's permanent fund investment distributions and due to the voter approved Proposition 123 in May 2016 which increased the Treasurer's annual distribution rate from 2.5% to 6.9% from FY 2016 to FY 2025, the ADC revenue into this fund has been increasing. The funds, however, are subject to appropriation.

The purpose Fund 3140 is to provide a continuous source of monies for the benefit and support of state penitentiaries. ADC is appropriated funds to pay for contracted in-state prison beds.

Fund Source: As set forth by the Arizona Enabling Act, Section 25 and in A.R.S. 37-525 the Penitentiary Land Earnings Fund (3140) consists of interests on monies in the fund and money derived from the rental of land and properties.

Fund Uses: The purpose of the Penitentiary Land Earnings Fund (3140) is to provide a continuous source of monies for the benefit and support of state penitentiaries. The appropriation from this fund supports contracted in-state prison beds and Second Chance Center (Employment Center) Expansion.

OSPB Fund Description: Revenue is received from the expendable earnings of State Land Trust and is used for the support of the state prisons.

Recent Legislation:

In FY 2018, \$1,083,200 was appropriated for annualizing the cost of 1,000 new private male medium custody beds opened in FY 2017.

In FY 2018, \$1,000,000 is transferred from this fund to the ACJC (Arizona Criminal Justice Commission) for felony pretrial intervention services pursuant to Laws 2017, First Regular Session, Chapter 286, (SB 1278), Section 1. This transfer was posted as a revenue transfer (residual equity transfer).

The FY 2019 budget included an appropriation increase of \$444,900 from the Penitentiary Land Earnings Fund (3140), for Second Chance Center (Employment Center) Expansion.

Sources and Uses of Funds

Agency: Department of Corrections (for Budget)

Fund: DC3141 State Charitable, Penal & Reformatory Land Earnings

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	3,418.9	2,347.4	2,215.0
Revenue (From Revenue Schedule)	2,859.3	3,062.5	3,062.5
Total Available	6,278.2	5,409.9	5,277.5
Total Appropriated Disbursements	3,430.8	2,694.9	2,661.8
Total Non-Appropriated Disbursements	500.0	500.0	500.0
Balance Forward to Next Year	2,347.4	2,215.0	2,115.7

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	1,853.6	1,870.0	1,870.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	781.4	791.8	791.8
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	151.3	0.0	0.0
Equipment	260.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	3,046.4	2,661.8	2,661.8
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	384.4	33.1	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	3,430.8	2,694.9	2,661.8
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	500.0	500.0	500.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	500.0	500.0	500.0
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 3141 State Charitable, Penal, & Reformatory Land Earnings Fund

Justification: As set forth by the Arizona Enabling Act, Section 25 and in A.R.S. 37-525, the State Charitable, Penal, & Reformatory Land Earnings Fund (3141) consists of interests on monies in the fund and twenty-five percent of monies derived from the rental of land and properties.

As a beneficiary of the Arizona State Land Trust's permanent fund investment distributions and due to the voter approved Proposition 123 in May 2016 which increased the Treasurer's annual distribution rate from 2.5% to 6.9% from FY 2016 to FY 2025, the ADC revenue into this fund will increase. The funds, however, are subject to appropriation.

Fund Source: As set forth by the Arizona Enabling Act, Section 25 and in A.R.S. 37-525 the State Charitable, Penal, & Reformatory Land Earnings Fund (3141) consists of interests on monies in the fund and twenty-five percent of monies derived from the rental of land and properties.

Fund Uses: Fund 3141 provides a continuous source of monies for the benefit and support of state penal institutions.

OSPB Fund Description: Twenty-five percent of the expendable earnings of the State Charitable, Penal, and Reformatory Institutions Land Fund is used for the operation of the State prisons.

Recent Legislation:

The approved FY 2018 budget reduces the appropriation in the Prison Construction and Operations Fund (2504) by \$1,186,300 and appropriates \$800,000 from the State Charitable Land Fund (3141).

In FY 2018, \$1,000,000 is transferred from this fund to the ACJC (Arizona Criminal Justice Commission) for felony pretrial intervention services pursuant to Laws 2017, First Regular Session, Chapter 286, (SB 1278) , Section 1. This transfer was posted as a revenue transfer (residual equity transfer).

Laws 2018, Second Regular Session, Chapter 342 (SB 1476), Section 1 – County Release Planning, appropriates \$500,000 per year from the State Charitable, Penal, & Reformatory Land Earnings Fund in FY 2019, FY 2020, and FY 2021 to Yavapai County Sheriff. ADOA-GAO processes this transfer to Yavapai County.

The FY 2019 budget included a one-time appropriation increase of \$418,000 for one-time costs from the State Charitable, Penal, & Reformatory Land Earnings Fund (3141) for the Inmate Education Expansion.

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC3147 Corrections Donations

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	5.9	5.9	5.9
Total Available	5.9	5.9	5.9
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	5.9	5.9	5.9

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Fund Description

OSP: Donations received from private parties are used as specified by the particular donor.

Arizona Department of Corrections Sources and Uses Fund Description

Fund 3147 Corrections Donations Fund

Justification: The Corrections Donations Fund (3147) is established pursuant to A.R.S. 41-1605. The statute authorizes ADC to accept and expend federal funds, private grants funds, gifts and legacies.

Fund Source: Fund revenues in the Corrections Donation Fund (3147) come from federal funds, private grants funds, gifts and legacies.

Fund Uses: Fund 3147 is to be used as specified by the particular donation.

OSPB Fund Description: Donations received from private parties are used as specified by the particular donor.

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC3187 DOC Special Services Fund

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	9,083.2	9,900.0	5,126.9
Revenue (From Revenue Schedule)	8,965.9	9,940.0	9,940.0
Total Available	18,049.0	19,840.0	15,066.9
Total Appropriated Disbursements	25.2	0.0	0.0
Total Non-Appropriated Disbursements	8,123.8	14,713.1	10,713.1
Balance Forward to Next Year	9,900.0	5,126.9	4,353.8

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	25.2	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	25.2	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	140.8	765.0	765.0
Employee Related Expenses	51.0	397.0	397.0
Prof. And Outside Services	5,145.7	6,292.4	6,292.4
Travel - In State	0.0	0.0	0.0
Travel - Out of State	1.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	2,246.8	2,758.7	2,758.7
Equipment	38.5	0.0	0.0
Capital Outlay	0.0	0.0	(4,000.0)
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	500.0	4,500.0	4,500.0
Expenditure Categories Total:	8,123.8	14,713.1	10,713.1
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	8,123.8	14,713.1	10,713.1
Non-Appropriated FTE:	15.0	15.0	15.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 3187 DOC Special Services Fund

Justification: A.R.S. 41-1604.03 establishes the ADC Special Services Fund (3187) for the benefit, education and welfare of committed offenders and to pay the costs of implementing, operating and maintaining technologies and programs for inmate use.

Revenues that are generated by the inmate use of technology, including telephone systems, kiosks and tablets, shall be deposited in the Special Services Fund. Also, in accordance with A.R.S. 31-254, a portion of an escapee's earnings may be forfeited and deposited into this fund.

Fund Source: Revenues that are generated by the inmate use of technology, including telephone systems, kiosks and tablets, shall be deposited in the Special Services Fund. Also, in accordance with A.R.S. 31-254, a portion of an escapee's earnings may be forfeited and deposited into this fund.

For information about FCC action on inmate telephone rates please see the revenue justification.

Fund Uses: The purpose of Fund 3187 is for the benefit, education and welfare of committed offenders. Pursuant to A.R.S. 41-1604.03 B., \$500,000 is transferred annually from this fund into the Building Renewal Fund (2551).

OSPB Fund Description: Monies are received from inmate usage fees on telephones and other services and are used to provide those services to inmates.

Recent Legislation:

In FY 2018, \$1,000,000 is transferred from the Special Services Fund (3187) to the General Fund (1000) pursuant to Laws 2018, Second Regular Session, Chapter 276 (SB 1520), Section 139, for the purpose of providing adequate support and maintenance for agencies of this state.

In FY 2019, \$25,200 is transferred from the Special Services Fund (3187) to the General Fund (1000) pursuant to Laws 2018, Second Regular Session, Chapter 276 (SB 1520), Section 140, for the purpose of providing adequate support and maintenance for agencies of this state.

The FY 2019 budget included an appropriation increase to this fund of \$1,200,700 for Substance Abuse Treatment Expansion plus a one-time appropriation increase of \$540,000 for one-time costs to be funded from the Transition Program Fund (2379).

On June 18 2019, JCCR gave a favorable review to the proposed expenditure for the first phase of the Locking, Fire, HVAC upgrades for ASPC-Lewis and ASPC-Yuma. \$4,000,000 is approved to be transferred from this fund to ADOA (amendment to existing ISA/IGA 16-042-21, project # PCS-19-042). In June 2019 \$2,000,000 was transferred and in August 2019 \$2,000,000 was transferred.

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC4002 ARCOR Enterprises Revolving

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	11,984.8	10,601.5	9,704.7
Revenue (From Revenue Schedule)	48,848.6	46,106.6	46,106.6
Total Available	60,833.3	56,708.1	55,811.3
Total Appropriated Disbursements	1,500.0	0.0	0.0
Total Non-Appropriated Disbursements	48,731.9	47,003.4	43,003.4
Balance Forward to Next Year	10,601.5	9,704.7	12,807.9

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	1,500.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	1,500.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	8,333.5	8,831.1	8,831.1
Employee Related Expenses	3,981.0	4,219.0	4,219.0
Prof. And Outside Services	236.0	236.9	236.9
Travel - In State	10.3	10.6	10.6
Travel - Out of State	5.7	5.8	5.8
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	25,876.4	26,000.0	26,000.0
Equipment	2,215.4	2,200.0	2,200.0
Capital Outlay	573.5	500.0	(3,500.0)
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	7,500.0	5,000.0	5,000.0
Expenditure Categories Total:	48,731.9	47,003.4	43,003.4
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	48,731.9	47,003.4	43,003.4
Non-Appropriated FTE:	220.0	220.0	220.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 4002 Arizona Correctional Industries

Justification: The Arizona Correctional Industries Fund (4002) is authorized pursuant to A.R.S. 41-1624 as a revolving fund to be used to pay for the expenses required such as purchase of materials, compensation of prisoners, purchase or rental of equipment, construction of facilities, and other operational costs. Revenue is generated from the sale of goods and inmate labor contracts.

Fund Source: Revenue in the Arizona Correctional Industries Fund (4002) is generated from the sale of goods and inmate labor contracts.

Fund Uses: Fund 4002 is used to fund state employee salaries, inmate wages, materials for the manufacture of goods, equipment and supplies and other operational costs. Funds may also be used for inmate treatment programs at the state prisons.

Pursuant to A.R.S. 41-1624 B., \$1,000,000 is transferred annually from this fund into the Building Renewal Fund (2551).

OSPB Fund Description: Revenue is generated from the sale of goods produced by Arizona Correctional Industries (ACI) and is used to pay operating expenses of ACI or for inmate treatment programs at the State prisons.

Recent Legislation:

In FY 2018, \$1,450,000 is transferred from the Arizona Correctional Industries revolving fund (4002) to the ADC Building Renewal fund (2551) pursuant to Laws 2017, First Regular Session, Chapter 305 (SB 1522), Section 143, for Locking Systems Replacement.

In FY 2019, \$1,500,000 is transferred from the Arizona Correctional Industries revolving Fund (4002) to the General Fund (1000) pursuant to Laws 2018, Second Regular Session, Chapter 276 (SB 1520), Section 140, for the purpose of providing adequate support and maintenance for agencies of this state.

On June 18, 2019, JCCR gave a favorable review to the proposed expenditure for the first phase of the Locking, Fire, HVAC upgrades for ASPC-Lewis and ASPC-Yuma. \$10,500,000 is approved to be transferred from this fund to ADOA (amendment to existing ISA/IGA 16-042-21, project # PCS-19-042). In June 2019 \$6,500,000 was transferred and in August 2019 \$4,000,000 was transferred.

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC4216 Risk Management Fund

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	427.3	185.2	185.2
Revenue (From Revenue Schedule)	(242.1)	0.0	0.0
Total Available	185.2	185.2	185.2
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	185.2	185.2	185.2

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 4216 Risk Management Fund

Justification: The Risk Management Fund (4216) is authorized by A.R.S. 41-622. The purpose of Fund 4216 is for reimbursement for loss of state property. Revenues are received from risk management reimbursements for loss claims submitted by ADC.

During FY 2012 Arizona GAO requested that ADC transfer all revenues, expenditures, and fund balances to Fund 4216 and discontinue the use of Fund 3748. This change allowed for consistency across agencies for the accounting and administration of risk management claims.

Fund Source: Revenues in the Risk Management Fund (4216) are received from risk management reimbursements for loss claims submitted by ADC.

Fund Uses: Monies in Fund 4216 are used for reimbursement for loss of state property.

OSPB Fund Description: Risk Management annually invoices all State agencies, boards, and commissions a cost allocation of the Risk Management program to provide monies to pay for the State's property, liability and worker's compensation losses, and to purchase insurance coverage.

All activity in ADC's Risk Management Fund (4216), non-appropriated, rolls-up to the ADOA's statewide Risk Management Fund (4216), appropriated. In late FY 2018 ADOA-GAO provided direction to change how ADC utilized this fund. From that point forward ADOA-GAO directed that all of ADC's activity in the fund be recorded as revenue or as an offset to revenue. This means that ADC no longer has expenditure activity in this fund. The change in practice will mitigate duplicate expenditure reporting at the statewide fund level.

Sources and Uses of Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC9000 Indirect Cost Recovery Fund

Cash Flow Summary	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	3,203.4	2,970.8	1,307.4
Revenue (From Revenue Schedule)	660.1	609.1	591.3
Total Available	3,863.5	3,579.9	1,898.7
Total Appropriated Disbursements	500.0	0.0	0.0
Total Non-Appropriated Disbursements	392.7	2,272.5	272.5
Balance Forward to Next Year	2,970.8	1,307.4	1,626.2

Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	500.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	500.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Expenditure Categories			
Personal Services	14.9	15.0	15.0
Employee Related Expenses	7.4	7.5	7.5
Prof. And Outside Services	0.8	2,000.0	0.0
Travel - In State	0.2	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	250.0	250.0
Equipment	0.0	0.0	0.0
Capital Outlay	369.4	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	392.7	2,272.5	272.5
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	392.7	2,272.5	272.5
Non-Appropriated FTE:	0.0	0.0	0.0

Arizona Department of Corrections Sources and Uses Fund Description

Fund 9000 Indirect Cost Recovery Fund

Justification: The purpose of Fund 9000 is to account for the receipt and use of revenue for the reimbursement of indirect costs. The fund is a clearing account used for the payment of administrative expenditures not directly attributable to any one program, but associated with federal grant monies and other non-appropriated funds.

The statutory basis for Fund 9000 includes: A.R.S. 41-1605, which establishes the authority of the Director of the Arizona Department of Corrections to accept and expend federal funds.

This fund is also based upon federal regulations as described in 2 C.F.R. Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards (Uniform Guidance), Appendix VII to Part 200 – States and Local Government and Indian Tribe Indirect Cost Proposals and in ADC's annual Negotiated Indirect Cost Rate Agreement with the U.S. Department of Justice (as the cognizant agency).

Fund Source: The source of revenue for Fund 9000 is the reimbursement of indirect costs associated with federal grant funds and other non-appropriated funds.

Fund Uses: The purpose of the Indirect Cost Recovery Fund (9000) is to account for the receipt and use of revenue for the reimbursement of indirect costs and is a clearing account used for the payment of administrative expenditures not directly attributable to any one program, but associated with federal grant monies and other non-appropriated funds.

OSPB Fund Description: Fund 9000 (the Indirect Cost Recovery Fund) is a clearing account used for the payment of administrative expenditures not directly attributable to any one program but associated with federal grant funds and other non-appropriated funds.

In FY 2019, \$500,000 is transferred from the Indirect Cost Recovery Fund (9000) to the General Fund (1000) pursuant to Laws 2018, Second Regular Session, Chapter 276 (SB 1520), Section 140, for the purpose of providing adequate support and maintenance for agencies of this state.

This fund includes activity for the AIMS replacement project appropriated to ADOA. In FY 2020 \$2,000,000 has been allocated from this fund for ADC to expend on non-APF approved project expenditures. AIMS replacement project expenditures are expected to be fully completed by December 31, 2019.

Funding Issues List

Agency: Department of Corrections (for Budget)

FY 2021

Priority	Funding Issue Title	Total FTE	Total Amount	General Fund	Other Aprop. Funds	Non-App Funds
1	Salary Increase CO Series	0.0	0.0	0.0	0.0	0.0
2	Lewis & Yuma Locks, Fire, HVAC Phase 2 and 3	0.0	22,000.0	31,700.0	0.0	(9,700.0)
3	Reentry & Recidivism Reduction Programs	23.0	12,248.4	12,248.4	0.0	0.0
4	Fully Fund Statutory Building Renewal Formula	0.0	18,476.0	19,716.7	(1,240.7)	0.0
5	Staff Safety Equipment	0.0	2,744.5	2,744.5	0.0	0.0
6	Leadership Enhancement & Development	0.0	6,285.4	6,285.4	0.0	0.0
7	Inmate Food Contract - CPI, Min Wage Increase	0.0	1,054.2	1,054.2	0.0	0.0
8	Private Prison Rate Increase	0.0	2,768.7	2,768.7	0.0	0.0
9	Community Corrections SLI Adjustment	0.0	0.0	0.0	0.0	0.0
10	Prison Construction & Operations Fund Backfill	0.0	0.0	2,100.0	(2,100.0)	0.0
11	CORP/ASRS Adjustment	0.0	0.0	0.0	0.0	0.0
12	Technical & Non-Appropriated Funding Adjustments	0.0	(6,443.6)	0.0	0.0	(6,443.6)
Total:		23.0	59,133.6	78,617.9	(3,340.7)	(16,143.6)
Decision Package Total:		23.0	59,133.6	78,617.9	(3,340.7)	(16,143.6)

Funding Issue Detail

Agency: Department of Corrections (for Budget)

Issue: 1 Salary Increase CO Series

Program:	Security	Calculated ERE:	\$0.00
Fund:	AA1000-A General Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	0.0

Issue: 2 Lewis & Yuma Locks, Fire, HVAC Phase 2 and 3

Program:	Administration	Calculated ERE:	\$0.00
Fund:	AA1000-A General Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	31,700.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	31,700.0

Funding Issue Detail

Agency: Department of Corrections (for Budget)

Issue: 2 Lewis & Yuma Locks, Fire, HVAC Phase 2 and 3

Program:	Administration	Calculated ERE:	\$0.00
Fund:	DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	(1,700.0)
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	(1,700.0)

Program:	Administration	Calculated ERE:	\$0.00
Fund:	DC3187-N DOC Special Services Fund (Non-Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	(4,000.0)
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	(4,000.0)

Program:	Administration	Calculated ERE:	\$0.00
Fund:	DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0

Funding Issue Detail

Agency: Department of Corrections (for Budget)

Issue: 2 Lewis & Yuma Locks, Fire, HVAC Phase 2 and 3

Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	(4,000.0)
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	(4,000.0)

Issue: 3 Reentry & Recidivism Reduction Programs

Program:	Inmate Education, Treatment and Work Programs	Calculated ERE:	\$340.60
Fund:	AA1000-A General Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	15.0
Personal Services	657.6
Employee Related Expenses	343.3
Subtotal Personal Services and ERE:	1,000.9
Professional & Outside Services	10,177.5
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	77.8
Equipment	334.5
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	11,590.7

Program:	Community Corrections	Calculated ERE:	\$189.40
Fund:	AA1000-A General Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	8.0
Personal Services	381.6
Employee Related Expenses	237.7
Subtotal Personal Services and ERE:	619.3
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	8.8
Equipment	29.6
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0

Funding Issue Detail

Agency: Department of Corrections (for Budget)

Issue: 3 Reentry & Recidivism Reduction Programs

Program / Fund Total: 657.7

Issue: 4 Fully Fund Statutory Building Renewal Formula

Program:	Administration	Calculated ERE:	\$0.00
Fund:	AA1000-A General Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	19,716.7
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	19,716.7

Program:	Administration	Calculated ERE:	\$0.00
Fund:	DC2551-A DOC Building Renewal & Preventive Maintenance (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	(1,240.7)
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	(1,240.7)

Funding Issue Detail

Agency: Department of Corrections (for Budget)

Issue: 5 Staff Safety Equipment

Program:	Prison Management and Support	Calculated ERE:	\$0.00
Fund:	AA1000-A General Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	<u>0.0</u>
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	2,744.5
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	<u>0.0</u>
Program / Fund Total:	<u>2,744.5</u>

Issue: 6 Leadership Enhancement & Development

Program:	Security	Calculated ERE:	\$1,125.40
Fund:	AA1000-A General Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	4,482.6
Employee Related Expenses	1,483.6
Subtotal Personal Services and ERE:	<u>5,966.2</u>
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	<u>0.0</u>
Program / Fund Total:	<u>5,966.2</u>

Funding Issue Detail

Agency: Department of Corrections (for Budget)

Issue: 6 Leadership Enhancement & Development

Program:	Community Corrections	Calculated ERE:	\$57.40
Fund:	AA1000-A General Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	228.6
Employee Related Expenses	90.6
Subtotal Personal Services and ERE:	319.2
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	319.2

Issue: 7 Inmate Food Contract - CPI, Min Wage Increase

Program:	Prison Management and Support	Calculated ERE:	\$0.00
Fund:	AA1000-A General Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	1,054.2
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	1,054.2

Funding Issue Detail

Agency: Department of Corrections (for Budget)

Issue: 8 Private Prison Rate Increase

Program:	SLI Private Prison Per Diem	Calculated ERE:	\$0.00
Fund:	AA1000-A General Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	2,768.7
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	2,768.7

Issue: 9 Community Corrections SLI Adjustment

Program:	Inmate Education, Treatment and Work Programs	Calculated ERE:	(\$12.20)
Fund:	AA1000-A General Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	(48.6)
Employee Related Expenses	(442.2)
Subtotal Personal Services and ERE:	(490.8)
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	(878.0)
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	(1,368.8)

Funding Issue Detail

Agency: Department of Corrections (for Budget)

Issue: 9 Community Corrections SLI Adjustment

Program:	Community Corrections	Calculated ERE:	\$12.20
Fund:	AA1000-A General Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	48.6
Employee Related Expenses	442.2
Subtotal Personal Services and ERE:	490.8
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	878.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	1,368.8

Program:	Inmate Education, Treatment and Work Programs	Calculated ERE:	\$0.00
Fund:	DC2204-A DOC - Alcohol Abuse Treatment (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	13.8
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	13.8

Program:	Community Corrections	Calculated ERE:	\$0.00
Fund:	DC2204-A DOC - Alcohol Abuse Treatment (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	(13.8)
Travel In-State	0.0
Travel Out-of-State	0.0

Funding Issue Detail

Agency: Department of Corrections (for Budget)

Issue: 9 Community Corrections SLI Adjustment

Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	(13.8)

Issue: 10 Prison Construction & Operations Fund Backfill

Program:	Prison Management and Support	Calculated ERE:	\$0.00
Fund:	DC2504-A Prison Construction and Operations Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	(2,100.0)
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	(2,100.0)

Program:	Prison Management and Support	Calculated ERE:	\$0.00
Fund:	AA1000-A General Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	2,100.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0

Funding Issue Detail

Agency: Department of Corrections (for Budget)

Issue: 10 Prison Construction & Operations Fund Backfill

Program / Fund Total: 2,100.0

Issue: 11 CORP/ASRS Adjustment

Program:	Security	Calculated ERE:	\$0.00
Fund:	AA1000-A General Fund (Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	0.0

Issue: 12 Technical & Non-Appropriated Funding Adjustments

Program:	Inmate Education, Treatment and Work Programs	Calculated ERE:	(\$23.80)
Fund:	DC2000-N Federal GrantS FUND (Non-Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	(94.9)
Employee Related Expenses	(33.8)
Subtotal Personal Services and ERE:	(128.7)
Professional & Outside Services	(152.0)
Travel In-State	(6.1)
Travel Out-of-State	(14.0)
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	(124.0)
Equipment	(23.1)
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	(16.7)
Transfers	(52.3)
Program / Fund Total:	(516.9)

Funding Issue Detail

Agency: Department of Corrections (for Budget)

Issue: 12 Technical & Non-Appropriated Funding Adjustments

Program:	Community Corrections	Calculated ERE:	\$0.00
Fund:	DC2000-N Federal GrantS FUND (Non-Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	(10.7)
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	(1.1)
Transfers	0.0
Program / Fund Total:	(11.8)

Program:	Community Corrections	Calculated ERE:	\$0.00
Fund:	DC2500-N IGA and ISA Fund (Non-Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	(2.1)
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	(24.6)
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	(26.7)

Program:	Administration	Calculated ERE:	\$0.00
Fund:	DC2500-N IGA and ISA Fund (Non-Appropriated)	Uniform Allowance:	\$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0

Funding Issue Detail

Agency: Department of Corrections (for Budget)

Issue: 12 Technical & Non-Appropriated Funding Adjustments

Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	(3,888.2)
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
	0.0
Program / Fund Total:	(3,888.2)

Program: Administration
Fund: DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)

Calculated ERE: \$0.00
Uniform Allowance: \$0.00

Expenditure Categories	FY 2021
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	(2,000.0)
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
	0.0
Program / Fund Total:	(2,000.0)

Summary of Expenditure and Budget Request for All Funds

Agency: Department of Corrections (for Budget)

Appropriated		FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:					
1	Prison Operations and Services	1,067,654.7	1,155,528.2	22,769.3	1,178,297.5
2	Community Corrections	19,551.6	20,747.4	2,331.9	23,079.3
3	Administration	41,990.4	44,116.3	50,176.0	94,292.3
		1,129,196.7	1,220,391.9	75,277.2	1,295,669.1
Expenditure Categories					
	FTE	9,556.0	9,566.0	23.0	9,589.0
	Personal Services	410,162.2	429,093.5	5,750.4	434,843.9
	Employee Related Expenses	230,401.4	258,921.2	2,155.2	261,076.4
	Professional and Outside Services	315,426.4	359,859.3	12,946.2	372,805.5
	Travel In-State	595.6	385.5	0.0	385.5
	Travel Out of State	105.9	110.5	0.0	110.5
	Food	37,929.1	41,006.4	1,054.2	42,060.6
	Aid to Organizations and Individuals	136.5	150.0	0.0	150.0
	Other Operating Expenses	126,394.7	126,948.4	86.6	127,035.0
	Equipment	6,587.5	2,091.2	3,108.6	5,199.8
	Capital Outlay	53.1	0.0	50,176.0	50,176.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	1,404.2	1,825.9	0.0	1,825.9
Expenditure Categories Total:		1,129,196.7	1,220,391.9	75,277.2	1,295,669.1

Summary of Expenditure and Budget Request for All Funds

Agency: Department of Corrections (for Budget)

Non-Appropriated		FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:					
1	Prison Operations and Services	60,440.9	68,429.0	(516.9)	67,912.1
2	Community Corrections	6,411.9	10,316.7	(38.5)	10,278.2
3	Administration	10,790.8	9,354.1	(15,588.2)	(6,234.1)
		<u>77,643.6</u>	<u>88,099.8</u>	<u>(16,143.6)</u>	<u>71,956.2</u>
Expenditure Categories					
	FTE	263.0	263.0	0.0	263.0
	Personal Services	9,000.6	10,291.3	(94.9)	10,196.4
	Employee Related Expenses	4,223.8	4,891.7	(33.8)	4,857.9
	Professional and Outside Services	7,026.0	11,663.5	(2,164.8)	9,498.7
	Travel In-State	98.9	43.7	(6.1)	37.6
	Travel Out of State	118.7	59.1	(14.0)	45.1
	Food	132.6	126.3	0.0	126.3
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	32,054.7	33,699.3	(148.6)	33,550.7
	Equipment	3,627.6	6,501.5	(23.1)	6,478.4
	Capital Outlay	942.9	500.0	(13,588.2)	(13,088.2)
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	(17.8)	(17.8)
	Transfers	20,417.9	20,323.4	(52.3)	20,271.1
	Expenditure Categories Total:	<u>77,643.6</u>	<u>88,099.8</u>	<u>(16,143.6)</u>	<u>71,956.2</u>

Summary of Expenditure and Budget Request for All Funds

Agency:	Department of Corrections (for Budget)
----------------	--

Agency Total for All Funds:	1,206,840.3	1,308,491.7	59,133.6	1,367,625.3			
------------------------------------	-------------	-------------	----------	-------------	--	--	--

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	AA1000 General Fund (Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Prison Operations and Services	1,028,788.9	1,104,953.2	24,855.5	1,129,808.7
2 Community Corrections	18,120.9	18,041.8	2,345.7	20,387.5
3 Administration	41,990.4	44,116.3	51,416.7	95,533.0
	1,088,900.2	1,167,111.3	78,617.9	1,245,729.2
Expenditure Categories				
FTE	9,535.0	9,545.0	23.0	9,568.0
Personal Services	409,452.7	427,861.0	5,750.4	433,611.4
Employee Related Expenses	230,019.4	258,239.9	2,155.2	260,395.1
Professional and Outside Services	280,626.9	315,273.4	12,946.2	328,219.6
Travel In-State	595.6	385.5	0.0	385.5
Travel Out of State	105.9	110.5	0.0	110.5
Food	34,071.2	34,713.8	3,154.2	37,868.0
Aid to Organizations and Individuals	136.5	150.0	0.0	150.0
Other Operating Expenses	126,107.9	126,460.1	86.6	126,546.7
Equipment	6,326.7	2,091.2	3,108.6	5,199.8
Capital Outlay	53.1	0.0	51,416.7	51,416.7
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	1,404.2	1,825.9	0.0	1,825.9
Expenditure Categories Total:	1,088,900.2	1,167,111.3	78,617.9	1,245,729.2
Fund Total:	1,088,900.2	1,167,111.3	78,617.9	1,245,729.2

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2000 Federal GrantS FUND (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Prison Operations and Services	1,531.3	1,795.4	(516.9)	1,278.5
2 Community Corrections	220.9	470.9	(11.8)	459.1
3 Administration	6,897.0	6,881.3	0.0	6,881.3
	8,649.2	9,147.6	(528.7)	8,618.9
Expenditure Categories				
FTE	28.0	28.0	0.0	28.0
Personal Services	526.3	653.6	(94.9)	558.7
Employee Related Expenses	191.0	258.0	(33.8)	224.2
Professional and Outside Services	269.5	1,057.2	(162.7)	894.5
Travel In-State	83.2	33.1	(6.1)	27.0
Travel Out of State	82.8	40.8	(14.0)	26.8
Food	31.4	31.3	0.0	31.3
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	827.8	443.5	(124.0)	319.5
Equipment	128.0	119.5	(23.1)	96.4
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	(17.8)	(17.8)
Transfers	6,509.3	6,510.6	(52.3)	6,458.3
	8,649.2	9,147.6	(528.7)	8,618.9
Expenditure Categories Total:				
Fund Total:	8,649.2	9,147.6	(528.7)	8,618.9

Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Corrections (for Budget)

Fund: DC2088 Corrections Fund (Appropriated)

		FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:					
1	Prison Operations and Services	22,233.9	30,312.3	0.0	30,312.3
		22,233.9	30,312.3	0.0	30,312.3
Expenditure Categories					
	Personal Services	0.0	0.0	0.0	0.0
	Employee Related Expenses	0.0	0.0	0.0	0.0
	Professional and Outside Services	19,237.9	27,311.5	0.0	27,311.5
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	2,996.0	3,000.8	0.0	3,000.8
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	0.0	0.0	0.0	0.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:		22,233.9	30,312.3	0.0	30,312.3
Fund Total:		22,233.9	30,312.3	0.0	30,312.3

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2107 State Education Fund for Correctional Education (Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Prison Operations and Services	726.0	729.3	0.0	729.3
	726.0	729.3	0.0	729.3
Expenditure Categories				
FTE	6.0	6.0	0.0	6.0
Personal Services	478.7	462.2	0.0	462.2
Employee Related Expenses	247.4	267.1	0.0	267.1
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	726.0	729.3	0.0	729.3
Fund Total:	726.0	729.3	0.0	729.3

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2204 DOC - Alcohol Abuse Treatment (Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Prison Operations and Services	215.5	250.0	13.8	263.8
2 Community Corrections	228.2	305.5	(13.8)	291.7
	443.7	555.5	0.0	555.5
Expenditure Categories				
FTE	0.0	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	443.0	555.5	0.0	555.5
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.7	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	443.7	555.5	0.0	555.5
Fund Total:	443.7	555.5	0.0	555.5

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2379 Transition Program Fund (Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
2 Community Corrections	1,202.4	2,400.1	0.0	2,400.1
	1,202.4	2,400.1	0.0	2,400.1
Expenditure Categories				
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	1,202.4	2,400.1	0.0	2,400.1
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	1,202.4	2,400.1	0.0	2,400.1
Fund Total:	1,202.4	2,400.1	0.0	2,400.1

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2395 Community Corrections Enhancement Fund (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Prison Operations and Services	1.0	1.5	0.0	1.5
2 Community Corrections	575.6	421.0	0.0	421.0
	576.6	422.5	0.0	422.5
Expenditure Categories				
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	133.0	100.0	0.0	100.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	29.2	12.5	0.0	12.5
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	97.1	150.0	0.0	150.0
Equipment	317.4	160.0	0.0	160.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	576.6	422.5	0.0	422.5
Fund Total:	576.6	422.5	0.0	422.5

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2449 Employee Recognition Fund (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Prison Operations and Services	45.4	104.3	0.0	104.3
3 Administration	7.6	17.8	0.0	17.8
	53.0	122.1	0.0	122.1
Expenditure Categories				
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.3	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.3	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	52.4	122.1	0.0	122.1
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	53.0	122.1	0.0	122.1
Fund Total:	53.0	122.1	0.0	122.1

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2500 IGA and ISA Fund (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Prison Operations and Services	16.4	3,911.5	0.0	3,911.5
2 Community Corrections	0.0	0.0	(26.7)	(26.7)
3 Administration	(14.2)	43.1	(3,888.2)	(3,845.1)
	2.2	3,954.6	(3,914.9)	39.7
Expenditure Categories				
FTE	0.0	0.0	0.0	0.0
Personal Services	(14.9)	26.6	0.0	26.6
Employee Related Expenses	(6.6)	10.2	0.0	10.2
Professional and Outside Services	0.0	0.0	(2.1)	(2.1)
Travel In-State	4.8	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	10.9	27.5	(24.6)	2.9
Equipment	7.9	3,888.2	0.0	3,888.2
Capital Outlay	0.0	0.0	(3,888.2)	(3,888.2)
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	2.1	0.0	2.1
Expenditure Categories Total:	2.2	3,954.6	(3,914.9)	39.7
Fund Total:	2.2	3,954.6	(3,914.9)	39.7

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2504 Prison Construction and Operations Fund (Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Prison Operations and Services	10,000.0	12,500.0	(2,100.0)	10,400.0
	10,000.0	12,500.0	(2,100.0)	10,400.0
Expenditure Categories				
FTE	0.0	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	10,000.0	10,000.0	0.0	10,000.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	2,500.0	(2,100.0)	400.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	10,000.0	12,500.0	(2,100.0)	10,400.0
Fund Total:	10,000.0	12,500.0	(2,100.0)	10,400.0

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2505 Inmate Store Proceeds Fund (Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Prison Operations and Services	282.4	1,341.3	0.0	1,341.3
	282.4	1,341.3	0.0	1,341.3
Expenditure Categories				
FTE	10.0	10.0	0.0	10.0
Personal Services	97.6	538.9	0.0	538.9
Employee Related Expenses	49.3	280.6	0.0	280.6
Professional and Outside Services	0.0	386.3	0.0	386.3
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	135.5	135.5	0.0	135.5
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	282.4	1,341.3	0.0	1,341.3
Fund Total:	282.4	1,341.3	0.0	1,341.3

Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Corrections (for Budget)

Fund: DC2505 Inmate Store Proceeds Fund (Non-Appropriated)

		FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:					
1	Prison Operations and Services	4,016.6	3,575.6	0.0	3,575.6
3	Administration	3,561.0	1,999.2	(1,700.0)	299.2
		<u>7,577.6</u>	<u>5,574.8</u>	<u>(1,700.0)</u>	<u>3,874.8</u>
Expenditure Categories					
	FTE	0.0	0.0	0.0	0.0
	Personal Services	0.0	0.0	0.0	0.0
	Employee Related Expenses	0.0	0.0	0.0	0.0
	Professional and Outside Services	2.9	2.0	0.0	2.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.7	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	2,662.0	3,239.0	0.0	3,239.0
	Equipment	912.0	133.8	0.0	133.8
	Capital Outlay	0.0	0.0	(1,700.0)	(1,700.0)
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	4,000.0	2,200.0	0.0	2,200.0
Expenditure Categories Total:		<u>7,577.6</u>	<u>5,574.8</u>	<u>(1,700.0)</u>	<u>3,874.8</u>
Fund Total:		<u>7,577.6</u>	<u>5,574.8</u>	<u>(1,700.0)</u>	<u>3,874.8</u>

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2515 State DOC Revolving-Transition (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
2 Community Corrections	3,532.7	4,879.4	0.0	4,879.4
3 Administration	3.9	9.8	0.0	9.8
	3,536.6	4,889.2	0.0	4,889.2
Expenditure Categories				
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	1,238.1	1,975.0	0.0	1,975.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	100.2	95.0	0.0	95.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	281.4	708.5	0.0	708.5
Equipment	8.3	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	1,908.6	2,110.7	0.0	2,110.7
Expenditure Categories Total:	3,536.6	4,889.2	0.0	4,889.2
Fund Total:	3,536.6	4,889.2	0.0	4,889.2

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC2551 DOC Building Renewal & Preventive Maintenance (Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
3 Administration	0.0	0.0	(1,240.7)	(1,240.7)
	0.0	0.0	(1,240.7)	(1,240.7)
Expenditure Categories				
FTE	0.0	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	(1,240.7)	(1,240.7)
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	(1,240.7)	(1,240.7)
Fund Total:	0.0	0.0	(1,240.7)	(1,240.7)

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC3140 Penitentiary Land Earnings (Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Prison Operations and Services	2,361.6	2,780.3	0.0	2,780.3
	2,361.6	2,780.3	0.0	2,780.3
Expenditure Categories				
FTE	5.0	5.0	0.0	5.0
Personal Services	133.3	231.4	0.0	231.4
Employee Related Expenses	85.4	133.6	0.0	133.6
Professional and Outside Services	2,062.5	2,062.5	0.0	2,062.5
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	80.4	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	352.8	0.0	352.8
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	2,361.6	2,780.3	0.0	2,780.3
Fund Total:	2,361.6	2,780.3	0.0	2,780.3

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC3141 State Charitable, Penal & Reformatory Land Earnings (Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Prison Operations and Services	3,046.4	2,661.8	0.0	2,661.8
	3,046.4	2,661.8	0.0	2,661.8
Expenditure Categories				
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	1,853.6	1,870.0	0.0	1,870.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	781.4	791.8	0.0	791.8
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	151.3	0.0	0.0	0.0
Equipment	260.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	3,046.4	2,661.8	0.0	2,661.8
Fund Total:	3,046.4	2,661.8	0.0	2,661.8

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC3187 DOC Special Services Fund (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Prison Operations and Services	5,731.9	11,787.3	0.0	11,787.3
2 Community Corrections	2,081.6	2,545.4	0.0	2,545.4
3 Administration	310.3	380.4	(4,000.0)	(3,619.6)
	8,123.8	14,713.1	(4,000.0)	10,713.1
Expenditure Categories				
FTE	15.0	15.0	0.0	15.0
Personal Services	140.8	765.0	0.0	765.0
Employee Related Expenses	51.0	397.0	0.0	397.0
Professional and Outside Services	5,145.7	6,292.4	0.0	6,292.4
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	1.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	2,246.8	2,758.7	0.0	2,758.7
Equipment	38.5	0.0	0.0	0.0
Capital Outlay	0.0	0.0	(4,000.0)	(4,000.0)
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	500.0	4,500.0	0.0	4,500.0
Expenditure Categories Total:	8,123.8	14,713.1	(4,000.0)	10,713.1
Fund Total:	8,123.8	14,713.1	(4,000.0)	10,713.1

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC4002 ARCOR Enterprises Revolving (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Prison Operations and Services	48,731.9	47,003.4	0.0	47,003.4
3 Administration	0.0	0.0	(4,000.0)	(4,000.0)
	48,731.9	47,003.4	(4,000.0)	43,003.4
Expenditure Categories				
FTE	220.0	220.0	0.0	220.0
Personal Services	8,333.5	8,831.1	0.0	8,831.1
Employee Related Expenses	3,981.0	4,219.0	0.0	4,219.0
Professional and Outside Services	236.0	236.9	0.0	236.9
Travel In-State	10.3	10.6	0.0	10.6
Travel Out of State	5.7	5.8	0.0	5.8
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	25,876.4	26,000.0	0.0	26,000.0
Equipment	2,215.4	2,200.0	0.0	2,200.0
Capital Outlay	573.5	500.0	(4,000.0)	(3,500.0)
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	7,500.0	5,000.0	0.0	5,000.0
Expenditure Categories Total:	48,731.9	47,003.4	(4,000.0)	43,003.4
Fund Total:	48,731.9	47,003.4	(4,000.0)	43,003.4

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC9000 Indirect Cost Recovery Fund (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Prison Operations and Services	366.5	250.0	0.0	250.0
2 Community Corrections	1.0	2,000.0	0.0	2,000.0
3 Administration	25.2	22.5	(2,000.0)	(1,977.5)
	392.7	2,272.5	(2,000.0)	272.5
Expenditure Categories				
FTE	0.0	0.0	0.0	0.0
Personal Services	14.9	15.0	0.0	15.0
Employee Related Expenses	7.4	7.5	0.0	7.5
Professional and Outside Services	0.8	2,000.0	(2,000.0)	0.0
Travel In-State	0.2	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	250.0	0.0	250.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	369.4	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	392.7	2,272.5	(2,000.0)	272.5
Fund Total:	392.7	2,272.5	(2,000.0)	272.5

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Fund:	DC9000 Indirect Cost Recovery Fund (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Agency Total for Selected Funds	1,206,840.3	1,308,491.7	59,133.6	1,367,625.3

Program Summary of Expenditures and Budget Request

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

		FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Program Summary					
1-1	Security	545,326.7	583,728.5	5,966.2	589,694.7
1-2	Inspections and Investigations	7,656.3	8,177.3	0.0	8,177.3
1-3	Prison Management and Support	157,685.5	165,229.6	3,798.7	169,028.3
1-4	Private Prisons	3,064.3	3,280.7	0.0	3,280.7
1-5	SLI Private Prison Per Diem	158,236.4	171,493.3	2,768.7	174,262.0
1-6	Inmate Education, Treatment and Work Programs	34,539.7	42,724.1	9,718.8	52,442.9
1-7	Arizona Correctional Industries	48,731.9	47,003.4	0.0	47,003.4
1-8	Inmate Health Care	6,093.0	7,608.6	0.0	7,608.6
1-9	SLI Inmate Health Care Contracted Services	166,761.7	194,711.7	0.0	194,711.7
Program Summary Total:		1,128,095.6	1,223,957.2	22,252.4	1,246,209.6

Expenditure Categories					
0000	FTE Positions	9,243.5	9,253.5	15.0	9,268.5
6000	Personal Services	389,720.7	408,408.7	4,996.7	413,405.4
6100	Employee Related Expenses	219,575.5	247,740.9	1,350.9	249,091.8
6200	Professional and Outside Services	312,208.8	355,474.2	12,808.0	368,282.2
6500	Travel In-State	479.3	310.2	(6.1)	304.1
6600	Travel Out of State	163.5	119.1	(14.0)	105.1
6700	Food	37,572.2	40,575.3	1,054.2	41,629.5
6800	Aid to Organizations and Individuals	136.5	150.0	0.0	150.0
7000	Other Operating Expenses	149,934.3	151,534.3	(924.2)	150,610.1
8000	Equipment	7,568.1	7,622.4	3,055.9	10,678.3
8100	Capital Outlay	940.0	500.0	0.0	500.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	(16.7)	(16.7)
9100	Transfers	9,796.7	11,522.1	(52.3)	11,469.8
Expenditure Categories Total:		1,128,095.6	1,223,957.2	22,252.4	1,246,209.6

Fund Source					
Appropriated Funds					
AA1000-A	General Fund (Appropriated)	1,028,788.9	1,104,953.2	24,855.5	1,129,808.7
DC2088-A	Corrections Fund (Appropriated)	22,233.9	30,312.3	0.0	30,312.3
DC2107-A	State Education Fund for Correctional Education (726.0	729.3	0.0	729.3
DC2204-A	DOC - Alcohol Abuse Treatment (Appropriated)	215.5	250.0	13.8	263.8
DC2504-A	Prison Construction and Operations Fund (Approp	10,000.0	12,500.0	(2,100.0)	10,400.0
DC2505-A	Inmate Store Proceeds Fund (Appropriated)	282.4	1,341.3	0.0	1,341.3

Program Summary of Expenditures and Budget Request

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
DC3140-A Penitentiary Land Earnings (Appropriated)	2,361.6	2,780.3	0.0	2,780.3
DC3141-A State Charitable, Penal & Reformatory Land Earni	3,046.4	2,661.8	0.0	2,661.8
	1,067,654.7	1,155,528.2	22,769.3	1,178,297.5
Non-Appropriated Funds				
DC2000-N Federal GrantS FUND (Non-Appropriated)	1,531.3	1,795.4	(516.9)	1,278.5
DC2395-N Community Corrections Enhancement Fund (Non-	1.0	1.5	0.0	1.5
DC2449-N Employee Recognition Fund (Non-Appropriated)	45.4	104.3	0.0	104.3
DC2500-N IGA and ISA Fund (Non-Appropriated)	16.4	3,911.5	0.0	3,911.5
DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)	4,016.6	3,575.6	0.0	3,575.6
DC3187-N DOC Special Services Fund (Non-Appropriated)	5,731.9	11,787.3	0.0	11,787.3
DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)	48,731.9	47,003.4	0.0	47,003.4
DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)	366.5	250.0	0.0	250.0
	60,440.9	68,429.0	(516.9)	67,912.1
Fund Source Total:	1,128,095.6	1,223,957.2	22,252.4	1,246,209.6

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	AA1000-A General Fund (Appropriated)
--------------	--------------------------------------

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

1-1	Security	544,787.0	583,067.6	5,966.2	589,033.8
1-2	Inspections and Investigations	7,656.1	8,176.7	0.0	8,176.7
1-3	Prison Management and Support	148,126.7	149,508.4	5,898.7	155,407.1
1-4	Private Prisons	3,064.3	3,280.7	0.0	3,280.7
1-5	SLI Private Prison Per Diem	136,936.0	142,119.3	2,768.7	144,888.0
1-6	Inmate Education, Treatment and Work Programs	26,864.1	27,980.2	10,221.9	38,202.1
1-7	Arizona Correctional Industries	0.0	0.0	0.0	0.0
1-8	Inmate Health Care	6,093.0	7,608.6	0.0	7,608.6
1-9	SLI Inmate Health Care Contracted Services	155,261.7	183,211.7	0.0	183,211.7
	Total	1,028,788.9	1,104,953.2	24,855.5	1,129,808.7

Appropriated Funding

Expenditure Categories

FTE Positions		8,966.5	8,976.5	15.0	8,991.5
	Personal Services	380,377.7	397,245.7	5,091.6	402,337.3
	Employee Related Expenses	215,106.6	242,361.1	1,384.7	243,745.8
	Professional and Outside Services	275,546.6	309,135.7	12,946.2	322,081.9
	Travel In-State	390.6	268.5	0.0	268.5
	Travel Out of State	91.8	90.7	0.0	90.7
	Food	33,682.0	34,251.4	3,154.2	37,405.6
	Aid to Organizations and Individuals	136.5	150.0	0.0	150.0
	Other Operating Expenses	118,252.1	118,543.3	(800.2)	117,743.1
	Equipment	4,027.3	1,280.9	3,079.0	4,359.9
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	1,177.8	1,625.9	0.0	1,625.9

Expenditure Categories Total:	1,028,788.9	1,104,953.2	24,855.5	1,129,808.7
--------------------------------------	--------------------	--------------------	-----------------	--------------------

Fund AA1000-A Total:	1,028,788.9	1,104,953.2	24,855.5	1,129,808.7
-----------------------------	--------------------	--------------------	-----------------	--------------------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Program 1 Total:	1,028,788.9	1,104,953.2	24,855.5	1,129,808.7

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2000-N Federal GrantS FUND (Non-Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

1-3	Prison Management and Support	92.9	69.5	0.0	69.5
1-6	Inmate Education, Treatment and Work Programs	1,438.5	1,725.9	(516.9)	1,209.0
	Total	1,531.3	1,795.4	(516.9)	1,278.5

Non-Appropriated Funding

Expenditure Categories

FTE Positions	21.0	21.0	0.0	21.0
Personal Services	159.2	334.4	(94.9)	239.5
Employee Related Expenses	54.8	82.5	(33.8)	48.7
Professional and Outside Services	110.6	620.1	(152.0)	468.1
Travel In-State	77.9	31.1	(6.1)	25.0
Travel Out of State	65.0	22.6	(14.0)	8.6
Food	31.4	31.3	0.0	31.3
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	806.5	434.8	(124.0)	310.8
Equipment	106.9	119.5	(23.1)	96.4
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	(16.7)	(16.7)
Transfers	118.9	119.1	(52.3)	66.8

Expenditure Categories Total:	1,531.3	1,795.4	(516.9)	1,278.5
--------------------------------------	---------	---------	---------	---------

Fund DC2000-N Total:	1,531.3	1,795.4	(516.9)	1,278.5
-----------------------------	---------	---------	---------	---------

Program 1 Total:	1,531.3	1,795.4	(516.9)	1,278.5
-------------------------	---------	---------	---------	---------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2088-A Corrections Fund (Appropriated)
--------------	--

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-3	Prison Management and Support	2,996.0	3,000.8	0.0	3,000.8
1-5	SLI Private Prison Per Diem	19,237.9	27,311.5	0.0	27,311.5
	Total	22,233.9	30,312.3	0.0	30,312.3

Appropriated Funding

Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	19,237.9	27,311.5	0.0	27,311.5
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	2,996.0	3,000.8	0.0	3,000.8
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

Expenditure Categories Total:	22,233.9	30,312.3	0.0	30,312.3
--------------------------------------	----------	----------	-----	----------

Fund DC2088-A Total:	22,233.9	30,312.3	0.0	30,312.3
-----------------------------	----------	----------	-----	----------

Program 1 Total:	22,233.9	30,312.3	0.0	30,312.3
-------------------------	----------	----------	-----	----------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2107-A State Education Fund for Correctional Education (Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

1-6	Inmate Education, Treatment and Work Programs	726.0	729.3	0.0	729.3
	Total	726.0	729.3	0.0	729.3

Appropriated Funding

Expenditure Categories

FTE Positions	6.0	6.0	0.0	6.0
Personal Services	478.7	462.2	0.0	462.2
Employee Related Expenses	247.4	267.1	0.0	267.1
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

Expenditure Categories Total:	726.0	729.3	0.0	729.3
--------------------------------------	-------	-------	-----	-------

Fund DC2107-A Total:	726.0	729.3	0.0	729.3
-----------------------------	-------	-------	-----	-------

Program 1 Total:	726.0	729.3	0.0	729.3
-------------------------	-------	-------	-----	-------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2204-A DOC - Alcohol Abuse Treatment (Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

1-6	Inmate Education, Treatment and Work Programs	215.5	250.0	13.8	263.8
	Total	215.5	250.0	13.8	263.8

Appropriated Funding

Expenditure Categories

FTE Positions	0.0	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	215.5	250.0	13.8	263.8
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

Expenditure Categories Total:	215.5	250.0	13.8	263.8
--------------------------------------	-------	-------	------	-------

Fund DC2204-A Total:	215.5	250.0	13.8	263.8
-----------------------------	-------	-------	------	-------

Program 1 Total:	215.5	250.0	13.8	263.8
-------------------------	-------	-------	------	-------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2395-N Community Corrections Enhancement Fund (Non-Appropriated)
--------------	--

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-6	Inmate Education, Treatment and Work Programs	1.0	1.5	0.0	1.5
	Total	1.0	1.5	0.0	1.5

Non-Appropriated Funding

Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	1.0	1.5	0.0	1.5
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	1.0	1.5	0.0	1.5
Fund DC2395-N Total:	1.0	1.5	0.0	1.5
Program 1 Total:	1.0	1.5	0.0	1.5

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2449-N Employee Recognition Fund (Non-Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT			FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
1-1	Security		0.0	0.1	0.0	0.1
1-2	Inspections and Investigations		0.2	0.6	0.0	0.6
1-3	Prison Management and Support		45.1	103.6	0.0	103.6
Total			45.4	104.3	0.0	104.3

Non-Appropriated Funding

Expenditure Categories

	Personal Services		0.0	0.0	0.0	0.0
	Employee Related Expenses		0.0	0.0	0.0	0.0
	Professional and Outside Services		0.0	0.0	0.0	0.0
	Travel In-State		0.3	0.0	0.0	0.0
	Travel Out of State		0.0	0.0	0.0	0.0
	Food		0.3	0.0	0.0	0.0
	Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
	Other Operating Expenses		44.7	104.3	0.0	104.3
	Equipment		0.0	0.0	0.0	0.0
	Capital Outlay		0.0	0.0	0.0	0.0
	Debt Service		0.0	0.0	0.0	0.0
	Cost Allocation		0.0	0.0	0.0	0.0
	Transfers		0.0	0.0	0.0	0.0
Expenditure Categories Total:			45.4	104.3	0.0	104.3
Fund DC2449-N Total:			45.4	104.3	0.0	104.3
Program 1 Total:			45.4	104.3	0.0	104.3

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2500-N IGA and ISA Fund (Non-Appropriated)
--------------	--

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

1-6	Inmate Education, Treatment and Work Programs	16.4	3,911.5	0.0	3,911.5
	Total	16.4	3,911.5	0.0	3,911.5

Non-Appropriated Funding

Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	8.4	21.2	0.0	21.2
Equipment	7.9	3,888.2	0.0	3,888.2
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	2.1	0.0	2.1

Expenditure Categories Total:	16.4	3,911.5	0.0	3,911.5
--------------------------------------	------	---------	-----	---------

Fund DC2500-N Total:	16.4	3,911.5	0.0	3,911.5
-----------------------------	------	---------	-----	---------

Program 1 Total:	16.4	3,911.5	0.0	3,911.5
-------------------------	------	---------	-----	---------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2504-A Prison Construction and Operations Fund (Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-3	Prison Management and Support	0.0	2,500.0	(2,100.0)	400.0
1-9	SLI Inmate Health Care Contracted Services	10,000.0	10,000.0	0.0	10,000.0
Total		10,000.0	12,500.0	(2,100.0)	10,400.0

Appropriated Funding

Expenditure Categories

FTE Positions		0.0	0.0	0.0	0.0
Personal Services		0.0	0.0	0.0	0.0
Employee Related Expenses		0.0	0.0	0.0	0.0
Professional and Outside Services		10,000.0	10,000.0	0.0	10,000.0
Travel In-State		0.0	0.0	0.0	0.0
Travel Out of State		0.0	0.0	0.0	0.0
Food		0.0	2,500.0	(2,100.0)	400.0
Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
Other Operating Expenses		0.0	0.0	0.0	0.0
Equipment		0.0	0.0	0.0	0.0
Capital Outlay		0.0	0.0	0.0	0.0
Debt Service		0.0	0.0	0.0	0.0
Cost Allocation		0.0	0.0	0.0	0.0
Transfers		0.0	0.0	0.0	0.0

Expenditure Categories Total:	10,000.0	12,500.0	(2,100.0)	10,400.0
--------------------------------------	----------	----------	-----------	----------

Fund DC2504-A Total:	10,000.0	12,500.0	(2,100.0)	10,400.0
-----------------------------	----------	----------	-----------	----------

Program 1 Total:	10,000.0	12,500.0	(2,100.0)	10,400.0
-------------------------	----------	----------	-----------	----------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2505-A Inmate Store Proceeds Fund (Appropriated)
--------------	--

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

1-6	Inmate Education, Treatment and Work Programs	282.4	1,341.3	0.0	1,341.3
	Total	282.4	1,341.3	0.0	1,341.3

Appropriated Funding

Expenditure Categories

FTE Positions	10.0	10.0	0.0	10.0
Personal Services	97.6	538.9	0.0	538.9
Employee Related Expenses	49.3	280.6	0.0	280.6
Professional and Outside Services	0.0	386.3	0.0	386.3
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	135.5	135.5	0.0	135.5
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

Expenditure Categories Total:	282.4	1,341.3	0.0	1,341.3
--------------------------------------	-------	---------	-----	---------

Fund DC2505-A Total:	282.4	1,341.3	0.0	1,341.3
-----------------------------	-------	---------	-----	---------

Program 1 Total:	282.4	1,341.3	0.0	1,341.3
-------------------------	-------	---------	-----	---------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)
--------------	--

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-3	Prison Management and Support	2,691.9	1,963.7	0.0	1,963.7
1-6	Inmate Education, Treatment and Work Programs	1,324.7	1,611.9	0.0	1,611.9
	Total	4,016.6	3,575.6	0.0	3,575.6

Non-Appropriated Funding

Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	2.9	2.0	0.0	2.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.7	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	2,601.0	3,164.8	0.0	3,164.8
Equipment	912.0	133.8	0.0	133.8
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	500.0	275.0	0.0	275.0

Expenditure Categories Total:	4,016.6	3,575.6	0.0	3,575.6
--------------------------------------	---------	---------	-----	---------

Fund DC2505-N Total:	4,016.6	3,575.6	0.0	3,575.6
-----------------------------	---------	---------	-----	---------

Program 1 Total:	4,016.6	3,575.6	0.0	3,575.6
-------------------------	---------	---------	-----	---------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC3140-A Penitentiary Land Earnings (Appropriated)
--------------	--

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-1	Security	186.0	290.8	0.0	290.8
1-3	Prison Management and Support	80.4	80.4	0.0	80.4
1-5	SLI Private Prison Per Diem	2,062.5	2,062.5	0.0	2,062.5
1-6	Inmate Education, Treatment and Work Programs	32.7	346.6	0.0	346.6
Total		2,361.6	2,780.3	0.0	2,780.3

Appropriated Funding

Expenditure Categories

FTE Positions		5.0	5.0	0.0	5.0
Personal Services		133.3	231.4	0.0	231.4
Employee Related Expenses		85.4	133.6	0.0	133.6
Professional and Outside Services		2,062.5	2,062.5	0.0	2,062.5
Travel In-State		0.0	0.0	0.0	0.0
Travel Out of State		0.0	0.0	0.0	0.0
Food		80.4	0.0	0.0	0.0
Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
Other Operating Expenses		0.0	352.8	0.0	352.8
Equipment		0.0	0.0	0.0	0.0
Capital Outlay		0.0	0.0	0.0	0.0
Debt Service		0.0	0.0	0.0	0.0
Cost Allocation		0.0	0.0	0.0	0.0
Transfers		0.0	0.0	0.0	0.0

Expenditure Categories Total:	2,361.6	2,780.3	0.0	2,780.3
--------------------------------------	---------	---------	-----	---------

Fund DC3140-A Total:	2,361.6	2,780.3	0.0	2,780.3
-----------------------------	---------	---------	-----	---------

Program 1 Total:	2,361.6	2,780.3	0.0	2,780.3
-------------------------	---------	---------	-----	---------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC3141-A State Charitable, Penal & Reformatory Land Earnings (Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-1	Security	353.6	370.0	0.0	370.0
1-3	Prison Management and Support	781.4	791.8	0.0	791.8
1-6	Inmate Education, Treatment and Work Programs	411.4	0.0	0.0	0.0
1-9	SLI Inmate Health Care Contracted Services	1,500.0	1,500.0	0.0	1,500.0
Total		3,046.4	2,661.8	0.0	2,661.8

Appropriated Funding

Expenditure Categories

Personal Services		0.0	0.0	0.0	0.0
Employee Related Expenses		0.0	0.0	0.0	0.0
Professional and Outside Services		1,853.6	1,870.0	0.0	1,870.0
Travel In-State		0.0	0.0	0.0	0.0
Travel Out of State		0.0	0.0	0.0	0.0
Food		781.4	791.8	0.0	791.8
Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
Other Operating Expenses		151.3	0.0	0.0	0.0
Equipment		260.0	0.0	0.0	0.0
Capital Outlay		0.0	0.0	0.0	0.0
Debt Service		0.0	0.0	0.0	0.0
Cost Allocation		0.0	0.0	0.0	0.0
Transfers		0.0	0.0	0.0	0.0
Expenditure Categories Total:		3,046.4	2,661.8	0.0	2,661.8
Fund DC3141-A Total:		3,046.4	2,661.8	0.0	2,661.8
Program 1 Total:		3,046.4	2,661.8	0.0	2,661.8

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC3187-N DOC Special Services Fund (Non-Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-3	Prison Management and Support	2,504.7	6,961.4	0.0	6,961.4
1-6	Inmate Education, Treatment and Work Programs	3,227.2	4,825.9	0.0	4,825.9
	Total	5,731.9	11,787.3	0.0	11,787.3

Non-Appropriated Funding

Expenditure Categories

FTE Positions		15.0	15.0	0.0	15.0
	Personal Services	140.8	765.0	0.0	765.0
	Employee Related Expenses	51.0	397.0	0.0	397.0
	Professional and Outside Services	2,943.2	3,599.2	0.0	3,599.2
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	1.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	2,057.4	2,526.1	0.0	2,526.1
	Equipment	38.5	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	500.0	4,500.0	0.0	4,500.0

Expenditure Categories Total:	5,731.9	11,787.3	0.0	11,787.3
--------------------------------------	---------	----------	-----	----------

Fund DC3187-N Total:	5,731.9	11,787.3	0.0	11,787.3
-----------------------------	---------	----------	-----	----------

Program 1 Total:	5,731.9	11,787.3	0.0	11,787.3
-------------------------	---------	----------	-----	----------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-7	Arizona Correctional Industries	48,731.9	47,003.4	0.0	47,003.4
	Total	48,731.9	47,003.4	0.0	47,003.4

Non-Appropriated Funding

Expenditure Categories

FTE Positions	220.0	220.0	0.0	220.0
Personal Services	8,333.5	8,831.1	0.0	8,831.1
Employee Related Expenses	3,981.0	4,219.0	0.0	4,219.0
Professional and Outside Services	236.0	236.9	0.0	236.9
Travel In-State	10.3	10.6	0.0	10.6
Travel Out of State	5.7	5.8	0.0	5.8
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	25,876.4	26,000.0	0.0	26,000.0
Equipment	2,215.4	2,200.0	0.0	2,200.0
Capital Outlay	573.5	500.0	0.0	500.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	7,500.0	5,000.0	0.0	5,000.0
Expenditure Categories Total:	48,731.9	47,003.4	0.0	47,003.4
Fund DC4002-N Total:	48,731.9	47,003.4	0.0	47,003.4
Program 1 Total:	48,731.9	47,003.4	0.0	47,003.4

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Operations and Services

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-3	Prison Management and Support	366.5	250.0	0.0	250.0
	Total	366.5	250.0	0.0	250.0

Non-Appropriated Funding

Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	250.0	0.0	250.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	366.5	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	366.5	250.0	0.0	250.0
Fund DC9000-N Total:	366.5	250.0	0.0	250.0
Program 1 Total:	366.5	250.0	0.0	250.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency:	Department of Corrections (for Budget)
Program:	Security

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	7,805.0	7,805.0	0.0	7,805.0
6000 Personal Services	335,969.2	350,437.2	4,482.6	354,919.8
6100 Employee Related Expenses	191,360.6	215,620.5	1,483.6	217,104.1
6200 Professional and Outside Services	562.4	604.6	0.0	604.6
6500 Travel In-State	88.7	57.4	0.0	57.4
6600 Travel Out of State	47.9	44.1	0.0	44.1
6700 Food	(0.6)	(0.6)	0.0	(0.6)
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	16,730.9	16,777.7	0.0	16,777.7
8000 Equipment	567.7	187.6	0.0	187.6
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:				
	545,326.7	583,728.5	5,966.2	589,694.7
Fund Source				
Appropriated Funds				
AA1000-A General Fund (Appropriated)	544,787.0	583,067.6	5,966.2	589,033.8
DC3140-A Penitentiary Land Earnings (Appropriated)	186.0	290.8	0.0	290.8
DC3141-A State Charitable, Penal & Reformatory Land Earni	353.6	370.0	0.0	370.0
	545,326.7	583,728.4	5,966.2	589,694.6
Non-Appropriated Funds				
DC2449-N Employee Recognition Fund (Non-Appropriated)	0.0	0.1	0.0	0.1
	0.0	0.1	0.0	0.1
Fund Source Total:				
	545,326.7	583,728.5	5,966.2	589,694.7

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)			
	FY 2019	FY 2020	FY 2021	FY 2021
	Actual	Expd. Plan	Fund. Issue	Total Request
Program:	Security			
Fund:	AA1000-A General Fund			
	Appropriated			
0000 FTE	7,801.0	7,801.0	0.0	7,801.0
6000 Personal Services	335,856.4	350,261.0	4,482.6	354,743.6
6100 Employee Related Expenses	191,287.3	215,505.9	1,483.6	216,989.5
6200 Professional and Outside Services	208.8	234.6	0.0	234.6
6500 Travel In-State	88.7	57.4	0.0	57.4
6600 Travel Out of State	47.9	44.1	0.0	44.1
6700 Food	(0.6)	(0.6)	0.0	(0.6)
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	16,730.8	16,777.6	0.0	16,777.6
8000 Equipment	567.7	187.6	0.0	187.6
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
Appropriated Total:	544,787.0	583,067.6	5,966.2	589,033.8
Fund Total:	544,787.0	583,067.6	5,966.2	589,033.8
Program Total For Selected Funds:	544,787.0	583,067.6	5,966.2	589,033.8

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Security				
Fund:	DC2449-N Employee Recognition Fund				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.1	0.0	0.1
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	0.0	0.1	0.0	0.1
	Fund Total:	0.0	0.1	0.0	0.1
	Program Total For Selected Funds:	0.0	0.1	0.0	0.1

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
		FY 2019	FY 2020	FY 2021	FY 2021
		Actual	Expd. Plan	Fund. Issue	Total Request
Program:	Security				
Fund:	DC3140-A Penitentiary Land Earnings				
	Appropriated				
0000	FTE	4.0	4.0	0.0	4.0
6000	Personal Services	112.8	176.2	0.0	176.2
6100	Employee Related Expenses	73.3	114.6	0.0	114.6
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	186.0	290.8	0.0	290.8
	Fund Total:	186.0	290.8	0.0	290.8
	Program Total For Selected Funds:	186.0	290.8	0.0	290.8

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Security				
Fund:	DC3141-A State Charitable, Penal & Reformatory Land Earnings				
	Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	353.6	370.0	0.0	370.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	353.6	370.0	0.0	370.0
	Fund Total:	353.6	370.0	0.0	370.0
	Program Total For Selected Funds:	353.6	370.0	0.0	370.0

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Security

	FY 2019 Actual	FY 2020 Expd. Plan
FTE	7,805.0	7,805.0
Expenditure Category Total	7,805.0	7,805.0
Appropriated		
AA1000-A General Fund (Appropriated)	7,801.0	7,801.0
DC3140-A Penitentiary Land Earnings (Appropriated)	4.0	4.0
Fund Source Total	7,805.0	7,805.0
<hr/>		
Personal Services	335,969.2	350,437.2
Boards and Commissions	0.0	0.0
Expenditure Category Total	335,969.2	350,437.2
Appropriated		
AA1000-A General Fund (Appropriated)	335,856.4	350,261.0
DC3140-A Penitentiary Land Earnings (Appropriated)	112.8	176.2
Fund Source Total	335,969.2	350,437.2
<hr/>		
Employee Related Expenses	191,360.6	215,620.5
Expenditure Category Total	191,360.6	215,620.5
Appropriated		
AA1000-A General Fund (Appropriated)	191,287.3	215,505.9
DC3140-A Penitentiary Land Earnings (Appropriated)	73.3	114.6
Fund Source Total	191,360.6	215,620.5
<hr/>		
Professional and Outside Services		604.6
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	3.9	
Institutional Care	0.0	
Education And Training	13.1	
Vendor Travel	1.1	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	121.9	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	422.4	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Security

	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	562.4	604.6
Appropriated		
AA1000-A General Fund (Appropriated)	208.8	234.6
DC3141-A State Charitable, Penal & Reformatory Land Earnings (App)	353.6	370.0
	562.4	604.6
Fund Source Total	562.4	604.6
<hr/>		
Travel In-State	88.7	57.4
Expenditure Category Total	88.7	57.4
Appropriated		
AA1000-A General Fund (Appropriated)	88.7	57.4
	88.7	57.4
Fund Source Total	88.7	57.4
<hr/>		
Travel Out of State	47.9	44.1
Expenditure Category Total	47.9	44.1
Appropriated		
AA1000-A General Fund (Appropriated)	47.9	44.1
	47.9	44.1
Fund Source Total	47.9	44.1
<hr/>		
Food	(0.6)	(0.6)
Expenditure Category Total	(0.6)	(0.6)
Appropriated		
AA1000-A General Fund (Appropriated)	(0.6)	(0.6)
	(0.6)	(0.6)
Fund Source Total	(0.6)	(0.6)
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Other Operating Expenses		16,777.7
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	7,351.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Security

	FY 2019 Actual	FY 2020 Expd. Plan
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	2.6	
Miscellaneous Rent	25.1	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	4.0	
Repair And Maintenance - Vehicles	15.5	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	82.2	
Other Repair And Maintenance	1,889.6	
Software Support And Maintenance	0.4	
Uniforms	4,563.9	
Inmate Clothing	36.4	
Security Supplies	1,652.1	
Office Supplies	58.9	
Computer Supplies	18.4	
Housekeeping Supplies	155.0	
Bedding And Bath Supplies	11.2	
Drugs And Medicine Supplies	0.0	
Medical Supplies	6.8	
Dental Supplies	0.0	
Automotive And Transportation Fuels	(24.9)	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Security

	FY 2019 Actual	FY 2020 Expd. Plan
Automotive Lubricants And Supplies	0.1	
Rpr And Maint Supplies-Not Auto Or Build	85.1	
Repair And Maintenance Supplies-Building	54.0	
Other Operating Supplies	636.2	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	14.6	
Other Education And Training Costs	1.3	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	34.5	
External Printing	0.4	
Photography	0.0	
Postage And Delivery	0.3	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	5.4	
Entertainment And Promotional Items	0.0	
Dues	9.0	
Books- Subscriptions And Publications	4.8	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	4.9	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	(0.1)	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Security

	FY 2019 Actual	FY 2020 Expd. Plan
Other Miscellaneous Operating	32.2	
Expenditure Category Total	16,730.9	16,777.7
Appropriated		
AA1000-A General Fund (Appropriated)	16,730.8	16,777.6
	16,730.8	16,777.6
Non-Appropriated		
DC2449-N Employee Recognition Fund (Non-Appropriated)	0.0	0.1
	0.0	0.1
Fund Source Total	16,730.9	16,777.7
<hr/>		
Current Year Expenditures		187.6
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	240.1	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	1.2	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	64.3	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	5.3	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	48.7	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	13.3	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	2.5	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	129.1	
Weapons Non-Capital Purchase	62.2	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.8	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Security

	FY 2019 Actual	FY 2020 Expd. Plan
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
Expenditure Category Total	567.7	187.6
Appropriated		
AA1000-A General Fund (Appropriated)	567.7	187.6
Fund Source Total	567.7	187.6
<hr/>		
Capital Outlay	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Transfers	0.0	0.0
Expenditure Category Total	0.0	0.0

Employee Retirement Coverage			
Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	69.0	3,730.4	AA1000-A
DOC CORP Tier 1,2	5,630.0	270,782.9	AA1000-A
DOC CORP Tier 3 Defined Contribution	2,102.0	75,747.7	AA1000-A
DOC CORP Tier 1,2	4.0	176.2	DC3140-A

Combined Regular & Elected Positions At/Above FICA Maximum of \$128,400
--

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency:	Department of Corrections (for Budget)
Program:	Inspections and Investigations

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	101.0	101.0	0.0	101.0
6000 Personal Services	4,706.3	4,917.9	0.0	4,917.9
6100 Employee Related Expenses	2,745.9	3,082.8	0.0	3,082.8
6200 Professional and Outside Services	42.6	47.9	0.0	47.9
6500 Travel In-State	25.5	16.5	0.0	16.5
6600 Travel Out of State	3.2	3.3	0.0	3.3
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	96.1	96.8	0.0	96.8
8000 Equipment	36.6	12.1	0.0	12.1
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:				
	7,656.3	8,177.3	0.0	8,177.3
Fund Source				
Appropriated Funds				
AA1000-A General Fund (Appropriated)	7,656.1	8,176.7	0.0	8,176.7
	7,656.1	8,176.7	0.0	8,176.7
Non-Appropriated Funds				
DC2449-N Employee Recognition Fund (Non-Appropriated)	0.2	0.6	0.0	0.6
	0.2	0.6	0.0	0.6
Fund Source Total:				
	7,656.3	8,177.3	0.0	8,177.3

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)			
	FY 2019	FY 2020	FY 2021	FY 2021
	Actual	Expd. Plan	Fund. Issue	Total Request
Program:	Inspections and Investigations			
Fund:	AA1000-A General Fund			
	Appropriated			
0000 FTE	101.0	101.0	0.0	101.0
6000 Personal Services	4,706.3	4,917.9	0.0	4,917.9
6100 Employee Related Expenses	2,745.9	3,082.8	0.0	3,082.8
6200 Professional and Outside Services	42.6	47.9	0.0	47.9
6500 Travel In-State	25.5	16.5	0.0	16.5
6600 Travel Out of State	3.2	3.3	0.0	3.3
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	95.9	96.2	0.0	96.2
8000 Equipment	36.6	12.1	0.0	12.1
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
Appropriated Total:	7,656.1	8,176.7	0.0	8,176.7
Fund Total:	7,656.1	8,176.7	0.0	8,176.7
Program Total For Selected Funds:	7,656.1	8,176.7	0.0	8,176.7

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Inspections and Investigations				
Fund:	DC2449-N Employee Recognition Fund				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.2	0.6	0.0	0.6
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	0.2	0.6	0.0	0.6
	Fund Total:	0.2	0.6	0.0	0.6
	Program Total For Selected Funds:	0.2	0.6	0.0	0.6

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)	
Program:	Inspections and Investigations	
	FY 2019 Actual	FY 2020 Expd. Plan
FTE	101.0	101.0
Expenditure Category Total	101.0	101.0
Appropriated		
AA1000-A General Fund (Appropriated)	101.0	101.0
Fund Source Total	101.0	101.0
<hr/>		
Personal Services	4,706.3	4,917.9
Boards and Commissions	0.0	0.0
Expenditure Category Total	4,706.3	4,917.9
Appropriated		
AA1000-A General Fund (Appropriated)	4,706.3	4,917.9
Fund Source Total	4,706.3	4,917.9
<hr/>		
Employee Related Expenses	2,745.9	3,082.8
Expenditure Category Total	2,745.9	3,082.8
Appropriated		
AA1000-A General Fund (Appropriated)	2,745.9	3,082.8
Fund Source Total	2,745.9	3,082.8
<hr/>		
Professional and Outside Services		47.9
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	1.2	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	2.9	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	38.5	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)	
Program:	Inspections and Investigations	
	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	42.6	47.9
Appropriated		
AA1000-A General Fund (Appropriated)	42.6	47.9
Fund Source Total	42.6	47.9
<hr/>		
Travel In-State	25.5	16.5
Expenditure Category Total	25.5	16.5
Appropriated		
AA1000-A General Fund (Appropriated)	25.5	16.5
Fund Source Total	25.5	16.5
<hr/>		
Travel Out of State	3.2	3.3
Expenditure Category Total	3.2	3.3
Appropriated		
AA1000-A General Fund (Appropriated)	3.2	3.3
Fund Source Total	3.2	3.3
<hr/>		
Food	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Other Operating Expenses		96.8
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inspections and Investigations

	FY 2019 Actual	FY 2020 Expd. Plan
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	23.2	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	1.2	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	18.1	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.8	
Uniforms	0.9	
Inmate Clothing	0.0	
Security Supplies	0.8	
Office Supplies	12.9	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	2.8	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inspections and Investigations

	FY 2019 Actual	FY 2020 Expd. Plan
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	4.2	
Other Education And Training Costs	0.6	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.6	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.1	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.4	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	25.7	
Other Miscellaneous Operating	3.8	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inspections and Investigations

	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	96.1	96.8
Appropriated		
AA1000-A General Fund (Appropriated)	95.9	96.2
	95.9	96.2
Non-Appropriated		
DC2449-N Employee Recognition Fund (Non-Appropriated)	0.2	0.6
	0.2	0.6
Fund Source Total	96.1	96.8

		12.1
Current Year Expenditures		
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	9.9	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.7	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	26.1	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inspections and Investigations

	FY 2019 Actual	FY 2020 Expd. Plan
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
Expenditure Category Total	36.6	12.1
Appropriated		
AA1000-A General Fund (Appropriated)	36.6	12.1
Fund Source Total	36.6	12.1
<hr/>		
Capital Outlay	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Transfers	0.0	0.0
Expenditure Category Total	0.0	0.0

Employee Retirement Coverage

Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	23.0	1,027.4	AA1000-A
DOC CORP Tier 1,2	71.0	3,548.9	AA1000-A
DOC CORP Tier 3 Defined Contribution	7.0	341.6	AA1000-A

Combined Regular & Elected Positions At/Above FICA Maximum of \$128,400

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency:	Department of Corrections (for Budget)
Program:	Prison Management and Support

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	732.5	732.5	0.0	732.5
6000 Personal Services	23,770.9	24,839.6	0.0	24,839.6
6100 Employee Related Expenses	13,233.1	14,856.6	0.0	14,856.6
6200 Professional and Outside Services	518.8	581.6	0.0	581.6
6500 Travel In-State	200.1	129.3	0.0	129.3
6600 Travel Out of State	31.5	32.9	0.0	32.9
6700 Food	37,572.7	40,575.9	1,054.2	41,630.1
6800 Aid to Organizations and Individuals	136.5	150.0	0.0	150.0
7000 Other Operating Expenses	76,774.5	78,083.8	0.0	78,083.8
8000 Equipment	4,080.2	1,204.9	2,744.5	3,949.4
8100 Capital Outlay	366.5	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	1,000.6	4,775.0	0.0	4,775.0
Expenditure Categories Total:				
	157,685.5	165,229.6	3,798.7	169,028.3
Fund Source				
Appropriated Funds				
AA1000-A General Fund (Appropriated)	148,126.7	149,508.4	5,898.7	155,407.1
DC2088-A Corrections Fund (Appropriated)	2,996.0	3,000.8	0.0	3,000.8
DC2504-A Prison Construction and Operations Fund (Approp	0.0	2,500.0	(2,100.0)	400.0
DC3140-A Penitentiary Land Earnings (Appropriated)	80.4	80.4	0.0	80.4
DC3141-A State Charitable, Penal & Reformatory Land Earni	781.4	791.8	0.0	791.8
	151,984.5	155,881.4	3,798.7	159,680.1
Non-Appropriated Funds				
DC2000-N Federal Grants FUND (Non-Appropriated)	92.9	69.5	0.0	69.5
DC2449-N Employee Recognition Fund (Non-Appropriated)	45.1	103.6	0.0	103.6
DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)	2,691.9	1,963.7	0.0	1,963.7
DC3187-N DOC Special Services Fund (Non-Appropriated)	2,504.7	6,961.4	0.0	6,961.4
DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)	366.5	250.0	0.0	250.0
	5,701.0	9,348.2	0.0	9,348.2
Fund Source Total:				
	157,685.5	165,229.6	3,798.7	169,028.3

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)			
	FY 2019	FY 2020	FY 2021	FY 2021
	Actual	Expd. Plan	Fund. Issue	Total Request
Program:	Prison Management and Support			
Fund:	AA1000-A General Fund			
	Appropriated			
0000 FTE	732.5	732.5	0.0	732.5
6000 Personal Services	23,770.9	24,839.6	0.0	24,839.6
6100 Employee Related Expenses	13,233.1	14,856.6	0.0	14,856.6
6200 Professional and Outside Services	515.9	579.6	0.0	579.6
6500 Travel In-State	199.8	129.3	0.0	129.3
6600 Travel Out of State	31.5	32.9	0.0	32.9
6700 Food	33,682.5	34,252.0	3,154.2	37,406.2
6800 Aid to Organizations and Individuals	136.5	150.0	0.0	150.0
7000 Other Operating Expenses	73,430.2	73,635.3	0.0	73,635.3
8000 Equipment	3,125.6	1,033.1	2,744.5	3,777.6
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.6	0.0	0.0	0.0
Appropriated Total:	148,126.7	149,508.4	5,898.7	155,407.1
Fund Total:	148,126.7	149,508.4	5,898.7	155,407.1
Program Total For Selected Funds:	148,126.7	149,508.4	5,898.7	155,407.1

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Prison Management and Support				
Fund:	DC2000-N Federal GrantS FUND				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	31.4	31.3	0.0	31.3
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	18.9	0.2	0.0	0.2
8000	Equipment	42.6	38.0	0.0	38.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	92.9	69.5	0.0	69.5
	Fund Total:	92.9	69.5	0.0	69.5
	Program Total For Selected Funds:	92.9	69.5	0.0	69.5

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Prison Management and Support				
Fund:	DC2088-A Corrections Fund				
	Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	2,996.0	3,000.8	0.0	3,000.8
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	2,996.0	3,000.8	0.0	3,000.8
	Fund Total:	2,996.0	3,000.8	0.0	3,000.8
	Program Total For Selected Funds:	2,996.0	3,000.8	0.0	3,000.8

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
		FY 2019	FY 2020	FY 2021	FY 2021
		Actual	Expd. Plan	Fund. Issue	Total Request
Program:	Prison Management and Support				
Fund:	DC2449-N Employee Recognition Fund				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.3	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.3	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	44.5	103.6	0.0	103.6
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	45.1	103.6	0.0	103.6
	Fund Total:	45.1	103.6	0.0	103.6
	Program Total For Selected Funds:	45.1	103.6	0.0	103.6

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Prison Management and Support				
Fund:	DC2504-A Prison Construction and Operations Fund				
	Appropriated				
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	2,500.0	(2,100.0)	400.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	0.0	2,500.0	(2,100.0)	400.0
	Fund Total:	0.0	2,500.0	(2,100.0)	400.0
	Program Total For Selected Funds:	0.0	2,500.0	(2,100.0)	400.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Prison Management and Support				
Fund:	DC2505-N Inmate Store Proceeds Fund				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	2.9	2.0	0.0	2.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.7	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	1,276.3	1,552.9	0.0	1,552.9
8000	Equipment	912.0	133.8	0.0	133.8
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	500.0	275.0	0.0	275.0
	Non-Appropriated Total:	2,691.9	1,963.7	0.0	1,963.7
	Fund Total:	2,691.9	1,963.7	0.0	1,963.7
	Program Total For Selected Funds:	2,691.9	1,963.7	0.0	1,963.7

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Prison Management and Support				
Fund:	DC3140-A Penitentiary Land Earnings				
	Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	80.4	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	80.4	0.0	80.4
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	80.4	80.4	0.0	80.4
	Fund Total:	80.4	80.4	0.0	80.4
	Program Total For Selected Funds:	80.4	80.4	0.0	80.4

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Prison Management and Support				
Fund:	DC3141-A State Charitable, Penal & Reformatory Land Earnings				
	Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	781.4	791.8	0.0	791.8
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	781.4	791.8	0.0	791.8
	Fund Total:	781.4	791.8	0.0	791.8
	Program Total For Selected Funds:	781.4	791.8	0.0	791.8

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Prison Management and Support				
Fund:	DC3187-N DOC Special Services Fund				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	2,004.7	2,461.4	0.0	2,461.4
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	500.0	4,500.0	0.0	4,500.0
	Non-Appropriated Total:	2,504.7	6,961.4	0.0	6,961.4
	Fund Total:	2,504.7	6,961.4	0.0	6,961.4
	Program Total For Selected Funds:	2,504.7	6,961.4	0.0	6,961.4

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Prison Management and Support				
Fund:	DC9000-N Indirect Cost Recovery Fund				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	250.0	0.0	250.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	366.5	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	366.5	250.0	0.0	250.0
	Fund Total:	366.5	250.0	0.0	250.0
	Program Total For Selected Funds:	366.5	250.0	0.0	250.0

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)	
Program:	Prison Management and Support	
	FY 2019 Actual	FY 2020 Expd. Plan
FTE	732.5	732.5
Expenditure Category Total	732.5	732.5
Appropriated		
AA1000-A General Fund (Appropriated)	732.5	732.5
Fund Source Total	732.5	732.5
<hr/>		
Personal Services	23,770.9	24,839.6
Boards and Commissions	0.0	0.0
Expenditure Category Total	23,770.9	24,839.6
Appropriated		
AA1000-A General Fund (Appropriated)	23,770.9	24,839.6
Fund Source Total	23,770.9	24,839.6
<hr/>		
Employee Related Expenses	13,233.1	14,856.6
Expenditure Category Total	13,233.1	14,856.6
Appropriated		
AA1000-A General Fund (Appropriated)	13,233.1	14,856.6
Fund Source Total	13,233.1	14,856.6
<hr/>		
Professional and Outside Services		581.6
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	2.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.2	
Other Medical Services	1.0	
Institutional Care	0.0	
Education And Training	14.4	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	501.3	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)	
Program:	Prison Management and Support	
	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	518.8	581.6
Appropriated		
AA1000-A General Fund (Appropriated)	515.9	579.6
	515.9	579.6
Non-Appropriated		
DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)	2.9	2.0
	2.9	2.0
Fund Source Total	518.8	581.6
<hr/>		
Travel In-State	200.1	129.3
Expenditure Category Total	200.1	129.3
Appropriated		
AA1000-A General Fund (Appropriated)	199.8	129.3
	199.8	129.3
Non-Appropriated		
DC2449-N Employee Recognition Fund (Non-Appropriated)	0.3	0.0
	0.3	0.0
Fund Source Total	200.1	129.3
<hr/>		
Travel Out of State	31.5	32.9
Expenditure Category Total	31.5	32.9
Appropriated		
AA1000-A General Fund (Appropriated)	31.5	32.9
	31.5	32.9
Fund Source Total	31.5	32.9
<hr/>		
Food	37,572.7	40,575.9
Expenditure Category Total	37,572.7	40,575.9
Appropriated		
AA1000-A General Fund (Appropriated)	33,682.5	34,252.0
DC2088-A Corrections Fund (Appropriated)	2,996.0	3,000.8
DC2504-A Prison Construction and Operations Fund (Appropriated)	0.0	2,500.0
DC3140-A Penitentiary Land Earnings (Appropriated)	80.4	0.0
DC3141-A State Charitable, Penal & Reformatory Land Earnings (App)	781.4	791.8
	37,540.4	40,544.6
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	31.4	31.3
DC2449-N Employee Recognition Fund (Non-Appropriated)	0.3	0.0
DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)	0.7	0.0
	32.4	31.3
Fund Source Total	37,572.7	40,575.9
<hr/>		
Aid to Organizations and Individuals	136.5	150.0
Expenditure Category Total	136.5	150.0
Appropriated		
AA1000-A General Fund (Appropriated)	136.5	150.0
	136.5	150.0
Fund Source Total	136.5	150.0
<hr/>		
Other Operating Expenses		78,083.8

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Prison Management and Support

	FY 2019 Actual	FY 2020 Expd. Plan
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	32.1	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	2,434.5	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	1.5	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	6,188.2	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	13,804.3	
Sanitation Waste Disposal	6,249.3	
Water	2,703.3	
Gas And Fuel Oil For Buildings	2,446.6	
Other Utilities	294.2	
Building Rent Charges To State Agencies	780.0	
Priv Lease To Own Bld Rent Chrgs To Agy	1.1	
Cert Of Part Bld Rent Chrgs To Agy	19,525.3	
Rental Of Land And Buildings	390.4	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	249.3	
Miscellaneous Rent	1,052.3	
Interest On Overdue Payments	0.0	
All Other Interest Payments	98.8	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	22.9	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Prison Management and Support

	FY 2019 Actual	FY 2020 Expd. Plan
Repair And Maintenance - Buildings	591.0	
Repair And Maintenance - Vehicles	347.4	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	156.2	
Other Repair And Maintenance	1,306.6	
Software Support And Maintenance	2.6	
Uniforms	87.6	
Inmate Clothing	1,500.5	
Security Supplies	369.7	
Office Supplies	784.5	
Computer Supplies	32.7	
Housekeeping Supplies	1,435.0	
Bedding And Bath Supplies	836.6	
Drugs And Medicine Supplies	17.9	
Medical Supplies	9.2	
Dental Supplies	0.0	
Automotive And Transportation Fuels	3,293.6	
Automotive Lubricants And Supplies	1,383.3	
Rpr And Maint Supplies-Not Auto Or Build	1,889.1	
Repair And Maintenance Supplies-Building	4,053.0	
Other Operating Supplies	2,463.2	
Publications	16.1	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	25.7	
Other Education And Training Costs	25.0	
Advertising	0.1	
Sponsorships	0.0	
Internal Printing	16.5	
External Printing	0.0	
Photography	38.5	
Postage And Delivery	214.6	
Document shredding and Destruction Services	10.9	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	61.3	
Entertainment And Promotional Items	0.9	
Dues	1.3	
Books- Subscriptions And Publications	202.4	
Costs For Digital Image Or Microfilm	0.4	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Prison Management and Support

	FY 2019 Actual	FY 2020 Expd. Plan
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.4	
Judgments - Damages	25.1	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.5	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	5.3	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	4.5	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	9.9	
Other Miscellaneous Operating	(718.7)	
Expenditure Category Total	76,774.5	78,083.8
Appropriated		
AA1000-A General Fund (Appropriated)	73,430.2	73,635.3
DC3140-A Penitentiary Land Earnings (Appropriated)	0.0	80.4
	73,430.2	73,715.7
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	18.9	0.2
DC2449-N Employee Recognition Fund (Non-Appropriated)	44.5	103.6
DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)	1,276.3	1,552.9
DC3187-N DOC Special Services Fund (Non-Appropriated)	2,004.7	2,461.4
DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)	0.0	250.0
	3,344.3	4,368.1
Fund Source Total	76,774.5	78,083.8

Current Year Expenditures		1,204.9
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	2,267.4	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	5.2	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	682.3	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Prison Management and Support

	FY 2019 Actual	FY 2020 Expd. Plan
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	53.2	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	362.2	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	15.8	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	694.2	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
Expenditure Category Total	4,080.2	1,204.9
Appropriated		
AA1000-A General Fund (Appropriated)	3,125.6	1,033.1
	3,125.6	1,033.1
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	42.6	38.0
DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)	912.0	133.8
	954.6	171.8
Fund Source Total	4,080.2	1,204.9
<hr/>		
Capital Outlay	366.5	0.0
Expenditure Category Total	366.5	0.0
Non-Appropriated		
DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)	366.5	0.0
	366.5	0.0
Fund Source Total	366.5	0.0
<hr/>		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Prison Management and Support

	FY 2019 Actual	FY 2020 Expd. Plan
Transfers	1,000.6	4,775.0
Expenditure Category Total	1,000.6	4,775.0
Appropriated		
AA1000-A General Fund (Appropriated)	0.6	0.0
	0.6	0.0
Non-Appropriated		
DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)	500.0	275.0
DC3187-N DOC Special Services Fund (Non-Appropriated)	500.0	4,500.0
	1,000.0	4,775.0
Fund Source Total	1,000.6	4,775.0

Employee Retirement Coverage			
Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	516.5	15,949.4	AA1000-A
DOC CORP Tier 1,2	175.0	7,324.8	AA1000-A
DOC CORP Tier 3 Defined Contribution	34.0	1,327.4	AA1000-A
ASRS – return to work	7.0	238.0	AA1000-A

Combined Regular & Elected Positions At/Above FICA Maximum of \$128,400
--

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
1.0	136.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency:	Department of Corrections (for Budget)
Program:	Private Prisons

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	39.0	39.0	0.0	39.0
6000 Personal Services	1,947.9	2,035.4	0.0	2,035.4
6100 Employee Related Expenses	1,070.3	1,201.6	0.0	1,201.6
6200 Professional and Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	6.3	4.1	0.0	4.1
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	39.3	39.4	0.0	39.4
8000 Equipment	0.5	0.2	0.0	0.2
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<hr/>				
Expenditure Categories Total:	3,064.3	3,280.7	0.0	3,280.7
<hr/>				
Fund Source				
Appropriated Funds				
AA1000-A General Fund (Appropriated)	3,064.3	3,280.7	0.0	3,280.7
<hr/>				
Fund Source Total:	3,064.3	3,280.7	0.0	3,280.7

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)			
	FY 2019	FY 2020	FY 2021	FY 2021
	Actual	Expd. Plan	Fund. Issue	Total Request
Program:	Private Prisons			
Fund:	AA1000-A General Fund			
	Appropriated			
0000 FTE	39.0	39.0	0.0	39.0
6000 Personal Services	1,947.9	2,035.4	0.0	2,035.4
6100 Employee Related Expenses	1,070.3	1,201.6	0.0	1,201.6
6200 Professional and Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	6.3	4.1	0.0	4.1
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	39.3	39.4	0.0	39.4
8000 Equipment	0.5	0.2	0.0	0.2
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
Appropriated Total:	3,064.3	3,280.7	0.0	3,280.7
Fund Total:	3,064.3	3,280.7	0.0	3,280.7
Program Total For Selected Funds:	3,064.3	3,280.7	0.0	3,280.7

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Private Prisons

	FY 2019 Actual	FY 2020 Expd. Plan
FTE	39.0	39.0
Expenditure Category Total	39.0	39.0
Appropriated		
AA1000-A General Fund (Appropriated)	39.0	39.0
Fund Source Total	39.0	39.0
<hr/>		
Personal Services	1,947.9	2,035.4
Boards and Commissions	0.0	0.0
Expenditure Category Total	1,947.9	2,035.4
Appropriated		
AA1000-A General Fund (Appropriated)	1,947.9	2,035.4
Fund Source Total	1,947.9	2,035.4
<hr/>		
Employee Related Expenses	1,070.3	1,201.6
Expenditure Category Total	1,070.3	1,201.6
Appropriated		
AA1000-A General Fund (Appropriated)	1,070.3	1,201.6
Fund Source Total	1,070.3	1,201.6
<hr/>		
Professional and Outside Services		0.0
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.0	
Expenditure Category Total	0.0	0.0
<hr/>		
Travel In-State	6.3	4.1

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Private Prisons

	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	6.3	4.1
Appropriated		
AA1000-A General Fund (Appropriated)	6.3	4.1
Fund Source Total	6.3	4.1
<hr/>		
Travel Out of State	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Food	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Other Operating Expenses		39.4
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	9.4	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Private Prisons

	FY 2019 Actual	FY 2020 Expd. Plan
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	6.2	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	8.6	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	7.0	
Inmate Clothing	0.0	
Security Supplies	0.1	
Office Supplies	3.7	
Computer Supplies	0.1	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.7	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Private Prisons

	FY 2019 Actual	FY 2020 Expd. Plan
Other Education And Training Costs	2.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.0	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.4	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	1.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.0	
Expenditure Category Total	39.3	39.4
Appropriated		
AA1000-A General Fund (Appropriated)	39.3	39.4
Fund Source Total	39.3	39.4
<hr/>		
Current Year Expenditures		0.2
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Private Prisons

	FY 2019 Actual	FY 2020 Expd. Plan
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.5	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
Expenditure Category Total	0.5	0.2
Appropriated		
AA1000-A General Fund (Appropriated)	0.5	0.2
Fund Source Total	0.5	0.2
<hr/>		
Capital Outlay	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Cost Allocation	0.0	0.0

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Private Prisons

	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	0.0	0.0
<hr/>		
Transfers	0.0	0.0
Expenditure Category Total	0.0	0.0

Employee Retirement Coverage

	FTE	Personal Services	Fund#
Arizona State Retirement System	13.0	545.4	AA1000-A
DOC CORP Tier 1,2	24.0	1,382.9	AA1000-A
DOC CORP Tier 3 Defined Contribution	2.0	107.1	AA1000-A

Combined Regular & Elected Positions At/Above FICA Maximum of \$128,400

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency:	Department of Corrections (for Budget)
Program:	SLI Private Prison Per Diem

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	0.0	0.0	0.0	0.0
6000 Personal Services	0.0	0.0	0.0	0.0
6100 Employee Related Expenses	0.0	0.0	0.0	0.0
6200 Professional and Outside Services	140,771.0	154,029.9	2,768.7	156,798.6
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	17,465.4	17,463.4	0.0	17,463.4
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	158,236.4	171,493.3	2,768.7	174,262.0
Fund Source				
Appropriated Funds				
AA1000-A General Fund (Appropriated)	136,936.0	142,119.3	2,768.7	144,888.0
DC2088-A Corrections Fund (Appropriated)	19,237.9	27,311.5	0.0	27,311.5
DC3140-A Penitentiary Land Earnings (Appropriated)	2,062.5	2,062.5	0.0	2,062.5
Fund Source Total:	158,236.4	171,493.3	2,768.7	174,262.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)			
	FY 2019	FY 2020	FY 2021	FY 2021
	Actual	Expd. Plan	Fund. Issue	Total Request
Program:	SLI Private Prison Per Diem			
Fund:	AA1000-A General Fund			
	Appropriated			
0000 FTE	0.0	0.0	0.0	0.0
6000 Personal Services	0.0	0.0	0.0	0.0
6100 Employee Related Expenses	0.0	0.0	0.0	0.0
6200 Professional and Outside Services	119,470.6	124,655.9	2,768.7	127,424.6
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	17,465.4	17,463.4	0.0	17,463.4
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
Appropriated Total:	136,936.0	142,119.3	2,768.7	144,888.0
Fund Total:	136,936.0	142,119.3	2,768.7	144,888.0
Program Total For Selected Funds:	136,936.0	142,119.3	2,768.7	144,888.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	SLI Private Prison Per Diem				
Fund:	DC2088-A Corrections Fund				
	Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	19,237.9	27,311.5	0.0	27,311.5
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	19,237.9	27,311.5	0.0	27,311.5
	Fund Total:	19,237.9	27,311.5	0.0	27,311.5
	Program Total For Selected Funds:	19,237.9	27,311.5	0.0	27,311.5

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	SLI Private Prison Per Diem				
Fund:	DC3140-A Penitentiary Land Earnings				
	Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	2,062.5	2,062.5	0.0	2,062.5
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	2,062.5	2,062.5	0.0	2,062.5
	Fund Total:	2,062.5	2,062.5	0.0	2,062.5
	Program Total For Selected Funds:	2,062.5	2,062.5	0.0	2,062.5

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Private Prison Per Diem

	FY 2019 Actual	FY 2020 Expd. Plan
FTE	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Personal Services	0.0	0.0
Boards and Commissions	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Employee Related Expenses	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Professional and Outside Services		154,029.9
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	140,771.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.0	
Expenditure Category Total	140,771.0	154,029.9
Appropriated		
AA1000-A General Fund (Appropriated)	119,470.6	124,655.9
DC2088-A Corrections Fund (Appropriated)	19,237.9	27,311.5
DC3140-A Penitentiary Land Earnings (Appropriated)	2,062.5	2,062.5
Fund Source Total	140,771.0	154,029.9
<hr/>		
Travel In-State	0.0	0.0
Expenditure Category Total	0.0	0.0
Appropriated		
AA1000-A General Fund (Appropriated)	0.0	0.0
Fund Source Total	0.0	0.0
<hr/>		
Travel Out of State	0.0	0.0

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Private Prison Per Diem

	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	0.0	0.0
<hr/>		
Food	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Other Operating Expenses		17,463.4
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Private Prison Per Diem

	FY 2019 Actual	FY 2020 Expd. Plan
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	17,465.4	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	0.0	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Private Prison Per Diem

	FY 2019 Actual	FY 2020 Expd. Plan
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.0	
Expenditure Category Total	17,465.4	17,463.4
Appropriated		
AA1000-A General Fund (Appropriated)	17,465.4	17,463.4
Fund Source Total	17,465.4	17,463.4
<hr/>		
Current Year Expenditures		0.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Private Prison Per Diem

	FY 2019 Actual	FY 2020 Expd. Plan
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
Expenditure Category Total	0.0	0.0
<hr/>		
Capital Outlay	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Transfers	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		

**Combined Regular & Elected Positions At/Above
FICA Maximum of \$128,400**

Total	Personal	FTE's not eligible for
FTE	Services	Health, Dental & Life

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Private Prison Per Diem

	<u>FY 2019 Actual</u>	<u>FY 2020 Expd. Plan</u>
0.0 0.0 0.0		

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency:	Department of Corrections (for Budget)
Program:	Inmate Education, Treatment and Work Programs

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	311.0	311.0	15.0	326.0
6000 Personal Services	12,045.2	13,805.2	514.1	14,319.3
6100 Employee Related Expenses	6,103.4	7,432.9	(132.7)	7,300.2
6200 Professional and Outside Services	3,275.5	4,862.5	10,039.3	14,901.8
6500 Travel In-State	135.4	68.3	(6.1)	62.2
6600 Travel Out of State	68.3	25.0	(14.0)	11.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	12,286.5	12,394.4	(924.2)	11,470.2
8000 Equipment	506.6	4,014.6	311.4	4,326.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	(16.7)	(16.7)
9100 Transfers	118.9	121.2	(52.3)	68.9
Expenditure Categories Total:	34,539.7	42,724.1	9,718.8	52,442.9
Fund Source				
Appropriated Funds				
AA1000-A General Fund (Appropriated)	26,864.1	27,980.2	10,221.9	38,202.1
DC2107-A State Education Fund for Correctional Education (726.0	729.3	0.0	729.3
DC2204-A DOC - Alcohol Abuse Treatment (Appropriated)	215.5	250.0	13.8	263.8
DC2505-A Inmate Store Proceeds Fund (Appropriated)	282.4	1,341.3	0.0	1,341.3
DC3140-A Penitentiary Land Earnings (Appropriated)	32.7	346.6	0.0	346.6
DC3141-A State Charitable, Penal & Reformatory Land Earni	411.4	0.0	0.0	0.0
	28,532.0	30,647.4	10,235.7	40,883.1
Non-Appropriated Funds				
DC2000-N Federal Grants FUND (Non-Appropriated)	1,438.5	1,725.9	(516.9)	1,209.0
DC2395-N Community Corrections Enhancement Fund (Non-	1.0	1.5	0.0	1.5
DC2500-N IGA and ISA Fund (Non-Appropriated)	16.4	3,911.5	0.0	3,911.5
DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)	1,324.7	1,611.9	0.0	1,611.9
DC3187-N DOC Special Services Fund (Non-Appropriated)	3,227.2	4,825.9	0.0	4,825.9
	6,007.7	12,076.7	(516.9)	11,559.8
Fund Source Total:	34,539.7	42,724.1	9,718.8	52,442.9

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)			
	FY 2019	FY 2020	FY 2021	FY 2021
	Actual	Expd. Plan	Fund. Issue	Total Request
Program:	Inmate Education, Treatment and Work Programs			
Fund:	AA1000-A General Fund			
	Appropriated			
0000 FTE	258.0	258.0	15.0	273.0
6000 Personal Services	11,148.3	11,649.5	609.0	12,258.5
6100 Employee Related Expenses	5,688.8	6,386.7	(98.9)	6,287.8
6200 Professional and Outside Services	6.2	6.9	10,177.5	10,184.4
6500 Travel In-State	57.4	37.2	0.0	37.2
6600 Travel Out of State	2.3	2.4	0.0	2.4
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	9,825.2	9,852.6	(800.2)	9,052.4
8000 Equipment	135.9	44.9	334.5	379.4
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
Appropriated Total:	26,864.1	27,980.2	10,221.9	38,202.1
Fund Total:	26,864.1	27,980.2	10,221.9	38,202.1
Program Total For Selected Funds:	26,864.1	27,980.2	10,221.9	38,202.1

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Inmate Education, Treatment and Work Programs				
Fund:	DC2000-N Federal GrantS FUND				
	Non-Appropriated				
0000	FTE	21.0	21.0	0.0	21.0
6000	Personal Services	159.2	334.4	(94.9)	239.5
6100	Employee Related Expenses	54.8	82.5	(33.8)	48.7
6200	Professional and Outside Services	110.6	620.1	(152.0)	468.1
6500	Travel In-State	77.9	31.1	(6.1)	25.0
6600	Travel Out of State	65.0	22.6	(14.0)	8.6
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	787.7	434.6	(124.0)	310.6
8000	Equipment	64.3	81.5	(23.1)	58.4
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	(16.7)	(16.7)
9100	Transfers	118.9	119.1	(52.3)	66.8
	Non-Appropriated Total:	1,438.5	1,725.9	(516.9)	1,209.0
	Fund Total:	1,438.5	1,725.9	(516.9)	1,209.0
	Program Total For Selected Funds:	1,438.5	1,725.9	(516.9)	1,209.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Inmate Education, Treatment and Work Programs				
Fund:	DC2107-A State Education Fund for Correctional Education				
Appropriated					
0000	FTE	6.0	6.0	0.0	6.0
6000	Personal Services	478.7	462.2	0.0	462.2
6100	Employee Related Expenses	247.4	267.1	0.0	267.1
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
Appropriated Total:		726.0	729.3	0.0	729.3
Fund Total:		726.0	729.3	0.0	729.3
Program Total For Selected Funds:		726.0	729.3	0.0	729.3

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Inmate Education, Treatment and Work Programs				
Fund:	DC2204-A DOC - Alcohol Abuse Treatment				
	Appropriated				
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	215.5	250.0	13.8	263.8
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	215.5	250.0	13.8	263.8
	Fund Total:	215.5	250.0	13.8	263.8
	Program Total For Selected Funds:	215.5	250.0	13.8	263.8

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Inmate Education, Treatment and Work Programs				
Fund:	DC2395-N Community Corrections Enhancement Fund				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	1.0	1.5	0.0	1.5
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	1.0	1.5	0.0	1.5
	Fund Total:	1.0	1.5	0.0	1.5
	Program Total For Selected Funds:	1.0	1.5	0.0	1.5

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Inmate Education, Treatment and Work Programs				
Fund:	DC2500-N IGA and ISA Fund				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	8.4	21.2	0.0	21.2
8000	Equipment	7.9	3,888.2	0.0	3,888.2
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	2.1	0.0	2.1
	Non-Appropriated Total:	16.4	3,911.5	0.0	3,911.5
	Fund Total:	16.4	3,911.5	0.0	3,911.5
	Program Total For Selected Funds:	16.4	3,911.5	0.0	3,911.5

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Inmate Education, Treatment and Work Programs				
Fund:	DC2505-A Inmate Store Proceeds Fund				
	Appropriated				
0000	FTE	10.0	10.0	0.0	10.0
6000	Personal Services	97.6	538.9	0.0	538.9
6100	Employee Related Expenses	49.3	280.6	0.0	280.6
6200	Professional and Outside Services	0.0	386.3	0.0	386.3
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	135.5	135.5	0.0	135.5
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	282.4	1,341.3	0.0	1,341.3
	Fund Total:	282.4	1,341.3	0.0	1,341.3
	Program Total For Selected Funds:	282.4	1,341.3	0.0	1,341.3

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Inmate Education, Treatment and Work Programs				
Fund:	DC2505-N Inmate Store Proceeds Fund				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	1,324.7	1,611.9	0.0	1,611.9
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	1,324.7	1,611.9	0.0	1,611.9
	Fund Total:	1,324.7	1,611.9	0.0	1,611.9
	Program Total For Selected Funds:	1,324.7	1,611.9	0.0	1,611.9

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Inmate Education, Treatment and Work Programs				
Fund:	DC3140-A Penitentiary Land Earnings				
	Appropriated				
0000	FTE	1.0	1.0	0.0	1.0
6000	Personal Services	20.6	55.2	0.0	55.2
6100	Employee Related Expenses	12.1	19.0	0.0	19.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	272.4	0.0	272.4
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	32.7	346.6	0.0	346.6
	Fund Total:	32.7	346.6	0.0	346.6
	Program Total For Selected Funds:	32.7	346.6	0.0	346.6

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Inmate Education, Treatment and Work Programs				
Fund:	DC3141-A State Charitable, Penal & Reformatory Land Earnings				
	Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	151.3	0.0	0.0	0.0
8000	Equipment	260.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	411.4	0.0	0.0	0.0
	Fund Total:	411.4	0.0	0.0	0.0
	Program Total For Selected Funds:	411.4	0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Inmate Education, Treatment and Work Programs				
Fund:	DC3187-N DOC Special Services Fund				
	Non-Appropriated				
0000	FTE	15.0	15.0	0.0	15.0
6000	Personal Services	140.8	765.0	0.0	765.0
6100	Employee Related Expenses	51.0	397.0	0.0	397.0
6200	Professional and Outside Services	2,943.2	3,599.2	0.0	3,599.2
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	1.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	52.7	64.7	0.0	64.7
8000	Equipment	38.5	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	3,227.2	4,825.9	0.0	4,825.9
	Fund Total:	3,227.2	4,825.9	0.0	4,825.9
	Program Total For Selected Funds:	3,227.2	4,825.9	0.0	4,825.9

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)	
Program:	Inmate Education, Treatment and Work Programs	
	FY 2019 Actual	FY 2020 Expd. Plan
FTE	311.0	311.0
Expenditure Category Total	311.0	311.0
Appropriated		
AA1000-A General Fund (Appropriated)	258.0	258.0
DC2107-A State Education Fund for Correctional Education (Appropriated)	6.0	6.0
DC2505-A Inmate Store Proceeds Fund (Appropriated)	10.0	10.0
DC3140-A Penitentiary Land Earnings (Appropriated)	1.0	1.0
	275.0	275.0
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	21.0	21.0
DC3187-N DOC Special Services Fund (Non-Appropriated)	15.0	15.0
	36.0	36.0
Fund Source Total	311.0	311.0
<hr/>		
Personal Services	12,045.2	13,805.2
Boards and Commissions	0.0	0.0
Expenditure Category Total	12,045.2	13,805.2
Appropriated		
AA1000-A General Fund (Appropriated)	11,148.3	11,649.5
DC2107-A State Education Fund for Correctional Education (Appropriated)	478.7	462.2
DC2505-A Inmate Store Proceeds Fund (Appropriated)	97.6	538.9
DC3140-A Penitentiary Land Earnings (Appropriated)	20.6	55.2
	11,745.1	12,705.8
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	159.2	334.4
DC3187-N DOC Special Services Fund (Non-Appropriated)	140.8	765.0
	300.0	1,099.4
Fund Source Total	12,045.2	13,805.2
<hr/>		
Employee Related Expenses	6,103.4	7,432.9
Expenditure Category Total	6,103.4	7,432.9
Appropriated		
AA1000-A General Fund (Appropriated)	5,688.8	6,386.7
DC2107-A State Education Fund for Correctional Education (Appropriated)	247.4	267.1
DC2505-A Inmate Store Proceeds Fund (Appropriated)	49.3	280.6
DC3140-A Penitentiary Land Earnings (Appropriated)	12.1	19.0
	5,997.6	6,953.4
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	54.8	82.5
DC3187-N DOC Special Services Fund (Non-Appropriated)	51.0	397.0
	105.8	479.5
Fund Source Total	6,103.4	7,432.9
<hr/>		
Professional and Outside Services		4,862.5
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inmate Education, Treatment and Work Programs

	FY 2019 Actual	FY 2020 Expd. Plan
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	215.5	
Institutional Care	0.0	
Education And Training	3,056.8	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	3.2	
Expenditure Category Total	3,275.5	4,862.5
Appropriated		
AA1000-A General Fund (Appropriated)	6.2	6.9
DC2204-A DOC - Alcohol Abuse Treatment (Appropriated)	215.5	250.0
DC2505-A Inmate Store Proceeds Fund (Appropriated)	0.0	386.3
	221.6	643.2
Non-Appropriated		
DC2000-N Federal GrantS FUND (Non-Appropriated)	110.6	620.1
DC3187-N DOC Special Services Fund (Non-Appropriated)	2,943.2	3,599.2
	3,053.9	4,219.3
Fund Source Total	3,275.5	4,862.5
<hr/>		
Travel In-State	135.4	68.3
Expenditure Category Total	135.4	68.3
Appropriated		
AA1000-A General Fund (Appropriated)	57.4	37.2
	57.4	37.2
Non-Appropriated		
DC2000-N Federal GrantS FUND (Non-Appropriated)	77.9	31.1
	77.9	31.1
Fund Source Total	135.4	68.3
<hr/>		
Travel Out of State	68.3	25.0
Expenditure Category Total	68.3	25.0
Appropriated		
AA1000-A General Fund (Appropriated)	2.3	2.4
	2.3	2.4
Non-Appropriated		
DC2000-N Federal GrantS FUND (Non-Appropriated)	65.0	22.6
DC3187-N DOC Special Services Fund (Non-Appropriated)	1.0	0.0
	65.9	22.6
Fund Source Total	68.3	25.0
<hr/>		
Food	0.0	0.0

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inmate Education, Treatment and Work Programs

	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	0.0	0.0
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Other Operating Expenses		12,394.4
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	95.9	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	1.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	2.9	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inmate Education, Treatment and Work Programs

	FY 2019 Actual	FY 2020 Expd. Plan
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	57.1	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	228.8	
Repair And Maintenance - Vehicles	3.3	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.6	
Software Support And Maintenance	181.6	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	127.7	
Computer Supplies	2.6	
Housekeeping Supplies	0.3	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.7	
Dental Supplies	0.0	
Automotive And Transportation Fuels	(459.6)	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	4.8	
Other Operating Supplies	57.8	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	90.5	
Other Education And Training Costs	93.8	
Advertising	1.9	
Sponsorships	0.0	
Internal Printing	2.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.0	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inmate Education, Treatment and Work Programs

	FY 2019 Actual	FY 2020 Expd. Plan
Awards	3.7	
Entertainment And Promotional Items	1.9	
Dues	4.4	
Books- Subscriptions And Publications	453.5	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	9,972.2	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	1,357.0	
Expenditure Category Total	12,286.5	12,394.4
Appropriated		
AA1000-A General Fund (Appropriated)	9,825.2	9,852.6
DC2505-A Inmate Store Proceeds Fund (Appropriated)	135.5	135.5
DC3140-A Penitentiary Land Earnings (Appropriated)	0.0	272.4
DC3141-A State Charitable, Penal & Reformatory Land Earnings (App)	151.3	0.0
	10,112.0	10,260.5
Non-Appropriated		
DC2000-N Federal GrantS FUND (Non-Appropriated)	787.7	434.6
DC2395-N Community Corrections Enhancement Fund (Non-Appropriated)	1.0	1.5
DC2500-N IGA and ISA Fund (Non-Appropriated)	8.4	21.2
DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)	1,324.7	1,611.9
DC3187-N DOC Special Services Fund (Non-Appropriated)	52.7	64.7
	2,174.5	2,133.9
Fund Source Total	12,286.5	12,394.4
<hr/>		
Current Year Expenditures		4,014.6
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	41.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	6.5	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inmate Education, Treatment and Work Programs

	FY 2019 Actual	FY 2020 Expd. Plan
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	19.8	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	402.8	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	33.3	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	3.2	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
Expenditure Category Total	506.6	4,014.6
Appropriated		
AA1000-A General Fund (Appropriated)	135.9	44.9
DC3141-A State Charitable, Penal & Reformatory Land Earnings (App	260.0	0.0
	395.9	44.9
Non-Appropriated		
DC2000-N Federal GrantS FUND (Non-Appropriated)	64.3	81.5
DC2500-N IGA and ISA Fund (Non-Appropriated)	7.9	3,888.2
DC3187-N DOC Special Services Fund (Non-Appropriated)	38.5	0.0
	110.7	3,969.7
Fund Source Total	506.6	4,014.6
<hr/>		
Capital Outlay	0.0	0.0

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inmate Education, Treatment and Work Programs

	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	0.0	0.0
<hr/>		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Transfers	118.9	121.2
Expenditure Category Total	118.9	121.2
<hr/>		
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	118.9	119.1
DC2500-N IGA and ISA Fund (Non-Appropriated)	0.0	2.1
Fund Source Total	118.9	121.2

Employee Retirement Coverage			
Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	144.0	5,901.0	AA1000-A
DOC CORP Tier 1,2	69.0	3,744.3	AA1000-A
DOC CORP Tier 3 Defined Contribution	41.0	1,842.8	AA1000-A
ASRS – return to work	4.0	161.4	AA1000-A
DOC CORP Tier 1,2	3.0	264.8	DC2107-A
DOC CORP Tier 3 Defined Contribution	3.0	197.4	DC2107-A
Arizona State Retirement System	3.0	159.6	DC2505-A
DOC CORP Tier 3 Defined Contribution	7.0	379.3	DC2505-A
Arizona State Retirement System	1.0	55.2	DC3140-A
Arizona State Retirement System	20.0	284.9	DC2000-N
Arizona State Retirement System	14.0	709.6	DC3187-N
DOC CORP Tier 3 Defined Contribution	1.0	55.4	DC3187-N
ASRS – return to work	1.0	49.5	DC2000-N

Combined Regular & Elected Positions At/Above FICA Maximum of \$128,400
--

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency:	Department of Corrections (for Budget)
Program:	Arizona Correctional Industries

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	220.0	220.0	0.0	220.0
6000 Personal Services	8,333.5	8,831.1	0.0	8,831.1
6100 Employee Related Expenses	3,981.0	4,219.0	0.0	4,219.0
6200 Professional and Outside Services	236.0	236.9	0.0	236.9
6500 Travel In-State	10.3	10.6	0.0	10.6
6600 Travel Out of State	5.7	5.8	0.0	5.8
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	25,876.4	26,000.0	0.0	26,000.0
8000 Equipment	2,215.4	2,200.0	0.0	2,200.0
8100 Capital Outlay	573.5	500.0	0.0	500.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	7,500.0	5,000.0	0.0	5,000.0
Expenditure Categories Total:	48,731.9	47,003.4	0.0	47,003.4
Fund Source				
Appropriated Funds				
AA1000-A General Fund (Appropriated)	0.0	0.0	0.0	0.0
	0.0	0.0	0.0	0.0
Non-Appropriated Funds				
DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)	48,731.9	47,003.4	0.0	47,003.4
	48,731.9	47,003.4	0.0	47,003.4
Fund Source Total:	48,731.9	47,003.4	0.0	47,003.4

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)			
	FY 2019	FY 2020	FY 2021	FY 2021
	Actual	Expd. Plan	Fund. Issue	Total Request
Program:	Arizona Correctional Industries			
Fund:	AA1000-A General Fund			
	Appropriated			
0000 FTE	0.0	0.0	0.0	0.0
6000 Personal Services	0.0	0.0	0.0	0.0
6100 Employee Related Expenses	0.0	0.0	0.0	0.0
6200 Professional and Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	0.0	0.0	0.0	0.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
Appropriated Total:	0.0	0.0	0.0	0.0
Fund Total:	0.0	0.0	0.0	0.0
Program Total For Selected Funds:	0.0	0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Arizona Correctional Industries				
Fund:	DC4002-N ARCOR Enterprises Revolving				
	Non-Appropriated				
0000	FTE	220.0	220.0	0.0	220.0
6000	Personal Services	8,333.5	8,831.1	0.0	8,831.1
6100	Employee Related Expenses	3,981.0	4,219.0	0.0	4,219.0
6200	Professional and Outside Services	236.0	236.9	0.0	236.9
6500	Travel In-State	10.3	10.6	0.0	10.6
6600	Travel Out of State	5.7	5.8	0.0	5.8
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	25,876.4	26,000.0	0.0	26,000.0
8000	Equipment	2,215.4	2,200.0	0.0	2,200.0
8100	Capital Outlay	573.5	500.0	0.0	500.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	7,500.0	5,000.0	0.0	5,000.0
	Non-Appropriated Total:	48,731.9	47,003.4	0.0	47,003.4
	Fund Total:	48,731.9	47,003.4	0.0	47,003.4
	Program Total For Selected Funds:	48,731.9	47,003.4	0.0	47,003.4

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Arizona Correctional Industries

	FY 2019 Actual	FY 2020 Expd. Plan
FTE	220.0	220.0
Expenditure Category Total	220.0	220.0
Non-Appropriated		
DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)	220.0	220.0
Fund Source Total	220.0	220.0
<hr/>		
Personal Services	8,333.5	8,831.1
Boards and Commissions	0.0	0.0
Expenditure Category Total	8,333.5	8,831.1
Non-Appropriated		
DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)	8,333.5	8,831.1
Fund Source Total	8,333.5	8,831.1
<hr/>		
Employee Related Expenses	3,981.0	4,219.0
Expenditure Category Total	3,981.0	4,219.0
Non-Appropriated		
DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)	3,981.0	4,219.0
Fund Source Total	3,981.0	4,219.0
<hr/>		
Professional and Outside Services		236.9
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	90.6	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	9.8	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	135.6	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)	
Program:	Arizona Correctional Industries	
	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	236.0	236.9
Non-Appropriated		
DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)	236.0	236.9
Fund Source Total	236.0	236.9
<hr/>		
Travel In-State	10.3	10.6
Expenditure Category Total	10.3	10.6
Non-Appropriated		
DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)	10.3	10.6
Fund Source Total	10.3	10.6
<hr/>		
Travel Out of State	5.7	5.8
Expenditure Category Total	5.7	5.8
Non-Appropriated		
DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)	5.7	5.8
Fund Source Total	5.7	5.8
<hr/>		
Food	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Other Operating Expenses		26,000.0
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Arizona Correctional Industries

	FY 2019 Actual	FY 2020 Expd. Plan
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	47.4	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	121.4	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	447.0	
Sanitation Waste Disposal	21.1	
Water	9.8	
Gas And Fuel Oil For Buildings	122.8	
Other Utilities	0.0	
Building Rent Charges To State Agencies	386.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	133.1	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.1	
Repair And Maintenance - Buildings	111.0	
Repair And Maintenance - Vehicles	206.0	
Repair And Maint - Mainframe And Legacy	23.4	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	74.2	
Other Repair And Maintenance	318.2	
Software Support And Maintenance	0.0	
Uniforms	20.3	
Inmate Clothing	0.4	
Security Supplies	0.7	
Office Supplies	56.6	
Computer Supplies	95.8	
Housekeeping Supplies	88.2	
Bedding And Bath Supplies	0.3	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	314.9	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	687.6	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Arizona Correctional Industries

	FY 2019 Actual	FY 2020 Expd. Plan
Publications	359.4	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	9,290.6	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.3	
Other Education And Training Costs	0.0	
Advertising	50.5	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	470.5	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	142.8	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	12,276.2	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.3	
Other Miscellaneous Operating	(0.3)	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)		
Program:	Arizona Correctional Industries		
		FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total		25,876.4	26,000.0
Non-Appropriated			
DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)		25,876.4	26,000.0
Fund Source Total		25,876.4	26,000.0
Current Year Expenditures			2,200.0
Capital Equipment Budget And Approp		0.0	
Vehicles Capital Purchase		362.6	
Vehicles Capital Leases		0.0	
Furniture Capital Purchase		0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha		0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase		0.0	
Furniture Capital Leases		0.0	
Computer Equipment Capital Purchase		0.0	
Computer Equipment Capital Lease		0.0	
Telecommunication Equip-Capital Purchase		0.0	
Telecommunication Equip-Capital Lease		0.0	
Other Equipment Capital Purchase		1,849.7	
Other Equipment Capital Leases		0.0	
Purchased Or Licensed Software-Website		0.0	
Internally Generated Software-Website		0.0	
Development in Progress		0.0	
Right-Of-Way/Easement/Extraction Rights		0.0	
Oth Int Assets purchased, licensed or internally generate		0.0	
Other intangible assets acquired by capital lease		0.0	
Other Capital Asset Purchases		0.0	
Leasehold Improvement-Capital Purchase		0.0	
Other Capital Asset Leases		0.0	
Non-Capital Equip Budget And Approp		0.0	
Vehicles Non-Capital Purchase		0.0	
Vehicles Non-Capital Leases		0.0	
Furniture Non-Capital Purchase		3.1	
Works Of Art And Hist Treas-Non Capital		0.0	
Furniture Non-Capital Leases		0.0	
Computer Equipment Non-Capital Purchase		0.0	
Computer Equipment Non-Capital Lease		0.0	
Telecomm Equip Non-Capital Purchase		0.1	
Telecomm Equip Non-Capital Leases		0.0	
Other Equipment Non-Capital Purchase		0.0	
Weapons Non-Capital Purchase		0.0	
Other Equipment Non-Capital Lease		0.0	
Purchased Or Licensed Software/Website		0.0	
Internally Generated Software/Website		0.0	
LICENSES AND PERMITS		0.0	
Right-Of-Way/Easement/Extraction Exp		0.0	
Other Intangible Assets - Purchased, Licensed or Internall		0.0	
Noncapital Software/Web By Capital Lease		0.0	
Other Intangible Assets Acquired by Capital Lease		0.0	
Other Long Lived Tangible Assets to be Expenses		0.0	
Non-Capital Equipment Excluded from Cost Allocation		0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Arizona Correctional Industries

	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	2,215.4	2,200.0
Non-Appropriated		
DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)	2,215.4	2,200.0
Fund Source Total	2,215.4	2,200.0
<hr/>		
Capital Outlay	573.5	500.0
Expenditure Category Total	573.5	500.0
Non-Appropriated		
DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)	573.5	500.0
Fund Source Total	573.5	500.0
<hr/>		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Transfers	7,500.0	5,000.0
Expenditure Category Total	7,500.0	5,000.0
Non-Appropriated		
DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)	7,500.0	5,000.0
Fund Source Total	7,500.0	5,000.0

Employee Retirement Coverage			
Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	163.0	6,429.9	DC4002-N
DOC CORP Tier 1,2	32.0	1,285.6	DC4002-N
DOC CORP Tier 3 Defined Contribution	23.0	993.5	DC4002-N
Non-Participating	1.0	87.6	DC4002-N
ASRS – return to work	1.0	34.5	DC4002-N

Combined Regular & Elected Positions At/Above FICA Maximum of \$128,400
--

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency:	Department of Corrections (for Budget)
Program:	Inmate Health Care

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	35.0	45.0	0.0	45.0
6000 Personal Services	2,947.8	3,542.3	0.0	3,542.3
6100 Employee Related Expenses	1,081.3	1,327.5	0.0	1,327.5
6200 Professional and Outside Services	1,217.9	2,025.0	0.0	2,025.0
6500 Travel In-State	12.9	24.0	0.0	24.0
6600 Travel Out of State	6.9	8.0	0.0	8.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	665.2	678.8	0.0	678.8
8000 Equipment	161.1	3.0	0.0	3.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	6,093.0	7,608.6	0.0	7,608.6
Fund Source				
Appropriated Funds				
AA1000-A General Fund (Appropriated)	6,093.0	7,608.6	0.0	7,608.6
Fund Source Total:	6,093.0	7,608.6	0.0	7,608.6

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Inmate Health Care				
Fund:	AA1000-A General Fund				
	Appropriated				
0000	FTE	35.0	45.0	0.0	45.0
6000	Personal Services	2,947.8	3,542.3	0.0	3,542.3
6100	Employee Related Expenses	1,081.3	1,327.5	0.0	1,327.5
6200	Professional and Outside Services	1,217.9	2,025.0	0.0	2,025.0
6500	Travel In-State	12.9	24.0	0.0	24.0
6600	Travel Out of State	6.9	8.0	0.0	8.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	665.2	678.8	0.0	678.8
8000	Equipment	161.1	3.0	0.0	3.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	6,093.0	7,608.6	0.0	7,608.6
	Fund Total:	6,093.0	7,608.6	0.0	7,608.6
	Program Total For Selected Funds:	6,093.0	7,608.6	0.0	7,608.6

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)	
Program:	Inmate Health Care	
	FY 2019 Actual	FY 2020 Expd. Plan
FTE	35.0	45.0
Expenditure Category Total	35.0	45.0
Appropriated		
AA1000-A General Fund (Appropriated)	35.0	45.0
Fund Source Total	35.0	45.0
<hr/>		
Personal Services	2,947.8	3,542.3
Boards and Commissions	0.0	0.0
Expenditure Category Total	2,947.8	3,542.3
Appropriated		
AA1000-A General Fund (Appropriated)	2,947.8	3,542.3
Fund Source Total	2,947.8	3,542.3
<hr/>		
Employee Related Expenses	1,081.3	1,327.5
Expenditure Category Total	1,081.3	1,327.5
Appropriated		
AA1000-A General Fund (Appropriated)	1,081.3	1,327.5
Fund Source Total	1,081.3	1,327.5
<hr/>		
Professional and Outside Services		2,025.0
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	1,108.3	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	103.8	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	4.6	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.7	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.5	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inmate Health Care

	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	1,217.9	2,025.0
Appropriated		
AA1000-A General Fund (Appropriated)	1,217.9	2,025.0
Fund Source Total	1,217.9	2,025.0
<hr/>		
Travel In-State	12.9	24.0
Expenditure Category Total	12.9	24.0
Appropriated		
AA1000-A General Fund (Appropriated)	12.9	24.0
Fund Source Total	12.9	24.0
<hr/>		
Travel Out of State	6.9	8.0
Expenditure Category Total	6.9	8.0
Appropriated		
AA1000-A General Fund (Appropriated)	6.9	8.0
Fund Source Total	6.9	8.0
<hr/>		
Food	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Other Operating Expenses		678.8
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	43.7	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inmate Health Care

	FY 2019 Actual	FY 2020 Expd. Plan
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	280.3	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	98.2	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	70.4	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	15.1	
Repair And Maint - Mainframe And Legacy	1.4	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	5.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	6.0	
Computer Supplies	1.7	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	11.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	2.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inmate Health Care

	FY 2019 Actual	FY 2020 Expd. Plan
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	5.8	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.4	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.0	
Document shredding and Destruction Services	2.1	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.1	
Entertainment And Promotional Items	0.0	
Dues	121.9	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.1	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inmate Health Care

	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	665.2	678.8
Appropriated		
AA1000-A General Fund (Appropriated)	665.2	678.8
Fund Source Total	665.2	678.8

Current Year Expenditures		3.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	152.2	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.5	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	8.3	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Inmate Health Care

	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	161.1	3.0
Appropriated		
AA1000-A General Fund (Appropriated)	161.1	3.0
Fund Source Total	161.1	3.0
<hr/>		
Capital Outlay	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Transfers	0.0	0.0
Expenditure Category Total	0.0	0.0

Employee Retirement Coverage			
	FTE	Personal Services	Fund#
Arizona State Retirement System	42.0	3,345.6	AA1000-A
DOC CORP Tier 1,2	1.0	72.4	AA1000-A
ASRS – return to work	2.0	124.3	AA1000-A

Combined Regular & Elected Positions At/Above FICA Maximum of \$128,400		
Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
3.0	511.1	0.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency:	Department of Corrections (for Budget)
Program:	SLI Inmate Health Care Contracted Services

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
6000 Personal Services	0.0	0.0	0.0	0.0
6100 Employee Related Expenses	0.0	0.0	0.0	0.0
6200 Professional and Outside Services	165,584.6	193,085.8	0.0	193,085.8
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	0.0	0.0	0.0	0.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	1,177.2	1,625.9	0.0	1,625.9
Expenditure Categories Total:				
	166,761.7	194,711.7	0.0	194,711.7
Fund Source				
Appropriated Funds				
AA1000-A General Fund (Appropriated)	155,261.7	183,211.7	0.0	183,211.7
DC2504-A Prison Construction and Operations Fund (Approp	10,000.0	10,000.0	0.0	10,000.0
DC3141-A State Charitable, Penal & Reformatory Land Earni	1,500.0	1,500.0	0.0	1,500.0
	166,761.7	194,711.7	0.0	194,711.7
Fund Source Total:				
	166,761.7	194,711.7	0.0	194,711.7

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	SLI Inmate Health Care Contracted Services				
Fund:	AA1000-A General Fund				
	Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	154,084.6	181,585.8	0.0	181,585.8
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	1,177.2	1,625.9	0.0	1,625.9
	Appropriated Total:	155,261.7	183,211.7	0.0	183,211.7
	Fund Total:	155,261.7	183,211.7	0.0	183,211.7
	Program Total For Selected Funds:	155,261.7	183,211.7	0.0	183,211.7

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	SLI Inmate Health Care Contracted Services				
Fund:	DC2504-A Prison Construction and Operations Fund				
	Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	10,000.0	10,000.0	0.0	10,000.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	10,000.0	10,000.0	0.0	10,000.0
	Fund Total:	10,000.0	10,000.0	0.0	10,000.0
	Program Total For Selected Funds:	10,000.0	10,000.0	0.0	10,000.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	SLI Inmate Health Care Contracted Services				
Fund:	DC3141-A State Charitable, Penal & Reformatory Land Earnings				
	Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	1,500.0	1,500.0	0.0	1,500.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	1,500.0	1,500.0	0.0	1,500.0
	Fund Total:	1,500.0	1,500.0	0.0	1,500.0
	Program Total For Selected Funds:	1,500.0	1,500.0	0.0	1,500.0

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Inmate Health Care Contracted Services

	FY 2019 Actual	FY 2020 Expd. Plan
FTE	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Personal Services	0.0	0.0
Boards and Commissions	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Employee Related Expenses	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Professional and Outside Services		193,085.8
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	165,584.6	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.0	
Expenditure Category Total	165,584.6	193,085.8
Appropriated		
AA1000-A General Fund (Appropriated)	154,084.6	181,585.8
DC2504-A Prison Construction and Operations Fund (Appropriated)	10,000.0	10,000.0
DC3141-A State Charitable, Penal & Reformatory Land Earnings (App	1,500.0	1,500.0
Fund Source Total	165,584.6	193,085.8
<hr/>		
Travel In-State	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Travel Out of State	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Food	0.0	0.0

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Inmate Health Care Contracted Services

	<u>FY 2019 Actual</u>	<u>FY 2020 Expd. Plan</u>
Expenditure Category Total	0.0	0.0
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Other Operating Expenses		0.0
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Inmate Health Care Contracted Services

	FY 2019 Actual	FY 2020 Expd. Plan
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	0.0	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.0	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Inmate Health Care Contracted Services

	FY 2019 Actual	FY 2020 Expd. Plan
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.0	
Expenditure Category Total	0.0	0.0

		0.0
Current Year Expenditures		
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Inmate Health Care Contracted Services

	FY 2019 Actual	FY 2020 Expd. Plan
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
Expenditure Category Total	0.0	0.0
<hr/>		
Capital Outlay	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Transfers	1,177.2	1,625.9
Expenditure Category Total	1,177.2	1,625.9
<hr/>		
Appropriated		
AA1000-A General Fund (Appropriated)	1,177.2	1,625.9
Fund Source Total	1,177.2	1,625.9
<hr/>		

Combined Regular & Elected Positions At/Above FICA Maximum of \$128,400
--

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

Program Summary of Expenditures and Budget Request

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

		FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Program Summary					
2-1	Community Corrections	25,963.5	31,064.1	2,293.4	33,357.5
Program Summary Total:		25,963.5	31,064.1	2,293.4	33,357.5
Expenditure Categories					
0000	FTE Positions	188.0	188.0	8.0	196.0
6000	Personal Services	9,944.7	10,588.6	658.8	11,247.4
6100	Employee Related Expenses	5,917.6	5,765.6	770.5	6,536.1
6200	Professional and Outside Services	5,678.3	10,605.9	(26.6)	10,579.3
6500	Travel In-State	72.7	57.7	0.0	57.7
6600	Travel Out of State	34.9	23.4	0.0	23.4
6700	Food	177.7	186.8	0.0	186.8
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	1,850.7	1,521.7	862.2	2,383.9
8000	Equipment	357.8	170.0	29.6	199.6
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	(1.1)	(1.1)
9100	Transfers	1,929.0	2,144.4	0.0	2,144.4
Expenditure Categories Total:		25,963.5	31,064.1	2,293.4	33,357.5
Fund Source					
Appropriated Funds					
AA1000-A	General Fund (Appropriated)	18,120.9	18,041.8	2,345.7	20,387.5
DC2204-A	DOC - Alcohol Abuse Treatment (Appropriated)	228.2	305.5	(13.8)	291.7
DC2379-A	Transition Program Fund (Appropriated)	1,202.4	2,400.1	0.0	2,400.1
		19,551.6	20,747.4	2,331.9	23,079.3
Non-Appropriated Funds					
DC2000-N	Federal Grants FUND (Non-Appropriated)	220.9	470.9	(11.8)	459.1
DC2395-N	Community Corrections Enhancement Fund (Non-	575.6	421.0	0.0	421.0
DC2500-N	IGA and ISA Fund (Non-Appropriated)	0.0	0.0	(26.7)	(26.7)
DC2515-N	State DOC Revolving-Transition (Non-Appropriate	3,532.7	4,879.4	0.0	4,879.4
DC3187-N	DOC Special Services Fund (Non-Appropriated)	2,081.6	2,545.4	0.0	2,545.4
DC9000-N	Indirect Cost Recovery Fund (Non-Appropriated)	1.0	2,000.0	0.0	2,000.0
		6,411.9	10,316.7	(38.5)	10,278.2
Fund Source Total:		25,963.5	31,064.1	2,293.4	33,357.5

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Fund: AA1000-A General Fund (Appropriated)				
Program Expenditures				
COST CENTER/PROGRAM BUDGET UNIT				
2-1 Community Corrections	18,120.9	18,041.8	2,345.7	20,387.5
Total	18,120.9	18,041.8	2,345.7	20,387.5

Appropriated Funding

Expenditure Categories

FTE Positions	188.0	188.0	8.0	196.0
Personal Services	9,909.9	10,588.6	658.8	11,247.4
Employee Related Expenses	5,904.8	5,765.6	770.5	6,536.1
Professional and Outside Services	648.8	850.0	0.0	850.0
Travel In-State	70.7	56.0	0.0	56.0
Travel Out of State	1.3	6.5	0.0	6.5
Food	77.5	91.8	0.0	91.8
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	1,476.5	673.3	886.8	1,560.1
Equipment	31.4	10.0	29.6	39.6
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

Expenditure Categories Total:	18,120.9	18,041.8	2,345.7	20,387.5
--------------------------------------	----------	----------	---------	----------

Fund AA1000-A Total:	18,120.9	18,041.8	2,345.7	20,387.5
-----------------------------	----------	----------	---------	----------

Program 2 Total:	18,120.9	18,041.8	2,345.7	20,387.5
-------------------------	----------	----------	---------	----------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2000-N Federal GrantS FUND (Non-Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Community Corrections	220.9	470.9	(11.8)	459.1
	Total	220.9	470.9	(11.8)	459.1

Non-Appropriated Funding

Expenditure Categories

	FTE Positions	0.0	0.0	0.0	0.0
	Personal Services	34.8	0.0	0.0	0.0
	Employee Related Expenses	12.9	0.0	0.0	0.0
	Professional and Outside Services	146.1	429.9	(10.7)	419.2
	Travel In-State	1.7	1.7	0.0	1.7
	Travel Out of State	4.4	4.4	0.0	4.4
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	0.6	1.2	0.0	1.2
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	(1.1)	(1.1)
	Transfers	20.4	33.7	0.0	33.7
Expenditure Categories Total:		220.9	470.9	(11.8)	459.1
Fund DC2000-N Total:		220.9	470.9	(11.8)	459.1
Program 2 Total:		220.9	470.9	(11.8)	459.1

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2204-A DOC - Alcohol Abuse Treatment (Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Community Corrections	228.2	305.5	(13.8)	291.7
	Total	228.2	305.5	(13.8)	291.7

Appropriated Funding

Expenditure Categories

	FTE Positions	0.0	0.0	0.0	0.0
	Personal Services	0.0	0.0	0.0	0.0
	Employee Related Expenses	0.0	0.0	0.0	0.0
	Professional and Outside Services	227.5	305.5	(13.8)	291.7
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	0.0	0.0	0.0	0.0
	Equipment	0.7	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:		228.2	305.5	(13.8)	291.7
Fund DC2204-A Total:		228.2	305.5	(13.8)	291.7
Program 2 Total:		228.2	305.5	(13.8)	291.7

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2379-A Transition Program Fund (Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Community Corrections	1,202.4	2,400.1	0.0	2,400.1
	Total	1,202.4	2,400.1	0.0	2,400.1

Appropriated Funding

Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	1,202.4	2,400.1	0.0	2,400.1
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	1,202.4	2,400.1	0.0	2,400.1
Fund DC2379-A Total:	1,202.4	2,400.1	0.0	2,400.1
Program 2 Total:	1,202.4	2,400.1	0.0	2,400.1

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2395-N Community Corrections Enhancement Fund (Non-Appropriated)
--------------	--

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Community Corrections	575.6	421.0	0.0	421.0
	Total	575.6	421.0	0.0	421.0

Non-Appropriated Funding

Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	133.0	100.0	0.0	100.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	29.2	12.5	0.0	12.5
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	96.1	148.5	0.0	148.5
Equipment	317.4	160.0	0.0	160.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	575.6	421.0	0.0	421.0
Fund DC2395-N Total:	575.6	421.0	0.0	421.0
Program 2 Total:	575.6	421.0	0.0	421.0

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2500-N IGA and ISA Fund (Non-Appropriated)
--------------	--

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Community Corrections	0.0	0.0	(26.7)	(26.7)
	Total	0.0	0.0	(26.7)	(26.7)

Non-Appropriated Funding

Expenditure Categories

	FTE Positions	0.0	0.0	0.0	0.0
	Personal Services	0.0	0.0	0.0	0.0
	Employee Related Expenses	0.0	0.0	0.0	0.0
	Professional and Outside Services	0.0	0.0	(2.1)	(2.1)
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	0.0	0.0	(24.6)	(24.6)
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:		0.0	0.0	(26.7)	(26.7)
Fund DC2500-N Total:		0.0	0.0	(26.7)	(26.7)
Program 2 Total:		0.0	0.0	(26.7)	(26.7)

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2515-N State DOC Revolving-Transition (Non-Appropriated)
--------------	--

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Community Corrections	3,532.7	4,879.4	0.0	4,879.4
	Total	3,532.7	4,879.4	0.0	4,879.4

Non-Appropriated Funding

Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	1,238.1	1,975.0	0.0	1,975.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	100.2	95.0	0.0	95.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	277.5	698.7	0.0	698.7
Equipment	8.3	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	1,908.6	2,110.7	0.0	2,110.7
Expenditure Categories Total:	3,532.7	4,879.4	0.0	4,879.4
Fund DC2515-N Total:	3,532.7	4,879.4	0.0	4,879.4
Program 2 Total:	3,532.7	4,879.4	0.0	4,879.4

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC3187-N DOC Special Services Fund (Non-Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Community Corrections	2,081.6	2,545.4	0.0	2,545.4
	Total	2,081.6	2,545.4	0.0	2,545.4

Non-Appropriated Funding

Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	2,081.6	2,545.4	0.0	2,545.4
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.1	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

Expenditure Categories Total:	2,081.6	2,545.4	0.0	2,545.4
--------------------------------------	---------	---------	-----	---------

Fund DC3187-N Total:	2,081.6	2,545.4	0.0	2,545.4
-----------------------------	---------	---------	-----	---------

Program 2 Total:	2,081.6	2,545.4	0.0	2,545.4
-------------------------	---------	---------	-----	---------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Community Corrections	1.0	2,000.0	0.0	2,000.0
	Total	1.0	2,000.0	0.0	2,000.0

Non-Appropriated Funding

Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.8	2,000.0	0.0	2,000.0
Travel In-State	0.2	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	1.0	2,000.0	0.0	2,000.0
Fund DC9000-N Total:	1.0	2,000.0	0.0	2,000.0
Program 2 Total:	1.0	2,000.0	0.0	2,000.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	188.0	188.0	8.0	196.0
6000 Personal Services	9,944.7	10,588.6	658.8	11,247.4
6100 Employee Related Expenses	5,917.6	5,765.6	770.5	6,536.1
6200 Professional and Outside Services	5,678.3	10,605.9	(26.6)	10,579.3
6500 Travel In-State	72.7	57.7	0.0	57.7
6600 Travel Out of State	34.9	23.4	0.0	23.4
6700 Food	177.7	186.8	0.0	186.8
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	1,850.7	1,521.7	862.2	2,383.9
8000 Equipment	357.8	170.0	29.6	199.6
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	(1.1)	(1.1)
9100 Transfers	1,929.0	2,144.4	0.0	2,144.4
Expenditure Categories Total:	25,963.5	31,064.1	2,293.4	33,357.5
Fund Source				
Appropriated Funds				
AA1000-A General Fund (Appropriated)	18,120.9	18,041.8	2,345.7	20,387.5
DC2204-A DOC - Alcohol Abuse Treatment (Appropriated)	228.2	305.5	(13.8)	291.7
DC2379-A Transition Program Fund (Appropriated)	1,202.4	2,400.1	0.0	2,400.1
	19,551.6	20,747.4	2,331.9	23,079.3
Non-Appropriated Funds				
DC2000-N Federal Grants FUND (Non-Appropriated)	220.9	470.9	(11.8)	459.1
DC2395-N Community Corrections Enhancement Fund (Non-	575.6	421.0	0.0	421.0
DC2500-N IGA and ISA Fund (Non-Appropriated)	0.0	0.0	(26.7)	(26.7)
DC2515-N State DOC Revolving-Transition (Non-Appropriate	3,532.7	4,879.4	0.0	4,879.4
DC3187-N DOC Special Services Fund (Non-Appropriated)	2,081.6	2,545.4	0.0	2,545.4
DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)	1.0	2,000.0	0.0	2,000.0
	6,411.9	10,316.7	(38.5)	10,278.2
Fund Source Total:	25,963.5	31,064.1	2,293.4	33,357.5

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Community Corrections				
Fund:	AA1000-A General Fund				
	Appropriated				
0000	FTE	188.0	188.0	8.0	196.0
6000	Personal Services	9,909.9	10,588.6	658.8	11,247.4
6100	Employee Related Expenses	5,904.8	5,765.6	770.5	6,536.1
6200	Professional and Outside Services	648.8	850.0	0.0	850.0
6500	Travel In-State	70.7	56.0	0.0	56.0
6600	Travel Out of State	1.3	6.5	0.0	6.5
6700	Food	77.5	91.8	0.0	91.8
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	1,476.5	673.3	886.8	1,560.1
8000	Equipment	31.4	10.0	29.6	39.6
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	18,120.9	18,041.8	2,345.7	20,387.5
	Fund Total:	18,120.9	18,041.8	2,345.7	20,387.5
	Program Total For Selected Funds:	18,120.9	18,041.8	2,345.7	20,387.5

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Community Corrections				
Fund:	DC2000-N Federal GrantS FUND				
	Non-Appropriated				
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	34.8	0.0	0.0	0.0
6100	Employee Related Expenses	12.9	0.0	0.0	0.0
6200	Professional and Outside Services	146.1	429.9	(10.7)	419.2
6500	Travel In-State	1.7	1.7	0.0	1.7
6600	Travel Out of State	4.4	4.4	0.0	4.4
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.6	1.2	0.0	1.2
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	(1.1)	(1.1)
9100	Transfers	20.4	33.7	0.0	33.7
	Non-Appropriated Total:	220.9	470.9	(11.8)	459.1
	Fund Total:	220.9	470.9	(11.8)	459.1
	Program Total For Selected Funds:	220.9	470.9	(11.8)	459.1

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Community Corrections				
Fund:	DC2204-A DOC - Alcohol Abuse Treatment				
	Appropriated				
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	227.5	305.5	(13.8)	291.7
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.7	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	228.2	305.5	(13.8)	291.7
	Fund Total:	228.2	305.5	(13.8)	291.7
	Program Total For Selected Funds:	228.2	305.5	(13.8)	291.7

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Community Corrections				
Fund:	DC2379-A Transition Program Fund				
	Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	1,202.4	2,400.1	0.0	2,400.1
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	1,202.4	2,400.1	0.0	2,400.1
	Fund Total:	1,202.4	2,400.1	0.0	2,400.1
	Program Total For Selected Funds:	1,202.4	2,400.1	0.0	2,400.1

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Community Corrections				
Fund:	DC2395-N Community Corrections Enhancement Fund				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	133.0	100.0	0.0	100.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	29.2	12.5	0.0	12.5
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	96.1	148.5	0.0	148.5
8000	Equipment	317.4	160.0	0.0	160.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	575.6	421.0	0.0	421.0
	Fund Total:	575.6	421.0	0.0	421.0
	Program Total For Selected Funds:	575.6	421.0	0.0	421.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Community Corrections				
Fund:	DC2500-N IGA and ISA Fund				
	Non-Appropriated				
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	(2.1)	(2.1)
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	(24.6)	(24.6)
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	0.0	0.0	(26.7)	(26.7)
	Fund Total:	0.0	0.0	(26.7)	(26.7)
	Program Total For Selected Funds:	0.0	0.0	(26.7)	(26.7)

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Community Corrections				
Fund:	DC2515-N State DOC Revolving-Transition				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	1,238.1	1,975.0	0.0	1,975.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	100.2	95.0	0.0	95.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	277.5	698.7	0.0	698.7
8000	Equipment	8.3	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	1,908.6	2,110.7	0.0	2,110.7
	Non-Appropriated Total:	3,532.7	4,879.4	0.0	4,879.4
	Fund Total:	3,532.7	4,879.4	0.0	4,879.4
	Program Total For Selected Funds:	3,532.7	4,879.4	0.0	4,879.4

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Community Corrections				
Fund:	DC3187-N DOC Special Services Fund				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	2,081.6	2,545.4	0.0	2,545.4
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.1	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	2,081.6	2,545.4	0.0	2,545.4
	Fund Total:	2,081.6	2,545.4	0.0	2,545.4
	Program Total For Selected Funds:	2,081.6	2,545.4	0.0	2,545.4

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Community Corrections				
Fund:	DC9000-N Indirect Cost Recovery Fund				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.8	2,000.0	0.0	2,000.0
6500	Travel In-State	0.2	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	1.0	2,000.0	0.0	2,000.0
	Fund Total:	1.0	2,000.0	0.0	2,000.0
	Program Total For Selected Funds:	1.0	2,000.0	0.0	2,000.0

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)	
Program:	Community Corrections	
	FY 2019 Actual	FY 2020 Expd. Plan
FTE	188.0	188.0
Expenditure Category Total	188.0	188.0
Appropriated		
AA1000-A General Fund (Appropriated)	188.0	188.0
Fund Source Total	188.0	188.0
<hr/>		
Personal Services	9,944.7	10,588.6
Boards and Commissions	0.0	0.0
Expenditure Category Total	9,944.7	10,588.6
Appropriated		
AA1000-A General Fund (Appropriated)	9,909.9	10,588.6
Fund Source Total	9,909.9	10,588.6
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	34.8	0.0
Fund Source Total	34.8	0.0
<hr/>		
Employee Related Expenses	5,917.6	5,765.6
Expenditure Category Total	5,917.6	5,765.6
Appropriated		
AA1000-A General Fund (Appropriated)	5,904.8	5,765.6
Fund Source Total	5,904.8	5,765.6
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	12.9	0.0
Fund Source Total	12.9	0.0
<hr/>		
Professional and Outside Services		10,605.9
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	5,271.4	
Institutional Care	0.0	
Education And Training	137.2	
Vendor Travel	0.8	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)	
Program:	Community Corrections	
	FY 2019 Actual	FY 2020 Expd. Plan
Other Professional And Outside Services	269.0	
Expenditure Category Total	5,678.3	10,605.9
Appropriated		
AA1000-A General Fund (Appropriated)	648.8	850.0
DC2204-A DOC - Alcohol Abuse Treatment (Appropriated)	227.5	305.5
DC2379-A Transition Program Fund (Appropriated)	1,202.4	2,400.1
	2,078.7	3,555.6
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	146.1	429.9
DC2395-N Community Corrections Enhancement Fund (Non-Appropriated)	133.0	100.0
DC2515-N State DOC Revolving-Transition (Non-Appropriated)	1,238.1	1,975.0
DC3187-N DOC Special Services Fund (Non-Appropriated)	2,081.6	2,545.4
DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)	0.8	2,000.0
	3,599.6	7,050.3
Fund Source Total	5,678.3	10,605.9
<hr/>		
Travel In-State	72.7	57.7
Expenditure Category Total	72.7	57.7
Appropriated		
AA1000-A General Fund (Appropriated)	70.7	56.0
	70.7	56.0
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	1.7	1.7
DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)	0.2	0.0
	2.0	1.7
Fund Source Total	72.7	57.7
<hr/>		
Travel Out of State	34.9	23.4
Expenditure Category Total	34.9	23.4
Appropriated		
AA1000-A General Fund (Appropriated)	1.3	6.5
	1.3	6.5
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	4.4	4.4
DC2395-N Community Corrections Enhancement Fund (Non-Appropriated)	29.2	12.5
	33.6	16.9
Fund Source Total	34.9	23.4
<hr/>		
Food	177.7	186.8
Expenditure Category Total	177.7	186.8
Appropriated		
AA1000-A General Fund (Appropriated)	77.5	91.8
	77.5	91.8
Non-Appropriated		
DC2515-N State DOC Revolving-Transition (Non-Appropriated)	100.2	95.0
	100.2	95.0
Fund Source Total	177.7	186.8
<hr/>		
Aid to Organizations and Individuals	0.0	0.0

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

	FY 2019 Actual	FY 2020 Expd. Plan
Expenditure Category Total	0.0	0.0
Other Operating Expenses		1,521.7
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	52.9	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	18.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	241.4	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	186.8	
Sanitation Waste Disposal	54.2	
Water	33.3	
Gas And Fuel Oil For Buildings	17.9	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	614.8	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	96.9	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

	FY 2019 Actual	FY 2020 Expd. Plan
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	34.5	
Repair And Maintenance - Vehicles	40.6	
Repair And Maint - Mainframe And Legacy	4.4	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	1.5	
Other Repair And Maintenance	18.5	
Software Support And Maintenance	0.0	
Uniforms	28.8	
Inmate Clothing	0.0	
Security Supplies	15.0	
Office Supplies	61.0	
Computer Supplies	7.2	
Housekeeping Supplies	35.7	
Bedding And Bath Supplies	37.1	
Drugs And Medicine Supplies	0.5	
Medical Supplies	1.8	
Dental Supplies	0.0	
Automotive And Transportation Fuels	110.2	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	2.9	
Repair And Maintenance Supplies-Building	16.4	
Other Operating Supplies	41.9	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	21.1	
Other Education And Training Costs	20.2	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	3.8	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	1.3	
Document shredding and Destruction Services	4.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	2.6	
Entertainment And Promotional Items	0.0	
Dues	15.9	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

	FY 2019 Actual	FY 2020 Expd. Plan
Books- Subscriptions And Publications	2.6	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	1.4	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	3.7	
Expenditure Category Total	1,850.7	1,521.7
Appropriated		
AA1000-A General Fund (Appropriated)	1,476.5	673.3
	1,476.5	673.3
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	0.6	1.2
DC2395-N Community Corrections Enhancement Fund (Non-Appropriated)	96.1	148.5
DC2515-N State DOC Revolving-Transition (Non-Appropriated)	277.5	698.7
DC3187-N DOC Special Services Fund (Non-Appropriated)	0.1	0.0
	374.3	848.4
Fund Source Total	1,850.7	1,521.7
<hr/>		
Current Year Expenditures		170.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	139.2	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	19.9	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

	FY 2019 Actual	FY 2020 Expd. Plan
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	56.6	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	65.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	33.5	
Weapons Non-Capital Purchase	43.7	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
Expenditure Category Total	357.8	170.0
Appropriated		
AA1000-A General Fund (Appropriated)	31.4	10.0
DC2204-A DOC - Alcohol Abuse Treatment (Appropriated)	0.7	0.0
	32.2	10.0
Non-Appropriated		
DC2395-N Community Corrections Enhancement Fund (Non-Appropri	317.4	160.0
DC2515-N State DOC Revolving-Transition (Non-Appropriated)	8.3	0.0
	325.7	160.0
Fund Source Total	357.8	170.0
<hr/>		
Capital Outlay	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Community Corrections

	FY 2019 Actual	FY 2020 Expd. Plan
Transfers	1,929.0	2,144.4
Expenditure Category Total	1,929.0	2,144.4
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	20.4	33.7
DC2515-N State DOC Revolving-Transition (Non-Appropriated)	1,908.6	2,110.7
Fund Source Total	1,929.0	2,144.4

Employee Retirement Coverage			
Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	29.0	1,662.2	AA1000-A
DOC CORP Tier 1,2	154.0	8,598.8	AA1000-A
DOC CORP Tier 3 Defined Contribution	4.0	284.7	AA1000-A
ASRS – return to work	1.0	42.9	AA1000-A

Combined Regular & Elected Positions At/Above FICA Maximum of \$128,400
--

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

Program Summary of Expenditures and Budget Request

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Program Summary				
3-1 Administration	52,704.6	53,470.4	34,587.8	88,058.2
3-2 SLI Named Claimants	76.6	0.0	0.0	0.0
Program Summary Total:	52,781.2	53,470.4	34,587.8	88,058.2
Expenditure Categories				
0000 FTE Positions	387.5	387.5	0.0	387.5
6000 Personal Services	19,497.4	20,387.5	0.0	20,387.5
6100 Employee Related Expenses	9,132.0	10,306.4	0.0	10,306.4
6200 Professional and Outside Services	4,565.2	5,442.7	(2,000.0)	3,442.7
6500 Travel In-State	142.6	61.3	0.0	61.3
6600 Travel Out of State	26.2	27.1	0.0	27.1
6700 Food	311.8	370.6	0.0	370.6
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	6,664.3	7,591.7	0.0	7,591.7
8000 Equipment	2,289.2	800.3	0.0	800.3
8100 Capital Outlay	56.0	0.0	36,587.8	36,587.8
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	10,096.4	8,482.8	0.0	8,482.8
Expenditure Categories Total:	52,781.2	53,470.4	34,587.8	88,058.2
Fund Source				
Appropriated Funds				
AA1000-A General Fund (Appropriated)	41,990.4	44,116.3	51,416.7	95,533.0
DC2551-A DOC Building Renewal & Preventive Maintenance	0.0	0.0	(1,240.7)	(1,240.7)
	41,990.4	44,116.3	50,176.0	94,292.3
Non-Appropriated Funds				
DC2000-N Federal Grants FUND (Non-Appropriated)	6,897.0	6,881.3	0.0	6,881.3
DC2449-N Employee Recognition Fund (Non-Appropriated)	7.6	17.8	0.0	17.8
DC2500-N IGA and ISA Fund (Non-Appropriated)	(14.2)	43.1	(3,888.2)	(3,845.1)
DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)	3,561.0	1,999.2	(1,700.0)	299.2
DC2515-N State DOC Revolving-Transition (Non-Appropriate)	3.9	9.8	0.0	9.8
DC3187-N DOC Special Services Fund (Non-Appropriated)	310.3	380.4	(4,000.0)	(3,619.6)
DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)	0.0	0.0	(4,000.0)	(4,000.0)
DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)	25.2	22.5	(2,000.0)	(1,977.5)
	10,790.8	9,354.1	(15,588.2)	(6,234.1)

Program Summary of Expenditures and Budget Request

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Fund Source Total:	52,781.2	53,470.4	34,587.8	88,058.2

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	AA1000-A General Fund (Appropriated)
--------------	--------------------------------------

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Administration	41,913.9	44,116.3	51,416.7	95,533.0
3-2	SLI Named Claimants	76.6	0.0	0.0	0.0
	Total	41,990.4	44,116.3	51,416.7	95,533.0

Appropriated Funding

Expenditure Categories

	FTE Positions	380.5	380.5	0.0	380.5
	Personal Services	19,165.1	20,026.7	0.0	20,026.7
	Employee Related Expenses	9,008.0	10,113.2	0.0	10,113.2
	Professional and Outside Services	4,431.5	5,287.7	0.0	5,287.7
	Travel In-State	134.3	61.0	0.0	61.0
	Travel Out of State	12.8	13.3	0.0	13.3
	Food	311.8	370.6	0.0	370.6
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	6,379.3	7,243.5	0.0	7,243.5
	Equipment	2,268.0	800.3	0.0	800.3
	Capital Outlay	53.1	0.0	51,416.7	51,416.7
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	226.5	200.0	0.0	200.0

Expenditure Categories Total:	41,990.4	44,116.3	51,416.7	95,533.0
--------------------------------------	----------	----------	----------	----------

Fund AA1000-A Total:	41,990.4	44,116.3	51,416.7	95,533.0
-----------------------------	----------	----------	----------	----------

Program 3 Total:	41,990.4	44,116.3	51,416.7	95,533.0
-------------------------	----------	----------	----------	----------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2000-N Federal GrantS FUND (Non-Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Administration	6,897.0	6,881.3	0.0	6,881.3
	Total	6,897.0	6,881.3	0.0	6,881.3

Non-Appropriated Funding

Expenditure Categories

	FTE Positions	7.0	7.0	0.0	7.0
	Personal Services	332.3	319.2	0.0	319.2
	Employee Related Expenses	123.3	175.5	0.0	175.5
	Professional and Outside Services	12.8	7.2	0.0	7.2
	Travel In-State	3.5	0.3	0.0	0.3
	Travel Out of State	13.5	13.8	0.0	13.8
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	20.6	7.5	0.0	7.5
	Equipment	21.2	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	6,370.0	6,357.8	0.0	6,357.8
Expenditure Categories Total:		6,897.0	6,881.3	0.0	6,881.3
Fund DC2000-N Total:		6,897.0	6,881.3	0.0	6,881.3
Program 3 Total:		6,897.0	6,881.3	0.0	6,881.3

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2449-N Employee Recognition Fund (Non-Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Administration	7.6	17.8	0.0	17.8
	Total	7.6	17.8	0.0	17.8

Non-Appropriated Funding

Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	7.6	17.8	0.0	17.8
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	7.6	17.8	0.0	17.8
Fund DC2449-N Total:	7.6	17.8	0.0	17.8
Program 3 Total:	7.6	17.8	0.0	17.8

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2500-N IGA and ISA Fund (Non-Appropriated)
--------------	--

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Administration	(14.2)	43.1	(3,888.2)	(3,845.1)
	Total	(14.2)	43.1	(3,888.2)	(3,845.1)

Non-Appropriated Funding

Expenditure Categories

	FTE Positions	0.0	0.0	0.0	0.0
	Personal Services	(14.9)	26.6	0.0	26.6
	Employee Related Expenses	(6.6)	10.2	0.0	10.2
	Professional and Outside Services	0.0	0.0	0.0	0.0
	Travel In-State	4.8	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	2.5	6.3	0.0	6.3
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	(3,888.2)	(3,888.2)
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:		(14.2)	43.1	(3,888.2)	(3,845.1)
Fund DC2500-N Total:		(14.2)	43.1	(3,888.2)	(3,845.1)
Program 3 Total:		(14.2)	43.1	(3,888.2)	(3,845.1)

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)
--------------	--

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

3-1	Administration	3,561.0	1,999.2	(1,700.0)	299.2
	Total	3,561.0	1,999.2	(1,700.0)	299.2

Non-Appropriated Funding

Expenditure Categories

FTE Positions	0.0	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	61.0	74.2	0.0	74.2
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	(1,700.0)	(1,700.0)
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	3,500.0	1,925.0	0.0	1,925.0

Expenditure Categories Total:	3,561.0	1,999.2	(1,700.0)	299.2
--------------------------------------	---------	---------	-----------	-------

Fund DC2505-N Total:	3,561.0	1,999.2	(1,700.0)	299.2
-----------------------------	---------	---------	-----------	-------

Program 3 Total:	3,561.0	1,999.2	(1,700.0)	299.2
-------------------------	---------	---------	-----------	-------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2515-N State DOC Revolving-Transition (Non-Appropriated)
--------------	--

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Administration	3.9	9.8	0.0	9.8
	Total	3.9	9.8	0.0	9.8

Non-Appropriated Funding

Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	3.9	9.8	0.0	9.8
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	3.9	9.8	0.0	9.8
Fund DC2515-N Total:	3.9	9.8	0.0	9.8
Program 3 Total:	3.9	9.8	0.0	9.8

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC2551-A DOC Building Renewal & Preventive Maintenance (Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Administration	0.0	0.0	(1,240.7)	(1,240.7)
	Total	0.0	0.0	(1,240.7)	(1,240.7)

Appropriated Funding

Expenditure Categories

FTE Positions	0.0	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	(1,240.7)	(1,240.7)
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	(1,240.7)	(1,240.7)
Fund DC2551-A Total:	0.0	0.0	(1,240.7)	(1,240.7)
Program 3 Total:	0.0	0.0	(1,240.7)	(1,240.7)

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC3187-N DOC Special Services Fund (Non-Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

3-1	Administration	310.3	380.4	(4,000.0)	(3,619.6)
	Total	310.3	380.4	(4,000.0)	(3,619.6)

Non-Appropriated Funding

Expenditure Categories

FTE Positions	0.0	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	120.9	147.8	0.0	147.8
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	189.4	232.6	0.0	232.6
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	(4,000.0)	(4,000.0)
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

Expenditure Categories Total:	310.3	380.4	(4,000.0)	(3,619.6)
--------------------------------------	-------	-------	-----------	-----------

Fund DC3187-N Total:	310.3	380.4	(4,000.0)	(3,619.6)
-----------------------------	-------	-------	-----------	-----------

Program 3 Total:	310.3	380.4	(4,000.0)	(3,619.6)
-------------------------	-------	-------	-----------	-----------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Administration	0.0	0.0	(4,000.0)	(4,000.0)
	Total	0.0	0.0	(4,000.0)	(4,000.0)

Non-Appropriated Funding

Expenditure Categories

	FTE Positions	0.0	0.0	0.0	0.0
	Personal Services	0.0	0.0	0.0	0.0
	Employee Related Expenses	0.0	0.0	0.0	0.0
	Professional and Outside Services	0.0	0.0	0.0	0.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	0.0	0.0	0.0	0.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	(4,000.0)	(4,000.0)
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:		0.0	0.0	(4,000.0)	(4,000.0)
Fund DC4002-N Total:		0.0	0.0	(4,000.0)	(4,000.0)
Program 3 Total:		0.0	0.0	(4,000.0)	(4,000.0)

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

Fund:	DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)
--------------	---

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Administration	25.2	22.5	(2,000.0)	(1,977.5)
	Total	25.2	22.5	(2,000.0)	(1,977.5)

Non-Appropriated Funding

Expenditure Categories

FTE Positions		0.0	0.0	0.0	0.0
Personal Services		14.9	15.0	0.0	15.0
Employee Related Expenses		7.4	7.5	0.0	7.5
Professional and Outside Services		0.0	0.0	(2,000.0)	(2,000.0)
Travel In-State		0.0	0.0	0.0	0.0
Travel Out of State		0.0	0.0	0.0	0.0
Food		0.0	0.0	0.0	0.0
Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
Other Operating Expenses		0.0	0.0	0.0	0.0
Equipment		0.0	0.0	0.0	0.0
Capital Outlay		2.9	0.0	0.0	0.0
Debt Service		0.0	0.0	0.0	0.0
Cost Allocation		0.0	0.0	0.0	0.0
Transfers		0.0	0.0	0.0	0.0
Expenditure Categories Total:		25.2	22.5	(2,000.0)	(1,977.5)
Fund DC9000-N Total:		25.2	22.5	(2,000.0)	(1,977.5)
Program 3 Total:		25.2	22.5	(2,000.0)	(1,977.5)

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency:	Department of Corrections (for Budget)
Program:	Administration

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	387.5	387.5	0.0	387.5
6000 Personal Services	19,497.4	20,387.5	0.0	20,387.5
6100 Employee Related Expenses	9,132.0	10,306.4	0.0	10,306.4
6200 Professional and Outside Services	4,565.2	5,442.7	(2,000.0)	3,442.7
6500 Travel In-State	142.6	61.3	0.0	61.3
6600 Travel Out of State	26.2	27.1	0.0	27.1
6700 Food	311.8	370.6	0.0	370.6
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	6,587.7	7,591.7	0.0	7,591.7
8000 Equipment	2,289.2	800.3	0.0	800.3
8100 Capital Outlay	56.0	0.0	36,587.8	36,587.8
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	10,096.4	8,482.8	0.0	8,482.8
Expenditure Categories Total:	52,704.6	53,470.4	34,587.8	88,058.2
Fund Source				
Appropriated Funds				
AA1000-A General Fund (Appropriated)	41,913.9	44,116.3	51,416.7	95,533.0
DC2551-A DOC Building Renewal & Preventive Maintenance	0.0	0.0	(1,240.7)	(1,240.7)
	41,913.9	44,116.3	50,176.0	94,292.3
Non-Appropriated Funds				
DC2000-N Federal Grants FUND (Non-Appropriated)	6,897.0	6,881.3	0.0	6,881.3
DC2449-N Employee Recognition Fund (Non-Appropriated)	7.6	17.8	0.0	17.8
DC2500-N IGA and ISA Fund (Non-Appropriated)	(14.2)	43.1	(3,888.2)	(3,845.1)
DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)	3,561.0	1,999.2	(1,700.0)	299.2
DC2515-N State DOC Revolving-Transition (Non-Appropriate)	3.9	9.8	0.0	9.8
DC3187-N DOC Special Services Fund (Non-Appropriated)	310.3	380.4	(4,000.0)	(3,619.6)
DC4002-N ARCOR Enterprises Revolving (Non-Appropriated)	0.0	0.0	(4,000.0)	(4,000.0)
DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)	25.2	22.5	(2,000.0)	(1,977.5)
	10,790.8	9,354.1	(15,588.2)	(6,234.1)
Fund Source Total:	52,704.6	53,470.4	34,587.8	88,058.2

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)			
	FY 2019	FY 2020	FY 2021	FY 2021
	Actual	Expd. Plan	Fund. Issue	Total Request
Program:	Administration			
Fund:	AA1000-A General Fund			
	Appropriated			
0000 FTE	380.5	380.5	0.0	380.5
6000 Personal Services	19,165.1	20,026.7	0.0	20,026.7
6100 Employee Related Expenses	9,008.0	10,113.2	0.0	10,113.2
6200 Professional and Outside Services	4,431.5	5,287.7	0.0	5,287.7
6500 Travel In-State	134.3	61.0	0.0	61.0
6600 Travel Out of State	12.8	13.3	0.0	13.3
6700 Food	311.8	370.6	0.0	370.6
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	6,302.7	7,243.5	0.0	7,243.5
8000 Equipment	2,268.0	800.3	0.0	800.3
8100 Capital Outlay	53.1	0.0	51,416.7	51,416.7
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	226.5	200.0	0.0	200.0
Appropriated Total:	41,913.9	44,116.3	51,416.7	95,533.0
Fund Total:	41,913.9	44,116.3	51,416.7	95,533.0
Program Total For Selected Funds:	41,913.9	44,116.3	51,416.7	95,533.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Administration				
Fund:	DC2000-N Federal GrantS FUND				
	Non-Appropriated				
0000	FTE	7.0	7.0	0.0	7.0
6000	Personal Services	332.3	319.2	0.0	319.2
6100	Employee Related Expenses	123.3	175.5	0.0	175.5
6200	Professional and Outside Services	12.8	7.2	0.0	7.2
6500	Travel In-State	3.5	0.3	0.0	0.3
6600	Travel Out of State	13.5	13.8	0.0	13.8
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	20.6	7.5	0.0	7.5
8000	Equipment	21.2	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	6,370.0	6,357.8	0.0	6,357.8
	Non-Appropriated Total:	6,897.0	6,881.3	0.0	6,881.3
	Fund Total:	6,897.0	6,881.3	0.0	6,881.3
	Program Total For Selected Funds:	6,897.0	6,881.3	0.0	6,881.3

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Administration				
Fund:	DC2449-N Employee Recognition Fund				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	7.6	17.8	0.0	17.8
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	7.6	17.8	0.0	17.8
	Fund Total:	7.6	17.8	0.0	17.8
	Program Total For Selected Funds:	7.6	17.8	0.0	17.8

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Administration				
Fund:	DC2500-N IGA and ISA Fund				
	Non-Appropriated				
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	(14.9)	26.6	0.0	26.6
6100	Employee Related Expenses	(6.6)	10.2	0.0	10.2
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	4.8	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	2.5	6.3	0.0	6.3
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	(3,888.2)	(3,888.2)
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	(14.2)	43.1	(3,888.2)	(3,845.1)
	Fund Total:	(14.2)	43.1	(3,888.2)	(3,845.1)
	Program Total For Selected Funds:	(14.2)	43.1	(3,888.2)	(3,845.1)

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Administration				
Fund:	DC2505-N Inmate Store Proceeds Fund				
	Non-Appropriated				
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	61.0	74.2	0.0	74.2
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	(1,700.0)	(1,700.0)
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	3,500.0	1,925.0	0.0	1,925.0
	Non-Appropriated Total:	3,561.0	1,999.2	(1,700.0)	299.2
	Fund Total:	3,561.0	1,999.2	(1,700.0)	299.2
	Program Total For Selected Funds:	3,561.0	1,999.2	(1,700.0)	299.2

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
		FY 2019	FY 2020	FY 2021	FY 2021
		Actual	Expd. Plan	Fund. Issue	Total Request
Program:	Administration				
Fund:	DC2515-N State DOC Revolving-Transition				
	Non-Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	3.9	9.8	0.0	9.8
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	3.9	9.8	0.0	9.8
	Fund Total:	3.9	9.8	0.0	9.8
	Program Total For Selected Funds:	3.9	9.8	0.0	9.8

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Administration				
Fund:	DC2551-A DOC Building Renewal & Preventive Maintenance				
	Appropriated				
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	(1,240.7)	(1,240.7)
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	0.0	0.0	(1,240.7)	(1,240.7)
	Fund Total:	0.0	0.0	(1,240.7)	(1,240.7)
	Program Total For Selected Funds:	0.0	0.0	(1,240.7)	(1,240.7)

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Administration				
Fund:	DC3187-N DOC Special Services Fund				
	Non-Appropriated				
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	120.9	147.8	0.0	147.8
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	189.4	232.6	0.0	232.6
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	(4,000.0)	(4,000.0)
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	310.3	380.4	(4,000.0)	(3,619.6)
	Fund Total:	310.3	380.4	(4,000.0)	(3,619.6)
	Program Total For Selected Funds:	310.3	380.4	(4,000.0)	(3,619.6)

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Administration				
Fund:	DC4002-N ARCOR Enterprises Revolving				
	Non-Appropriated				
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	(4,000.0)	(4,000.0)
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	0.0	0.0	(4,000.0)	(4,000.0)
	Fund Total:	0.0	0.0	(4,000.0)	(4,000.0)
	Program Total For Selected Funds:	0.0	0.0	(4,000.0)	(4,000.0)

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	Administration				
Fund:	DC9000-N Indirect Cost Recovery Fund				
	Non-Appropriated				
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	14.9	15.0	0.0	15.0
6100	Employee Related Expenses	7.4	7.5	0.0	7.5
6200	Professional and Outside Services	0.0	0.0	(2,000.0)	(2,000.0)
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	2.9	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Non-Appropriated Total:	25.2	22.5	(2,000.0)	(1,977.5)
	Fund Total:	25.2	22.5	(2,000.0)	(1,977.5)
	Program Total For Selected Funds:	25.2	22.5	(2,000.0)	(1,977.5)

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)	
Program:	Administration	
	FY 2019 Actual	FY 2020 Expd. Plan
FTE	387.5	387.5
Expenditure Category Total	387.5	387.5
Appropriated		
AA1000-A General Fund (Appropriated)	380.5	380.5
	380.5	380.5
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	7.0	7.0
	7.0	7.0
Fund Source Total	387.5	387.5
<hr/>		
Personal Services	19,497.4	20,387.5
Boards and Commissions	0.0	0.0
Expenditure Category Total	19,497.4	20,387.5
Appropriated		
AA1000-A General Fund (Appropriated)	19,165.1	20,026.7
	19,165.1	20,026.7
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	332.3	319.2
DC2500-N IGA and ISA Fund (Non-Appropriated)	(14.9)	26.6
DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)	14.9	15.0
	332.3	360.8
Fund Source Total	19,497.4	20,387.5
<hr/>		
Employee Related Expenses	9,132.0	10,306.4
Expenditure Category Total	9,132.0	10,306.4
Appropriated		
AA1000-A General Fund (Appropriated)	9,008.0	10,113.2
	9,008.0	10,113.2
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	123.3	175.5
DC2500-N IGA and ISA Fund (Non-Appropriated)	(6.6)	10.2
DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)	7.4	7.5
	124.0	193.2
Fund Source Total	9,132.0	10,306.4
<hr/>		
Professional and Outside Services		5,442.7
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	557.3	
External Legal Services	2,818.1	
External Engineer/Architect Cost - Exp	27.3	
External Engineer/Architect Cost- Cap	145.3	
Other Design	0.0	
Temporary Agency Services	76.9	
Hospital Services	0.0	
Other Medical Services	152.7	
Institutional Care	0.0	
Education And Training	11.9	
Vendor Travel	37.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	102.1	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	636.7	
Expenditure Category Total	4,565.2	5,442.7
Appropriated		
AA1000-A General Fund (Appropriated)	4,431.5	5,287.7
	4,431.5	5,287.7
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	12.8	7.2
DC2500-N IGA and ISA Fund (Non-Appropriated)	0.0	0.0
DC3187-N DOC Special Services Fund (Non-Appropriated)	120.9	147.8
	133.7	155.0
Fund Source Total	4,565.2	5,442.7
<hr/>		
Travel In-State	142.6	61.3
Expenditure Category Total	142.6	61.3
Appropriated		
AA1000-A General Fund (Appropriated)	134.3	61.0
	134.3	61.0
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	3.5	0.3
DC2500-N IGA and ISA Fund (Non-Appropriated)	4.8	0.0
	8.3	0.3
Fund Source Total	142.6	61.3
<hr/>		
Travel Out of State	26.2	27.1
Expenditure Category Total	26.2	27.1
Appropriated		
AA1000-A General Fund (Appropriated)	12.8	13.3
	12.8	13.3
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	13.5	13.8
	13.5	13.8
Fund Source Total	26.2	27.1
<hr/>		
Food	311.8	370.6
Expenditure Category Total	311.8	370.6
Appropriated		
AA1000-A General Fund (Appropriated)	311.8	370.6
	311.8	370.6
Fund Source Total	311.8	370.6
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan
Other Operating Expenses		7,591.7
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	117.9	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	478.8	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	42.6	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	146.7	
Sanitation Waste Disposal	17.1	
Water	38.4	
Gas And Fuel Oil For Buildings	13.2	
Other Utilities	0.0	
Building Rent Charges To State Agencies	1,972.8	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	105.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan
Other Internal Services	0.3	
Repair And Maintenance - Buildings	495.0	
Repair And Maintenance - Vehicles	18.8	
Repair And Maint - Mainframe And Legacy	2.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	8.2	
Other Repair And Maintenance	34.6	
Software Support And Maintenance	1,211.0	
Uniforms	18.5	
Inmate Clothing	0.0	
Security Supplies	56.2	
Office Supplies	97.6	
Computer Supplies	57.1	
Housekeeping Supplies	38.6	
Bedding And Bath Supplies	38.7	
Drugs And Medicine Supplies	217.6	
Medical Supplies	3.7	
Dental Supplies	0.0	
Automotive And Transportation Fuels	(428.9)	
Automotive Lubricants And Supplies	4.3	
Rpr And Maint Supplies-Not Auto Or Build	40.1	
Repair And Maintenance Supplies-Building	34.4	
Other Operating Supplies	27.1	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	123.7	
Conference Registration-Attendance Fees	25.0	
Other Education And Training Costs	50.3	
Advertising	420.1	
Sponsorships	0.0	
Internal Printing	16.0	
External Printing	15.3	
Photography	0.0	
Postage And Delivery	6.0	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	30.2	
Entertainment And Promotional Items	0.0	
Dues	55.6	
Books- Subscriptions And Publications	154.2	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	47.1	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	9.1	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	635.0	
Other Miscellaneous Operating	92.7	
Expenditure Category Total	6,587.7	7,591.7
Appropriated		
AA1000-A General Fund (Appropriated)	6,302.7	7,243.5
	6,302.7	7,243.5
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	20.6	7.5
DC2449-N Employee Recognition Fund (Non-Appropriated)	7.6	17.8
DC2500-N IGA and ISA Fund (Non-Appropriated)	2.5	6.3
DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)	61.0	74.2
DC2515-N State DOC Revolving-Transition (Non-Appropriated)	3.9	9.8
DC3187-N DOC Special Services Fund (Non-Appropriated)	189.4	232.6
	285.0	348.2
Fund Source Total	6,587.7	7,591.7
<hr/>		
Current Year Expenditures		800.3
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	54.8	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	55.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	8.6	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	1,930.3	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	26.8	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	180.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	7.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	26.6	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
Expenditure Category Total	2,289.2	800.3
Appropriated		
AA1000-A General Fund (Appropriated)	2,268.0	800.3
	2,268.0	800.3
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	21.2	0.0
	21.2	0.0
Fund Source Total	2,289.2	800.3
<hr/>		
Capital Outlay	56.0	0.0
Expenditure Category Total	56.0	0.0
Appropriated		
AA1000-A General Fund (Appropriated)	53.1	0.0
	53.1	0.0
Non-Appropriated		
DC9000-N Indirect Cost Recovery Fund (Non-Appropriated)	2.9	0.0
	2.9	0.0
Fund Source Total	56.0	0.0
<hr/>		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	Administration

	FY 2019 Actual	FY 2020 Expd. Plan
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Transfers	10,096.4	8,482.8
Expenditure Category Total	10,096.4	8,482.8
Appropriated		
AA1000-A General Fund (Appropriated)	226.5	200.0
	226.5	200.0
Non-Appropriated		
DC2000-N Federal Grants FUND (Non-Appropriated)	6,370.0	6,357.8
DC2505-N Inmate Store Proceeds Fund (Non-Appropriated)	3,500.0	1,925.0
	9,870.0	8,282.8
Fund Source Total	10,096.4	8,482.8

Employee Retirement Coverage			
Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	319.5	17,130.2	AA1000-A
DOC CORP Tier 1,2	50.0	2,418.3	AA1000-A
DOC CORP Tier 3 Defined Contribution	7.0	296.6	AA1000-A
Arizona State Retirement System	6.0	282.1	DC2000-N
Arizona State Retirement System	0.0	26.6	DC2500-N
ASRS – return to work	4.0	181.6	AA1000-A
DOC CORP Tier 1,2	1.0	37.1	DC2000-N
Arizona State Retirement System	0.0	15.0	DC9000-N

Combined Regular & Elected Positions At/Above FICA Maximum of \$128,400
--

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
2.0	325.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency:	Department of Corrections (for Budget)
Program:	SLI Named Claimants

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
6000 Personal Services	0.0	0.0	0.0	0.0
6100 Employee Related Expenses	0.0	0.0	0.0	0.0
6200 Professional and Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	76.6	0.0	0.0	0.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:				
	76.6	0.0	0.0	0.0
Fund Source				
Appropriated Funds				
AA1000-A General Fund (Appropriated)	76.6	0.0	0.0	0.0
	76.6	0.0	0.0	0.0
Fund Source Total:				
	76.6	0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	Department of Corrections (for Budget)				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
Program:	SLI Named Claimants				
Fund:	AA1000-A General Fund				
	Appropriated				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	76.6	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Appropriated Total:	76.6	0.0	0.0	0.0
	Fund Total:	76.6	0.0	0.0	0.0
	Program Total For Selected Funds:	76.6	0.0	0.0	0.0

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Named Claimants

	FY 2019 Actual	FY 2020 Expd. Plan
FTE	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Personal Services	0.0	0.0
Boards and Commissions	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Employee Related Expenses	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Professional and Outside Services		0.0
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.0	
Expenditure Category Total	0.0	0.0
<hr/>		
Travel In-State	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Travel Out of State	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Food	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Named Claimants

	FY 2019 Actual	FY 2020 Expd. Plan
Other Operating Expenses		0.0
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Named Claimants

	FY 2019 Actual	FY 2020 Expd. Plan
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	0.0	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.0	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Named Claimants

	FY 2019 Actual	FY 2020 Expd. Plan
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	76.6	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.0	
Expenditure Category Total	76.6	0.0
Appropriated		
AA1000-A General Fund (Appropriated)	76.6	0.0
Fund Source Total	76.6	0.0
<hr/>		
Current Year Expenditures		0.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	

Program Expenditure Schedule

Agency:	Department of Corrections (for Budget)
Program:	SLI Named Claimants

	FY 2019 Actual	FY 2020 Expd. Plan
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
Expenditure Category Total	0.0	0.0
<hr/>		
Capital Outlay	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		
Transfers	0.0	0.0
Expenditure Category Total	0.0	0.0
<hr/>		

Combined Regular & Elected Positions At/Above FICA Maximum of \$128,400
--

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

Administrative Costs

Agency: Department of Corrections (for Budget)

Administrative Costs Summary

<u>Common Administrative Area</u>	<u>FY 2021</u>
Personal Services	17,888.4
ERE	8,430.2
All Other	17,356.9
Administrative Costs Total:	43,675.5

Administrative Cost / Total Expenditure Ratio

	<u>Request</u>	<u>Admin %</u>
FY 2021	1,367,625.3	3.2%

Arizona Department of Corrections
FY 2020 Rent Expenditures

Rent Type	Location	FY 20 Total by Location (\$1,000s)	Square Feet COSF Only	Bds Prgm Cd	Fund	Amount
COP	1000 Prison Beds	-		1-3 - Prison Management and Support	1000-A	-
COP	4000 Prison Beds	15,712.6		1-3 - Prison Management and Support	1000-A	15,712.6
COP	Prison Wastewater	641.4		1-3 - Prison Management and Support	1000-A	641.4
COP	Kingman Prison	17,466.7		1-5 - Private Prison Per Diem	1000-A	17,466.7
COP total		33,820.7				33,820.7
COSF	1601 W Jefferson St	1,024.5	57,332	1-1 - Security	1000-A	584.8
				1-6 - Inmate Education, Treatment and Work Programs	1000-A	176.4
				1-4 - Private Prisons	1000-A	56.3
				3-1 - Administration	1000-A	207.0
COSF	1645 W Jefferson St	913.1	54,235	3-1 - Administration	1000-A	913.1
COSF	1831 W Jefferson St	363.7	20,354	1-8 - Inmate Health Care	1000-A	254.6
				3-1 - Administration	1000-A	109.1
COSF total		2,301.4				2,301.4
Land Trust	Florence	150.0		1-3 - Prison Management and Support	1000-A	150.0
Land Trust	Ft. Grant	62.7		1-3 - Prison Management and Support	1000-A	62.7
Land Trust	Globe	0.0		1-3 - Prison Management and Support	1000-A	0.0
Land Trust	Perryville	0.0		1-3 - Prison Management and Support	1000-A	0.0
Land Trust	Tucson Santa Rita	2.4		1-3 - Prison Management and Support	1000-A	2.4
Land Trust	Tucson Rincon	6.2		1-3 - Prison Management and Support	1000-A	6.2
Land Trust	Winslow	31.5		1-3 - Prison Management and Support	1000-A	31.5
Land Trust	Ft. Grant (water charges)	9.5		1-3 - Prison Management and Support	1000-A	9.5
Land Trust	Tucson Rincon (water charges)	86.3		1-3 - Prison Management and Support	1000-A	86.3
Land Trust	24601 N 29th Avenue	272.4		2-1 - Community Corrections	3140-A	272.4
Land Trust Total		348.6				621.0
Private	3001 N Main Street, Prescott Valley	21.1		2-1 - Community Corrections	1000-A	21.1
Private	1865 Airfield Avenue, Kingman	21.7		2-1 - Community Corrections	1000-A	21.7
Private	235 S Main Street, Snowflake	6.7		2-1 - Community Corrections	1000-A	6.7
Private	323 N San Francisco Street, Flagstaff	42.9		2-1 - Community Corrections	1000-A	42.9
Private	3279 E Harbour Drive Bldg. 4, Phoenix	366.8		1-7 - Arizona Correctional Industries	4002-N	366.8
Private	460 N Mesa Drive, Mesa	145.8		2-1 - Community Corrections	1000-A	145.8
Private	801 N 16th Street, Phoenix	746.5		1-1 - Security	1000-A	194.7
				1-2 - Inspections and Investigations	1000-A	200.9
				2-1 - Community Corrections	1000-A	350.8
Private	DHS - 2500 E Van Buren, Phoenix	527.2		1-3 - Prison Management and Support	1000-A	527.2
Private Total		1,878.7				1,878.7

Arizona Department of Corrections
FY 2020 Risk Management Expenditures

Agency Name	AFIS Code	2020	Program Name	Fund	ADC	
		Program Code			FY 2019	FY 2020
Corrections	DCA	1-1	Security	1000	7,351.0	7,962.0
Corrections	DCA	1-1	Security	3141	-	-
Corrections	DCA	1-3	Prison Management and Support	1000	31.7	32.1
Corrections	DCA	1-4	Private Prisons	1000	9.4	9.0
Corrections	DCA	1-6	Inmate Education, Treatment and Work Programs	1000	95.9	99.1
Corrections	DCA	1-8	Inmate Health Care	1000	43.7	49.2
Corrections	DCA	2-1	Community Corrections	1000	52.9	51.7
Corrections	DCA	3-1	Administration	1000	117.9	117.7
Total					7,702.5	8,320.8

ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2021 BUDGET REQUEST ESTIMATES
 FUNDING ISSUES

PRIORITY	DECISION PACKAGES	TYPE	GENERAL FUND		OTHER FUNDS		TOTAL	
			FTE	AMOUNT	FTE	AMOUNT	FTE	AMOUNT
1	Salary Increase CO Series	Operating	PLACEHOLDER		PLACEHOLDER		PLACEHOLDER	
2	Lewis & Yuma Locks, Fire, HVAC Phase 2 and 3	Capital	0.0	31,700,000	0.0	(9,700,000)	0.0	22,000,000
3	Reentry & Recidivism Reduction Programs	Operating	23.0	12,248,400	0.0	0	23.0	12,248,400
4	Fully Fund Statutory Building Renewal Formula	Capital	0.0	19,716,700	0.0	(1,240,700)	0.0	18,476,000
5	Staff Safety Equipment	Operating	0.0	2,744,500	0.0	0	0.0	2,744,500
6	Leadership Enhancement & Development	Operating	0.0	6,285,400	0.0	0	0.0	6,285,400
7	Inmate Food Contract - CPI, Minimum Wage Increase	Operating	0.0	1,054,200	0.0	0	0.0	1,054,200
8	Private Prison Rate Increase	Operating	0.0	2,768,700	0.0	0	0.0	2,768,700
9	Community Corrections SLI Adjustment	Operating	0.0	0	0.0	0	0.0	0
10	Prison Construction & Operations Fund Backfill	Operating	0.0	2,100,000	0.0	(2,100,000)	0.0	0
11	CORP/ASRS Adjustment	Operating	PLACEHOLDER		PLACEHOLDER		PLACEHOLDER	
12	Technical & Non-Appropriated Funding Adjustments	Operating	0.0	0	0.0	(6,443,600)	0.0	(6,443,600)
	TOTAL DECISION PACKAGES		<u>23.0</u>	<u>78,617,900</u>	<u>0.0</u>	<u>(19,484,300)</u>	<u>23.0</u>	<u>59,133,600</u>
	Operating Budget Decision Package Total	Operating	23.0	27,201,200	0.0	(8,543,600)	23.0	18,657,600
	Capital Budget Decision Package Total	Capital	0.0	51,416,700	0.0	(10,940,700)	0.0	40,476,000
	TOTAL DECISION PACKAGES		<u>23.0</u>	<u>78,617,900</u>	<u>0.0</u>	<u>(19,484,300)</u>	<u>23.0</u>	<u>59,133,600</u>

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: SALARY INCREASE FOR CORRECTIONAL OFFICER SERIES STAFF

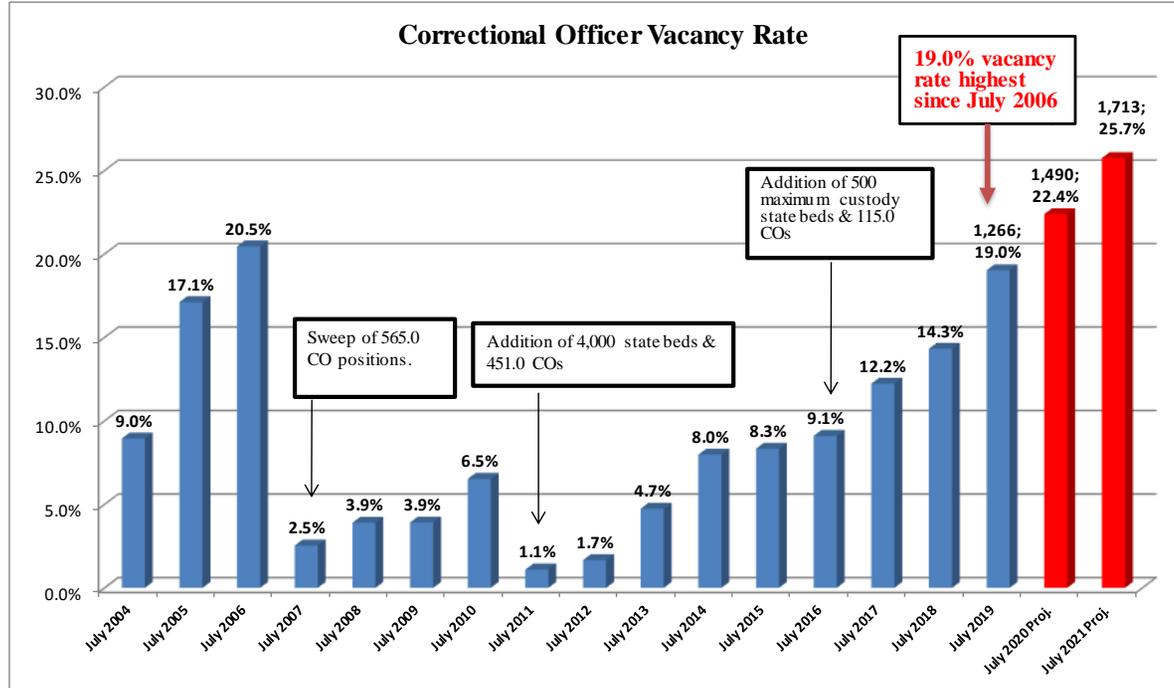
PRIORITY NUMBER: 1

Despite the FY 2020 10% salary increase (Laws 2019, First Regular Session, Chapter 263 - HB 2747), Correctional Officer (CO) vacancy rates continue to rise. From July 2018 to July 2019, the CO vacancy rate increased 4.7% (from 14.3% to 19.0%) a loss of 313 positions and the highest year over year loss in recent history.

The problem is after twelve years without a salary increase (July 2007 – June 2019), CO compensation remains non-competitive. As such, ADC is having difficulty recruiting and retaining a sufficient number of employees to properly staff security posts at its prison complexes. The plan was for a 15% salary increase over two years (FY 2020 10% and FY 2021 5%) on the FY 2018 salary base. However, it is evident an additional 5% increase may be insufficient to be competitive in the current market and may exacerbate vacancy rates.

Vacancy Rates:

The July 2019 **vacancy rate of 19.0% is a crisis situation that compromises the secure and safe operation of prisons** and challenges Recidivism Reduction Initiatives. In FY 2019, vacancy rates at Eyman, Florence and Winslow prison complexes range from 19.5% to 37.0%. Overall, the FY 2019 vacancy rate average was 16.4%. Recent trends indicate the CO vacancy rate is accelerating. In FY 2018, 139 COs were lost, or approximately 2.7 per week. In FY 2019, 313 COs were lost, or approximately 6.0 per week.



**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: SALARY INCREASE FOR CORRECTIONAL OFFICER SERIES STAFF

PRIORITY NUMBER: 1

The table above illustrates vacancy rates from July 2004 to July 2019 with forecasts for FY 2020 and FY 2021. CO vacancy rates have increased 17.3% from July 2012 (1.7%) to July 2019 (19.0%). **This is a crisis.** With high CO vacancy rates, there are few options to staff critical security posts. Existing staff can only cover a limited number of critical security posts through overtime and compensatory hours. Understaffing and reliance on overtime contributes to fatigue, stress and burnout. If a competitive salary increase is not funded in FY 2021, ADC estimates CO vacancy rates of 22.4% (1,490 positions) in July 2020 and 25.7% (1,713 positions) in July 2021.

Persistently high CO vacancy rates inhibit inmates’ access to vital behavioral programming and re-entry services. In some prisons, COs must sacrifice caseload assignments to fill a security post. Also, ever increasing CO vacancy rates cause Sergeant’s (Sgt) to be posted. This creates additional operational strain and minimizes a COs access to their first line supervisor. Ultimately, when existing staffing resources are stretched beyond their capacity it contributes to unsafe working conditions for correctional staff, unsafe living conditions for inmates, and curtails access to inmate programming. The compounding impact of high CO vacancy rates is a direct challenge to ADCs Recidivism Reduction Initiatives, and building an engaged and skilled work force to achieve ADCs Strategic Goal of reducing recidivism by 25% over the next ten years.

Market Comparison – Average Salary:

Comparing ADCs CO mean salary to the most current mean salary data from the U.S. Bureau of Labor Statistics (BLS), Occupational Employment Statistics illustrates a notable difference. From the mean salary perspective, an ADC CO has an annual salary nearly \$3,500 less than the Arizona average. Many of our direct competitors are in the Phoenix area where an ADC CO has an annual salary nearly \$4,400 less than the Phoenix area average.

Correctional Officer and Jailers Mean Annual Wage May 2018 (BLS)		
Area	Average	ADC Difference
Phoenix-Mesa-Scottsdale, AZ	\$45,060	\$ (4,392)
Arizona	\$44,150	\$ (3,482)
Tucson, AZ	\$42,440	\$ (1,772)
ADC average salary (without stipends)	\$40,668	N/A
Non ADC data source: https://www.bls.gov		

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: SALARY INCREASE FOR CORRECTIONAL OFFICER SERIES STAFF

PRIORITY NUMBER: 1

Market Comparison – In State Competitors:

ADC competes with federal detention centers, local county detention, police departments, and private companies to recruit, hire and retain the top CO candidates. As competition outside of state service intensifies, recruitment of a sufficient quantity of quality applicants becomes more challenging. ADCs CO starting salary falls behind many of our in-state competitors. ADCs starting salary of \$36,208 is **\$2,094 lower** than the in-state competitor starting salary average of \$38,301.

In-State Competitors	Starting Salary	Vacancy Rate
Florence Correctional Center (CoreCivic)	\$48,630	7.4%
Eloy Detention Center (CoreCivic)	\$44,158	7.6%
La Palma Correctional Center (CoreCivic)	\$44,158	4.4%
Federal Bureau of Prisons - Safford, Tucson	\$41,187	0.0%
Maricopa County Sheriff ¹	\$40,414	13.0%
Pima County Sheriff ¹	\$40,185	Unknown
Central Arizona Correctional Facility - Florence (GEO) ²	\$38,085	22.7%
Red Rock Correctional Center (CoreCivic) ²	\$37,752	6.7%
Bureau of Indian Affairs Office of Justice - Navajo Nation	\$37,627	33.0%
Arizona State Prison - Florence West (GEO) ²	\$36,608	14.3%
ADC - current ³	\$36,208	19.3%
Pinal County Sheriff ¹	\$36,157	Unknown
San Luis Detention Center (LaSalle)	\$35,339	0.0%
Arizona State Prison Kingman (GEO) ²	\$35,277	22.2%
Saguaro Correctional Center (CoreCivic)	\$34,320	Unknown
Marana Comm. Corr. Treatment Facility (MTC) ²	\$33,176	0.0%
Arizona State Prison Phoenix West (GEO) ²	\$29,744	25.5%
In-state Competitor Average	\$38,301	

¹ COs can be hired at age 18 (Pinal County Sheriff's Office minimum age is 19)

² Arizona private prisons currently under contract with ADC

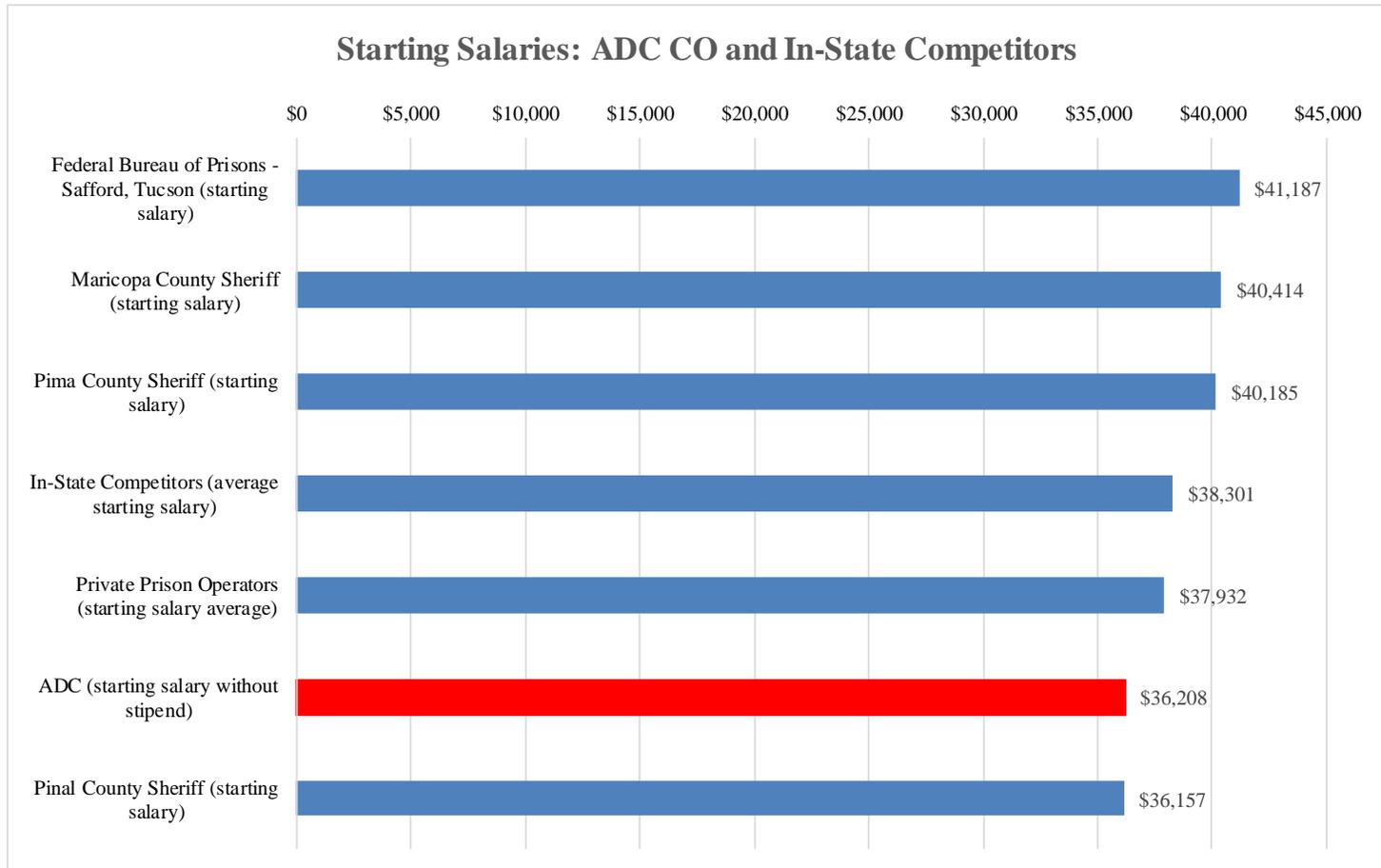
³ ADC geographic stipend is 5% @ Lewis & Safford. Starting salary is \$38,018
is 10% @ Florence & Eyman. Starting salary is \$39,828
is 15% @ Winslow. Starting salary is \$41,639

**ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2021 BUDGET REQUEST
 DECISION PACKAGE**

ISSUE: SALARY INCREASE FOR CORRECTIONAL OFFICER SERIES STAFF

PRIORITY NUMBER: 1

Salary comparisons with in-state competitors geo-centrally located with ADC State Prison Complexes further illustrate ADCs salary is non-competitive. Specifically, the Federal Bureau of Prisons (Safford & Tucson) and sheriff’s offices in Maricopa, Pima and Pinal counties all pay higher starting salaries. These competitors directly challenge recruitment and retention efforts at ASPCs Florence and Eyman - prison complexes with the highest CO vacancy rate. Three of our competitors offer a starting salary above \$40,000. In the metropolitan Phoenix area, the Maricopa County Sheriff’s Office (MCSO) maintains a detention officer force of nearly 2,000 and is a direct competitor for applicants at ASPCs-Lewis, Perryville, Phoenix, Florence and Eyman. With ADCs current CO Pay Plan, it takes an ADC COs four years to reach a base salary of \$40,426. For ADC to have a starting salary equivalent to the MCSO, a salary increase of 11.62% would be required.



**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: SALARY INCREASE FOR CORRECTIONAL OFFICER SERIES STAFF

PRIORITY NUMBER: 1

The MCSO is ramping up their recruitment efforts of ADC COs including the creation of a lateral transfer program, and an advertising campaign at the Circle K in Florence, AZ. From January 2019 through July 2019, the MCSO has requested background checks on 180 current or former ADC employees. Of the 180 background check requests from the MCSO, 136 were ADC employees at the time. Recently, a 17 year ADC CO received an offer from the MCSO as a detention officer with a salary of \$51,646. This CO's salary with the FY 2020 10% increase was \$43,631 – the highest step in the CO Pay Plan (Step 9 – 8 years of service). Another point of reference, the salary floor for an ADC Sgt is \$48,950. The MCSO offer to the 17 year ADC CO was significantly more than ADCs present CO and Sgt salaries.

ADCs CO compensation does not compete with a majority of agencies that draw from a common pool of recruits, as such, ADC cannot retain COs. While recruitment and retention is a multifaceted issue, the underlying issue is the CO starting base salary of \$36,208 and the CO Pay Plan are not competitive in the current job market. ADC requests a salary increase for all CO series positions with a permanent adjustment to the CO Pay Plan and salary schedules of impacted classifications to narrow the salary gap with in-state competitors. The entire CO series is impacted by this issue and commensurate funding of salary adjustments are requested to mitigate salary compression (COs earning more than up-line supervisors). Addressing salary compression also minimizes a financial disincentive to promote through the CO series.

ADC is also requesting flexibility in administration of the salary increases. Assuming a sufficient level of funding, ADC could also address other critical compensation issues such as: increasing High Risk Assignment Pay (hazardous duty pay), increasing Uniform Allowance, and offering additional compensation for extra duty assignments Field Training Officers (FTOs), Special Operations and Tactics Teams (SO&T), or other specialty assignments for which security staff currently volunteer. ADC will collaborate with the Executive on the application of these compensation strategies.

Finally, ADC remains concerned with the salaries of non-security staff. According to the 2018 State of Arizona Advisory Recommendation, the external job market is approximately 18.2% above State employee salaries. Average salary data from the 2018 State of Arizona Workforce reports (2009 – 2018) shows ADCs average salary has declined from a \$41,129 in 2009 to \$40,284 in 2018 (↓ 0.97%). Over the last eleven fiscal years (FY 2009 – FY 2019), four FY's addressed non-security staff pay: FY 2011 elimination of the 2.75% performance pay; FY 2013 - a one-time retention pay increase (5%) for eligible state employees; FY 2014 - the one-time retention payments made permanently. The FY 2020 pay package targeted salary increases for certain non-security ADC job classifications (correctional records, education, religion and counseling & treatment) ranging from 5% to 17%. While this funding choice was well intentioned, it has created morale issues for those that did not receive a salary increase. This also exacerbates a cultural divide between ADCs security and non-security series with the appearance that the work of those in security series is more valuable than the work of those in the non-security series.

ADC eagerly anticipates follow-up conversations and collaboration with the Governor's Office on compensation strategies during the development of the FY 2021 Executive Budget.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: LEWIS & YUMA LOCKS, FIRE, AND HVAC PHASE 2 AND 3

PRIORITY NUMBER: 2

1. Description of problem or issue:

Incidents of inmate lock tampering and cell door malfunctions increased during FY 2018 and FY 2019 in three close custody units (Morey, Buckley, and Rast) at ASPC-Lewis. ADC attempted to address the issues by pinning the cell doors but incidents of lock tampering continued. In May 2019, ADC and ADOA teamed up to evaluate long-term options to address the security concerns.

ADC and ADOA contacted 47 states in an effort to research similar locking issues and to identify solutions. A request for information (RFI) was conducted in May 2019 to determine what locking solutions were available and to determine estimated costs and time frames. During June 2019 two vendors installed a working demonstration of their locking solutions at ASPC-Lewis.

In addition to the locks, fire alarm and suppression systems will be repaired or replaced and existing evaporative cooling units will be upgraded. The total estimated project cost is \$51,400,000 which has increased by \$5,530,500 from \$45,869,500 due to higher than projected locking systems pricing, labor costs, sales tax, and insurance fees. The project will be broken down into three phases. Phase I includes the immediate replacement of locks, suppression and fire alarm systems at ASPC-Lewis and the estimated cost is \$25,890,000. Phase II includes the replacement of the evaporative cooling systems at ASPC-Lewis and the estimated cost is \$11,610,000. The third and final phase includes the replacement of locks, suppression, fire alarm systems and evaporative cooling systems at ASPC-Yuma and the estimated cost is \$13,900,000.

On June 18, 2019 ADC and ADOA attended JCCR for review of a \$17,700,000 expenditure plan. ADC has identified an additional \$2,000,000 in non-appropriated funds that will require JCCR review in early calendar year 2020. This initial funding will cover the majority of Phase I costs and is funded through non-appropriated funding sources. The project is critical to the safety and security of staff and inmates and represents a necessary investment in the physical plants at ASPC-Lewis and ASPC-Yuma.

The problem is that ADC does not have sufficient funds to continue the project beyond the initial \$19,700,000 that has been identified.

2. Proposed solution to the problem or issue:

FTE: 0.0 AMOUNT: 22,000,000

ADC requests \$31,700,000 General Fund to fully fund all three phases of the project. The initial \$19,700,000 of the total \$51,400,000 will be transferred to ADOA over two years – FY 2019 and FY 2020. The \$31,700,000 request is being offset by \$9,700,000 of one-time, non-appropriated funds that will be transferred in FY 2020. These FY 2020 one-time transfers must be removed from the budget in FY 2021.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: LEWIS & YUMA LOCKS, FIRE, AND HVAC PHASE 2 AND 3

PRIORITY NUMBER: 2

Fund Source	FY 2019 Funding Plan	FY 2020 Funding Plan	FY 2021 Requested Appropriation	FY 2021 Request
General Fund (Fund 1000)	-	-	31,700,000	31,700,000
Inmate Store Proceeds (Fund 2505)	3,500,000	1,700,000	-	(1,700,000)
Special Services Fund (Fund 3187)	-	4,000,000	-	(4,000,000)
ACI Revolving Fund (Fund 4002)	6,500,000	4,000,000	-	(4,000,000)
Total FY 2021 Request	10,000,000	9,700,000	31,700,000	22,000,000

As required by A.R.S. §41-793 ADC submitted its annual agency Capital Improvement Plan (CIP) to ADOA in June 2019. This project has also been included as part of ADCs FY 2021 CIP submission to ADOA.

3. Performance Measures to quantify the success of the solution:

Performance Measure	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
% of identified door locks replaced	NA	TBD	TBD

4. Alternatives considered and reasons for rejection:

One option considered was to fund the remainder of the project from non-appropriated fund balances. This option is rejected because the additional \$31,700,000 cannot be funded by existing non-appropriated fund balances. However, utilizing appropriated and non-appropriated fund balances may provide a funding source for a portion of the project which would reduce the burden on the General Fund. ADC will collaborate with OSPB to more fully evaluate this option.

5. Impact of not funding this fiscal year:

The project must be funded. The replacement of locks, fire alarm and suppression, and HVAC systems will contribute to safer living conditions for inmates and safer working conditions for correctional staff. Deferring the project by one or more years is not recommended.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: LEWIS & YUMA LOCKS, FIRE, AND HVAC PHASE 2 AND 3

PRIORITY NUMBER: 2

6. Statutory reference:

A.R.S. §41-793

A.R.S. §41-797

7. Equipment:

To be determined.

8. Classification of new positions:

Not Applicable

9. Annualization:

FY 2022: In order to protect the investment made by the State, ADC and ADOA will collaborate on a FY 2022 funding request for outsourcing the preventative maintenance of the locks, fire, and HVAC systems contained within this request.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: LEWIS & YUMA LOCKS, FIRE, AND HVAC PHASE 2 AND 3

PRIORITY NUMBER: 2

FTE	<hr/>	<u>0.0</u>
PERSONAL SERVICES	<hr/>	
EMPLOYEE RELATED EXPENSES	<hr/>	
PROFESSIONAL & OUTSIDE SERVICES	<hr/>	
TRAVEL IN-STATE	<hr/>	
TRAVEL OUT OF STATE	<hr/>	
OTHER OPERATING EXPENSES	<hr/>	
FOOD	<hr/>	
AID/DISCHARGE	<hr/>	
CAPITAL/NON CAPITAL EQUIPMENT	<hr/>	22,000,000
TOTAL OPERATING LUMP SUM	<hr/>	<u>22,000,000</u>
PRIVATE PRISON PER DIEM SLI	<hr/>	
INMATE HEALTH CARE CONTRACTED SERVICES	<hr/>	
COMMUNITY CORRECTIONS SLI	<hr/>	
TOTAL FUNDING ISSUE	<hr/>	<u>22,000,000</u>

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: LEWIS & YUMA LOCKS, FIRE, AND HVAC PHASE 2 AND 3

PRIORITY NUMBER: 2

Project Overview

The project scope includes the repair or replacement of lock, HVAC, fire alarm and suppression systems at ASPC-Lewis and ASPC-Yuma. The estimated cost of the project is \$51,400,000. In FY 2020, \$19,700,000 of the project is funded by non-appropriated sources. ADC is requesting the remaining funds, \$31,700,000, needed to complete the project.

In addition to the locks and fire systems, the existing evaporative cooling units for these complexes have begun to deteriorate beyond repair. The increased moisture from these evaporative cooling systems have resulted in the continuous rusting and decay of air handling units and the supporting ductwork. Functionality and overall safety of the system is significantly reduced, making it difficult to maintain cooling throughout the buildings.

The project will replace the evaporative coolers with an indirect evaporative cooling system. By utilizing an indirect evaporative cooling system to upgrade the existing evaporative (swamp) cooling system, effective cooling can be obtained without the cost of electrical upgrades, major engineering and additional ductwork that would be required for a complete conversion to air conditioning. Indirect evaporative cooling does not produce the humidity levels typically found in evaporative cooled spaces which eliminates the long term damage created by excess humidity.

ADC is recommending a phased approach to address the deficiencies at each complex. The proposed schedule is outlined below:

- **Phase I: ASPC-Lewis – Repair or Replace Locks, Fire Alarm and Suppression Systems - \$25,890,000**
Phase I includes the immediate replacement of locks, suppression and fire alarm systems at the ASPC-Lewis complex including Morey, Buckley, Rast Units as well as the Stiner and Bachman Detention Units. The Phase I estimated timeframe is from 5/22/2019 – 10/26/2020 (524 days).
- **Phase II: ASPC-Lewis – Repair or Replace HVAC Systems - \$11,610,000**
Phase II includes the replacement of the evaporative cooling systems at the ASPC-Lewis complex including Morey, Buckley, Rast Units as well as the Stiner and Bachman Detention Units. The Phase II estimated timeframe is from 3/1/2020 – 2/22/2021 (359 days).
- **Phase III: ASPC-Yuma – Repair or Replace Locks, Fire Alarm, Suppression and HVAC Systems - \$13,900,000**
Phase III includes the replacement of locks, suppression, fire alarm systems and evaporative cooling systems at the ASPC-Yuma complex including Dakota and the Cheyenne Detention Units. The Phase III estimated timeframe is from 7/1/2020 – 4/19/2021 (293 days).

The following page includes a breakdown of the timeline and funding for the three phases of the project.

ASPC LEWIS/YUMA LOCKS, FIRE & HVAC SYSTEMS PROJECT UPDATE

PROJECT SCHEDULE AND BUDGET

Task Name	Start	Finish	Cost
ASPC Lewis/Yuma Overall	Wed 5/22/19	Mon 4/19/21	\$51,400,000.00
Phase 1 - ASPC Lewis Locks/Fire Systems	Wed 5/22/19	Mon 10/26/20	\$25,890,000.00
Preconstruction	Wed 5/22/19	Sun 7/12/20	\$10,280,000.00
Lewis Lock Replacements	Mon 9/2/19	Mon 10/26/20	\$14,300,000.00
Lewis Fire Systems Repairs/Replacement	Mon 9/2/19	Mon 10/26/20	\$1,310,000.00
Phase 2 - Lewis HVAC Evap Conversions	Sun 3/1/20	Mon 2/22/21	\$11,610,000.00
Phase 3 - ASPC Yuma	Wed 7/1/20	Mon 4/19/21	\$13,900,000.00

PROJECT CASH FLOW

Name	Cost	Start	Finish	Duration
ASPC Lewis/Yuma Overall	\$51,400,000.00	Wed 5/22/19	Mon 4/19/21	699 days



FISCAL YEAR 2020

ASPC Lewis - Phase 1 Costs to Date	
Tasks	Costs
Engineering - Phase 1	\$ 400,000.00
Pre-Construction Services	\$ 80,000.00
Order/Fabricate All Locks for Lewis	\$ 9,800,000.00
Demo/Install Locks - Morey Unit	\$ 5,800,000.00
Demo/Install Fire Alarm - Morey Unit	\$ 500,000.00
Total Costs to Date	\$ 16,580,000.00
JCCR Reviewed FY20 Budget Phase 1	\$ 17,700,000.00
*Balance of JCCR Reviewed FY20 Budget Remaining	\$ 1,120,000.00

*Remaining balance as of September 2019

ASPC Lewis - Phase 1 Remaining Costs FY20	
Tasks	Costs
Demo/Install Locks - Buckley Unit	\$ 4,800,000.00
Demo/Install Fire Alarm - Buckley Unit	\$ 400,000.00
Total Remaining FY20 Work	\$ 5,200,000.00
Balance of JCCR Reviewed Budget Remaining	\$ 1,120,000.00
*Total Phase 1 Additional FY20 Funds Needing Review	\$ 4,080,000.00

* Additional funds required by February 2020 to stay on schedule

ASPC Lewis - Phase 2 Remaining Costs FY20	
Tasks	Estimated Costs
HVAC Engineering	\$ 200,000.00
Order HVAC - Rast Unit	\$ 2,000,000.00
*Total Phase 2 Funds Needing Review	\$ 2,200,000.00

* Additional funds required by February 2020 to stay on schedule

FY 2020 Total = \$23,980,000

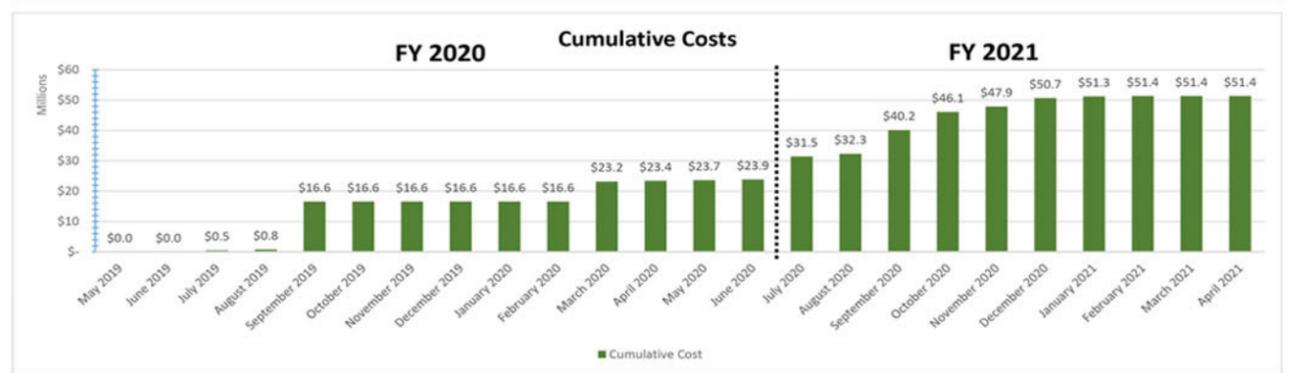
FISCAL YEAR 2021

ASPC Lewis - Phase 1 Remaining Costs FY21	
Tasks	Costs
Demo/Install Locks - Rast Unit	\$ 2,700,000.00
Demo/Install Locks - Steiner/Bachman CDU	\$ 1,000,000.00
Demo/Install Fire Alarm - Rast Unit	\$ 300,000.00
Demo/Install Fire Alarm - Steiner/Bachman CDU	\$ 110,000.00
Total FY21 Remaining Phase 1 Costs	\$ 4,110,000.00

ASPC Lewis - Phase 2 Remaining Costs FY21	
Tasks	Estimated Costs
Demo/Install HVAC - Rast Unit	\$ 300,000.00
Order/Demo/Install HVAC - Steiner CDU	\$ 390,000.00
Order/Demo/Install HVAC - Bachman CDU	\$ 390,000.00
Order/Demo/Install HVAC - Morey Unit	\$ 4,330,000.00
Order/Demo/Install HVAC - Buckley Unit	\$ 4,000,000.00
Total FY21 Remaining Phase 2 Costs	\$ 9,410,000.00

ASPC Yuma - Phase 3 Remaining Costs FY21	
Tasks	Estimated Costs
Engineering	\$ 350,000.00
Order/Demo/Install Locks & Fire Alarm - Dakota Unit	\$ 8,850,000.00
Order/Demo/Install HVAC - Dakota Unit	\$ 4,700,000.00
Total FY21 Remaining Phase 3 Costs	\$ 13,900,000.00

FY 2021 Total = \$27,420,000



PROJECT NOTES

- Total project costs have increased by \$5.5M to \$51.4M due to higher than anticipated locking systems pricing, labor costs, sales taxes, and insurance fees.
- FY20 - Initial **Phase 1** expenditures/obligations of \$16.6M cover the product order of ALL Lewis locks and the Morey Unit fire alarm system upgrades and lock *installations*. Initial Phase 1 spending also includes A&E and CMAR pre-construction fees. Therefore, \$1.1M remains unobligated of the original JCCR reviewed \$17.7M.
- FY20 - In order to continue **Phase 1** work at the Buckley Unit, ADOA must encumber the remaining \$1.1M, plus an additional \$4.1M, for a total of \$5.2M by March 1, 2020.
- FY20 - The later part of **Phase 1** and first part of **Phase 2** overlap in FY20. To begin **Phase 2**, ADOA requires an additional \$2.2M for HVAC engineering and long lead equipment for Rast Unit.
- FY20 - The additional \$4.1M for **Phase 1** and \$2.2M to begin **Phase 2** will require JCCR Review (\$6.3M total).
- FY21 - In order to complete the balance of work in **Phase 1** and begin **Phase 2** and **3**, ADOA will require an additional \$27.8M (**Phase 1** - \$4.1M, **Phase 2** - \$9.7M, **Phase 3** - \$13.9M) on July 1, 2020.
- Construction cost estimates and proposed timelines for **Phase 2** and **Phase 3** are based on engineering estimates/historical data and are subject to change.
- ADOA expects all of the Lewis locks and fire systems will be complete in October 2020.
- Total project is scheduled to be complete by April of 2021.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: LEWIS & YUMA LOCKS, FIRE, AND HVAC PHASE 2 AND 3

PRIORITY NUMBER: 2

Timeline of Major Events

- November 2017: ADC becomes aware of what it considers to be a material increase in the incidents of inmate lock tampering and cell door malfunctions in the three close custody (Morey, Buckley, and Rast) units; immediate inspections and repairs commence; initially two officers are tasked with inspecting cell doors for evidence of tampering and/or malfunction and facilitating repairs as incidents arose, and subsequently two additional officers were assigned.
- January 2018: Inmate lock tampering and cell door malfunction incidents continue to increase; cell door pinning commences and is completed in June 2018.
- January 2019: Inmate lock tampering involving the pinning mechanism continues; cell door padlocking commences and is completed on April 29, 2019.
- May 2019: Completed the transfer of about 800 inmates from Lewis to other state prisons.
- May 2019: Budget estimates totaling \$46M for repairs at ASPC-Lewis and ASPC-Yuma were finalized. ADC, ADOA, and the Executive worked together to develop the funding plan for the majority of Phase 1 of the project from non-appropriated funding sources.
- June 17, 2019: Two vendors installed working demonstration of their locking solutions at ASPC-Lewis. Both companies presented their locking solutions to teams from ADC and ADOA.
- June 18, 2019: JLBC Committee gave a favorable review to the \$17,700,000 expenditure plan to repair or replace locks, fire alarm, suppression and HVAC systems at ASPC-Lewis and ASPC-Yuma.
- June 2019: Transfer of the initial \$10,000,000 out of \$17,700,000 that received a favorable review at JCCR. The funds were transferred from the ACI Revolving Fund (\$6,500,000) and Inmate Store Proceeds Fund (\$3,500,000).
- July 16, 2019: Preconstruction agreement with JE Dunn executed.
- August 2019: Transfer of the remaining \$7,700,000 out of \$17,700,000 that received a favorable review at JCCR. The funds were transferred from the ACI Revolving Fund (\$4,000,000), Inmate Store Proceeds Fund (\$1,700,000), and the Special Services Fund (\$2,000,000).

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

1. Description of problem or issue:

Nationwide more than four in every ten offenders released from prison return within three years of release.¹ The number of people that return to prison has contributed to the dramatic increases in prison populations and costs of corrections in the United States. Between 1973 and 2009, the nation's prison population grew by 705%, resulting in more than 1 in 100 adults behind bars.² Likewise, total state spending on corrections has increased 434% from 1988 to 2018, to a level of more than \$60 billion.³

Arizona is no exception to these trends. Over the last 30 fiscal years (FY 1989 to FY 2019) the inmate population has increased from 13,044 to 42,312, an increase of 224%. To accommodate the increased population the corrections budget also increased dramatically over the same time period from \$240.3 million to \$1,153.6 million, an increase of 380%. In Arizona, as it is nationwide, the number of offenders returning to prison after their release is a contributing factor to prison system growth. Arizona's recidivism rate for inmates released in FY 2015 was 41.4%. This means that 41.4% of inmates released from prison during FY 2015 returned to prison within three years for either a new felony conviction or for technical violations of their terms of supervision. Of those currently incarcerated in Arizona's prisons, 50.7% have served a prior prison term.

This level of historical growth is unsustainable. Many states are targeting improved reentry and recidivism reduction initiatives as a more effective criminal justice investment than continuing to expand prison populations and construct new prison beds. There is evidence that states can impact their recidivism rates. In 2014, the National Reentry Resource Center highlighted eight states that successfully lowered their recidivism rates, with decreases ranging from 5.8% to 19.3%.⁴ Data published in August 2018 shows a 23% decline in people returning to prison when comparing those released in 2005 against those released in 2012.⁵

Governor Ducey identified 'Safe Communities' as a key goal for the state of Arizona and 'Protecting Life and Property' as a key function of the state. Consistent with the Governor's established priorities, ADC set an overarching Strategic Goal to lower recidivism by 25% over the next 10 years and is working toward several initiatives aimed at reducing recidivism, including community reentry centers in Maricopa and Pima counties, three employment centers, engaging with the community through participation in Arizona Town Halls and training corrections officer IIIs and IVs in motivational interviewing.

¹ Pew Center on the States, *State of Recidivism: The Revolving Door of America's Prisons* (April, 2011).

² Pew Center on the States, *Prison Count 2010: State Population Declines for the First Time in 38 Years* (Revised April 2010).

³ National Association of State Budget Offices, *FY 2018 State Expenditure Report* (November 15, 2018), *FY 1988 State Expenditure Report*

⁴ The National Reentry Resource Center, *Reducing Recidivism, States Deliver Results* (June 2014)

⁵ Pew Center on the States, *The Changing State of Recidivism: Fewer People Going Back to Prison* (August 2018).

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

Early indications are demonstrating improvement in Arizona’s recidivism rate. The two year review of FY 2017 releases shows that 32.2% of inmates returned to prison, down from 35.3% two years prior. Similar improvement can be seen in the one year review of FY 2018 releases from prison, which declined 3.5% percentage points in three years.

There are additional steps that should be taken to effectively target recidivism. The problem is that programming opportunities are still not available to all inmates that can benefit from them. Additional resources are needed to expand the current initiatives and program opportunities aimed at improving reentry and reducing recidivism.

2. Proposed solution to the problem or issue:

FTE: 23.0 AMOUNT: 12,248,400

ADC requests 23.0 FTE and \$12,248,400 targeted at reentry and recidivism reduction strategies and initiatives. These resources are a critical investment that directly supports the Governor’s vision of safer communities and ADC’s overarching Strategic Goal of lowering recidivism by 25% over the next 10 years. A detailed explanation of each of the components of the request is available in the following pages.

Description	FTE	FY 2021 Request	FY 2022 Annualization	Annual Ongoing
Substance Abuse Treatment Service Expansion	-	\$ 10,177,500	-	\$ 10,177,500
Recovery Support Specialist (RSS) Expansion	2.0	\$ 139,900	\$ (7,400)	\$ 132,500
Cognitive Restructuring Program Fidelity	3.0	\$ 206,200	\$ (11,100)	\$ 195,100
Community Corrections: Improving Reentry	8.0	\$ 657,700	\$ (29,600)	\$ 628,100
Education Services Expansion	10.0	\$ 1,067,100	\$ 5,900	\$ 1,073,000
	23.0	\$ 12,248,400	\$ (42,200)	\$ 12,206,200

3. Performance Measures to quantify the success of the solution:

Performance Measure	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
High Risk, high needs inmates program completion	26.9%	32.0%	38.1%
Inmate program completions	885	958	1,054
Number of program slots available	NA	TBD	TBD
Community supervision successes (percent)	69.7%	75.0%	75.0%
Re-incarcerated due to technical violations	248	222	206

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

4. Alternatives considered and reasons for rejection:

One alternative is to apply for grant funding or authorize only items that ADC can fund from within its existing funds. This option was rejected. ADC consistently reviews grant opportunities and evaluates its ability to fund projects or initiatives from within existing resources. During FY 2017, three employment centers were activated without additional resources. ADC also successfully applied for Federal funding to train a portion of the corrections officer IIIs and IVs in motivational interviewing. ADC will continue maximizing the use of existing resources and exploring appropriate grant opportunities, but neither of these options will yield a sufficient investment to move us forward in the goal of lowering recidivism by 25% over the next 10 years.

5. Impact of not funding this fiscal year:

The requested initiatives aimed at lowering recidivism and the associated benefits to the State will be delayed indefinitely.

6. Statutory reference:

Laws 2019, First Regular Session, S.B. 1310, Chapter 310, Section 2

7. Equipment:

See attached justification.

8. Classification of new positions:

See attached justification.

9. Annualization:

FY 2022: (\$42,200) – annualization of staffing; elimination of one-time costs

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

WHAT IS THE RECIDIVISM RATE?

The prison recidivism rate is the proportion of persons released from prison that are rearrested, reconvicted or returned to custody within a specific time period. Typically, recidivism studies follow released offenders for three years following their release from prison or placement on probation. Offenders are typically returned to prison for one of two reasons:

1. For committing a new crime that results in a new conviction
2. For a technical violation of supervision, such as not reporting to their parole or probation officer or failing a drug test

Source: Pew Center on the States, *State of Recidivism: The Revolving Door of America's Prisons* (April, 2011).

COMPARING RECIDIVISM RATES

Comparing one state's recidivism rate to another state's recidivism rate is not recommended for several reasons. First, each state has its own definition of recidivism and its own methodology for calculating recidivism. For example, some state measurements of recidivism account only for reincarceration, while others include reconvictions that do not result in a prison or jail sentence.

Furthermore, states differ in their definitions of "reincarceration." For example, Pennsylvania and South Carolina both define recidivism as a return to the custody of the state Department of Corrections. In Pennsylvania, however, state custody may include prison, county jail, or a Parole Violator Center, whereas state custody in South Carolina is defined as a prison sentence of more than 90 days.

Another factor that makes comparing recidivism rates across states problematic is the distinct composition of each state's prison population. For instance, a state that sentences to prison large numbers of people who are at low risk of reoffending will logically have a lower recidivism rate than a state that uses its prison facilities for people who are at higher risk of reoffending.

The organization of a state's correctional system can also influence its recidivism rates, as is the case for Connecticut and Rhode Island, which operate unified correctional systems where all individuals are under the state's jurisdiction rather than separate state prison and county jail systems.

Because of these and other factors, comparing recidivism rates from state to state is discouraged.

Source: The National Reentry Resource Center, *Reducing Recidivism, States Deliver Results* (June 2014)

**ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2021 BUDGET REQUEST
 DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

FTE _____	<u>23.0</u>
PERSONAL SERVICES _____	657,600
EMPLOYEE RELATED EXPENSES _____	343,300
PROFESSIONAL & OUTSIDE SERVICES _____	10,177,500
TRAVEL IN-STATE _____	
TRAVEL OUT OF STATE _____	
OTHER OPERATING EXPENSES _____	77,800
FOOD _____	
AID/DISCHARGE _____	
CAPITAL/NON CAPITAL EQUIPMENT _____	334,500
TOTAL OPERATING LUMP SUM _____	<u>11,590,700</u>
PRIVATE PRISON PER DIEM SLI _____	
INMATE HEALTH CARE CONTRACTED SERVICES _____	
COMMUNITY CORRECTIONS SLI _____	657,700
TOTAL FUNDING ISSUE _____	<u>12,248,400</u>

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

SUBSTANCE ABUSE TREATMENT SERVICE EXPANSION

0.0 FTE

\$10,177,500

Current Resources Not Sufficient to Meet Treatment Need

During FY 2019, ADC released 17,960 inmates. Approximately 86%, or 15,356, of these inmates were assessed as needing substance abuse treatment. In FY 2019, ADC treatment staff, contracted staff, and private prison staff treated and graduated 2,299 inmates from a primary treatment group. This meets only 15.0% of the treatment need. In addition, offenders returning to prison due to “technical” violations are often directly related to substance abuse issues. In FY 2019, 38% of warrants issued by community corrections officers for technical violations were due to drug/alcohol related violations.

Currently, ADC has 25 licensed counselors, 4 licensed counselor supervisors, and 1 grant-funded licensed counselor delivering treatment to inmates in prison. Other resources include contracted staff and private prison staff. These resources were only able to meet 15.0% (2,299 of 15,356) of the treatment need for offenders released in FY 2019.

ADC had a difficult time recruiting and retaining the counselor positions. For most of the year, 10 or fewer positions were filled. The FY 2020 Governor’s Public Safety Compensation Package provided additional compensation for substance abuse staff, and ADC was recently granted permission to participate in the Federal Bureau of Health Workforce school loan repayment program. These two factors have already positively impacted recruitment as evidenced by an increase in applicants.

ADC is planning to capitalize on these further by planning additional recruitment efforts, including a continuation of the initiative to send brochures to all individuals licensed with the Arizona Board of Behavioral Examiners, a booth at the Southwestern School for Behavioral Studies annual conference, and advertisements in the newsletters for the National Association for Addiction Professionals (NAADAC). It is anticipated that more of these positions will be filled during FY 2020 and FY 2021. At full capacity, ADC would be able to treat up to 1,440 additional inmates a year, bringing the percentage of treated released offenders up to 24.3%.

Inmates that Receive Treatment Are Less Likely to Return to Prison

ADC data shows that inmates participating and completing drug treatment programming (DUI, moderate, intensive, and Residential Substance Abuse Treatment) prior to their release are less likely to return to prison in comparison to those who did not participate in treatment programming. Specifically, inmates released between CY 2013 and CY 2015 who graduated from a treatment program have overall recidivism rates that are 31.1% lower than inmates who do not receive treatment. Further, inmates released between CY 2013 and CY 2015 and graduating from treatment programs were 43.1% less likely to return to prison for a new felony conviction.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

% Reduction in Recidivism for Inmates that Received Treatment				
	3 - Medium Risk	4 - Medium/ High Risk	5 - High Risk	Grand Total
% New Felony Conviction Reduction	48.3%	37.7%	38.1%	43.1%
% Technical Violators Reduction	30.4%	27.6%	-5.2%	20.5%
% Total Recidivism Rate Reduction	38.6%	32.4%	15.6%	31.1%

Future Cost Savings/ Avoidance

Providing substance abuse treatment to the target population will result in fewer inmates returning to prison. ADC inmates that have completed a major treatment program have much lower rates overall recidivism rates, particularly for new felony convictions. A typical sentence for someone returned to prison for a new felony conviction is 4.2 years. If ADC can achieve similar results with the expanded target population hundreds of inmates will not return to prison, prison population growth will slow, and the need for new prison beds will be reduced or delayed.

Target Population

ADC lacks the resources needed to offer treatment to the majority of offenders with substance abuse issues. Traditionally, ADC has recommended targeting the limited substance abuse treatment resources to inmates who were being released within a year and who were high to moderate risk for recidivism and need for treatment. Given recent statutory changes such as Laws 2019, First Regular Session, SB1310, Chapter 310, Section 2, ADC has had to deviate from this practice and begin prioritizing inmates based on sentence type and release date.

Based on FY 2019 releases and assuming that ADC fills all of its positions, there still is a deficit of 11,617 (75.65%) inmates who need treatment but will not receive it prior to release.

FY 2019 Releases	17,960	
Less: Treatment Not needed	2,604	
Assessed as Needing Treatment	15,356	
FY 2019 Graduates from a Treatment Group	2,299	15.0%
Additional Capacity with Filled Positions	1,440	9.4%
Treatment Capacity	3,739	24.3%
Unmet Treatment Need	11,617	75.7%

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

Funding Request

The unmet treatment need can be expanded in two ways: (1) treatment delivered by State employees and/or (2) contracting for services. State employees delivering treatment is more cost effective. However, contracting for services may provide an advantage when attempting to expand services to a much larger population. For example, it has historically been difficult for ADC to fill positions.

In FY 2021, ADC requests funding to expand substance abuse capacity from 24.3% (assuming all positions are filled) to 50% by contracting for substance abuse counseling services. The additional resources will provide resources to serve up to 3,939 additional inmates in need of treatment.

Type	Contracted Treatment			
	Caseload	Treatment Hours	Rate Per Hour Per Individual	Cost of Treatment
Intensive Treatment	1969	202	\$17.00	\$6,761,500
Moderate Treatment	1970	102	\$17.00	\$3,416,000
				<u>\$10,177,500</u>

Utilizing contracted services, in lieu of full time state employee positions, to meet and address the current treatment gap allows for quicker implementation of services; flexibility in adjusting the number of services to meet the changing populations at prison; and the ability to adjust if treatment demand declines. ADC recommends that flexibility be given so that as existing substance abuse counselor positions are filled these funds can be re-allocated from the contracted service delivery to additional State positions due to the lower cost of delivering these services with State employees.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

RECOVERY SUPPORT SPECIALIST (RSS) EXPANSION

2.0 FTE

\$139,900

During FY 2019, ADC released 17,960 inmates. Approximately 86%, or 15,356, of these inmates were assessed as needing substance abuse treatment. In FY 2019, ADC treatment staff, contracted staff, and private prison staff treated and graduated 2,299 inmates from a primary treatment group. This meets only 15.0% of the treatment need. In addition, offenders returning to prison due to “technical” violations are often directly related to substance abuse issues. In FY 2019, 38% of warrants issued by community corrections officers for technical violations were due to drug/alcohol related violations.

In FY 2019, ADC entered into an innovative partnership with Arizona Complete Health Care (formerly Cenpatico) to train and certify inmates as Recovery Support Specialists (RSS). While the services offered by RSS cannot be classified as treatment, they fall between pure self-help or psycho-educational programs and clinical treatment. The use of RSSs is an extremely low cost method to expand the volume of substance abuse services to ADC inmates. Furthermore, they build in the promising practices found in the behavioral health field which suggest that these kinds of supports help reduce substance use and increase retention in treatment.⁶

Another benefit of the RSS program is that inmates who are trained and work as RSSs are highly employable upon release. The field is a growing one and, according to Indeed.com, the average salary for these positions is \$14.45 per hour. A recently released ADC RSS was quickly employed post release and many of our community partners have expressed significant interest in employing future releases.

ADC created and tested this program by utilizing existing resources. To date, there are currently 81 trained RSSs in ADC. Staff and inmates are providing positive feedback about the program. By adding two additional positions, assigned by region - Northern and Southern, the program can be expanded to all prison units while maintaining the necessary oversight and supervision of the RSSs.

ADC seeks to grow the program to the point where there are 3-4 RSSs assigned in every unit. This would allow for 3,000 offenders to be involved in recovery services at any given time and allow ADC to quickly place any inmate who tests positive for drugs into support services that encourage recovery and abstinence.

⁶ Tracy K, Wallace S. Benefits of peer-support groups in the treatment of addiction. *Subst Abuse Rehabil.* 2016;7:143-154

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

Funding Request

ADC requests 2.0 FTE Program & Project Specialist II positions to expand the Recovery Support Specialist Program to all units.

Providing additional substance abuse services to inmates should result in more inmates choosing recovery, fewer inmates engaged in negative behaviors, and an increased likelihood that released offenders will readily seek treatment or support in the community. If ADC can increase the number of inmates and offenders who regularly engage in support and/or treatment services fewer offenders will return to prison, prison population growth will slow, and the need for new prison beds will be reduced or delayed.

PERSONAL SERVICES:	<u>Grade</u>	<u>FTE</u>	<u>Salary</u>	<u>Amount</u>	86,400
Program & Project Specialist II	19	2.0	43,200	86,400	
Personal Services Subtotal		<u>2.0</u>		<u>86,400</u>	
EMPLOYEE RELATED EXPENDITURES (ERE):					45,100
Employee Related Expenditures @ 52.2%				45,100	
Employee Related Expenses Subtotal				<u>45,100</u>	
OTHER OPERATING EXPENDITURES:		<u>Qty</u>	<u>Cost</u>		1,000
Office supplies and other related costs		2.0	500	1,000	
OOE Subtotal				<u>1,000</u>	
NON-CAPITAL EQUIPMENT:		<u>Qty</u>	<u>Cost</u>		7,400
Equipment Package #2 (See General Info. 2-1)		2.0	3,700	7,400	
Non-Capital Equipment Subtotal				<u>7,400</u>	
GRAND TOTAL					<u><u>139,900</u></u>

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

COGNITIVE RESTRUCTURING PROGRAM FIDELITY

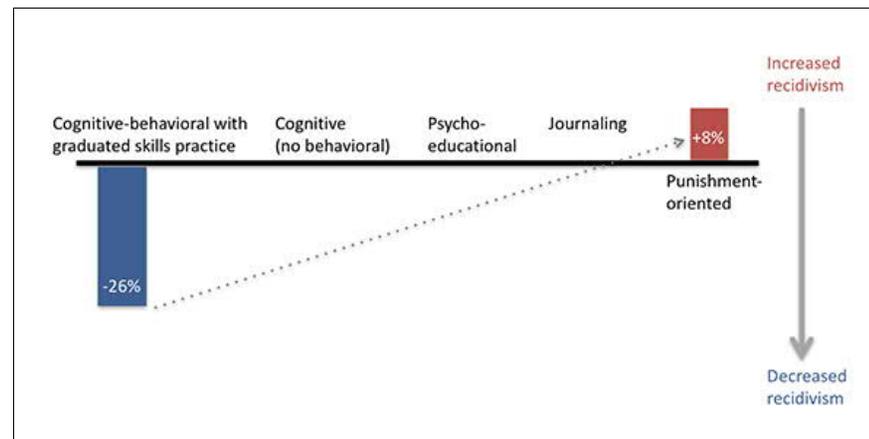
3.0 FTE

\$206,200

ADC’s Strategic Plan prioritizes elevating the status of the cognitive restructuring program from a self-improvement program to a “major” program (e.g., Academic Education, Career and Technical Education, Addictions Treatment programs).

ADC screens inmates most in need of the cognitive restructuring program using the Texas Christian University Criminal Thinking Scales instrument. Potential participants are further prioritized for placement based on their risk to recidivate using the ADC Risk Assessment Profile and the inmate’s release date. Correctional Officer IIIs (and similar positions in the private prisons) facilitate the program classes of 16 inmates using the “Changing Offender Behavior” (COB) curriculum. The COB curriculum focuses on inmate participation and role playing to develop new skills that are intended to replace criminal thinking errors.

“Research has shown that programs using a cognitive-behavioral approach that includes skills practice have the greatest impact on reducing future criminal behavior, with the potential to reduce adult and juvenile recidivism by as much as 26 percent.”⁷ The quality of the program delivery – fidelity – is critical to the potential impact of the program.



⁷ Mark Lipsey, Nana Landenberger, Sandra Wilson, “Effects of a Cognitive-Behavioral Program for Criminal Offenders,” (Oslo: The Campbell Collaboration, 2007).

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

Advancing this program from a self-improvement program to a “major” program will greatly expand ADC’s capacity. It will also allow inmates graduating from the COB program to be eligible for the 20% sentence reduction authorized by SB 1310. In order to credential COB as a “major” program, the quality and consistency of facilitation must be observed and evaluated for all staff delivering the program. Additionally, as new COIIs are hired they must receive the training needed to effectively facilitate the program.

During FY 2019, over 6,500 inmates completed the COB program and (as of June 30, 2019) another 1,563 were identified as having a high priority need and were pending placement. Approximately 160 staff delivers these programs throughout ADC (state and private). Currently, ADC has tasked a Quality Assurance Coordinator, who is also assigned other duties, to conduct the training, monitoring, and evaluating of the COB program. This is not sufficient to effectively elevate the program and assure program fidelity.

The requested number of FTE provides ADC the ability to effectively train facilitators, conduct individual observations and evaluations to ensure fidelity to the curriculum. As noted, such fidelity is the key to this program impacting recidivism reduction.

PERSONAL SERVICES:	<u>Grade</u>	<u>FTE</u>	<u>Salary</u>	<u>Amount</u>	126,000
Program & Project Specialist I	18	3.0	42,000	126,000	
Personal Services Subtotal		<u>3.0</u>		<u>126,000</u>	
EMPLOYEE RELATED EXPENDITURES (ERE):					65,800
Employee Related Expenditures @ 52.2%				65,800	
Employee Related Expenses Subtotal				<u>65,800</u>	
OTHER OPERATING EXPENDITURES:		<u>Qty</u>	<u>Cost</u>		3,300
Office supplies and other related costs		3.0	500	1,500	
Monthly cell phone charges		3.0	600	1,800	
OOE Subtotal				<u>3,300</u>	
NON-CAPITAL EQUIPMENT:		<u>Qty</u>	<u>Cost</u>		11,100
Equipment Package #2 (See General Info. 2-1)		3.0	3,700	11,100	
Non-Capital Equipment Subtotal				<u>11,100</u>	
GRAND TOTAL					<u><u>206,200</u></u>

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

COMMUNITY CORRECTIONS: IMPROVING REENTRY

8.0 FTE

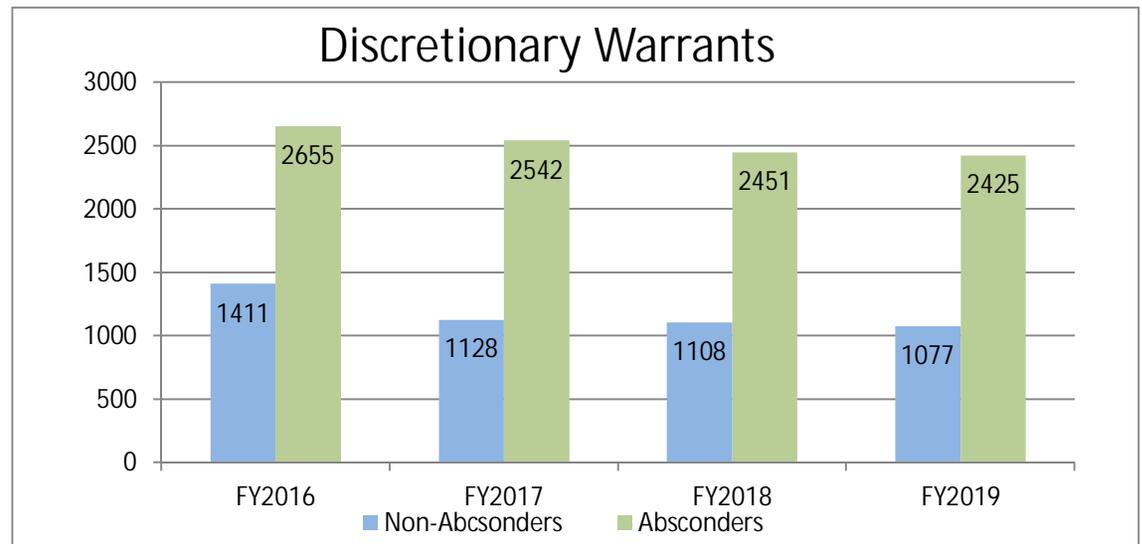
\$657,700

ADC’s Agency Scorecard measures the number of offenders re-incarcerated due to technical violations. From a starting point of 273 returns to prison a month ADC set a goal to reduce the number to 225. During FY 2019, ADC averaged 248 returns a month.

ADC has tracked the percentage of the overall prison population which was incarcerated as a result of committing technical violations for years. In July 2016, ADC began focusing on the number of offenders each month who were returned to custody by Community Corrections (CC) for having committed technical violations. This focus has provided insight into the reasons for these returns to prison.

The number of discretionary warrants issued by Community Corrections Officers (CCOs) has steadily declined since FY 2016. A discretionary warrant is issued when an offender is violating the terms of supervision but is not committing new crimes or posing an immediate threat to the community. This decline is the result of intentional action by ADC to revise policies and practices, enhance intervention resources, and by promoting the view that a CCOs role is as a case manager/coach rather than pure policing agent.

Warrants for “absconding” are by far the largest category of warrants issued for technical violations. In fact, absconding accounted for 69% of all discretionary warrants in FY 2019. When an offender simply fails to show up to meetings with their CCO and no longer resides at their approved placement, there is no ability to utilize sanctions and interventions to assist the offender to get back on track. The offender remains on abscond status until they are picked up by law enforcement and returned to custody. ADC has tried some innovative measures to drive down the abscond numbers but the high rate persists. In FY 2019, 437 offenders absconded before their first post release meeting with their CCO.



**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

Demystifying Community Corrections and Fostering Working Relationships

The majority of ADC inmates have been on probation or Community Supervision (CS) status at some point prior to their current incarceration, with many of them failing to successfully complete the terms of their supervision. Failure occurs for many reasons, including misunderstanding the terms of supervision, poor relationship with the supervising officer, and, in some cases, a belief that failure is inevitable.

To ensure that all inmates releasing to CS fully understand the terms of supervision; have a working relationship with Community Corrections; and believe that successful completion is in fact achievable and more likely to occur than not, ADC proposes to borrow a promising practice from the field of behavioral health and enhance “warm handoffs” for the releasing inmates.

Funding Request

ADC requests 8.0 FTE Community Corrections Officers, with a working title of Community Corrections Specialists, to be embedded in prisons where they will conduct pre-release interviews, assessments, workshops, Interstate Compact informational sessions, and general outreach to inmates releasing to Community Corrections and probation. The goal is for inmates to have an already established working relationship with Community Corrections and probation prior to release and for the offender to fully understand CS.

At any given time offenders revoked from CS account for 15-17% of the incarcerated population. Reducing the number of offenders absconding from supervision will avoid offenders returning to prison, prison population growth will slow, and the need for new prison beds will be reduced or delayed.

PERSONAL SERVICES:	<u>Grade</u>	<u>FTE</u>	<u>Salary</u>	<u>Amount</u>	381,600
Community Corrections Officer	18B	8.0	47,700	381,600	
Personal Services Subtotal		<u>8.0</u>		<u>381,600</u>	
EMPLOYEE RELATED EXPENDITURES (ERE):					237,700
Employee Related Expenditures @ 62.3%				237,700	
Employee Related Expenses Subtotal				<u>237,700</u>	
OTHER OPERATING EXPENDITURES:		<u>Qty</u>	<u>Cost</u>		8,800
Office supplies and other related costs		8.0	500	4,000	
Monthly cell phone charges		8.0	600	4,800	
OOE Subtotal				<u>8,800</u>	
NON-CAPITAL EQUIPMENT:		<u>Qty</u>	<u>Cost</u>		29,600
Equipment Package #2 (See General Info. 2-1)		8.0	3,700	29,600	
Non-Capital Equipment Subtotal				<u>29,600</u>	
GRAND TOTAL					<u><u>657,700</u></u>

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

EDUCATION SERVICES EXPANSION

10.0 FTE

\$1,067,100

The lack of education and skills for inmates returning to society is one factor that contributes to success or failure once they are released from prison. Correctional education programs are important for inmates in need of education as they prepare to reenter the community. A 2013 meta-analysis conducted by the RAND Corporation and sponsored by the Bureau of Justice Assistance estimates that correctional education reduces recidivism rates by 29.8% on average. The study also suggests a positive association between correctional education and post release employment.⁸ Both of these results are consistent with the findings from other published reports.

Only 29% of inmates admitted to ADC for the first time have a GED or high school diploma. Enrollment in an education program as of June 2019 and those graduating/passing an education program during FY 2019 are shown in the table below:

	Enrolled as of June 2019	Graduated/ Passed
Functional Literacy	1,234	816
High School Equivalency/High School	2,188	3,701
	3,422	4,517

As of July 2019, there were 114.0 filled correctional education program teachers, 15.0 filled correctional education program supervisors, and private prison education staff. A typical caseload is 40 inmates per teacher, with special education teachers having a caseload of 15 inmates. Classrooms are typically composed of a combination of functional literacy and GED/High School students. As of June 2019, there were 2,137 students awaiting placement into functional literacy and the caseload of special education teachers in Tucson was at 26:1.

Functional literacy is of particular importance because enrollment into and/or passage of it is tied to earned release credits and the Transition Program. Functional literacy is also considered a major program for the purposes of the early release that was authorized by Laws 2019, First Regular Session, SB1310, Chapter 310, Section 2. Completion times for functional literacy vary widely with some inmates completing it within a few weeks and others taking over a year, but the average is approximately three months.

A key component of meeting the goal of lowering recidivism by 25% over the next 10 years is to expand programming and educational opportunities for inmates. Additional resources are needed to provide correctional education program opportunities to a higher percentage of the inmate population.

⁸ RAND Corporation, *Evaluating the Effectiveness of Correctional Education* (2013)

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE REENTRY & RECIDIVISM REDUCTION PROGRAMS

PRIORITY NUMBER: 3

ADC requests 10.0 FTE Correctional Education Program Teachers positions to lower the ratio for special education teachers in Tucson and to address the waiting lists for mandatory literacy. 2.0 FTE positions will be assigned to ASPC-Tucson to reduce the special education ratio to 15:1, and the other 8.0 FTE positions will be used to reduce the functional literacy backlog by approximately 1,280 inmates a year.

	<u>FTE</u>	<u>Salary</u>	<u>Annual Amount</u>	<u>FY 2021 Request</u>	<u>FY 2022 Annualization</u>	
PERSONAL SERVICES:						445,200
Correctional Education Program Teacher	10.0	63,600	636,000	445,200	190,800	
Personal Services Subtotal	10.0		636,000	445,200	190,800	
EMPLOYEE RELATED EXPENDITURES (ERE):						232,400
Employee Related Expenditures @ 52.2%			332,000	232,400	99,600	
Employee Related Expenses Subtotal			332,000	232,400	99,600	
OTHER OPERATING EXPENDITURES:	<u>Qty</u>	<u>Cost</u>				73,500
Employee: office supplies and other related costs	10	500	5,000	3,500	1,500	
Classroom: booklets, supplies, etc.	10	10,000	100,000	70,000	30,000	
OOE Subtotal			105,000	73,500	31,500	
EQUIPMENT:	<u>Qty</u>	<u>Cost</u>				316,000
Equipment Package #2 & 4 (See General Info. 2-1)	10	6,600	-	66,000	(66,000)	
Modular Classroom/Office Space	1	250,000	-	250,000	(250,000)	
Non-Capital Equipment Subtotal			-	316,000	(316,000)	
FY 2021 Request and FY 2022 Annualization			1,073,000	1,067,100	5,900	
						1,067,100

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: FULLY FUND STATUTORY BUILDING RENEWAL FORMULA

PRIORITY NUMBER: 4

1. Description of problem or issue:

The ADC building inventory is comprised of 1,523 structures and over 8.8 million square feet. The approximate replacement cost of the system is estimated at over \$2 billion. ADC is part of the ADOA Building System. Pursuant to A.R.S. §41-793 ADC submits its annual agency Capital Improvement Plan (CIP) to ADOA annually. The FY 2021 CIP, submitted in June 2019, includes a total funding need of \$400,235,500. The CIP plan includes projects ranging from locking systems and fire systems to perimeter road repair. Priority is placed on fire and life safety projects. A summary of the CIP request by complex and potential impact can be seen on page 5.

A.R.S. §41-793 directs ADOA to determine the amount of appropriation required to fund building renewal on an annual basis according to a formula approved by the Legislature. The building renewal formula approved by the Legislature is the Sherman-Dergis formula developed in 1981 at the University of Michigan. ADC’s FY 2021 building renewal amount is \$25,340,300 based on the formula calculation. However, over the last 30 years the ADOA Building System formula has been fully funded only once. Due to the formula not being fully funded deferred maintenance costs, estimated to be over \$600 million for the ADOA Building System, have steadily increased. ADC’s estimated share of the deferred maintenance costs is \$125,057,000. Additional detail on the historical level of building renewal funding can be seen on page 6-7.

In FY 2012 A.R.S. §41-797 established the ADC Building Renewal Fund (2551) for capital projects and preventive maintenance. The monies in the fund are used for building renewal projects that repair or rework buildings and supporting infrastructures. The FY 2020 appropriation is \$6,864,300 which funds 28.3% of the recommended building renewal amount. The problem is that ADC does not have sufficient funding for building renewal and capital projects to properly maintain its infrastructure. Due to consistent funding levels below the recommended annual building renewal formula substantial deferred maintenance accrual exists throughout the ADC building inventory.

2. Proposed solution to the problem or issue:

FTE: 0.0 AMOUNT: 18,476,000

ADC requests \$18,476,000 to fully fund the building renewal formula in FY 2021.

	FY 2020 Appropriation	FY 2021 Requested Appropriation	FY 2021 Request
Building Renewal Fund - Base Appropriation Level	5,464,300	5,623,600	159,300
Building Renewal Fund - One-Time FY 2020 Appropriation	1,400,000	-	(1,400,000)
General Fund Building Renewal Appropriation	-	19,716,700	19,716,700
Total FY 2021 Building Renewal Request	6,864,300	25,340,300	18,476,000

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: FULLY FUND STATUTORY BUILDING RENEWAL FORMULA

PRIORITY NUMBER: 4

ADC will use the funding to address the highest priorities on ADC's FY 2021 CIP. Locking systems and fire suppression and alarm systems throughout ADC prison complexes are among the most critical projects. However, other projects of an emergency or critical nature will also be considered. For example, during November 2018 natural gas leaks at ASPC-Winslow necessitated the immediate replacement of the gas line and re-prioritization of FY 2019 Building Renewal Projects.

Properly working fire alarms are a basic mandatory requirement for occupied spaces, and particularly so in a confined and secure environment. When fire alarms are not functioning appropriately ADC correctional staff function as 24-hour fire watch personnel. This countermeasure achieves fire and life safety standards but is not an approved long-term solution.

The requested funding would provide a consistent funding source to address the most critical projects on ADC's FY 2021 CIP. Critical to the request is a dedicated and consistent funding source to begin implementation of a phased approach for the most critical building renewal projects, including locking systems and fire suppression and alarm systems.

3. Performance Measures to quantify the success of the solution:

To be determined.

4. Alternatives considered and reasons for rejection:

Other options would be to continue funding the ADC building renewal formula at less than 1/3 of the funding formula. Given the urgent need for repairs to critical building infrastructure and systems, this option is not recommended. The FY 2021 CIP contains critical projects, including locking and fire system projects, which need to be addressed. If funding remains at current levels critical projects will continue to be deferred.

5. Impact of not funding this fiscal year:

Building renewal projects will remain unfunded which contributes to unsafe living conditions for inmates and unsafe working conditions for correctional staff. Deferred maintenance costs will continue to increase, requiring increased future investment to maintain and preserve the State's building inventory.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: FULLY FUND STATUTORY BUILDING RENEWAL FORMULA

PRIORITY NUMBER: 4

6. Statutory reference:

A.R.S. §41-793

A.R.S. §41-797

7. Equipment:

To be determined.

8. Classification of new positions:

Not Applicable

9. Annualization:

Not Applicable

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: FULLY FUND STATUTORY BUILDING RENEWAL FORMULA

PRIORITY NUMBER: 4

FTE _____	<u>0.0</u>
PERSONAL SERVICES _____	
EMPLOYEE RELATED EXPENSES _____	
PROFESSIONAL & OUTSIDE SERVICES _____	
TRAVEL IN-STATE _____	
TRAVEL OUT OF STATE _____	
OTHER OPERATING EXPENSES _____	
FOOD _____	
AID/DISCHARGE _____	
CAPITAL/NON CAPITAL EQUIPMENT _____	18,476,000
TOTAL OPERATING LUMP SUM _____	<u>18,476,000</u>
PRIVATE PRISON PER DIEM SLI _____	
INMATE HEALTH CARE CONTRACTED SERVICES _____	
COMMUNITY CORRECTIONS SLI _____	
TOTAL FUNDING ISSUE _____	<u>18,476,000</u>

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: FULLY FUND STATUTORY BUILDING RENEWAL FORMULA

PRIORITY NUMBER: 4

FY 2021 CIP Summary by Impact Category and Location
(in thousands)

Impact	Douglas	Eyman	Florence	Lewis	Perryville	Phoenix	Safford	Tucson	Winslow	Yuma	Other (Private Prisons, MRC, PRC)	Grand Total
Life Safety - Locks	895.9	10,806.7	18,321.8	20,651.1	1,458.7	0.0	1,047.9	1,893.3	0.0	13,888.3	0.0	68,963.6
Loss of Beds	18,450.0	0.0	11,450.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	29,900.0
Life Safety - Fire	7,401.2	15,786.4	14,984.3	13,748.8	10,311.8	197.8	6,007.6	12,660.1	5,602.5	9,640.0	1,284.7	97,625.0
Security Issue	465.0	3,119.2	1,807.0	1,241.1	13,564.9	100.0	225.0	1,495.0	290.0	1,864.7	0.0	24,171.8
Electrical Failure	0.0	145.0	0.0	0.0	0.0	225.0	1,120.0	764.0	450.0	489.6	905.3	4,099.0
Structural Issue	814.1	2,127.6	790.0	0.0	0.0	1,135.0	250.0	385.0	2,077.4	2,780.0	400.0	10,759.1
HVAC	7,800.4	23,124.7	17,653.9	24,922.5	12,952.0	95.9	7,562.6	19,992.6	7,375.4	10,984.2	0.0	132,464.1
Food Safety	52.0	0.0	1,035.0	1,100.0	0.0	0.0	0.0	435.3	0.0	115.0	0.0	2,737.3
Yard Access	0.0	0.0	650.0	500.0	0.0	0.0	0.0	1,300.0	0.0	278.3	0.0	2,728.3
Water Issue	28.0	0.0	0.0	2,490.1	474.6	0.0	155.0	165.0	700.0	270.0	0.0	4,282.7
ADA	0.0	0.0	425.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	425.0
Loss of Medical Space	0.0	0.0	775.0	0.0	0.0	0.0	0.0	240.0	0.0	165.0	0.0	1,180.0
Waste Water Issue	0.0	7,834.4	0.0	0.0	0.0	0.0	940.0	163.0	0.0	180.0	0.0	9,117.4
Other	0.0	285.0	4,315.0	0.0	4,925.0	156.0	500.0	508.0	350.0	743.1	0.0	11,782.1
Grand Total	35,906.6	63,229.0	72,206.9	64,653.6	43,687.0	1,909.7	17,808.0	40,001.2	16,845.3	41,398.1	2,590.1	400,235.5

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: FULLY FUND STATUTORY BUILDING RENEWAL FORMULA

PRIORITY NUMBER: 4

Building Renewal Formula and Deferred Maintenance:

From FY 2011 – FY 2020 the building renewal formula established in A.R.S. §41-793 was funded at an average of 29.1%. It was not funded at 100% in any of the years during this time period. Underfunding of the building renewal formula causes deferred maintenance backlogs. Projects that go unfunded include systems critical for the safety and security of staff and inmates such as locking systems and fire systems. The estimated ADC cumulative deferred maintenance over this time period is \$125,057,000.

% of Building Renewal Formula Appropriated & Cumulative Deferred Maintenance
FY 2011 – FY 2020

Year	Building Renewal Formula	Building Renewal Appropriation	% Building Renewal Formula Appropriated	Deferred Maintenance	Cumulative Deferred Maintenance
2011	\$ 12,712,826		0.0%	\$ 12,712,826	\$ 12,712,826
2012	\$ 13,091,098	\$ 4,630,500	35.4%	\$ 8,460,598	\$ 21,173,424
2013	\$ 14,065,303	\$ 4,630,500	32.9%	\$ 9,434,803	\$ 30,608,227
2014	\$ 15,029,694	\$ 5,000,000	33.3%	\$ 10,029,694	\$ 40,637,921
2015	\$ 16,425,291	\$ 8,464,300	51.5%	\$ 7,960,991	\$ 48,598,912
2016	\$ 17,585,313	\$ 5,464,300	31.1%	\$ 12,121,013	\$ 60,719,925
2017	\$ 20,116,887	\$ 5,464,300	27.2%	\$ 14,652,587	\$ 75,372,512
2018	\$ 20,930,773	\$ 5,464,300	26.1%	\$ 15,466,473	\$ 90,838,985
2019	\$ 22,283,225	\$ 5,464,300	24.5%	\$ 16,818,925	\$ 107,657,910
2020	\$ 24,263,372	\$ 6,864,300	28.3%	\$ 17,399,072	\$ 125,056,982
	<u>\$ 176,503,782</u>	<u>\$ 51,446,800</u>	<u>29.1%</u>	<u>\$ 125,056,982</u>	

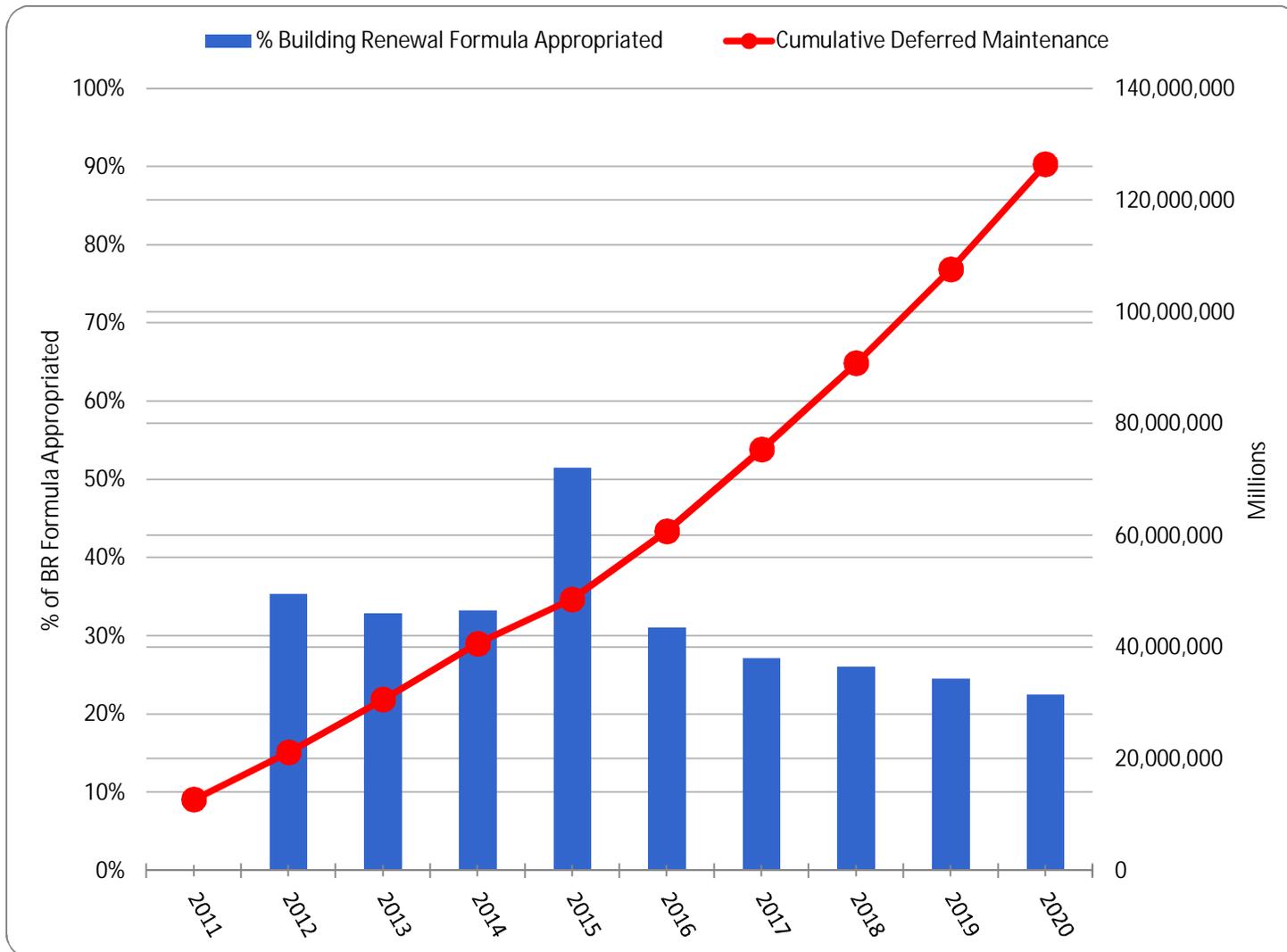
*Prior to 2012, there were no dedicated funds appropriated to ADC

ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE

ISSUE: FULLY FUND STATUTORY BUILDING RENEWAL FORMULA

PRIORITY NUMBER: 4

% of Building Renewal Formula Appropriated & Cumulative Deferred Maintenance
FY 2011 – FY 2020



**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: STAFF SAFETY EQUIPMENT

PRIORITY NUMBER: 5

1. Description of problem or issue:

ADC’s mission is “to serve and protect the people of Arizona by securely incarcerating convicted felons, by providing structured programming designed to support inmate accountability and successful community reintegration, and by providing effective supervision for those offenders conditionally released from prison.”

To bring about this mission two key types of staff safety equipment, radios and safety vests, are required for staff to perform their jobs efficiently and to support the safety of the public, staff and inmates. Approximately 8,600 employees and over 42,000 inmates (as of June 30, 2019) rely on staff safety equipment on a daily basis to provide communication and safety. These items are in constant use, are subject to wear and tear and have a certain useful life. When the useful life is surpassed, these items can become too degraded, outdated or unsafe to operate. Hand held radios become obsolete or incompatible with other communication equipment due to circumstances like new frequency requirements, software changes and replacement parts no longer available. Safety vests are only certified for specific timeframes as part of industry safety standards.

ADC does not have a separate budget for replacing staff safety equipment on a fixed cycle. Historically, ADC has invested in staff safety equipment replacement with focus on only the most critical needs as funding was available. Despite ADC’s attempts to identify and replace staff safety equipment, a significant segment of these staff safety equipment categories have exceeded their useful life. The problem is ADC does not have sufficient funding to maintain an appropriate replacement cycle for its staff safety equipment items.

2. Proposed solution to the problem or issue:

FTE: 0.0 AMOUNT: \$2,744,500

ADC proposes funding a standard life cycle replacement plan for specific staff safety equipment categories. This would ensure necessary mission critical resources would be replaced in an orderly and measured on-going schedule.

ADC requests \$2,774,500 to replace staff safety equipment beyond its useful life in 2 categories as shown below:

Description	Current Inventory			Requested Replacement Equipment	
	Inventory	Total Cost	Useful Life (Years)	Requested Amount	% to Replace
Radios	8,200	\$ 17,123,700	7	\$ 2,446,200	14.3%
Protective Vests *	1,489	\$ 774,900	5	\$ 298,300	26.4%
Grand Total	9,689	\$ 17,898,600		\$ 2,744,500	

* Protective vests replacement amounts are based on need rather than the current inventory.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: STAFF SAFETY EQUIPMENT

PRIORITY NUMBER: 5

3. Performance Measures to quantify the success of the solution:

Performance Measure	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
Employee engagement positive response (specifically related to the question: "I have the proper tools and equipment to do my job.")	51%	TBD	TBD

4. Alternatives considered and reasons for rejection:

The alternative to the request is to continue to defer the replacement of the oldest staff safety equipment into future years. This alternative is rejected. Having dependable staff safety equipment is critical to the safe, secure operation of prisons and public, staff and inmate safety.

5. Impact of not funding this fiscal year:

By not funding an adequate staff safety equipment replacement plan in FY 2021, the average equipment age will continue to increase. Deferring the replacement of staff safety equipment beyond the recommended criteria compromises the reliability of the equipment used by staff.

6. Statutory reference:

Not Applicable

7. Equipment:

See equipment justification.

8. Classification of new positions:

Not Applicable

9. Annualization:

FY 2022: (\$83,700) - Annualization of safety vests to replace 1/5th of the safety vest inventory annually.

**ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2021 BUDGET REQUEST
 DECISION PACKAGE**

ISSUE: STAFF SAFETY EQUIPMENT

PRIORITY NUMBER: 5

FTE	<u><u>0.0</u></u>
PERSONAL SERVICES	
EMPLOYEE RELATED EXPENSES	
PROFESSIONAL & OUTSIDE SERVICES	
TRAVEL IN-STATE	
TRAVEL OUT OF STATE	
OTHER OPERATING EXPENSES	
FOOD	
AID/DISCHARGE	
CAPITAL/NON CAPITAL EQUIPMENT	2,744,500
TOTAL OPERATING LUMP SUM	<u><u>2,744,500</u></u>
PRIVATE PRISON PER DIEM SLI	
INMATE HEALTH CARE CONTRACTED SERVICES	
COMMUNITY CORRECTIONS SLI	
TOTAL FUNDING ISSUE	<u><u>2,744,500</u></u>

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: STAFF SAFETY EQUIPMENT

PRIORITY NUMBER: 5

RADIOS

0.0 FTE \$2,446,200

In a prison environment communication is essential to maintain staff, inmate and public safety. ADC's primary communication devices are portable (hand-held) and mobile (mounted in vehicles) radios. Radios are issued to ADC staff in the areas of security, education programs and administration. Private contracted staff for food service, medical and commissary are also issued radios.

Production of the primary radio series used by ADC, the Motorola XTS series, was discontinued in November 2014. In FY 2017 ADC switched to a Harris manufactured radio in an attempt to maximize the number of radios that could be purchased at a lower per unit cost. However, the Harris radio did not meet expectations. ADC's radio inventory has 8,200 units with a total replacement cost of approximately \$17,123,700. ADC requests funding for a seven-year replacement cycle to avoid the costly replacement of the entire radio inventory in one year. This will allow the replacement of one-seventh of the 8,200 hand held radios that are out of warranty and at the end of their life cycle. Of the 8,200 radios, 58% are beyond the 7 year useful life with an approximate replacement cost of \$9,921,300.

Ultimately, ADC must maintain radio communications. Not doing so places the safety of ADC staff, contractors, inmates, and the public at risk. ADC requests authorization in FY 2021 to implement a seven-year replacement cycle of the radio infrastructure. ADC would have sufficient funding to continually replace and update its radio inventory without requesting one-time funding.

In FY 2017, ADC requested 1.0 FTE and \$4,037,600 to replace 3,500 radios and begin a three-year phased replacement plan of the radio inventory. During FY 2017 ADC was appropriated \$2,800,000 from the Corrections Fund (2088) to replace the oldest radios in its inventory. 2,644 Harris radios were purchased in FY 2017.

For FY 2018, ADC requested authorization to retain \$1,219,100 of the \$2,800,000 appropriated in FY 2017 to implement a seven-year replacement cycle of the radio infrastructure but did not receive any funding or authorization in FY 2018 for this purpose.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: STAFF SAFETY EQUIPMENT

PRIORITY NUMBER: 5

	Radio Inventory as of 6/30/ 2019				Requested Replacement Radios	
	Inventory	Cost	Useful Life	Total Cost	Requested Amount	Quantity
HAND HELD RADIOS						
Motorola APX 4000 VHF MHZ Model 2	8,200	\$ 1,570	7	\$ 12,872,500	\$ 1,838,900	1,171
Feature, Extreme Noise Reduction	8,200	\$ 20	7	\$ 163,900	\$ 23,400	1,171
Feature, Analog Software Package	8,200	\$ 320	7	\$ 2,623,000	\$ 374,700	1,171
Battery, LI-ION, 2800 MAH	8,200	\$ 80	7	\$ 655,800	\$ 93,700	1,171
3 Year Service	8,200	\$ 99	7	\$ 808,500	\$ 115,500	1,171
Non-Capital Equipment Subtotal		\$ 2,088		\$ 17,123,700	\$ 2,446,200	1,171

The following table provides additional information about the age of the radio inventory:

Age of Radios	Current Inventory	% by Age
0.0 to 1.0 Years	136	1.7%
1.0 to 2.0 Years	1	0.0%
2.0 to 3.0 Years	2,970	36.2%
3.0 to 4.0 Years	2	0.0%
4.0 to 5.0 Years	139	1.7%
5.0 to 6.0 Years	187	2.3%
6.0 to 7.0 Years	14	0.2%
7.0 to 10.0 Years	4,136	50.4%
10+ Years	615	7.5%
Total	8,200	100.0%

4,751 (58%) radios are beyond their useful life

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: STAFF SAFETY EQUIPMENT

PRIORITY NUMBER: 5

PROTECTIVE VESTS

0.0 FTE \$298,300

Protective vests used by ADC come in two basic categories, ballistic vests, which are designed to provide protection from firearm projectiles and shrapnel, and stab vests that provide protection from pointed or bladed weapons. Both vests are used for specific duties:

- **Ballistic Vests Usage:** Security staff operating both outside and inside the perimeter of a prison. Examples of use would be: responding to major disturbances, inmate transport, escapes and other searches (local law enforcement agency support). These vests are classified by the type and level of ballistic protection they afford to the wearer. The vest typically consists of a Kevlar weave layer with additional trauma plates (usually ceramic) that prevent penetration of higher velocity ammunition and shrapnel. These vests are typically required for duties where staff could be confronted with a threat by firearms.
- **Stab Vests Usage:** Security and other staff by assignment working inside the perimeter at units in maximum and close custody and detention units or in situations where a vest is a required safety protocol. Examples of use would be: cell extractions, searches, inmate movement. The primary design of a stab vest is to prevent injury or death from pointed or bladed weapons, e.g. knives, prison made weapons.

Both types of vest have a normal useful life of approximately 5 years unless they are otherwise compromised from an assault or situation that damages the vest beyond replacing the cover or carrier. Also, certain ballistic vests are custom fit to the wearer. This may require more frequent replacement with staff turnover and/or promotion.

The vests are a safety requirement for staff assigned to any duty that is deemed of high enough risk. The vests mitigate the risk to ADC personnel wearing the vest and enable them to perform their duty to protect both ADC staff and inmates. A shortage of safety vests not only endangers staff but may curtail or prevent operations from being performed completely or timely manner such as cell inspections, inmate transport and major disturbances.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: STAFF SAFETY EQUIPMENT

PRIORITY NUMBER: 5

ADC currently has an inventory 304 ballistic vests and 1,185 stab vests but has a total requirement of 622 ballistic vests and 1,400 stab vests. The required amount needed to be purchased in this request is 318 ballistic vests and 215 stab vests for a total of 533 vests to bring the current inventory to the full requirement. In FY 2022, the request is annualized to retain only the funding needed to replace 1/5th of the inventory every year.

	Protective Vest Need					Requested Vest Funding	
	<u>Required Quantity</u>	<u>Current Inventory</u>	<u>Cost</u>	<u>Useful Life</u>	<u>Total Cost</u>	<u>Requested Quantity</u>	<u>Requested Amount</u>
PROTECTIVE VESTS							
Vests, Ballistic	622	304	\$600	5	\$ 373,200	318	\$ 190,800
Vests, Stab	1,400	1,185	\$500	5	\$ 700,000	215	\$ 107,500
Non-Capital Equipment Subtotal	2,022	1,489			\$ 1,073,200	533	\$ 298,300

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: LEADERSHIP ENHANCEMENT & DEVELOPMENT

PRIORITY NUMBER: 6

1. Description of problem or issue:

The ADC FY 2020 Strategic Plan identifies 5 Strategic Priorities. Number one is “Staff is engaged in recidivism reduction”. A major obstacle in having staff engaged in recidivism reduction is the persistently high and upward trending vacancy rate (July 2019 19.0% vacancy rate - 1,266 vacant of 6,655 total COII positions). In reality, the 19.0% vacancy rate challenges the operation of a safe and secure prison system and achievement of each of ADCs strategic priorities.

While competitive compensation continues to be a significant recruitment and retention obstacle for ADC, other issues surrounding vacancy and retention will require additional solutions. The development of ADC leadership has been identified as one area of emphasis. Analysis of the Annual Employee Engagement Survey results has identified several opportunities for improvement related to leadership and direct supervision. In FY 2018, ADC assembled an A-3 problem solving team to find the root causes of separation and retention for Correctional Officers IIs (CO). In a recent survey of COs that resigned, 13% cited improved treatment by supervisor as a need to stay - retention.

Several factors contribute to the leadership challenges within the prison environment: **(1)** Sergeant’s (Sgt) current duties and number of direct reports inhibits their ability to adequately fulfill their supervisory role. Operational duties and responsibilities leave insufficient time for crucial listening, teaching, and coaching time (engagement) between Sgt’s and CO’s. Further, the high level of vacancy among COs requires that Sgt’s, the CO’s first line supervisor, fill security posts which further reduces their ability to properly coach and lead their teams. **(2)** Salary compression within the correctional series fails to properly incentivize career advancement. For example, 51.0 Sgt’s have a higher salary than Lieutenants (Lt). This creates a disincentive to advance and contributes to disengagement and lower employee morale among supervisors. **(3)** Educational opportunities are critical to developing new leaders within ADC. The reality is that pursuing additional education can be difficult for ADC employees given the demands of working in a prison environment and the expense of pursuing additional education.

2. Proposed solution to the problem or issue:

FTE: 0.0 AMOUNT: 6,285,400

ADC is proposing three initiatives to increase leadership presence in prisons, properly incentivize career advancement and enhance leadership development for ADC employees.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: LEADERSHIP ENHANCEMENT & DEVELOPMENT

PRIORITY NUMBER: 6

	<u>FTE</u>	<u>PS</u>	<u>ERE</u>	<u>Total</u>
Eliminate Correctional Officer II	(286.0)	(12,129,600)	(4,808,200)	(16,937,800)
Establish Correctional Corporal	286.0	13,299,000	5,271,700	18,570,700
Incentivize Career Advancement - Salary Compression Adj.	0.0	2,491,800	987,600	3,479,400
Extend Education Stipend to COs	0.0	1,050,000	123,100	1,173,100
	<u>-</u>	<u>4,711,200</u>	<u>1,574,200</u>	<u>6,285,400</u>

(1) Establish Correctional Corporals

The A-3 Retention team received recommendations from field staff to establish an intermediate level supervisor between CO's and Sgt's to alleviate some of the workload from Sgt's and allow daily tasks within a prison unit to be handled by the intermediate supervisor.

ADC requests establishment of a new position classification, Correctional Corporal (Cpl). Cpl's will be the intermediate supervisor between CO's and Sgt's. Cpl's will be responsible for routine assignments within a unit serving as the "officer in charge." Cpl's will assume some of the Sgt's duties which will allow Sgt's time to develop, coach, train and engage CO's. 286.0 CO FTE will be converted to the Cpl position.

(2) Incentivize Career Advancement - Salary Compression Adjustment

ADC also requests additional funds to address salary compression for correctional supervisors. Currently, 51.0 Sgt's have a higher salary than Lieutenants and similar compression issues exist throughout the Correctional Series. As stated above, this creates a disincentive to promote and contributes to disengagement and lower employee morale among supervisors. The creation and placement of the Cpl position between the CO and Sgt classifications would worsen these issues. New salary floors will be created for security supervisors to accommodate the salary for the newly created Cpl positions and to mitigate instances of up-line supervisors earning less than subordinate staff. There are no additional FTE requirements related to the correctional supervisor salary compression funding.

(3) Extend Education Stipends to Correctional Officers

ADC requests funding to expand educational stipends to COs. Educational stipends ranging from 2.5% to 7.5% depending on education level are offered to Correctional Series staff. However, COs are currently not eligible to receive the education stipend. Educational opportunities are critical to developing new leaders within ADC but the reality is that pursuing additional education can be difficult for ADC employees due to the demands of the job and the expense of pursuing additional education. COs that choose to pursue educational opportunities often do well in leadership positions. This funding will incentivize employees to seek additional education and compensate employees that do so.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: LEADERSHIP ENHANCEMENT & DEVELOPMENT

PRIORITY NUMBER: 6

3. Performance Measures to quantify the success of the solution:

Performance Measure	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
Employee Engagement % positive response	60.0%	90.0%	90.0%
COII Vacancy Rate	18.9%	6.8%	6.8%
Quantity of Vacant CO Positions	1,266	413	413
COII regrettable attrition	65.7%	52.0%	52.0%

4. Alternatives considered and reasons for rejection:

Maintain the current correctional series staff model. This option was rejected. An integral role in retaining our CO's is leadership. To retain CO's we need supervisors available to develop, coach, lead and engage CO's. Additional security supervisors will amplify the employee engagement efforts of all correctional supervisors as routine unit operations are led by a Cpl.

Another option is for ADC to allocate existing resources to implement all or part of this funding request. This option was rejected because the FY 2020 public safety compensation package was underfunded by \$13M (total cost = \$48.5M; Funded = \$35.5M). Also, ADC has utilized existing resources to fund CO stipends in FY 2014 and FY 2019 (\$7.4M) and in FY 2019 10% teacher salary increase (\$0.9M). ADC does not recommend further erosion of the personal services base for Cpl. positions, correctional supervisor series compression adjustments, or education stipends for COs.

5. Impact of not funding this fiscal year:

Not funding this request will continue to overburden security supervisors and disallow sufficient time for effective leadership. Young and inexperienced CO's (53% of CO's have less than five years' experience) deserve time and attention from security supervisors to develop skills and enhance employee engagement. Ultimately, a shortage of security supervisors jeopardizes retention efforts throughout the correctional officer series (CO to Warden). Not funding the issue this fiscal year would leave few options to address correctional series retention efforts. ADC has overhauled and expanded the Field Training Officer program (FTO) and in late FY 2019 established a COII Retention Committee to obtain retention ideas directly from CO's. Persistent understaffing requires posting of security supervisors which minimizes direct contact with CO's. When time for effective leadership is minimized, employee engagement suffers and retention efforts are derailed.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: LEADERSHIP ENHANCEMENT & DEVELOPMENT

PRIORITY NUMBER: 6

6. Statutory reference:

Not applicable

7. Equipment:

Not applicable

8. Classification of new positions:

See attached justification

9. Annualization:

Not applicable

**ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2021 BUDGET REQUEST
 DECISION PACKAGE**

ISSUE: LEADERSHIP ENHANCEMENT & DEVELOPMENT

PRIORITY NUMBER: 6

FTE	<u>0.0</u>
PERSONAL SERVICES	4,482,600
EMPLOYEE RELATED EXPENSES	1,483,600
PROFESSIONAL & OUTSIDE SERVICES	
TRAVEL IN-STATE	
TRAVEL OUT OF STATE	
OTHER OPERATING EXPENSES	
FOOD	
AID/DISCHARGE	
CAPITAL/NON CAPITAL EQUIPMENT	
TOTAL OPERATING LUMP SUM	<u>5,966,200</u>
PRIVATE PRISON PER DIEM SLI	
INMATE HEALTH CARE CONTRACTED SERVICES	
COMMUNITY CORRECTIONS SLI	319,200
TOTAL FUNDING ISSUE	<u>6,285,400</u>

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: LEADERSHIP ENHANCEMENT & DEVELOPMENT

PRIORITY NUMBER: 6

Funding requirements to establish Correctional Corporal positions, address salary compression, and extend education stipends to COs are as follows:

(1) ESTABLISH CORRECTIONAL CORPORAL	Total FTE	Filled FTE	Corporal Salary	Avg. CO with Stipends	FTE	PS Increase	ERE Increase	Total Increase
CORRL OFFCR 2 (with stipends)	6,479.0	5,253.0	-	42,411	(286.0)	(12,129,600)	(4,808,200)	(16,937,800)
CORPORAL	-	-	46,500	-	286.0	13,299,000	5,271,700	18,570,700
SUBTOTAL					-	1,169,400	463,500	1,632,900

(2) SALARY COMPRESSION ADJUSTMENT	Total FTE	Filled FTE	Compression Adjustment Floor	Average of FTE Below the CA Floor	FTE Below the CA Floor	PS Increase	Marginal ERE Increase	Total Increase
CORRL SGT (Gr. 18C)	657.0	629.0	51,400	49,159	487.0	1,091,400	432,600	1,524,000
CORRL LT (Gr. 19)	238.0	234.0	56,600	54,462	141.0	301,500	119,500	421,000
CORRL CAPT (Gr. 20B)	76.0	76.0	62,400	59,621	25.0	69,500	27,500	97,000
CORRL MAJOR (Gr. 21)	10.0	10.0	67,800	66,407	6.0	8,400	3,300	11,700
CORRL ADMR 1 (Gr. 21)	31.0	28.0	64,700	62,580	16.0	33,900	13,400	47,300
CORRL ADMR 2 (Gr. 22)	18.0	15.0	67,000	-	-	-	-	-
CORRL ADMR 3 (Gr. 23)	44.0	43.0	69,300	67,575	11.0	19,000	7,500	26,500
CORRL ADMR 4 (Gr. 24)	13.0	11.0	81,700	78,650	6.0	18,300	7,300	25,600
CORRL ADMR 5 (Gr. 25)	10.0	9.0	93,300	91,079	5.0	11,100	4,400	15,500
CO 3 (Gr. 18)	441.0	431.0	48,500	46,701	258.0	464,100	184,000	648,100
CO 4 (Gr. 20A)	106.0	104.0	57,800	55,183	94.0	246,000	97,500	343,500
CCO (Gr. 18B)	95.0	93.0	48,500	46,421	64.0	133,000	52,700	185,700
CMTY CORRS UNIT SPV (Gr. 19)	26.0	25.0	56,600	54,111	23.0	57,200	22,700	79,900
CMTY CORRS GROUP SPV (Gr. 20B)	12.0	11.0	62,400	59,532	11.0	31,600	12,500	44,100
CMTY CORRS MGR (Gr. 23)	4.0	4.0	78,800	75,405	2.0	6,800	2,700	9,500
SUBTOTAL	8,260.0	6,976.0			1,149	2,491,800	987,600	3,479,400

(3) EXTEND EDUCATION STIPEND TO COs	Average Stipend	FTE	PS Increase	Marginal ERE Increase	Total Increase
FY 2021 REQUEST	\$ 1,500	700.0	1,050,000	123,100	1,173,100
			4,711,200	1,574,200	6,285,400

Note: Marginal ERE DOES NOT include Health, Dental, and Life (HDL) insurance costs

Marginal DOC CORP ERE includes FICA (6.20%); Medicare (1.45%); CORP (27.51%); Disability (0.27%); Unemployment (0.10%); Technology (0.43%); HR Pro Rata (0.86%); RASL (0.40%) and Workers' Comp (2.42%).

ARIZONA DEPARTMENT OF CORRECTIONS
SECURITY SUPERVISORS & PROGRAM STAFF

	SECURITY OFFICERS			SECURITY SUPERVISORS										PROGRAM		COMMUNITY CORRECTIONS				TOTAL
	CORRL OFFCR TRNE	CORRL OFFCR 2 (no stipends)	CORRL OFFCR 2 (with stipends)	CORRL SGT (Gr. 18C)	CORRL LT (Gr. 19)	CORRL CAPT (Gr. 20B)	CORRL MAJOR (Gr. 21)	CORRL ADMR 1 (Gr. 21)	CORRL ADMR 2 (Gr. 22)	CORRL ADMR 3 (Gr. 23)	CORRL ADMR 4 (Gr. 24)	CORRL ADMR 5 (Gr. 25)	CO 3 (Gr. 18)	CO 4 (Gr. 20A)	CCO (Gr. 18B)	CMTY CORRSPV UNIT SPV (Gr. 19)	CMTY CORRSPV GROUP SPV (Gr. 20B)	CMTY CORRSPV MGR (Gr. 23)		
Total FTE	176.0	6,479.0	same	657.0	238.0	76.0	10.0	31.0	18.0	44.0	13.0	10.0	441.0	106.0	95.0	26.0	12.0	4.0	8,436.0	
Total Filled FTE	89.0	5,253.0	same	629.0	234.0	76.0	10.0	28.0	15.0	43.0	11.0	9.0	431.0	104.0	93.0	25.0	11.0	4.0	7,065.0	
Established Salary Floor (4-26-2014)	\$ 30,000	\$ 31,886	\$ 31,886	\$ 44,500	\$ 49,000	\$ 54,000	N/A	N/A	N/A	N/A	N/A	N/A	\$ 42,000	\$ 50,000	\$ 42,000	\$ 49,000	\$ 54,000	N/A		
Revised Salary Floor (7-1-2019)	\$ 32,999	\$ 35,074	\$ 35,074	\$ 48,950	\$ 53,900	\$ 59,400	N/A	\$ 61,600	\$ 63,800	\$ 66,000	N/A	N/A	\$ 46,200	\$ 55,000	\$ 46,200	\$ 53,900	\$ 59,400	N/A		
Minimum Incumbent Salary	\$ 32,999	\$ 35,074	\$ 35,074	\$ 48,950	\$ 53,899	\$ 59,401	\$ 63,800	\$ 61,599	\$ 67,101	\$ 66,000	\$ 77,000	\$ 90,199	\$ 46,200	\$ 54,999	\$ 46,201	\$ 53,899	\$ 59,401	\$ 73,811	\$ 32,999	
Average Incumbent Salary	\$ 32,999	\$ 40,632	\$ 42,411	\$ 50,073	\$ 56,408	\$ 62,410	\$ 67,776	\$ 64,814	\$ 70,675	\$ 73,296	\$ 81,729	\$ 93,255	\$ 48,441	\$ 55,760	\$ 47,737	\$ 54,528	\$ 59,532	\$ 78,820	\$ 43,553	
Maximum Incumbent Salary	\$ 32,999	\$ 56,776	\$ 60,932	\$ 58,978	\$ 70,314	\$ 76,363	\$ 72,600	\$ 70,801	\$ 77,000	\$ 90,199	\$ 90,027	\$ 99,000	\$ 56,757	\$ 64,956	\$ 57,456	\$ 61,618	\$ 60,842	\$ 84,167	\$ 99,000	
# Staff Earning More Than Floor of Job Class Above	N/A	8.0	516.0	51.0	20.0	7.0	N/A	N/A	N/A	N/A	N/A	N/A	4.0	N/A	2.0	1.0	0.0	N/A		
# Staff Earning More Than Avg. of Job Class Above	N/A	8.0	443.0	8.0	3.0	1.0	N/A	N/A	N/A	N/A	N/A	N/A	4.0	N/A	2.0	1.0	0.0	N/A		
\$30,000 - \$32,500																			0.0	
\$32,500 - \$35,000	89.0																		89.0	
\$35,000 - \$37,500		806.0	495.0																495.0	
\$37,500 - \$40,000		1,488.0	1,130.0																1,130.0	
\$40,000 - \$42,500		788.0	915.0																915.0	
\$42,500 - \$45,000		2,156.0	1,669.0																1,669.0	
\$45,000 - \$47,500		2.0	311.0																461.0	
\$47,500 - \$50,000		5.0	289.0	399.0									138.0			12.0			891.0	
\$50,000 - \$52,500		2.0	433.0	137.0									90.0			18.0			678.0	
\$52,500 - \$55,000		1.0	1.0	67.0	82.0								42.0	43.0	3.0	8.0			246.0	
\$55,000 - \$57,500		5.0	5.0	20.0	49.0								13.0	43.0	2.0	12.0			144.0	
\$57,500 - \$60,000		4.0	4.0	5.0	80.0	17.0							3.0	7.0		4.0	5.0		125.0	
\$60,000 - \$62,500		1.0	1.0	1.0	16.0	7.0								9.0		1.0	4.0		48.0	
\$62,500 - \$65,000					6.0	39.0	1.0	7.0						2.0			2.0		57.0	
\$65,000 - \$67,500						10.0	3.0	7.0	2.0	5.0									27.0	
\$67,500 - \$70,000						2.0	4.0	3.0	3.0	8.0									20.0	
\$70,000 - \$72,500					1.0	2.0	1.0	2.0	6.0	8.0									18.0	
\$72,500 - \$75,000						1.0	1.0	1.0	3.0	7.0									12.0	
\$75,000 - \$77,500									1.0	5.0	1.0								9.0	
\$77,500 - \$80,000										7.0	4.0								11.0	
\$80,000 - \$82,500										2.0	2.0								5.0	
\$82,500 - \$85,000																			2.0	
\$85,000 - \$87,500																			2.0	
\$87,500 - \$90,000																			2.0	
\$90,000 - \$92,500																			5.0	
\$92,500 - \$95,000																			2.0	
\$95,000 - \$97,500																			1.0	
\$97,500 - \$100,000																			1.0	
\$100,000+																			0.0	
	89.0	5,253.0	5,253.0	629.0	234.0	76.0	10.0	28.0	15.0	43.0	11.0	9.0	431.0	104.0	93.0	25.0	11.0	4.0	7,065.0	

Outlier salaries on the high end. Not advisable to design pay scales around these positions. Compression with promotional position.

Average salary falls within this range.

¹ The 289.0 COIs in this group are primarily made up of long-term officers at Lewis, Florence, Eyman and Winslow that receive a 10% or 15% stipend.

² The 433.0 COIs in this group are primarily made up of long-term officers at Lewis, Florence, Eyman and Winslow that receive a 10% or 15% stipend and, in some cases, a \$100 per pay bonus. These stipends have not been available since FY 2008.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE FOOD CONTRACT – CPI, MINIMUM WAGE INCREASE

PRIORITY NUMBER: 7

1. Description of problem or issue:

ADC has successfully contracted food service for over 20 years. The current contract began January 16, 2013 and was awarded to Trinity Services Group, Inc. In FY 2020, the ADC is projecting that 31,600,000 meals will be served at a total cost of \$40,544,600.

The contract allows for the contractor to submit requests for price increases at least 365 days prior to the fiscal year the change takes effect. ADC evaluates the requests by reviewing the contractor’s justification and the average consumer price index (CPI) as published by the United States Department of Labor (DOL), Bureau of Labor Statistics (BLS) for food in Phoenix-Mesa, Arizona. In the 1st half of calendar year 2019 the CPI measures ranged from 2.6% to 2.9% on an annualized basis.

On November 8, 2016, Arizona voters approved Proposition 206, referred to as the Fair Wages and Healthy Families Act (the “Act”). Proposition 206 raised the new minimum wage as shown below and requires employers to provide earned paid sick time benefits to all Arizona employees effective July 1, 2017.

Effective Date	Minimum Wage
Before January 1, 2017	\$8.05
January 1, 2017	\$10.00
January 1, 2018	\$10.50
January 1, 2019	\$11.00
January 1, 2020	\$12.00

The cost to provide meals to the inmate population increases annually. Recent minimum wage increases, including an increase moving the minimum wage from \$11.00 to \$12.00 effective January 1, 2020, place additional pressure on food costs in FY 2021. Although the contract provides a process that allows contract increases, ADC has not been appropriated sufficient funding to approve them.

2. Proposed solution to the problem or issue:

FTE: 0.0 AMOUNT: 1,054,200

ADC requests \$1,054,200 to fund contractual food price increases resulting from increasing prices and the implementation of Proposition 206. The requested 2.6% increase is based on the lower end of the range for average CPI as published by the United States DOL, BLS for food in Phoenix-Mesa, Arizona.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE FOOD CONTRACT – CPI, MINIMUM WAGE INCREASE

PRIORITY NUMBER: 7

3. Performance Measures to quantify the success of the solution:

This is a technical issue that does not impact performance measures.

4. Alternatives considered and reasons for rejection:

One alternative is to deny the current request. However, major contracted services (food, private prisons, and health care) make up approximately 33% of ADC's appropriated budget and need to be considered in the annual budget development process. Contracting for critical services does not relieve the State from the responsibility of funding increasing costs. If requests for increases are denied or deferred into the future, service levels cannot be maintained indefinitely.

ADC will be unable to provide essential services to the inmate population at the expected and required level of quality.

5. Impact of not funding this fiscal year:

Cost increases for operating prisons will continue to be absorbed by private contractors and/or ADC. If requests for increases continue to be denied or deferred into the future, service levels will ultimately be reduced.

6. Statutory reference:

Not applicable

7. Equipment:

Not Applicable

8. Classification of new positions:

Not Applicable

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE FOOD CONTRACT – CPI, MINIMUM WAGE INCREASE

PRIORITY NUMBER: 7

9. Annualization:

Not Applicable

**ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2021 BUDGET REQUEST
 DECISION PACKAGE**

ISSUE: INMATE FOOD CONTRACT – CPI, MINIMUM WAGE INCREASE

PRIORITY NUMBER: 7

FTE _____	<u>0.0</u>
PERSONAL SERVICES _____	
EMPLOYEE RELATED EXPENSES _____	
PROFESSIONAL & OUTSIDE SERVICES _____	
TRAVEL IN-STATE _____	
TRAVEL OUT OF STATE _____	
OTHER OPERATING EXPENSES _____	
FOOD _____	1,054,200
AID/DISCHARGE _____	
CAPITAL/NON CAPITAL EQUIPMENT _____	
TOTAL OPERATING LUMP SUM _____	<u>1,054,200</u>
PRIVATE PRISON PER DIEM SLI _____	
INMATE HEALTH CARE CONTRACTED SERVICES _____	
COMMUNITY CORRECTIONS SLI _____	
TOTAL FUNDING ISSUE _____	<u>1,054,200</u>

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE FOOD CONTRACT – CPI, MINIMUM WAGE INCREASE

PRIORITY NUMBER: 7

Consumer Price Index (CPI) History

ADC utilizes the average CPI as published by the United States DOL, BLS for food in Phoenix-Mesa, Arizona as a tool in evaluating the requests. The inmate food contract does not specify a specific CPI for evaluation. ADC references two of the published CPIs: (1) the more general measure of overall food and (2) the more specific measure of food away from home. In the 1st half of calendar year 2019 the CPI measures ranged from 2.6% to 2.9% on an annualized basis.

CPI-All Urban Consumers (Current Series)

Original Data Value

Not Seasonally Adjusted

Series Title: All urban consumers
Area: Phoenix-Mesa-Scottsdale, AZ
Base Period: DECEMBER 2001=100
Years: 2008 to 2019

Year	Food - Overall			Food - Away From Home		
	Annual	HALF1	HALF2	Annual	HALF1	HALF2
2008						
2009	0.4%	2.3%	-1.6%	1.7%	2.5%	0.9%
2010	0.0%	-0.6%	0.5%	0.2%	-0.3%	0.7%
2011	2.9%	2.6%	3.3%	2.3%	2.2%	2.3%
2012	1.7%	1.9%	1.6%	3.8%	4.0%	3.7%
2013	2.2%	2.6%	1.8%	2.5%	3.1%	1.9%
2014	2.4%	1.1%	3.7%	1.2%	0.6%	1.8%
2015	2.2%	3.0%	1.4%	3.0%	2.7%	3.3%
2016	1.5%	2.2%	0.7%	4.4%	4.6%	4.2%
2017	0.4%	0.3%	0.5%	3.9%	4.3%	3.4%
2018	0.8%	0.4%	1.1%	2.6%	2.3%	2.9%
2019		2.6%			2.9%	

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: INMATE FOOD CONTRACT – CPI, MINIMUM WAGE INCREASE

PRIORITY NUMBER: 7

Contracted Meal Price History

The Table below includes the meal price history, comparable CPI calculations published by the United States DOL, BLS, and minimum wage increases approved by Arizona voters on November 8, 2016.

Event	Effective Dates	Approved Meal Price	% Change	CPI for Time Period	Minimum Wage Increase
Contract Award	1/16/2013	\$1.2420			No
1.2% CPI Increase	1/16/2015 - 12/31/2016	\$1.2570	1.2%	2015: 2.2% to 3.0%; 2016: 1.5% to 4.4%	No
Meal changes associated with Parsons v. Ryan	1/16/2015 - 12/31/2016	\$1.2491	-0.6%	Policy Change	No
1.0% CPI Increase & Minimum Wage Increase	1/1/2017 - 6/30/2018	\$1.2616	1.0%	2017: 0.4% to 3.9%	Yes: \$8.05 to \$10.50
1.0% CPI Increase & Minimum Wage Increase	7/1/2018 - Current	\$1.2742	1.0%	2018: 0.8% to 2.6%	Yes: \$10.50 to \$11.00
REQUESTED INCREASE					
2.6% CPI Increase & Minimum Wage Increase	Effective 7/1/2020	\$1.3073	2.6%	2019: 2.6% to 2.9%	Yes: \$11.00 to \$12.00

**ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2021 BUDGET REQUEST
 DECISION PACKAGE**

ISSUE: INMATE FOOD CONTRACT – CPI, MINIMUM WAGE INCREASE

PRIORITY NUMBER: 7

FOOD

1,054,200

ADC requests funding to approve a 2.6% CPI increase for the contracted meal price. The requested 2.6% increase is based on the lower end of the range for average CPI as published by the United States DOL, BLS for food in Phoenix-Mesa, Arizona. In the 1st half of calendar year 2019 the CPI measures ranged from 2.6% to 2.9% on an annualized basis. ADC also factored in the minimum wage increase for calendar year 2020.

Private Prisons	Annualized Projection
FY 2020 Food Allocation	40,544,600
2019 1 st Half CPI	2.6%
FY 2021 Request	1,054,200

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: PRIVATE PRISON RATE INCREASE

PRIORITY NUMBER: 8

1. Description of problem or issue:

A.R.S. 41-1609.01 gives ADC the authority to award annual contract price or cost adjustments on the condition that they be made only once each year effective on the anniversary date of the contract. Rate increases are not to exceed the percent change in the average consumer price index (CPI) as published by the United States Department of Labor, Bureau of Labor Statistics (BLS). Any other cost adjustments may be made only if the Legislature specifically authorizes the adjustments and appropriates monies for that purpose.

The FY 2020 Budget included an increase of \$5,083,500 to the Private Prison Per Diem SLI for a correctional officer salary increase of 10%. The correctional officer salary increase equated to a 3.5% per diem increase on rated private prison beds. Despite the FY 2020 increase for the 10% correctional officer salary increase, per diem rates for contracted, rated beds are not sufficient to absorb the increased costs private prisons are experiencing. During June/July 2019, contract partners from each private prison requested FY 2021 per diem rate increases due to increasing operating costs. Cost increases reported by contract partners focused on health care (staffing, pharmacy, Hepatitis C, outpatient care, etc.), wage pressures, food costs, as well as building related expenditures (property taxes, utilities, repair and maintenance, etc.).

The CPI for calendar year 2018 indicates that prices increased by an average of 2.4% during 2018 and a total of 7.6% over the last 5 year period (CY 2014 – CY 2018). Further, over the last 10 year period (CY 2009 – CY 2018) the BLS data shows prices have increased 15.6%.

The cost to operate prisons continues to increase annually; however, rate adjustments for contracted private prisons have not been sufficient to compensate contract partners for the increases. Although ADC has the authority to award contract increases, it has not been appropriated sufficient funding to do so.

2. Proposed solution to the problem or issue:

FTE: 0.0 AMOUNT: 2,768,700

ADC requests \$2,768,700 to provide a 1.8% CPI increase to the per diem rates of private prison contracts. The requested funding would apply to both rated and temporary beds. The requested 1.8% is lower than the published CPI for CY 2018 of 2.4%.

3. Performance Measures to quantify the success of the solution:

Performance Measure	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
Private prison compliance audit	96.7%	97.0%	97.5%

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: PRIVATE PRISON RATE INCREASE

PRIORITY NUMBER: 8

4. Alternatives considered and reasons for rejection:

One alternative is to deny the current request. However, major contracted services (food, private prisons, and health care) make up approximately 33% of ADC's appropriated budget and need to be considered in the annual budget development process. Contracting for critical services does not relieve the State from the responsibility of funding increasing costs. If requests for increases are denied or deferred into the future, service levels cannot be maintained indefinitely.

ADC will be unable to provide essential services to the inmate population at the expected and required level of quality. Ultimately, when existing resources are stretched beyond their capacity it contributes to unsafe working conditions for correctional staff and unsafe living conditions for inmates.

5. Impact of not funding this fiscal year:

Cost increases for operating prisons will continue to be absorbed by private contractors and/or ADC. If requests for increases continue to be denied or deferred into the future, service levels will ultimately be reduced.

6. Statutory reference:

A.R.S. §41-1609.01 D and E – Annual contract price or cost adjustments

7. Equipment:

Not applicable

8. Classification of new positions:

Not applicable

9. Annualization:

Not applicable

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: PRIVATE PRISON RATE INCREASE

PRIORITY NUMBER: 8

FTE	<u>0.0</u>
PERSONAL SERVICES	
EMPLOYEE RELATED EXPENSES	
PROFESSIONAL & OUTSIDE SERVICES	
TRAVEL IN-STATE	
TRAVEL OUT OF STATE	
OTHER OPERATING EXPENSES	
FOOD	
AID/DISCHARGE	
CAPITAL/NON CAPITAL EQUIPMENT	
TOTAL OPERATING LUMP SUM	<u>0</u>
PRIVATE PRISON PER DIEM SLI	2,768,700
INMATE HEALTH CARE CONTRACTED SERVICES	
COMMUNITY CORRECTIONS SLI	
TOTAL FUNDING ISSUE	<u>2,768,700</u>

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: PRIVATE PRISON RATE INCREASE

PRIORITY NUMBER: 8

FY 2009 – FY 2020 Per Diem Rate History

Private prisons are experiencing cost increases in areas including health care staffing and pharmacy costs. The per diem rates for contracted, rated beds have not been adjusted to reimburse contractors for increased costs. From FY 2009 to FY 2020 the rated bed per diem rates have increased 3.5% while rates of temporary beds have declined significantly.

Private Prisons	FY 2020 Contracted Beds	Per Diem Rate in FY 2009	Per Diem Rate in FY 2019	Per Diem Rate in FY 2020	% Change FY 2009 to FY 2019	% Change FY 2019 to FY 2020
CACF	1,000	\$ 67.22	\$ 67.22	\$ 69.57	0.0%	3.5%
CACF Temporary Beds	280	\$ 31.50	\$ 10.00	\$ 10.00	-68.3%	0.0%
Florence West - RTC	200	\$ 44.98	\$ 44.98	\$ 46.55	0.0%	3.5%
Florence West	50	\$ 15.00	\$ 10.00	\$ 10.00	-33.3%	0.0%
Florence West - DWI	400	\$ 55.79	\$ 55.79	\$ 57.74	0.0%	3.5%
Florence West Temporary	100	\$ 15.00	\$ 10.00	\$ 10.00	-33.3%	0.0%
Phoenix West - DWI	400	\$ 49.28	\$ 49.28	\$ 51.00	0.0%	3.5%
Phoenix West - Temporary Beds	100	\$ 15.00	\$ 10.00	\$ 10.00	-33.3%	0.0%
Kingman - 0%-97%	3,298	\$ 57.15	\$ 40.37	\$ 41.78	-29.4%	3.5%
Kingman - 97%-100%	102	N/A	\$ 22.00	\$ 22.00	N/A	0.0%
Kingman - Temp.	108	\$ 14.86	\$ 15.00	\$ 15.00	0.9%	0.0%
Marana - 500 beds (95% and below)	475	\$ 49.03	\$ 47.44	\$ 49.10	-3.2%	3.5%
Marana - 500 beds (above 95%)	25	N/A	\$ 22.00	\$ 22.00	N/A	0.0%
Red Rock	2,000	N/A	\$ 65.89	\$ 68.20	N/A	3.5%

¹ ADC exercised the purchase option and refinanced the debt owed on the Kingman facility. The contract was revised to prison operations and management only with a corresponding decrease to the per diem rate.

² ADC exercised the purchase option and awarded an operation and management contract to MTC effective October 7, 2013. MTC has the ability to cancel the contract with a 365 day cancellation notice if a price increase is denied in two years of the contract term.

**ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2021 BUDGET REQUEST
 DECISION PACKAGE**

ISSUE: PRIVATE PRISON RATE INCREASE

PRIORITY NUMBER: 8

PRIVATE PRISON PER DIEM SLI

2,768,700

ADC requests funding to authorize a 1.8% rate adjustment for per diem rates of all private prison contracts. The requested funding would apply to both rated and temporary beds. The cost of the 1.8% increase is \$2,768,700. The request is lower than the published CPI for CY 2018 of 2.4%. The following page provides the detailed calculation by location.

<u>Private Prisons</u>	<u>Annualized Projection</u>
FY 2020 Private Prison SLI	171,493,300
ASP-Kingman COP	(17,466,700)
Unallocated Resources	<u>(125,400)</u>
FY 2021 Rate Adjustment Base	<u>153,901,200</u>
Per Diem Rate Adjustment %	<u>1.8%</u>
FY 2021 Request	<u>2,768,700</u>

ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
ISSUE: PRIVATE PRISON RATE INCREASE

Private Prisons	FY 2020 Private Prison SLI				Requested CPI Adjustment		
	Contracted Beds	Per Diem Rate	Bed Days Per Year	Annualized Projection	1.8% CPI Rate Adj.	Revised Rate	2.4% Total Increase
CACF	1,000	\$ 69.57	365	25,393,100	\$ 1.25	\$ 70.82	456,300
CACF Temporary Beds	280	\$ 10.00	365	1,022,000	\$ 0.18	\$ 10.18	18,400
Total CACF	1,280	\$ 56.54	365	26,415,100		\$ 57.56	474,700
Florence West - RTC	200	\$ 46.55	365	3,398,200	\$ 0.84	\$ 47.39	61,300
Florence West	50	\$ 10.00	365	182,500	\$ 0.18	\$ 10.18	3,300
Total Florence West - RTC	250	\$ 39.24	365	3,580,700		\$ 39.95	64,600
Florence West - DWI	400	\$ 57.74	365	8,430,000	\$ 1.04	\$ 58.78	151,800
Florence West Temporary	100	\$ 10.00	365	365,000	\$ 0.18	\$ 10.18	6,600
Total Florence West - DWI	500	\$ 48.19	365	8,795,000		\$ 49.06	158,400
Phoenix West - DWI	400	\$ 51.00	365	7,446,000	\$ 0.92	\$ 51.92	134,300
Phoenix West - Temporary Beds	100	\$ 10.00	365	365,000	\$ 0.18	\$ 10.18	6,600
Total Phoenix West - DWI	500	\$ 42.80	365	7,811,000		\$ 43.57	140,900
Kingman - 0%-97% (Funded)	3,298	\$ 41.78	365	50,293,500	\$ 0.75	\$ 42.53	902,800
Kingman - 97%-100%	0	\$ 22.00	365	-	\$ 0.40	\$ 22.40	- ¹
Kingman - Temp.	0	\$ 15.00	365	-	\$ 0.27	\$ 15.27	- ¹
Total Kingman	3,298	\$ 41.78	365	50,293,500		\$ 42.53	902,800
Marana - 500 beds (95% and below)	475	\$ 49.10	365	8,512,700	\$ 0.88	\$ 49.98	152,600
Marana - 500 beds (above 95%)	25	\$ 22.00	365	200,800	\$ 0.40	\$ 22.40	3,700
Total Marana	500	\$ 47.75	365	8,713,500		\$ 48.60	156,300
Red Rock (Funded)	1,940	\$ 68.20	365	48,292,400	\$ 1.23	\$ 69.43	871,000
Red Rock	0	\$ 68.20	365	-	\$ 1.23	\$ 69.43	- ²
Red Rock	0	\$ 68.20	365	-	\$ 1.23	\$ 69.43	- ³
Total Red Rock	1,940	\$ 68.20	365	48,292,400		\$ 69.43	871,000
ASP-Kingman COP				17,466,700			
Unallocated Resources				125,400			
Total Private Prison	8,268	\$ 51.00	365	171,493,300		\$ 51.91	2,768,700

¹ Due to a FY 2019 budget reduction 102 (of 3,400) rated beds and 108 temporary beds at ASP-Kingman are not funded in FY 2020.

² Due to a FY 2019 budget reduction, 60 (of 2,000) rated beds at ASP-Red Rock are not funded in FY 2020.

³ Laws 2012, Chapter 302 and Laws 2015, Chapter 17 authorized up to 2,000 beds. The contract award was issued to CoreCivic at an existing facility with 2,024 beds. ADC is requesting authorization and funding for these beds.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: COMMUNITY CORRECTIONS SLI ADJUSTMENT

PRIORITY NUMBER: 9

1. Description of problem or issue:

The FY 2019 budget established the Community Corrections Special Line Item Appropriation (CC SLI). Certain personnel and operating costs such as CORP contribution increases, and the Community Corrections share of expenditures for rent, risk, fuel, and telephones were not fully accounted for in the initial appropriation. Because the initial appropriation was insufficient to fully reflect Community Corrections expenditures, an appropriation transfer was needed in FY 2019 to realign the appropriation to the expenditures.

Since no permanent realignment was approved for FY 2020, another appropriation transfer will be required. In FY 2021, the appropriation amount of \$20,747,400 will be insufficient to fully reflect Community Corrections expenditures.

2. Proposed solution to the problem or issue:

FTE: 0.0 AMOUNT: 0

In order to minimize appropriation transfer requests in future years, the ADC requests the reallocation of \$1,355,000 from the Lump Sum Appropriation to the Community Corrections SLI. The request is to permanently adjust the appropriations as follows:

	<u>FY 2020 Appropriation</u>	<u>Estimated FY 2021 ¹</u>	<u>FY 2021 Request</u>
Lump Sum Appropriation	833,439,500	832,084,500	(1,355,000)
CC SLI	20,747,400	22,102,400	1,355,000
	<u>854,186,900</u>	<u>854,186,900</u>	<u>-</u>

¹ Estimate does not include impact of other funding issues.

3. Performance Measures to quantify the success of the solution:

This is a technical issue that does not impact performance measures.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: COMMUNITY CORRECTIONS SLI ADJUSTMENT

PRIORITY NUMBER: 9

4. Alternatives considered and reasons for rejection:

Maintain the CC SLI appropriation at its current level. This option is rejected. The current appropriation is insufficient to support the expenditures which will require annual appropriation transfers.

5. Impact of not funding this fiscal year:

Expenditures reported in the incorrect appropriation may cause reporting issues. Efficiency is impacted by having to process multiple transactions to make corrections.

6. Statutory reference:

Laws 2019, First Regular Session, Chapter 263 (SB 2747), Section 24

7. Equipment:

Not applicable

8. Classification of new positions:

Not applicable

9. Annualization:

Not applicable

**ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2021 BUDGET REQUEST
 DECISION PACKAGE**

ISSUE: COMMUNITY CORRECTIONS SLI ADJUSTMENT

PRIORITY NUMBER: 9

FTE _____	0.0
PERSONAL SERVICES _____	-48,600
EMPLOYEE RELATED EXPENSES _____	-442,200
PROFESSIONAL & OUTSIDE SERVICES _____	13,800
TRAVEL IN-STATE _____	
TRAVEL OUT OF STATE _____	
OTHER OPERATING EXPENSES _____	-878,000
FOOD _____	
AID/DISCHARGE _____	
CAPITAL/NON CAPITAL EQUIPMENT _____	
TOTAL OPERATING LUMP SUM _____	-1,355,000
PRIVATE PRISON PER DIEM SLI _____	
INMATE HEALTH CARE CONTRACTED SERVICES _____	
COMMUNITY CORRECTIONS SLI _____	1,355,000
TOTAL FUNDING ISSUE _____	0

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: PRISON CONSTRUCTION & OPERATIONS FUND (2504) BACKFILL

PRIORITY NUMBER: 10

1. Description of problem or issue:

In FY 2018, the appropriation from the Prison Construction & Operations Fund (2504) was reduced by \$1,186,300 to align revenues with the fund's appropriation. Despite this action a structural imbalance remains due to persistent revenue declines. Revenues have declined consecutively over the past six years. FY 2013 revenue was \$13,948,000, and FY 2019 revenue was \$10,348,656 a 25.81% decline.

ADC estimates annual revenue of \$10,400,000 which does not sufficiently fund the appropriation of \$12,500,000 from the Prison Construction & Operations Fund (2504). The appropriation is allocated to inmate food (\$2,500,000) and inmate health care (\$10,000,000). Assuming revenue stabilizes, the structural deficit is projected to result in a \$912,700 shortfall in FY 2021. If no action is taken, the shortfall will grow to \$3,012,700 by FY 2022.

2. Proposed solution to the problem or issue:

FTE: 0 AMOUNT: 0

ADC requests reallocation of \$2,100,000 from the Prison Construction & Operations Fund (2504) to the General Fund to ensure a stable funding source for critical inmate food and health care expenditures.

3. Performance Measures to quantify the success of the solution:

This is a technical issue that does not impact performance measures.

4. Alternatives considered and reasons for rejection:

Maintain the appropriation from the Prison Construction & Operations Fund (2504) at its current level. This option is rejected. The current fund balance and projected revenues are insufficient to support the appropriation. Reallocating \$2,100,000 from the Prison Construction and Operations Fund (2504) to the General Fund will ensure a stable funding source and allow ADC to fully expend its appropriation for its intended purpose.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: PRISON CONSTRUCTION & OPERATIONS FUND (2504) BACKFILL

PRIORITY NUMBER: 10

5. Impact of not funding this fiscal year:

Not funding this request would result in an appropriation that exceeds projected revenues in the Prison Construction & Operations Fund (2504) by \$2,100,000. The imbalance between the appropriation and expenditures is projected to result in a \$912,700 shortfall in FY 2021. If no action is taken, the shortfall will grow to \$3,012,700 by FY 2022. Refer to Tables 1-2 for additional information.

6. Statutory reference:

Laws 2019, 1st Regular Session, Chapter 263 (HB 2747), Section 24

7. Equipment:

Not applicable

8. Classification of new positions:

Not applicable

9. Annualization:

Not applicable

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: PRISON CONSTRUCTION & OPERATIONS FUND (2504) BACKFILL

PRIORITY NUMBER: 10

FTE _____	<u><u>0</u></u>
PERSONAL SERVICES _____	
EMPLOYEE RELATED EXPENSES _____	
PROFESSIONAL & OUTSIDE SERVICES _____	
TRAVEL IN-STATE _____	
TRAVEL OUT OF STATE _____	
OTHER OPERATING EXPENSES _____	
FOOD _____	
AID/DISCHARGE _____	
CAPITAL/NON CAPITAL EQUIPMENT _____	
TOTAL OPERATING LUMP SUM _____	<u><u>0</u></u>
PRIVATE PRISON PER DIEM SLI _____	
INMATE HEALTH CARE CONTRACTED SERVICES _____	
COMMUNITY CORRECTIONS SLI _____	
TOTAL FUNDING ISSUE _____	<u><u>0</u></u>

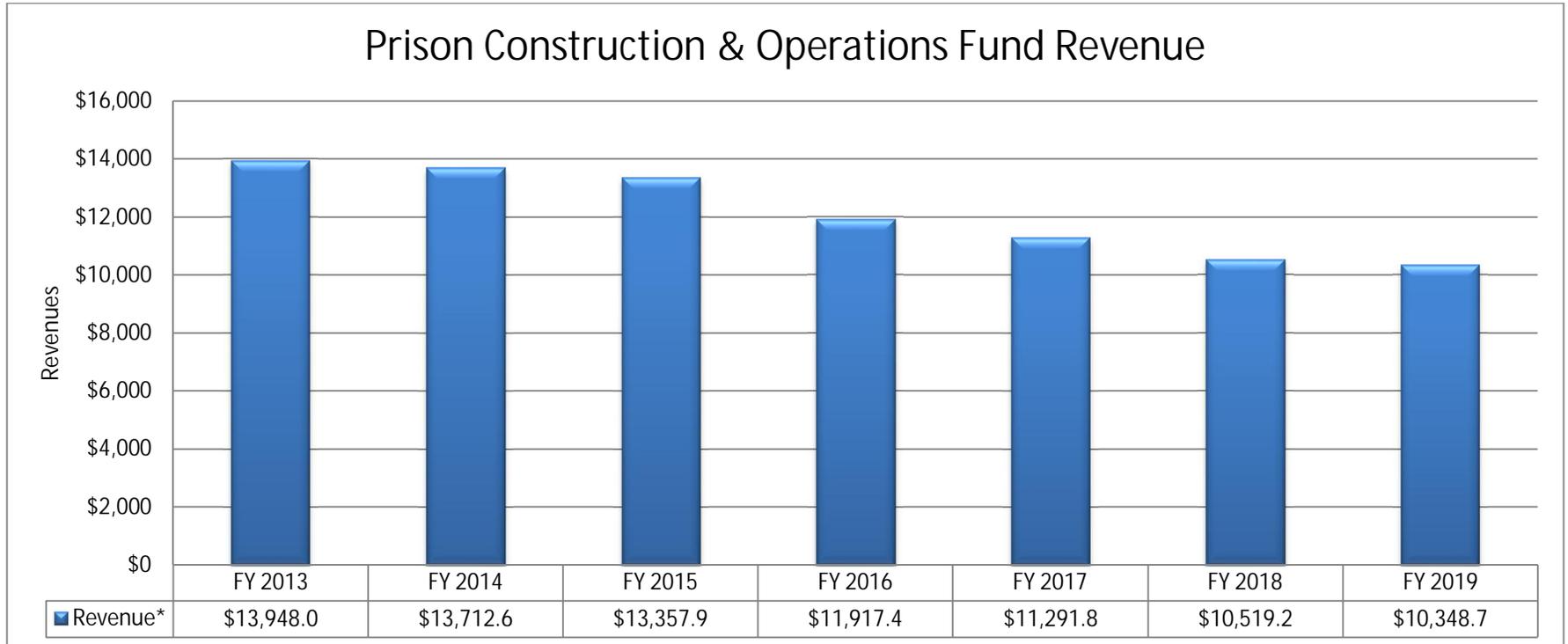
**ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2021 BUDGET REQUEST
 DECISION PACKAGE**

ISSUE: PRISON CONSTRUCTION & OPERATIONS FUND (2504) BACKFILL

PRIORITY NUMBER: 10

Prison Construction & Operations Fund Revenues Are Declining

Revenues have declined consecutively over the past six years in the Prison Construction & Operations Fund (2504). FY 2013 revenue was \$13,948,000 and FY 2019 revenue was \$10,348,700, a 25.81% decline.



*Revenues shown in thousands.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: PRISON CONSTRUCTION & OPERATIONS FUND (2504) BACKFILL

PRIORITY NUMBER: 10

Impact if Decision Package is NOT Approved

Table 1 is a projected sources and uses that demonstrates the impact on the Prison Construction & Operations Fund (2504) if the decision package is not approved. Not funding this request would result in an appropriation that exceeds projected revenues in the Prison Construction & Operations Fund (2504) by \$2,100,000. The imbalance between the appropriation and expenditures is projected to result in a \$912,700 shortfall in FY 2021. If no action is taken, the shortfall will grow to \$3,012,700 by FY 2022.

Table 1: Prison Construction & Operations Fund (2504)

IMPACT IF DECISION PACKAGE IS NOT APPROVED				
	Numbers Shown In Thousands			
	Actual FY 2019	Estimated FY 2020	Estimated FY 2021	Estimated FY 2022
Beginning Balance	5,973.3	3,287.3	1,187.3	(912.7)
Revenue	10,348.7	10,400.0	10,400.0	10,400.0
Total Source of Funds	16,321.9	13,687.3	11,587.3	9,487.3
Use of Funds:				
Current Appropriation Level	10,000.0	12,500.0	12,500.0	12,500.0
Administrative Adjustments	3,034.7	-	-	-
Total Uses of Funds	13,034.7	12,500.0	12,500.0	12,500.0
Ending Balance	3,287.3	1,187.3	(912.7)	(3,012.7)

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: PRISON CONSTRUCTION & OPERATIONS FUND (2504) BACKFILL

PRIORITY NUMBER: 10

Impact if Decision Package IS Approved

Table 2 is a projected sources and uses that demonstrates the impact on the Prison Construction & Operations Fund (2504) if the decision package is approved. The requested reallocation of \$2,100,000 from the Prison Construction & Operations Fund (2504) to the General Fund will ensure a stable funding source for inmate food and health care expenditures.

Table 2: Prison Construction & Operations Fund (2504)

IMPACT IF DECISION PACKAGE IS APPROVED				
	Numbers Shown In Thousands			
	Actual FY 2019	Estimated FY 2020	Estimated FY 2021	Estimated FY 2022
Beginning Balance	5,973.3	3,287.3	1,187.3	1,187.3
Revenue	10,348.7	10,400.0	10,400.0	10,400.0
Total Source of Funds	16,321.9	13,687.3	11,587.3	11,587.3
Use of Funds:				
Current Appropriation Level	10,000.0	12,500.0	12,500.0	12,500.0
Requested Appropriation Reduction	-	-	(2,100.0)	(2,100.0)
Administrative Adjustments	3,034.7	-	-	-
Total Uses of Funds	13,034.7	12,500.0	10,400.0	10,400.0
Ending Balance	3,287.3	1,187.3	1,187.3	1,187.3

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: CORP/ASRS ADJUSTMENT

PRIORITY NUMBER: 11

1. Description of problem or issue:

The Corrections Officer Retirement Plan (CORP) contribution rate may change every year based upon an actuarial valuation. The Plan consists of three tiers, Tier I & II (defined benefit plans), and Tier III (defined contribution plan). Per statute, the employee contribution rate for Tier I & Tier II is capped at the current 8.41%; therefore, any future increases to the rate impact only the employer contribution. In FY 2020, the rate decreased by 0.87% from 28.65% to 27.78%; this was due primarily to the changes brought about by Laws 2017, First Regular Session, Chapter 163 (S.B. 1442).

Laws 2017, Chapter 163 replaced the permanent benefit increase (PBI) structure for current CORP members with an annual cost-of-living adjustment (COLA) capped at 2%; in November 2018 voters approved Proposition 125, which allowed this change to go into effect. In addition, as of July 1, 2018, new CORP members in the ADC are enrolled in the defined contribution plan (Tier III). In FY 2020, the State rate for Tier III is 25.80%, down 0.83% from the previous year. It is unknown what future fiscal impact these CORP pension plan changes will have as current employees are replaced by those covered under the new plan.

The Arizona State Retirement System (ASRS) rate increases, per statute, are divided equally between the employee and the employer contributions. In FY 2020, the ASRS rate grew from 11.80% to 12.11%. The overall contribution rate is higher due to increased liabilities and lower-than-expected investment returns.

The problem is that the contribution rates for CORP and ASRS for FY 2021 will not be known until late CY 2019. In the event that the rates increase, ADC does not have sufficient funding within its appropriation to assume the increase.

2. Proposed solution to the problem or issue:

FTE: 0.0 AMOUNT: PLACEHOLDER

ADC is not yet requesting retirement increase funding. However, if the rates increase, the ADC will require an increase in its appropriation in order to cover the rise. The actuarial valuation reports which will not be made public until late 2019, will determine the rates for FY 2021. For ADC, a relatively small percentage variation may translate into a substantial funding need. As an illustration, a 1% rate increase to the current employer contribution for CORP and ASRS is approximately \$3,567,700 and \$590,300 respectively. Once the actual FY 2021 retirement rates are published, these amounts will be revised accordingly.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: CORP/ASRS ADJUSTMENT

PRIORITY NUMBER: 11

3. Performance Measures to quantify the success of the solution:

This is a technical issue that does not impact performance measures.

4. Alternatives considered and reasons for rejection:

The ADC has pursued and implemented all efficiencies possible. There are no remaining alternatives.

5. Impact of not funding this fiscal year:

Employer contribution rate increases may not be avoided. If no additional funding is appropriated, monies to meet the increased expenditure would have to come from the existing appropriation which would impact critical operations.

6. Statutory reference:

A.R.S. 38-891. Employer and member contribution

A.R.S. 38-736. Member contributions

A.R.S. 38-848 J. 10, Board of trustees; powers and duties; independent trust fund; administrator; agents and employees

Laws 2017, First Regular Session, Chapter 163 (S.B. 1442)

Laws 2018, Second Regular Session, Chapter 42 (S.B. 1251)

7. Equipment:

Not applicable

8. Classification of new positions:

Not applicable

9. Annualization:

Not applicable

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: CORP/ASRS ADJUSTMENT

PRIORITY NUMBER: 11

FTE	<u><u>0.0</u></u>
PERSONAL SERVICES	
EMPLOYEE RELATED EXPENSES	
PROFESSIONAL & OUTSIDE SERVICES	
TRAVEL IN-STATE	
TRAVEL OUT-OF-STATE	
OTHER OPERATING EXPENSES	
FOOD	
AID/DISCHARGE	
CAPITAL/NON CAPITAL EQUIPMENT	
TOTAL OPERATING LUMP SUM	<u><u>0</u></u>
PRIVATE PRISON PER DIEM SLI	
INMATE HEALTH CARE CONTRACTED SERVICES	
COMMUNITY CORRECTIONS SLI	
TOTAL FUNDING ISSUE	<u><u>0</u></u>

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: CORP/ASRS ADJUSTMENT

PRIORITY NUMBER: 11

CORP rate adjustments:

From FY 2012 to FY 2019, the CORP employer contribution rate continually increased. In FY 2020 there was a slight decrease.

CORP Employer Contribution Rates - Tier I & II									
	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>
CORP rate	9.15%	11.14%	13.45%	14.47%	18.54%	18.85%	21.17%	28.38%	27.51%
Long Term Disability	0.36%	0.25%	0.25%	0.25%	0.25%	0.27%	0.27%	0.27%	0.27%
Overall rate	9.51%	11.39%	13.70%	14.72%	18.79%	19.12%	21.44%	28.65%	27.78%
Year-to-year change		1.88%	2.31%	1.02%	4.07%	0.33%	2.32%	7.21%	-0.87%

CORP Employer Contribution Rates - Tier III		
	<u>FY 2019</u>	<u>FY 2020</u>
Plan	5.00%	5.00%
Plan-Legacy	20.66%	19.83%
Long Term Disability	0.27%	0.27%
Disability	0.70%	0.70%
Overall rate	26.63%	25.80%
Year-to-year change		-0.83%

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: CORP/ASRS ADJUSTMENT

PRIORITY NUMBER: 11

A 1% increase is approximately \$3,567,700 (Tier I & II and Tier III). The actuarial valuation report that will determine the suggested rates for FY 2021 will not be made public until mid-November or December 2019. Once the actual FY 2021 retirement rates are published, this amount will be revised accordingly.

Tier I & II

Fund Title	Fund	FTE	Average Salary	Base Salary	FY 2020 Employer Contribution @ 27.78% ¹	FY 2021 Employer Contribution @ 28.78%	1% Total Annual Increase
General Fund	1000	6,175.0	44,806	276,675,000	76,860,300	79,627,100	2,766,800
State Education Fund	2107	3.0	70,440	211,300	58,700	60,800	2,100
Penitentiary Land Earnings Fund	3140	5.0	49,872	249,400	69,300	71,800	2,500
ARCOR Enterprise Revolving Fund	4002	32.0	38,992	1,247,800	346,600	359,100	12,500
Tier I & II Total		6,215.0	44,792	278,383,500	77,334,900	80,118,800	2,783,900

Tier III

Fund Title	Fund	FTE	Average Salary	Base Salary	FY 2020 Employer Contribution @ 25.80% ¹	FY 2021 Employer Contribution @ 26.80%	1% Total Annual Increase
General Fund	1000	2,197.0	34,998	76,891,600	19,838,000	20,606,900	768,900
Inmate Store Proceeds Fund	2505	7.0	52,549	367,800	94,900	98,600	3,700
State Education Fund	2107	3.0	54,193	162,600	42,000	43,600	1,600
ARCOR Enterprise Revolving Fund	4002	23.0	41,941	964,600	248,900	258,500	9,600
Tier III Total		2,230.0	35,154	78,386,600	20,223,800	21,007,600	783,800
Grand Total CORP		8,445.0	79,946	356,770,100	97,558,700	101,126,400	3,567,700

¹ Excludes contribution for long term disability

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: CORP/ASRS ADJUSTMENT

PRIORITY NUMBER: 11

ASRS rate adjustments:

In FY 2020 the contribution rate reached its highest point of 12.11%.

ASRS Employer Contribution Rates									
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
ASRS rate	10.50%	10.90%	11.30%	11.48%	11.35%	11.34%	11.34%	11.64%	11.94%
Long Term Disability	0.24%	0.24%	0.24%	0.12%	0.12%	0.14%	0.16%	0.16%	0.17%
Overall rate	10.74%	11.14%	11.54%	11.60%	11.47%	11.48%	11.50%	11.80%	12.11%
ASRS Year-to-year change		0.40%	0.40%	0.06%	-0.13%	0.01%	0.02%	0.30%	0.31%

A 1% increase is approximately \$590,300. The actuarial valuation report that will determine the actual rates for FY 2021 will not be made public until mid-November or December 2019. Once the actual FY 2021 retirement rates are published, this amount will be revised accordingly.

Fund Title	Fund	Total ASRS FTE	Average Salary	Base Salary	FY 2020 Employer Contribution @ 12.11% ¹	FY 2021 Employer Contribution @ 13.11%	1% Total Annual Increase
General Fund	1000	1,163.0	44,001	51,172,700	6,197,000	6,708,700	511,700
Federal Funds	2000	15.0	27,907	418,600	50,700	54,900	4,200
Inmate Store Proceeds Fund	2505	1.0	60,636	60,600	7,300	7,900	600
Special Services Fund	3187	14.0	48,685	681,600	82,500	89,400	6,900
ARCOR Enterprise Revolving Fund (ACI)	4002	163.0	38,283	6,240,100	755,700	818,100	62,400
Indirect Costs	9000	6.0	75,453	452,700	54,800	59,300	4,500
Total		1,362.0	43,352	59,026,300	7,148,000	7,738,300	590,300

¹ Includes contribution for long term disability

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: TECHNICAL & NON-APPROPRIATED FUNDING ADJUSTMENTS

PRIORITY NUMBER: 12

1. Description of problem or issue:

In FY 2021, the ADC will have several funds with a net reduction in expenditures. Expenditures vary for a variety of reasons, including the elimination of one-time funding sources, year-to-year variations in grants or other funding sources, and the completion of projects.

Federal Grants Fund (2000) expenditures will have a net decrease due to the year-to-year variation in the funding sources. These sources often become unavailable because the grants are no longer being offered by the Federal Government (or other funding entity), one-time grant funding has been fully expended, or the grant term has ended.

In FY 2021, the IGA/ISA Fund (2500) will have a reduction of the Workforce Innovation and Opportunity Act Adult Program (WIOA) grant.

Further, the AIMS2 Project (IGA/ISA Fund 2500) is expected to be complete and fully expended prior to the end of FY 2020. As a result, these project monies need to be eliminated from the budget in FY 2021. In addition, the AIMS2 project required additional funding beyond the \$24 million Automation Projects Fund (APF) appropriations recorded in the IGA/ISA Fund (2500). The additional non-APF funding requirements are in the Indirect Cost Recovery Fund (9000), and project expenditures need to be eliminated from the budget in FY 2021.

2. Proposed solution to the problem or issue:

FTE: 0.0 AMOUNT: -6,443,600

The ADC is reporting an expenditure decrease of \$6,443,600 due to the elimination of FY 2020 one-time funding, year-to-year variations in Federal grants, and the completion of the AIMS2 Project. The following funds are impacted:

Federal Grants Fund (2000)	(528,700)
IGA/ISA Fund (2500)	(3,914,900)
Indirect Cost Recovery Fund (9000)	<u>(2,000,000)</u>
Total Request	<u>(6,443,600)</u>

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: TECHNICAL & NON-APPROPRIATED FUNDING ADJUSTMENTS

PRIORITY NUMBER: 12

3. Performance Measures to quantify the success of the solution:

This is a technical issue that does not impact performance measures.

4. Alternatives considered and reasons for rejection:

No other option has been considered. This is a technical issue that does not require legislative approval.

5. Impact of not funding this fiscal year:

This is a technical issue that does not require additional funding or legislative approval.

6. Statutory reference:

Not Applicable

7. Equipment:

Not Applicable

8. Classification of new positions:

Not Applicable

9. Annualization:

Not Applicable

**ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2021 BUDGET REQUEST
 DECISION PACKAGE**

ISSUE: TECHNICAL & NON-APPROPRIATED FUNDING ADJUSTMENTS

PRIORITY NUMBER: 12

FTE	<u>0.0</u>
PERSONAL SERVICES	-94,900
EMPLOYEE RELATED EXPENSES	-33,800
PROFESSIONAL & OUTSIDE SERVICES	-2,221,000
TRAVEL IN-STATE	-6,100
TRAVEL OUT OF STATE	-14,000
OTHER OPERATING EXPENSES	-124,000
FOOD	0
AID/DISCHARGE	0
CAPITAL/NON CAPITAL EQUIPMENT	-3,911,300
TOTAL OPERATING LUMP SUM	<u>-6,405,100</u>
PRIVATE PRISON PER DIEM SLI	0
INMATE HEALTH CARE CONTRACTED SERVICES	0
COMMUNITY CORRECTIONS SLI	-38,500
TOTAL FUNDING ISSUE	<u>-6,443,600</u>

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST
DECISION PACKAGE**

ISSUE: TECHNICAL & NON-APPROPRIATED FUNDING ADJUSTMENTS

PRIORITY NUMBER: 12

Due to the elimination of FY 2020 one-time funding, year-to-year variations in Federal grants, and the completion of the AIMS2 project, the ADC is reporting a FY 2021 expenditure reduction from the following funds:

<u>Grant or Funding Description</u>	<u>Grant Identifier #</u>	<u>Fund</u>	<u>Explanation</u>	<u>FY 2021 Amount</u>	<u>FY 2020 Amount</u>	<u>FY 2021 Request</u>
Trauma & Trauma Treatment Training	DCA19013	Federal Grant Fund (2000)	One-time	-	12,200	(12,200)
Second Chance Act Reentry Initiative: Recidivism Reduction	DCA17001	Federal Grant Fund (2000)	Grant reduction	384,100	395,900	(11,800)
Second Chance Act Reentry Initiative: Tech Based Careers	DCA16011	Federal Grant Fund (2000)	Grant reduction	30,900	284,700	(253,800)
Special Education Grants to States: IDEA Secure Care	DCA18008	Federal Grant Fund (2000)	Grant reduction	-	10,600	(10,600)
Special Education Grants to States: IDEA Consolidated	DCA19009	Federal Grant Fund (2000)	Grant reduction	67,400	86,000	(18,600)
Support Effective Instruction State Grants: Title II	DCA18010	Federal Grant Fund (2000)	Grant reduction	-	41,400	(41,400)
Title 1 Part D State Agency Program for Neglected & Delinquent Children & Youth	DCA18199	Federal Grant Fund (2000)	Grant reduction	-	300	(300)
Workforce Innovation and Opportunity Act Adult Program	DCA19011	Federal Grant Fund (2000)	Grant reduction	-	180,000	(180,000)
				<u>482,400</u>	<u>1,011,100</u>	<u>(528,700)</u>
AIMS2 Project		IGA/ISA Fund (2500)	Project end	-	3,888,200	(3,888,200)
Workforce Innovation and Opportunity Act Adult Program	DCA19011	IGA/ISA Fund (2500)	Grant reduction	2,900	27,500	(24,600)
Workforce Innovation and Opportunity Act Adult Program	DCA19011	IGA/ISA Fund (2500)	Grant reduction	-	2,100	(2,100)
				<u>2,900</u>	<u>3,917,800</u>	<u>(3,914,900)</u>
AIMS2 Project		Indirect Cost Fund (9000)	Project end	-	2,000,000	(2,000,000)
				<u>485,300</u>	<u>6,928,900</u>	<u>(6,443,600)</u>

The FY 2020 budget includes non-appropriated funding sources for the repair or replacement of lock, HVAC, fire alarm and suppression systems at ASPC-Lewis and ASPC-Yuma. The removal of one-time expenditures from non-appropriated funds from the budget in FY 2021 is addressed in another decision package: Lewis & Yuma Locks, Fire, HVAC Phase 2 and 3.

ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST

GENERAL INFORMATION

Table of Contents.....	1-1
State of Arizona Fundamentals Map.....	1-2
ADC Fundamentals Map.....	1-3
Organization Chart.....	2-1
ADC Facilities by Mission, Security Level.....	3-1
FY 2019 Population Projections.....	4-1
Bed Plan	5-1
FY 2018 – FY 2022 Appropriated Non-General Fund Projected Cash Flow	6-1
Standard Equipment Matrix	7-1
Professional & Outside Services Budget Justification.....	7-2
Capital Equipment Budget Justification.....	7-4
Non-Capital Budget Justification.....	7-5
Corrections At A Glance (CAG)	8-1
ADC Inmate Count Sheet.....	8-3
FY 2019 Inmate Population Fact Sheet	8-6
Acronyms	9-1



FOUNDATIONS:



Governor Doug Ducey

GOVERNMENT AT THE SPEED OF BUSINESS:

- Decide Faster
- Respond Faster
- Resolve Faster
- Add More Services Online
- Save Tax Dollars

OUR SHARED VISION

<p>MISSION</p> <p>We will serve, protect, promote and defend the State of Arizona and its citizens in the pursuit of a better life.</p>	<p>LEADERSHIP</p> <p>True leaders change things to make them better.</p>	<p>CORE BELIEFS</p> <p>My responsibility is to ...</p>	<p>Identify Problems ↔ Improve Processes</p> <p>↑ DO MORE GOOD ↓</p> <p>Understand Customer Needs ↔ Measure Results</p>	<p>CORE VALUES</p> <ul style="list-style-type: none"> • Do the right thing • Commit to excellence • Care about one another
--	---	---	--	--

KEY GOALS	21 st CENTURY EDUCATION Ensuring World Class Education GOAL COUNCIL CHAIR Dawn Wallace, Senior Advisor - Education	STRONG, INNOVATIVE ECONOMY Driving Economic Opportunity GOAL COUNCIL CHAIR Sandra Watson, Director - Commerce Authority Kaitlin Harrier, Policy Advisor	HEALTHY PEOPLE & COMMUNITIES Championing Healthy Communities GOAL COUNCIL CHAIR Christina Corieri, Senior Policy Advisor Wanda Wright, Director - Veteran's Services	SUSTAINABLE RESOURCES & RECREATION Conserving Natural Resources GOAL COUNCIL CHAIR Hunter Moore, Policy Advisor Misael Cabrera, Director - Environmental Quality	SAFE COMMUNITIES Protecting Life and Property GOAL COUNCIL CHAIR Jennifer Thomsen, Policy Advisor Gilbert Orrantia, Director - Homeland Security	EFFICIENT & ACCOUNTABLE GOVERNMENT Accelerating Agency Performance GOAL COUNCIL CHAIR Gilbert Davidson, Chief of Operations
MISSION OUTCOMES	<ul style="list-style-type: none"> • Quality early learning • 3rd grade reading • 8th grade math • High school completion • Youth enrolled in work or school • Postsecondary attainment • Post high school enrollment 	<ul style="list-style-type: none"> • Median household income • Per capita personal income • Foreign trade • Non-farm jobs - rate • Non-farm jobs - number • Unemployment • Spending by overnight visitors • Tax climate • Economic freedom 	<ul style="list-style-type: none"> • Mental health • Smoking • Deaths from drug and alcohol • Teen pregnancy • Infant mortality • Adult healthy weight 	<ul style="list-style-type: none"> • Acres impacted by wildfires • Healthy wildlife population/habitat • Lake Mead level • Percent population drinking within federal limits • Percent population breathing air within federal limits 	<ul style="list-style-type: none"> • Violent crime • Property crime • Border strike force seizures • Rate of out-of-home placements • Injured workers • Traffic fatalities • Wildfire property destruction mitigation • Acres burned in unwanted wildland fires • Recidivism • Juvenile recidivism 	<ul style="list-style-type: none"> • Tax Reduction • Government savings • Credit rating • Transparency • State debt • Government operations
LEAD MEASURES	<ul style="list-style-type: none"> • Chronic absenteeism • Increasing the number of A & B schools • Improvement of C, D, and F schools • High-quality school leadership • Teacher retention • Teacher pay • Students enrolled in college & career preparedness programs • Postsecondary degrees, certifications & credentials for low-income students • Education investment 	<ul style="list-style-type: none"> • New company formations • Overnight visitors • Labor force participation • Private sector capital investment • Population Growth 	<ul style="list-style-type: none"> • Teens in pregnancy prevention program • Physicians using prescription drug monitoring database • Number of home visits provided • Underserved food areas • Delivery of mental health services 	<ul style="list-style-type: none"> • Forest acres treated • Active management actions taken to maintain and improve wildlife populations • Probability Lake Mead will fall below 1,025 ft. • Public water systems delivering water above federal limits • Days exceeding federal limits for ozone and dust 	<ul style="list-style-type: none"> • Foster care permanency • Removal rate of children from unsafe homes • Workplace safety • Wildfire awareness in at risk communities • Fire risk to people and places • Successful community supervision completion • Youth successful community supervision completion • Traffic stops • DUI arrests • Wrong-way driving occurrences • Child seats installed/inspected 	<ul style="list-style-type: none"> • State employees • Regrettable attrition • IT performance • Administrative rules eliminated • Government spending • Services online • Speed of service
KEY FUNCTIONS	<ul style="list-style-type: none"> • Setting & implementing best practices in policy that foster critical thinking, communication & collaboration • Creating meaningful standards, assessments, and accountability systems • Recruiting and retaining quality educational professionals • Closing the achievement gap for low-income and minority students • Providing access and choice to high-quality early learning • Promoting rigorous pathways to postsecondary education based on student interests • Strengthening the alignment of education outcomes to workforce needs 	<ul style="list-style-type: none"> • Setting economic policy • Making it easier to do business and embracing "start-up state" positioning • Developing, retaining, and attracting skilled workers • Expanding, retaining, and attracting businesses • Raising Arizona's positive profile nationally and internationally • Maintain and expand Arizona's critical public assets and infrastructure 	<ul style="list-style-type: none"> • Setting health policy • Promoting active and healthy people • Conserving resources for multiple benefits • Ensuring appropriate access to healthcare services • Ensuring healthy homes and workplaces 	<ul style="list-style-type: none"> • Setting natural resource policy • Promoting balanced land uses • Ensuring sufficient water yield • Ensuring water quality • Improving air quality 	<ul style="list-style-type: none"> • Setting safety policy • Enforcing laws • Deterring criminal activity • Protecting children and families • Providing law enforcement and fire services • Managing Corrections • Mitigating risk through prevention and education • Promoting safe workplaces • Coordinating emergency readiness • Ensuring safe travel 	<ul style="list-style-type: none"> • Planning and reviewing performance • Improving processes • Attracting, developing, and retaining talent • Delivering world-class procurement • Driving innovative IT solutions • Optimizing physical assets • Managing risk • Balancing the budget • Streamlining regulatory environment

Arizona Department of Corrections



FOUNDATIONS



Director Charles L. Ryan

KEY GOALS

MISSION

To serve and protect the people of Arizona by securely incarcerating convicted felons, by providing structured programming designed to support inmate accountability and successful community reintegration, and by providing effective supervision for those offenders conditionally released from prison.

LEADERSHIP

True leaders change things to make them better.

CORE BELIEFS

My responsibility is to...

UNDERSTAND CUSTOMER NEEDS

Do More Good

IMPROVE PROCESSES

MEASURE RESULTS

IDENTIFY PROBLEMS

VALUES

P = Professionalism: Modeling the ideal
R = Responsibility: Owning your actions
I = Integrity: Doing the right thing
C = Courage: Taking action despite fear
E = Efficiency: Making every action count

Engaged & Professional Staff

Safe, Healthy & Secure Environment

Operational Excellence

Collaborative Stakeholder Relationships

Positively Changed Inmate & Offender Behavior

CORE PROCESSES

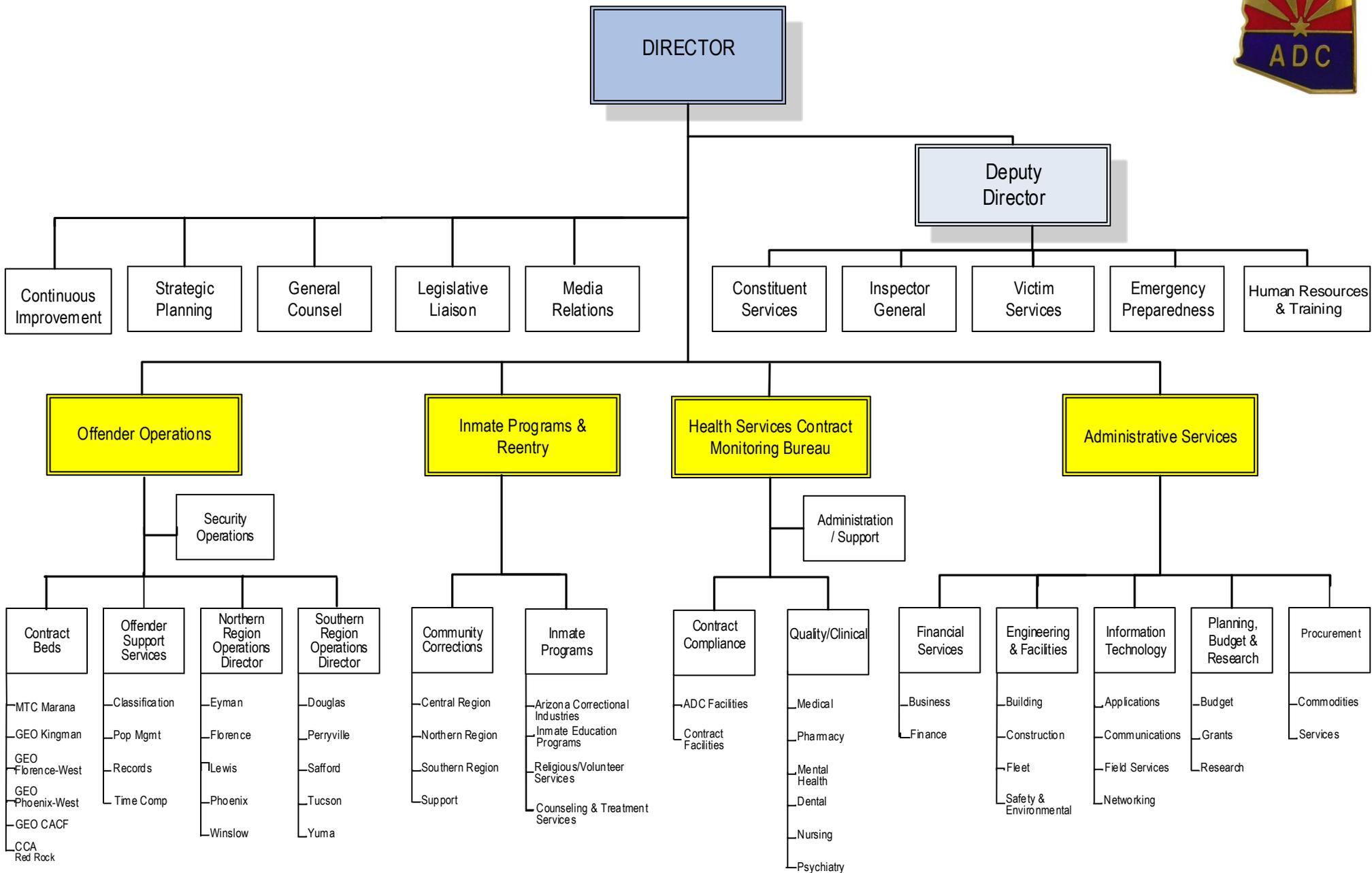
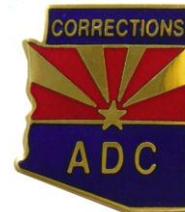
LEAD MEASURES

LEAD MEASURE OWNER

SUB PROCESSES

MISSION MEASURES

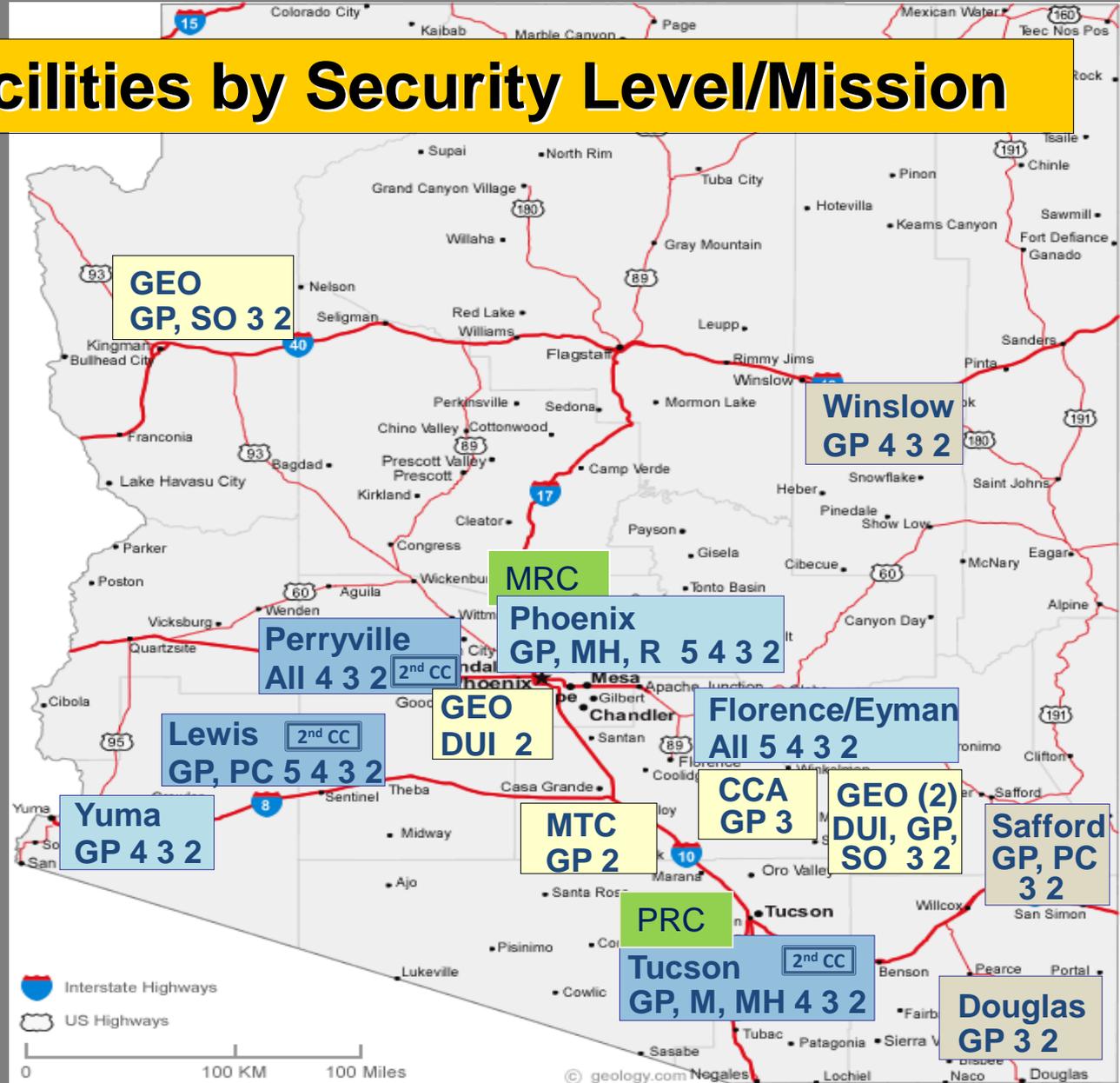
	OPERATING PROCESSES						SUPPORTING PROCESSES									
	Managing Safe & Secure Operations	Providing Inmate Basic Needs	Managing Inmate Programs & Re-entry	Managing Offenders in the Community	Engaging with External Stakeholders	Developing & Managing the Workforce	Communicating Internally & Externally	Managing & Maintaining Infrastructure	Managing Data & Information	Managing Compliance & Risk	Managing Business Operations	Optimizing Organizational Performance				
OP1.A Number of staff assaults	OP2.A Stipulation failure rate variance	OP3.A Literacy/GED completion rates	OP4.A Offenders successfully completing services/sanctions at Re-Entry Centers	OP5.A Timely response to requests	SP1.A Quantity of vacant COII positions	SP2.A Request timeliness	SP3.A Network uptime	SP4.A Compliance with ADOA Data Governance policies (Organization, Documentation, Classification, Security, Interoperability, Technical Implementation)	SP5.A Number of Victim Contacts	SP6.A Appropriated funds spending variance	SP7.C AZ Management System adoption					
OP1.B Number of suicides	OP2.B Hospital admissions	OP3.B High risk, high needs inmates program completions	OP4.B Quantity of Inmates released to Transition Program for non violent offenders	OP5.B Community Partner and IGA Satisfaction Score	SP1.B COII regrettable attrition rate	SP2.B Public records request timeliness	SP3.B IT SLA Compliance	SP4.B Release errors related to sentence calculation issues	SP5.B Non-medical grievances reversed in favor of inmate	SP6.B Customer satisfaction survey	SP7.D Trained AMS coordinators quantity					
OP1.C Inmate escape	OP2.C Staff needed for hospital coverage	OP3.C Volume of replacement Social Security Cards	OP4.C Offenders involved in intervention	OP5.C Volume of Inmate work contracts	SP1.C Professional development rate	SP2.C Website volume	SP3.C Project timeliness		SP5.C EEOC charges	SP6.C Employee Costs % Surplus/(Deficit)						
OP1.D Inmate contraband volume	OP2.D Vacant IPC Beds	OP3.D Volume of Driver's License Cards Issued	OP4.D Successful Community Supervision Completion	OP5.D Inmate fund balances	SP1.D CO hiring timeliness	SP2.D ADC Followers	SP3.D Customer IT/EFB satisfaction score			SP6.D OT expenditures within target						
OP1.E Inmate work/program involvement	OP2.E Stipulation agreement compliance (overall)	OP3.E Inmate program utilization rate	OP4.E Re-incarcerated due to technical violations	OP5.E Volunteer hours	SP1.E Employee orientation timeliness	SP2.E Employee satisfaction with communications	SP3.E Safety inspection findings correction rate			SP6.E Timeliness of budget and fiscal reporting						
OP1.F Work loss due to staff injury		OP3.F Inmate program completions	OP4.F Return to custody after release from Second Chance Center		SP1.F Temporary Work Assignment Utilization	SP2.F Service contacts volume	SP3.F Maintenance costs			SP6.F Population forecast accuracy						
OP1.G Number of suicide attempts							SP3.G Building projects on budget									
OP1.H Number of self-injurious behavior																
Carson McWilliams	Richard Pratt	Karen Hellman	Greg Lauchner	Ben Altener	Timothy Rhyné	Andrew Wilder	Mike Kearns	Denise Stravia	Brad Keogh	Jake Gable	James O'Neil					
<ol style="list-style-type: none"> Classifying & assigning inmates to appropriate facilities Promoting safety of staff, inmates & others by maintaining secure custody & control Conducting inmate counts Preparing for and responding to emergencies Implementing measures to reduce inmate self harm & prevent suicide Preventing escapes Detecting & locating contraband 	<ol style="list-style-type: none"> Providing housing Feeding inmates Providing comprehensive inmate healthcare Providing basic hygiene Clothing inmates Providing access to religious services Providing access to recreational activities 	<ol style="list-style-type: none"> Developing case plans Providing opportunities to develop pro-social skills Providing formal programs (education, substance abuse, sex offender) Developing inmate work skills & opportunities Case-managing inmates Managing inmate behavior (disciplinary) Connecting inmates to family & friends Planning for release and re-entry Releasing inmates 	<ol style="list-style-type: none"> Developing & adjusting as needed offender case plans Supervising, monitoring & documenting offender progress Connecting offenders with appropriate resources & benefits Utilizing interventions Sanctioning offenders (includes issuing warrants) Returning offenders to custody Closing cases 	<ol style="list-style-type: none"> Identifying key stakeholders Establishing relationships Understanding stakeholder needs & concerns Identifying shared goals & expectations Formalizing protocols for interaction (including fundraising & philanthropy) Consulting & collaborating with stakeholders on key issues (both routine & case-by-case basis) Communicating decisions & actions 	<ol style="list-style-type: none"> Recruiting & hiring candidates Onboarding & orienting staff Developing, training & coaching Planning for succession Managing & evaluating performance Compensating Advising & consulting Promoting employee relations (EEO, ADA) Recognizing employees Separating employees Promoting Employee Wellness 	<ol style="list-style-type: none"> Strategically planning, developing & communicating messages and information Actively engaging & informing employees Promoting positive agency image & information Providing assistance and information to victims Sharing information with elected officials, stakeholders & community partners Providing information to constituents Managing media relations Responding to information inquiries & public records requests 	<ol style="list-style-type: none"> Assessing infrastructure needs (gaps) Prioritizing maintenance of existing & acquisition of new assets Acquiring new assets Deploying (finalizing, maintaining, implementing) new assets Maintaining & monitoring assets infrastructure Providing infrastructure support Ensuring infrastructure security (IT, communications, plant, fleet) 	<ol style="list-style-type: none"> Identifying information systems Classifying data Maintaining oversight/controls Ensuring training is available/received Utilizing data governance structure/processes Ensuring data interoperability Establishing data documentation practices Developing a plan/program for technology implementation Ensuring data quality/integrity 	<ol style="list-style-type: none"> Assessing internal & external risks Mitigating internal & external risks Conducting compliance audits Conducting investigations Managing litigation (subpoenas & court orders) Providing legal advice Proposing & implementing legislation Developing policies & procedures Managing contract compliance 	<ol style="list-style-type: none"> Developing budget Procuring & contracting for goods & services Accounting (AR, AP & Payroll) Managing spending Managing inmate funds & banking Writing & managing grants Reporting accurate & timely financial information 	<ol style="list-style-type: none"> Conducting environmental assessment (internal & external) Defining agency priorities & policy Developing agency strategic plan Establishing agency goals, objectives & funding requirements Defining performance targets & measures Analyzing & evaluating agency performance (business reviews) Prioritizing performance improvement initiatives Supporting improvement activities (coaching, tool development) Guiding organizational change 					
Employee Engagement Denise Stravia	Employee Attrition Timothy Rhyné	Staff Competency Timothy Rhyné	Staff Safety Carson McWilliams	Inmate Safety Carson McWilliams	Inmate Health Richard Pratt	Public Safety Greg Lauchner	Population Management Jake Gable	Resource Management Mike Kearns	Lead Measure Improvement James O'Neil	Quality Assurance James O'Neil	Public Safety Partnership Effectiveness Andrew Wilder	Recidivism Greg Lauchner	Community Partner Engagement Ben Altener	Victims Services Brad Keogh	Community Supervision Success Karen Hellman	Case Plan Adherence Karen Hellman



ADC Facilities by Security Level/Mission

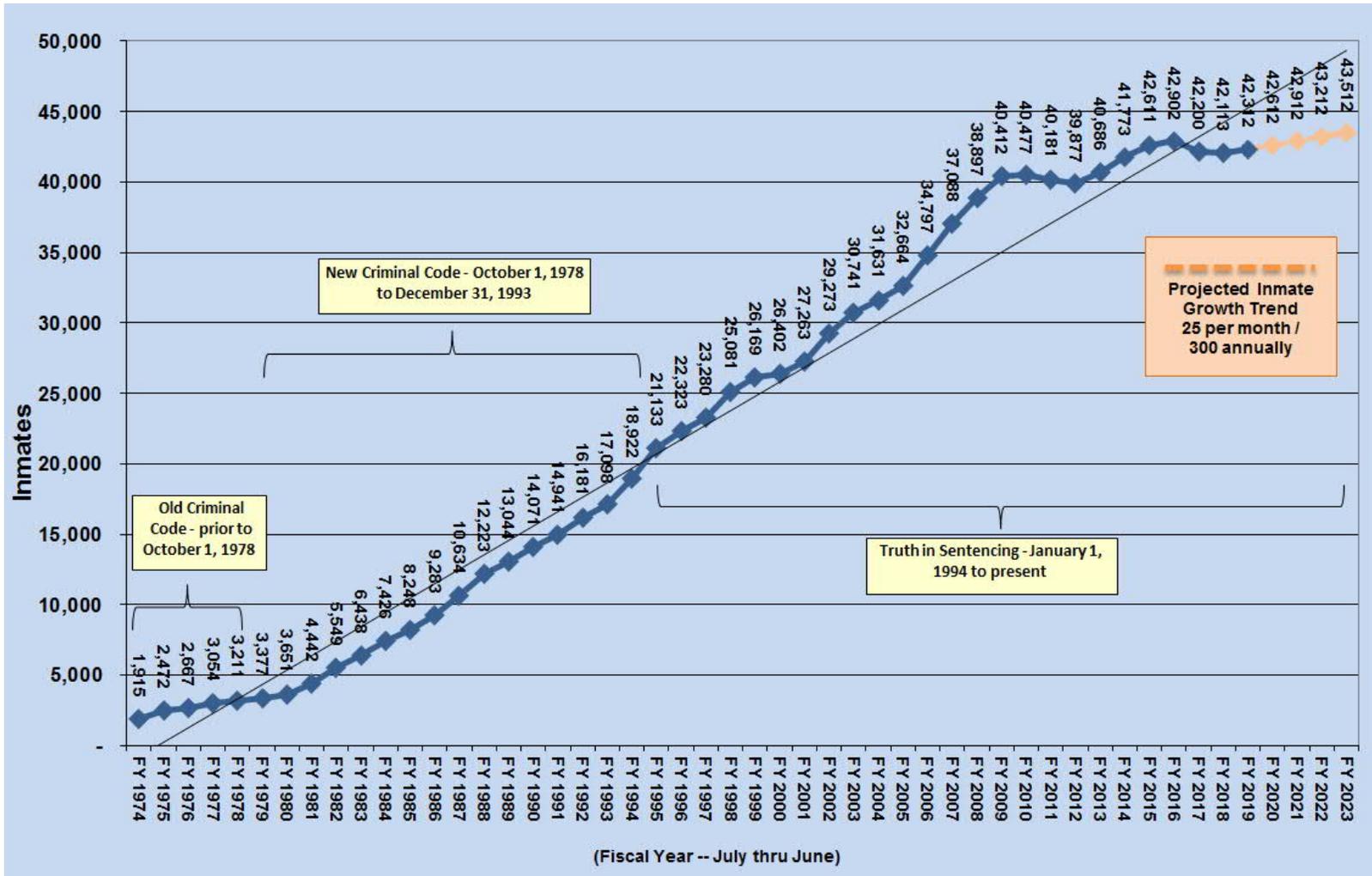
- DUI = DUI
 - 2nd CC = Second Chance Center
 - GP = General Population
 - M = Medical
 - PC = Protective Custody
 - SO = Sex Offender
 - R = Reception
- Physical Security Levels
- 5 = Highest (maximum)
 - 4 = High (close)
 - 3 = Moderate (medium)
 - 2 = Low (minimum)

ADC Owned & Operated Non-Corridor Prison
ADC Owned & Operated Corridor Prison
ADC Owned & Operated Corridor Prison with Second Chance Center (SCC)
ADC Contracted Private Prison Corridor Facility with Placement Restrictions
ADC Reentry Centers



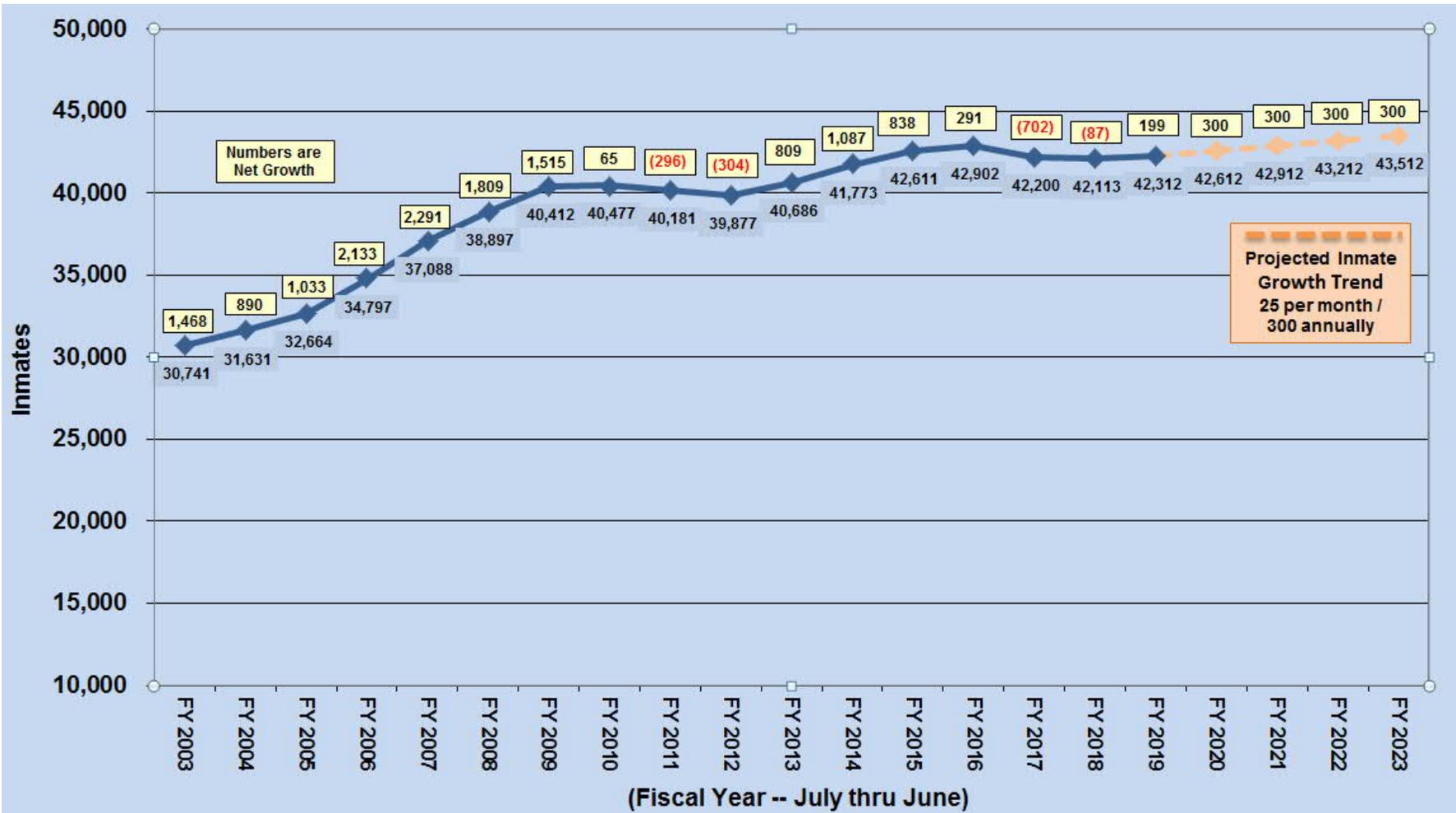
General Information 3-1

Arizona Department of Corrections Annual Inmate Growth FY 1974 through FY 2023



Prepared By: Bureau of Planning, Budget and Research
Date Updated: August 27, 2019

Arizona Department of Corrections Annual Inmate Growth FY 2003 through FY 2023



Prepared By: Bureau of Planning, Budget and Research
Date Updated: August 27, 2019

Population Projection

Annual Growth forecast: FY 2020 – FY 2023 = 300 annually

3

Fiscal Year	Male	Female	Total
FY 2015	651	187	838
FY 2016	261	30	291
FY 2017	-737	35	-702
FY 2018	-114	27	-87
FY 2019	-37	236	199

Fiscal Year	1 st Half	2 nd Half	Total
FY 2015	363	475	838
FY 2016	32	259	291
FY 2017	-605	-97	-702
FY 2018	-236	149	-87
FY 2019	-176	375	199

- Growth averaged 9 (male = 0.4; female = 8.6) inmates per month from July 2014 – June 2019.
- Over the last 30 years, from FY 1990 – FY 2019, average inmate population growth has averaged 976 inmates per year.
- Growth in the 2nd half of the FY exceeded 1st half growth in 27 of the past 30 Fiscal Years.
- Over the last 30 years average inmate population growth has averaged 342 in the 1st half and 633 in the 2nd half.

Historical Growth By Custody Level

4

Fiscal Year	Minimum	Medium	Close	Maximum	Total
FY 2016	-36	297	25	5	291
FY 2017	-1,103	243	664	-506	-702
FY 2018	-202	213	548	-646	-87
FY 2019	<u>223</u>	<u>-219</u>	<u>52</u>	<u>143</u>	<u>199</u>
Total	-1,118	534	1,289	-1,004	-299
Average/month	-23.3	11.1	26.9	-20.9	6.2

- Overall male inmate growth increased by only 24 (0.4 per month) from FY 2016 – FY 2019. Males followed the overall pattern of increases in medium and close custody and declines in minimum and maximum custody.
- Female inmates, comprising just 9.7% of the inmate population, increased by 515 (8.6 per month) from FY 2016 – FY 2019. This increase was 95.5% of the overall increase of the inmate population during this time period.

What drives prison population growth?

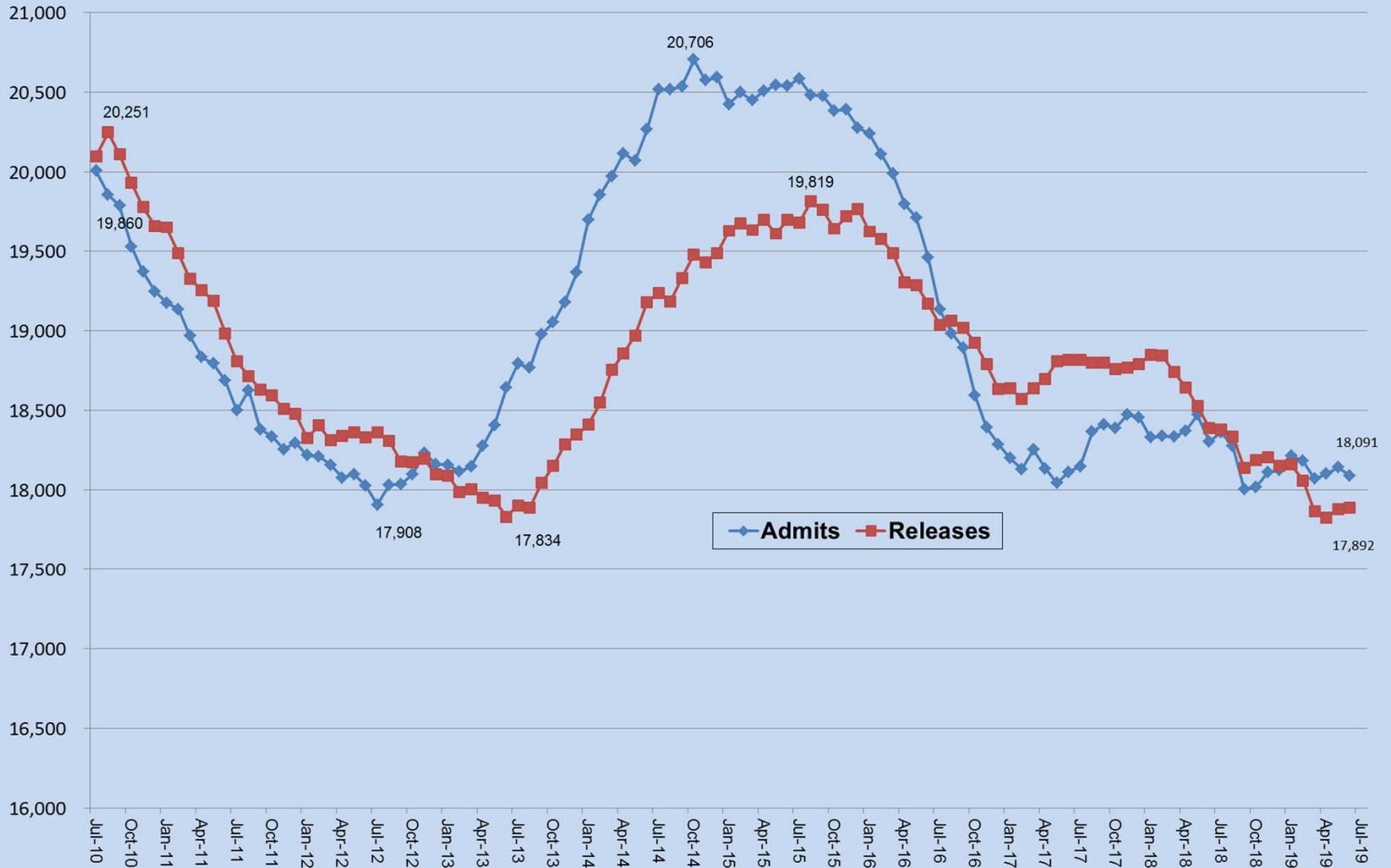
5

Fiscal Year	Admissions	Releases	Increase/ (Decrease)	Average Length of Stay
FY 2014	20,300	19,213	1,087	23.38 months
FY 2015	20,611	19,773	838	22.51 months
FY 2016	19,453	19,162	291	23.01 months
FY 2017	18,262	18,964	-702	24.89 months
FY 2018	18,361	18,448	-87	25.67 months
FY 2019	18,159	17,960	199	26.01 months

Two factors impact population growth:

- 1) Admissions: In Fiscal Years 2016 and 2017 admissions ↓ significantly which led to a reduced prison population. FY 2018 and FY 2019 admissions have stabilized.
- 2) Length of stay: FY 2019 will be the 4th straight year that length of stay has ↑. Over the four year period (FY 2016 – FY 2019) length of stay has ↑ by 15.5%.

Admissions & Releases: 12 Month Rolling Average



Prepared By: Bureau of Planning, Budget and Research
 Date Updated: August 27, 2019

FY 2019 Admissions

7

- FY 2019 admissions of 18,159 decreased by 202 or 1.1% compared to 18,361 admissions during FY 2018.
 - A ↓ in 2 major categories: probation revocations (-399) and community supervision violations (-154)
 - Direct Court Commitments , however, saw an ↑ of 317 in FY 2019 over FY 2018
 - 1 crime category with a notable decrease in FY 2019:
 - Assault: decrease of 118 admissions
 - Male admissions fell in FY 2019 while female admissions grew. This was also reflected in some major crime categories, such as drug offenses, and burglary.

FY 2019 Change by Admit Type

8

Admission Type	Maricopa	Pima	Pinal	All Other	Total
Direct Court Commitments (DCC)	285	-70	-97	199	317
Community Spvn. Violations	-117	-40	-4	7	-154
Probation Revocations	-254	-72	-36	-37	-399
Other	11	8	-3	18	34
Total	-75	-174	-140	187	-202

Direct Court Commitments:

- Only major admissions category to increase.
- The ↑ in Maricopa and rural counties exceeded the decline in Pima and Pinal County.

Community Supervision Violations:

- Overall ↓ in comparison to FY 2018
- Maricopa County provided over 76% of the ↓ in violations throughout the state.

Probation Revocations resulting in ADC sentence:

- Maricopa County represents almost 64% of the decrease.
- General trend of decline among the three largest Counties as well as the rural counties.

Overall Picture:

- The ↓ in Probation Revocations & Community Spvn. Violations led to fewer overall admissions in FY 2019.
- ↑ direct court commitments suggest that the average FY 2019 admission will have a longer length of stay in ADC.

FY 2019 Releases

9

- ADC released 488 fewer inmates during FY 2019 (17,960) than in FY 2018 (18,448).
- Two offense categories had over 100 fewer releases in FY 2019 than in FY 2018.
- DUI: -160 Burglary: -100
- No offense category had a noticeable ↑ in releases.
- Average length of stay increased in FY 2019 for the 4th consecutive year.
 - FY 2013 23.53 months
 - FY 2014 23.38 months
 - FY 2015 22.51 months
 - FY 2016 23.01 months
 - FY 2017 24.89 months
 - FY 2018 25.67 months
 - FY 2019 26.01 months

Inmate Growth By Citizenship

Fiscal Year	U.S. Citizen			Non Citizen			Total		
	Number	+/-	%	Number	+/-	%	Number	+/-	%
2014	36,869	1,237		4,904	-150		41,773	1,087	
2015	37,930	1,061	2.9%	4,681	-223	-4.5%	42,611	838	2.0%
2016	38,095	165	0.4%	4,807	126	2.7%	42,902	291	0.7%
2017	37,839	-256	-0.7%	4,361	-446	-9.3%	42,200	-702	-1.6%
2018	38,437	598	1.6%	3,676	-685	-15.7%	42,113	-87	-0.2%
2019	39,029	592	1.5%	3,283	-393	-10.7%	42,312	199	0.5%

* Data as of June 30 each year.

- From 2009 – FY 2019, there has been a 14% increase in US Citizens (+4,824)
- During the same time frame, there has been a -47% decline in non-citizens (-2,924).
- Over the last 10 years, the US citizen population has increased 8 times, while the non-citizen population has decreased 9 times.

Outlook for FY 2020 - FY 2023

- Historically slow growth. Inmate growth of 300 annually is forecasted for FY 2020 through FY 2023.
 - Admission are forecasted to stabilize or increase in FY 2020.
 - ADC is focusing on ↓ community supervision violations and have employed multiple strategies toward meeting that goal.
 - SB 1310 focused on reducing TIS for a narrow group of releases. More broad-based sentencing reform is not assumed in this forecast.

Monthly Growth Projection

Custody	Male	Female	Total
Minimum	4.0	3.0	7.0
Medium	3.0	12.0	15.0
Close	3.0	0.0	3.0
Maximum	0.0	0.0	0.0
Total	10.0	15.0	25.0

Annual Growth Projection

Custody	Male	Female	Total
Minimum	48.0	36.0	84.0
Medium	36.0	144.0	180.0
Close	36.0	0.0	36.0
Maximum	0.0	0.0	0.0
Total	120.0	180.0	300.0

Risk Factors Leading to Higher Growth

12

- Risk factors that could lead to higher than projected population growth
 - The non-citizen population has decreased for 9 out of the last 10 years. This trend could slow down or potentially reverse.
 - Probation revocations decreased in FY 2019. Long term, there may be an upward trend on revocations due to the increasing utilization of probation following incarceration.
 - Direct Court Commitments increased, and they have the greatest impact on average length of stay.

- Risks of underestimating inmate population growth:
 - Budget impact: Results in insufficient funding to meet obligations such as inmate food and health care.
 - Bed Planning: The bed plan projects 3.5% vacant beds by FY 2023. Bed deficits are projected for specific populations and custody levels. Even relatively moderate growth by historical standards (800 annually) from FY 2020 – FY 2023 would result in 2,000 more inmates than forecasted. Due to the current number of beds and the time it takes to procure, construct, and activate beds this poses a risk that needs to be considered.

FY 2020 – FY 2023 Proj. Bed Capacity

Fiscal Year	Operating Capacity	Proj. Pop. Change	June 30 Proj. Pop.	Oper. Capacity - Surplus/ Deficit	Bed Need (Excludes custody level & other minor changes)
FY 2019	45,091	199	42,312	2,779/ 6.2%	
FY 2020	44,291	300	42,612	1,679/ 3.8%	Deactivate 800 beds - lock project
FY 2021	44,291	300	42,912	1,379/ 3.1%	Globe converted to female
FY 2022	45,091	300	43,212	1,879/ 4.2%	Activate 800 beds - lock project
FY 2023	45,091	300	43,512	1,579/ 3.5%	

Assumes inmate growth of 300/year from FY 2020 to FY 2023. The deactivation of 800 close custody beds during FY 2020 – FY 2021 is required to replace locks, fire systems, and HVAC at ASPC-Lewis and ASPC-Yuma. This leaves vacant bed capacity below recommended levels (5%). Inmate population growth and available bed capacity must be monitored closely during FY 2020 – FY 2023.

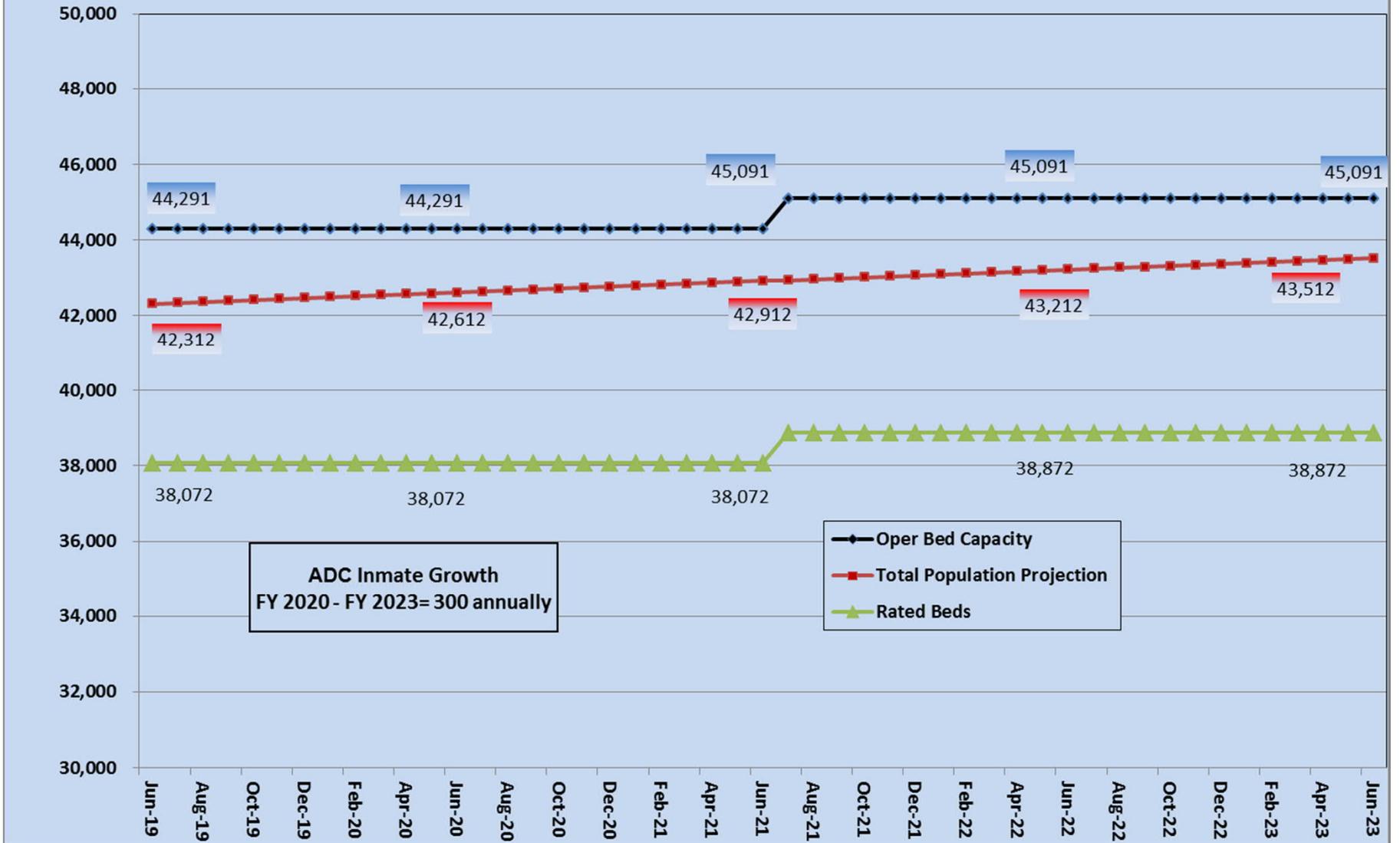
Projected Bed Shortfall By Gender, Custody

14

Custody Level	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Minimum – male	1,107	1,059	709	661	613
Medium – male	237	201	165	129	93
Close – male	1,091	255	219	983	947
Maximum – male	164	164	164	164	164
Reception – male	<u>106</u>	<u>106</u>	<u>106</u>	<u>106</u>	<u>106</u>
Male Total	2,705	1,785	1,363	2,043	1,923
Female	<u>74</u>	<u>(106)</u>	<u>16</u>	<u>(164)</u>	<u>(344)</u>
Total	2,779	1,679	1,379	1,879	1,579

Assumes inmate growth of 300/year from FY 2020 – FY 2023. The 800 close custody beds that are offline due to the locking project is not sustainable.

Arizona Department of Corrections Population Forecast vs Operational Bed Capacity FY 2020 - FY 2023 All Custody Levels

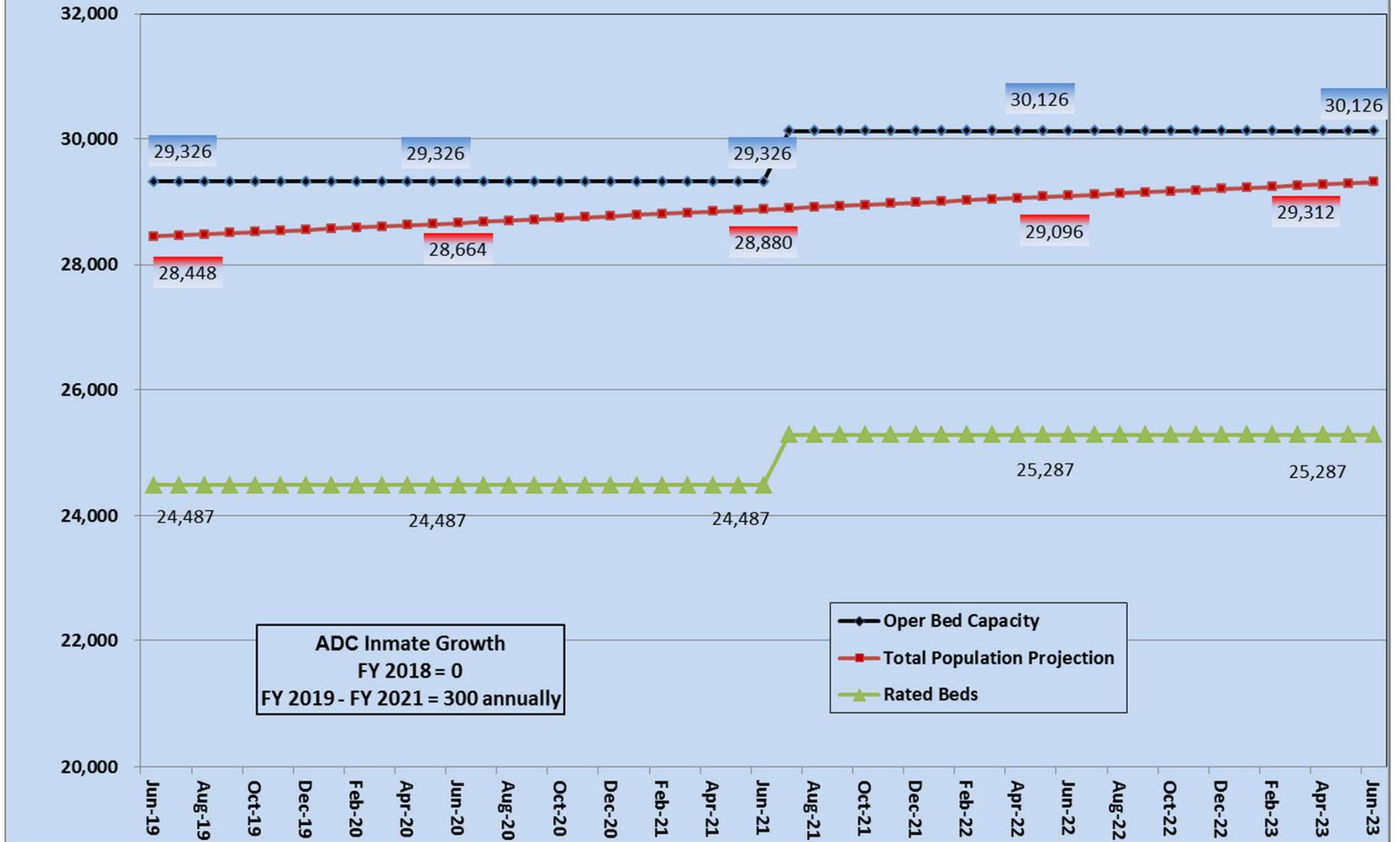


ADC Inmate Growth
FY 2020 - FY 2023 = 300 annually

Oper Bed Capacity
 Total Population Projection
 Rated Beds

Prepared By: Bureau of Planning, Budget and Research
Date Updated: August 27, 2019

Arizona Department of Corrections Population Forecast vs Operational Bed Capacity FY 2020 - FY 2023 Excludes Minimum Custody



Prepared By: Bureau of Planning, Budget and Research
 Date Updated: August 27, 2019

Arizona Department of Corrections

Inmate Net Growth

12 Month Rolling Average Annualized as of 06/30/2019

	Male	Female	Total
Annual	(37)	236	199
Monthly	(3.1)	19.7	16.6

24 Month Rolling Average Annualized as of 06/30/2019

	Male	Female	Total
Annual	(72)	132	60
Monthly	(6.0)	11.0	5.0

Fiscal Year 2018 Net Growth

Male	Female	Total
(37)	236	199

Fiscal Year 2018 Net Growth

Male	Female	Total
(114)	27	(87)

Fiscal Year 2017 Net Growth

Male	Female	Total
(737)	35	(702)

Fiscal Year 2016 Net Growth

Male	Female	Total
261	30	291

Fiscal Year 2015 Net Growth

Male	Female	Total
651	187	838

Fiscal Year 2014 Net Growth

Male	Female	Total
964	123	1,087

ADC Inmate Growth

Monthly Growth Rate			
FY 2020 Thru FY 2023			
Custody	Male	Female	Total
Minimum	4.0	3.0	7.0
Medium	3.0	12.0	15.0
Close	3.0	-	3.0
Maximum	-	-	-
Reception	-	-	-
Total	10.0	15.0	25.0

Annual Growth Rate			
FY 2020 Thru FY 2023			
Custody	Male	Female	Total
Minimum	48.0	36.0	84.0
Medium	36.0	144.0	180.0
Close	36.0	-	36.0
Maximum	-	-	-
Reception	-	-	-
Total	120.0	180.0	300.0

Arizona Department of Corrections
Bed Plan -- Total
Population & Operational Bed Capacity Projections

**Operational Bed Capacity =
 Rated Beds +
 Temporary Beds**

Data as of 06/30/2019

**Inmate Population Growth
 FY 2020 thru FY 2023**
 Inmates per month **25.0**
 Males per month **10.0**
 Females per month **15.0**

Fiscal Year	Action	Bed Custody Level	Adjust	Date - End of Month	Operational Bed Capacity			Total Population Projection	Bed Surplus / (Deficit)	Level 2	Level 3	Level 4	Level 5
					Rated Beds	Temp Beds	Oper Bed Capacity			Min	Med	Close	Max
									Bed Surplus / (Deficit)				
FY 2020	Deactivate Rated Offline Due to Locking Project Male	Close Beds	(800)	Jul-19	38,072	6,219	44,291	42,337	1,954	1,094	203	389	164
			-	Aug-19	38,072	6,219	44,291	42,362	1,929	1,087	188	386	164
			-	Sep-19	38,072	6,219	44,291	42,387	1,904	1,080	173	383	164
			-	Oct-19	38,072	6,219	44,291	42,412	1,879	1,073	158	380	164
			-	Nov-19	38,072	6,219	44,291	42,437	1,854	1,066	143	377	164
			-	Dec-19	38,072	6,219	44,291	42,462	1,829	1,059	128	374	164
			-	Jan-20	38,072	6,219	44,291	42,487	1,804	1,052	113	371	164
			-	Feb-20	38,072	6,219	44,291	42,512	1,779	1,045	98	368	164
			-	Mar-20	38,072	6,219	44,291	42,537	1,754	1,038	83	365	164
			-	Apr-20	38,072	6,219	44,291	42,562	1,729	1,031	68	362	164
			-	May-20	38,072	6,219	44,291	42,587	1,704	1,024	53	359	164
			-	Jun-20	38,072	6,219	44,291	42,612	1,679	1,017	38	356	164
FY 2021			-	Jul-20	38,072	6,219	44,291	42,637	1,654	1,010	23	353	164
			-	Aug-20	38,072	6,219	44,291	42,662	1,629	1,003	8	350	164
			-	Sep-20	38,072	6,219	44,291	42,687	1,604	996	(7)	347	164
			-	Oct-20	38,072	6,219	44,291	42,712	1,579	989	(22)	344	164
			-	Nov-20	38,072	6,219	44,291	42,737	1,554	982	(37)	341	164
			-	Dec-20	38,072	6,219	44,291	42,762	1,529	975	(52)	338	164
			-	Jan-21	38,072	6,219	44,291	42,787	1,504	968	(67)	335	164
			-	Feb-21	38,072	6,219	44,291	42,812	1,479	961	(82)	332	164
			-	Mar-21	38,072	6,219	44,291	42,837	1,454	954	(97)	329	164
			-	Apr-21	38,072	6,219	44,291	42,862	1,429	947	(112)	326	164
			-	May-21	38,072	6,219	44,291	42,887	1,404	940	(127)	323	164
			-	Jun-21	38,072	6,219	44,291	42,912	1,379	933	(142)	320	164
FY 2022	Activate Rated Offline Due to Locking Project Male	Close Beds	800	Jul-21	38,872	6,219	45,091	42,937	2,154	926	(157)	1,117	164
			-	Aug-21	38,872	6,219	45,091	42,962	2,129	919	(172)	1,114	164
			-	Sep-21	38,872	6,219	45,091	42,987	2,104	912	(187)	1,111	164
			-	Oct-21	38,872	6,219	45,091	43,012	2,079	905	(202)	1,108	164
			-	Nov-21	38,872	6,219	45,091	43,037	2,054	898	(217)	1,105	164
			-	Dec-21	38,872	6,219	45,091	43,062	2,029	891	(232)	1,102	164
			-	Jan-22	38,872	6,219	45,091	43,087	2,004	884	(247)	1,099	164
			-	Feb-22	38,872	6,219	45,091	43,112	1,979	877	(262)	1,096	164
			-	Mar-22	38,872	6,219	45,091	43,137	1,954	870	(277)	1,093	164
			-	Apr-22	38,872	6,219	45,091	43,162	1,929	863	(292)	1,090	164
			-	May-22	38,872	6,219	45,091	43,187	1,904	856	(307)	1,087	164
			-	Jun-22	38,872	6,219	45,091	43,212	1,879	849	(322)	1,084	164
FY 2023			-	Jul-22	38,872	6,219	45,091	43,237	1,854	842	(337)	1,081	164
			-	Aug-22	38,872	6,219	45,091	43,262	1,829	835	(352)	1,078	164
			-	Sep-22	38,872	6,219	45,091	43,287	1,804	828	(367)	1,075	164
			-	Oct-22	38,872	6,219	45,091	43,312	1,779	821	(382)	1,072	164
			-	Nov-22	38,872	6,219	45,091	43,337	1,754	814	(397)	1,069	164
			-	Dec-22	38,872	6,219	45,091	43,362	1,729	807	(412)	1,066	164
			-	Jan-23	38,872	6,219	45,091	43,387	1,704	800	(427)	1,063	164
			-	Feb-23	38,872	6,219	45,091	43,412	1,679	793	(442)	1,060	164
			-	Mar-23	38,872	6,219	45,091	43,437	1,654	786	(457)	1,057	164
			-	Apr-23	38,872	6,219	45,091	43,462	1,629	779	(472)	1,054	164
			-	May-23	38,872	6,219	45,091	43,487	1,604	772	(487)	1,051	164
			-	Jun-23	38,872	6,219	45,091	43,512	1,579	765	(502)	1,048	164
									Vacancy	3.5%			

	Leg - Auth	Proposed	Total
New Minimum Beds (Male)	-	-	-
New Medium Beds (Male)	-	-	-
New Close Beds (Male)	-	-	-
New Maximum Beds (Male)	-	-	-
New Minimum Beds (Female)	-	-	-
New Med Beds (Female)	-	-	-
Total	-	-	-

**Arizona Department of Corrections
Bed Plan -- Males
Population & Operational Bed Capacity Projections**

**Operational Bed Capacity =
Rated Beds +
Temporary Beds**

Data as of 06/30/2019

**Inmate Population Growth
FY 2020 thru FY 2023**

Inmates per month **25.0**
Males per month **10.0**
Females per month **15.0**

Fiscal Year	Action	Bed Custody Level	Adjust	Date - End of Month	Operational Bed Capacity			Total Population Projection	* Bed Surplus / (Deficit)	Level 2	Level 3	Level 4	Level 5
					Rated Beds	Temp Beds	Oper Bed Capacity			Min	Med	Close	Max
									Bed Surplus / (Deficit)	Bed Surplus / (Deficit)	Bed Surplus / (Deficit)	Bed Surplus / (Deficit)	Bed Surplus / (Deficit)
FY 2020	Deactivate Rated Offline Due to Locking Project Male	Close Beds	(800)	Jul-19	33,813	6,078	39,891	37,996	1,895	1,102	234	288	164
			-	Aug-19	33,813	6,078	39,891	38,006	1,885	1,098	231	285	164
			-	Sep-19	33,813	6,078	39,891	38,016	1,875	1,094	228	282	164
			-	Oct-19	33,813	6,078	39,891	38,026	1,865	1,090	225	279	164
			-	Nov-19	33,813	6,078	39,891	38,036	1,855	1,086	222	276	164
			-	Dec-19	33,813	6,078	39,891	38,046	1,845	1,082	219	273	164
			-	Jan-20	33,813	6,078	39,891	38,056	1,835	1,078	216	270	164
			-	Feb-20	33,813	6,078	39,891	38,066	1,825	1,074	213	267	164
			-	Mar-20	33,813	6,078	39,891	38,076	1,815	1,070	210	264	164
			-	Apr-20	33,813	6,078	39,891	38,086	1,805	1,066	207	261	164
			-	May-20	33,813	6,078	39,891	38,096	1,795	1,062	204	258	164
			-	Jun-20	33,813	6,078	39,891	38,106	1,785	1,058	201	255	164
FY 2021	Deactivate Rated Florence Globe Male	Min Beds	(250)	Jul-20	33,563	6,078	39,641	38,116					
	Deactivate Temp Florence Globe Male	Min Beds	(52)	Jul-20	33,563	6,026	39,589	38,116	1,473	752	198	252	164
			-	Aug-20	33,563	6,026	39,589	38,126	1,463	748	195	249	164
			-	Sep-20	33,563	6,026	39,589	38,136	1,453	744	192	246	164
			-	Oct-20	33,563	6,026	39,589	38,146	1,443	740	189	243	164
			-	Nov-20	33,563	6,026	39,589	38,156	1,433	736	186	240	164
			-	Dec-20	33,563	6,026	39,589	38,166	1,423	732	183	237	164
			-	Jan-21	33,563	6,026	39,589	38,176	1,413	728	180	234	164
			-	Feb-21	33,563	6,026	39,589	38,186	1,403	724	177	231	164
			-	Mar-21	33,563	6,026	39,589	38,196	1,393	720	174	228	164
			-	Apr-21	33,563	6,026	39,589	38,206	1,383	716	171	225	164
			-	May-21	33,563	6,026	39,589	38,216	1,373	712	168	222	164
		-	Jun-21	33,563	6,026	39,589	38,226	1,363	708	165	219	164	
FY 2022			-	Jul-21	33,563	6,026	39,589	38,236	1,353	704	162	216	164
	Activate Rated Offline Due to Locking Project Male	Close Beds	800	Aug-21	34,363	6,026	40,389	38,246	2,143	700	159	1,013	164
			-	Sep-21	34,363	6,026	40,389	38,256	2,133	696	156	1,010	164
			-	Oct-21	34,363	6,026	40,389	38,266	2,123	692	153	1,007	164
			-	Nov-21	34,363	6,026	40,389	38,276	2,113	688	150	1,004	164
			-	Dec-21	34,363	6,026	40,389	38,286	2,103	684	147	1,001	164
			-	Jan-22	34,363	6,026	40,389	38,296	2,093	680	144	998	164
			-	Feb-22	34,363	6,026	40,389	38,306	2,083	676	141	995	164
			-	Mar-22	34,363	6,026	40,389	38,316	2,073	672	138	992	164
			-	Apr-22	34,363	6,026	40,389	38,326	2,063	668	135	989	164
			-	May-22	34,363	6,026	40,389	38,336	2,053	664	132	986	164
			-	Jun-22	34,363	6,026	40,389	38,346	2,043	660	129	983	164
FY 2023			-	Jul-22	34,363	6,026	40,389	38,356	2,033	656	126	980	164
			-	Aug-22	34,363	6,026	40,389	38,366	2,023	652	123	977	164
			-	Sep-22	34,363	6,026	40,389	38,376	2,013	648	120	974	164
			-	Oct-22	34,363	6,026	40,389	38,386	2,003	644	117	971	164
			-	Nov-22	34,363	6,026	40,389	38,396	1,993	640	114	968	164
			-	Dec-22	34,363	6,026	40,389	38,406	1,983	636	111	965	164
			-	Jan-23	34,363	6,026	40,389	38,416	1,973	632	108	962	164
			-	Feb-23	34,363	6,026	40,389	38,426	1,963	628	105	959	164
			-	Mar-23	34,363	6,026	40,389	38,436	1,953	624	102	956	164
			-	Apr-23	34,363	6,026	40,389	38,446	1,943	620	99	953	164
			-	May-23	34,363	6,026	40,389	38,456	1,933	616	96	950	164
			-	Jun-23	34,363	6,026	40,389	38,466	1,923	612	93	947	164
Vacancy									4.8%				

	Leg - Auth	Proposed	Total
New Minimum Beds (Male)	-	-	-
New Medium Beds (Male)	-	-	-
New Close Beds (Male)	-	-	-
New Maximum Beds (Male)	-	-	-
New Minimum Beds (Female)	-	-	-
New Med Beds (Female)	-	-	-
Total	-	-	-

**Arizona Department of Corrections
Bed Plan -- Females
Population & Operational Bed Capacity Projections**

Operational Bed Capacity =
Rated Beds +
Temporary Beds

**Inmate Population Growth
FY 2020 thru FY 2023**

Inmates per month 25.0
Males per month 10.0
Females per month 15.0

Data as of 06/30/2019

Fiscal Year	Action	Bed Custody Level	Adjust	Date - End of Month	Operational Bed Capacity			Total Population Projection	Bed Surplus / (Deficit)	Level 2	Level 3	Level 4	Level 5
					Rated Beds	Temp Beds	Oper Bed Capacity			Min	Med	Close	Max
									Bed Surplus / (Deficit)				
FY 2020			-	Jul-19	4,259	141	4,400	4,341	59	(9)	(31)	101	0
			-	Aug-19	4,259	141	4,400	4,356	44	(12)	(43)	101	0
			-	Sep-19	4,259	141	4,400	4,371	29	(15)	(55)	101	0
			-	Oct-19	4,259	141	4,400	4,386	14	(18)	(67)	101	0
			-	Nov-19	4,259	141	4,400	4,401	(1)	(21)	(79)	101	0
			-	Dec-19	4,259	141	4,400	4,416	(16)	(24)	(91)	101	0
			-	Jan-20	4,259	141	4,400	4,431	(31)	(27)	(103)	101	0
			-	Feb-20	4,259	141	4,400	4,446	(46)	(30)	(115)	101	0
			-	Mar-20	4,259	141	4,400	4,461	(61)	(33)	(127)	101	0
			-	Apr-20	4,259	141	4,400	4,476	(76)	(36)	(139)	101	0
		-	May-20	4,259	141	4,400	4,491	(91)	(39)	(151)	101	0	
		-	Jun-20	4,259	141	4,400	4,506	(106)	(42)	(163)	101	0	
FY 2021	Activate Rated Florence Globe Female	Min Beds	250	Jul-20	4,509	141	4,650	4,521	129	205	(175)	101	0
	Activate Temp Florence Globe Female	Min Beds	52	Jul-20	4,509	193	4,702	4,521	181	257	(175)	101	0
			-	Aug-20	4,509	193	4,702	4,536	166	254	(187)	101	0
			-	Sep-20	4,509	193	4,702	4,551	151	251	(199)	101	0
			-	Oct-20	4,509	193	4,702	4,566	136	248	(211)	101	0
			-	Nov-20	4,509	193	4,702	4,581	121	245	(223)	101	0
			-	Dec-20	4,509	193	4,702	4,596	106	242	(235)	101	0
			-	Jan-21	4,509	193	4,702	4,611	91	239	(247)	101	0
			-	Feb-21	4,509	193	4,702	4,626	76	236	(259)	101	0
			-	Mar-21	4,509	193	4,702	4,641	61	233	(271)	101	0
		-	Apr-21	4,509	193	4,702	4,656	46	230	(283)	101	0	
		-	May-21	4,509	193	4,702	4,671	31	227	(295)	101	0	
		-	Jun-21	4,509	193	4,702	4,686	16	224	(307)	101	0	
FY 2022			-	Jul-21	4,509	193	4,702	4,701	1	221	(319)	101	0
			-	Aug-21	4,509	193	4,702	4,716	(14)	218	(331)	101	0
			-	Sep-21	4,509	193	4,702	4,731	(29)	215	(343)	101	0
			-	Oct-21	4,509	193	4,702	4,746	(44)	212	(355)	101	0
			-	Nov-21	4,509	193	4,702	4,761	(59)	209	(367)	101	0
			-	Dec-21	4,509	193	4,702	4,776	(74)	206	(379)	101	0
			-	Jan-22	4,509	193	4,702	4,791	(89)	203	(391)	101	0
			-	Feb-22	4,509	193	4,702	4,806	(104)	200	(403)	101	0
			-	Mar-22	4,509	193	4,702	4,821	(119)	197	(415)	101	0
			-	Apr-22	4,509	193	4,702	4,836	(134)	194	(427)	101	0
		-	May-22	4,509	193	4,702	4,851	(149)	191	(439)	101	0	
		-	Jun-22	4,509	193	4,702	4,866	(164)	188	(451)	101	0	
FY 2023			-	Jul-22	4,509	193	4,702	4,881	(179)	185	(463)	101	0
			-	Aug-22	4,509	193	4,702	4,896	(194)	182	(475)	101	0
			-	Sep-22	4,509	193	4,702	4,911	(209)	179	(487)	101	0
			-	Oct-22	4,509	193	4,702	4,926	(224)	176	(499)	101	0
			-	Nov-22	4,509	193	4,702	4,941	(239)	173	(511)	101	0
			-	Dec-22	4,509	193	4,702	4,956	(254)	170	(523)	101	0
			-	Jan-23	4,509	193	4,702	4,971	(269)	167	(535)	101	0
			-	Feb-23	4,509	193	4,702	4,986	(284)	164	(547)	101	0
			-	Mar-23	4,509	193	4,702	5,001	(299)	161	(559)	101	0
			-	Apr-23	4,509	193	4,702	5,016	(314)	158	(571)	101	0
		-	May-23	4,509	193	4,702	5,031	(329)	155	(583)	101	0	
		-	Jun-23	4,509	193	4,702	5,046	(344)	152	(595)	101	0	
									Vacancy	-7.3%			

	Leg - Auth	Proposed	Total
New Minimum Beds (Male)	-	-	-
New Medium Beds (Male)	-	-	-
New Close Beds (Male)	-	-	-
New Maximum Beds (Male)	-	-	-
New Minimum Beds (Female)	-	-	-
New Med Beds (Female)	-	-	-
Total	-	-	-

ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2019 - FY 2022 APPROPRIATED NON-GENERAL FUND PROJECTED CASH FLOW
 with Decision Packages
 FY 2020 July 2019

	Appropriated Funds							
	Corrections Fund ^{1/21} 2088	State Education Fund ² 2107	Alcohol Abuse Treatment Fund ³ 2204	Transition Program Fund ^{4/22} 2379	Prison Construction & Operations Fund ⁵ 2504	Building Renewal Fund ^{6/25/26} 2551	Penitentiary Land Fund ^{7/10} 3140	State Charitable Land Fund ^{8/9} 3141
FY 2019 BEGINNING BALANCE	21,986,510	1,047,680	1,205,326	2,058,654	5,973,287	4,311,036	3,574,848	3,418,861
REVENUE	32,168,706	484,905	450,008	3,061,923	10,348,656	5,672,567	2,541,021	2,859,328
TOTAL AVAILABLE FUNDING	54,155,216	1,532,585	1,655,334	5,120,576	16,321,942	9,983,603	6,115,870	6,278,189
USE OF FUNDS								
ADC APPROPRIATED EXPENDITURES	(22,233,926)	(726,026)	(443,723)	(1,202,434)	(10,000,000)	(4,326,702)	(2,361,604)	(3,046,450)
ADC ADMINSTRATIVE ADJUSTMENTS	(11,599,758)	-	(44,361)	(131,012)	(3,034,655)	(2,168,768)	(690,080)	(384,387)
ADC NON-APPROP - ONGOING	-	-	-	-	-	-	-	-
ADC APPROP - BLDG RENEWAL	(2,500,000)	-	-	-	-	-	-	-
OTHER AGY EXPENDITURES	(513,152)	-	-	-	-	-	-	-
FUND SWEEP	(1,500,000)	-	-	-	-	(500,000)	-	(500,000)
TOTAL USE OF FUNDS	(38,346,836)	(726,026)	(488,084)	(1,333,447)	(13,034,655)	(6,995,470)	(3,051,684)	(3,930,836)
FY 2019 PROJECTED SURPLUS/(DEFICIT)	15,808,380	806,559	1,167,250	3,787,130	3,287,287	2,988,133	3,064,186	2,347,353
FY 2020 BEGINNING BALANCE	15,808,380	806,559	1,167,250	3,787,130	3,287,287	2,988,133	3,064,186	2,347,353
REVENUE	32,068,600	529,000	468,200	3,209,200	10,400,000	5,647,000	2,603,900	3,062,500
TOTAL AVAILABLE FUNDING	47,876,980	1,335,559	1,635,450	6,996,330	13,687,287	8,635,133	5,668,086	5,409,853
USE OF FUNDS								
ADC APPROPRIATED EXPENDITURES	(30,312,300)	(729,300)	(555,500)	(2,400,100)	(12,500,000)	(6,864,300)	(2,780,300)	(2,661,800)
ADC ADMINSTRATIVE ADJUSTMENTS	(6,348,600)	-	(81,400)	(164,300)	-	(1,521,613)	-	(33,100)
ADC NON-APPROP - ONGOING	-	-	-	-	-	-	-	-
ADC APPROP - BLDG RENEWAL	(2,500,000)	-	-	-	-	-	-	-
OTHER AGY EXPENDITURES	(573,700)	-	-	-	-	-	-	-
FUND SWEEP	-	-	-	(750,000)	-	-	-	(500,000)
TOTAL USE OF FUNDS	(39,734,600)	(729,300)	(636,900)	(3,314,400)	(12,500,000)	(8,385,913)	(2,780,300)	(3,194,900)
FY 2020 PROJECTED SURPLUS/(DEFICIT)	8,142,380	606,259	998,550	3,681,930	1,187,287	249,220	2,887,786	2,214,953
FY 2021 BEGINNING BALANCE	8,142,380	606,259	998,550	3,681,930	1,187,287	249,220	2,887,786	2,214,953
REVENUE	32,068,600	529,000	468,200	3,209,200	10,400,000	5,647,000	2,603,900	3,062,500
TOTAL AVAILABLE FUNDING	40,210,980	1,135,259	1,466,750	6,891,130	11,587,287	5,896,220	5,491,686	5,277,453
USE OF FUNDS								
ADC APPROPRIATED EXPENDITURES	(30,312,300)	(729,300)	(555,500)	(2,400,100)	(12,500,000)	(6,864,300)	(2,780,300)	(2,661,800)
ADC NON-APPROP - ONGOING	-	-	-	-	-	-	-	-
ADC APPROP - BLDG RENEWAL	(2,500,000)	-	-	-	-	-	-	-
OTHER AGY EXPENDITURES	(573,700)	-	-	-	-	-	-	-
FY21 DP: Fully Fund Building Renewal Formula	-	-	-	-	-	1,240,700	-	-
FY21 DP: PCOF Backfill	-	-	-	-	2,100,000	-	-	-
FUND SWEEP	-	-	-	-	-	-	-	(500,000)
TOTAL USE OF FUNDS	(33,386,000)	(729,300)	(555,500)	(2,400,100)	(10,400,000)	(5,623,600)	(2,780,300)	(3,161,800)
FY 2021 PROJECTED SURPLUS/(DEFICIT)	6,824,980	405,959	911,250	4,491,030	1,187,287	272,620	2,711,386	2,115,653
FY 2022 BEGINNING BALANCE	6,824,980	405,959	911,250	4,491,030	1,187,287	272,620	2,711,386	2,115,653
REVENUE	32,068,600	529,000	468,200	3,209,200	10,400,000	5,647,000	2,603,900	3,062,500
TOTAL AVAILABLE FUNDING	38,893,580	934,959	1,379,450	7,700,230	11,587,287	5,919,620	5,315,286	5,178,153
USE OF FUNDS								
ADC APPROPRIATED EXPENDITURES	(30,312,300)	(729,300)	(555,500)	(2,400,100)	(12,500,000)	(5,464,300)	(2,780,300)	(2,661,800)
ADC NON-APPROP - ONGOING	-	-	-	-	-	-	-	-
ADC APPROP - BLDG RENEWAL	(2,500,000)	-	-	-	-	-	-	-
OTHER AGY EXPENDITURES	(573,700)	-	-	-	-	-	-	-
FY21 DP: Fully Fund Building Renewal Formula	-	-	-	-	-	(159,300)	-	-
FY21 DP: PCOF Backfill	-	-	-	-	2,100,000	-	-	-
FUND SWEEP	-	-	-	-	-	-	-	-
TOTAL USE OF FUNDS	(33,386,000)	(729,300)	(555,500)	(2,400,100)	(10,400,000)	(5,623,600)	(2,780,300)	(2,661,800)
FY 2022 PROJECTED SURPLUS/(DEFICIT)	5,507,580	205,659	823,950	5,300,130	1,187,287	296,020	2,534,986	2,516,353

/footnotes at end of section

ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2019 - FY 2022 NON-APPROPRIATED PROJECTED CASH FLOW REPORT
 with Decision Packages
 FY 2020 July 2019

	Non-Appropriated Funds							
	Federal Fund ¹¹ 2000	Community Corrections Enhancement Fund ¹² 2395	IGA/ISA Fund ^{13/23} 2500	Inmate Store Proceeds Fund ^{14/19/24} 2505	DOC Revolving Fund ¹⁵ 2515	Special Services (A&R) Fund ^{16/20/27} 3187	ARCOR Enterprise Revolving Fund ^{17/28/29} 4002	Indirect Cost Recovery Fund ¹⁸ 9000
FY 2019 BEGINNING BALANCE	584,227	586,662	789,503	5,714,678	1,690,701	9,083,152	11,984,784	3,203,387
REVENUE	7,954,369	344,057	3,107,108	7,243,075	3,891,848	8,965,875	48,848,558	660,144
TOTAL AVAILABLE FUNDING	8,538,596	930,719	3,896,611	12,957,753	5,582,549	18,049,027	60,833,342	3,863,531
USE OF FUNDS								
ADC NON-APPROP - ONGOING	(8,649,221)	(576,583)	(2,152)	(3,577,551)	(3,536,643)	(5,883,122)	(41,231,860)	(392,764)
ADC NON-APPROP - BLDG RENEWAL	-	-	-	(500,000)	-	(500,000)	(1,000,000)	-
OTHER AGY EXPENDITURES	-	-	-	-	-	-	-	-
ADC APPROP - Inmate Education expansion ¹⁹	-	-	-	(282,221)	-	-	-	-
ADC APPROP - Substance Abuse tx expansion ²⁰	-	-	-	-	-	(1,740,700)	-	-
LOCK FUNDING	-	-	-	(3,500,000)	-	-	(6,500,000)	-
FUND SWEEP	-	-	-	-	-	(25,200)	(1,500,000)	(499,977)
TOTAL USE OF FUNDS	(8,649,221)	(576,583)	(2,152)	(7,859,772)	(3,536,643)	(8,149,022)	(50,231,860)	(892,741)
FY 2019 PROJECTED SURPLUS/(DEFICIT)	(110,625)	354,136	3,894,459	5,097,981	2,045,906	9,900,005	10,601,482	2,970,790
FY 2020 BEGINNING BALANCE	(110,625)	354,136	3,894,459	5,097,981	2,045,906	9,900,005	10,601,482	2,970,790
REVENUE	9,361,700	378,700	60,300	7,197,600	3,867,700	9,940,000	46,106,600	609,100
TOTAL AVAILABLE FUNDING	9,251,075	732,836	3,954,759	12,295,581	5,913,606	19,840,005	56,708,082	3,579,890
USE OF FUNDS								
ADC NON-APPROP - ONGOING	(9,147,600)	(422,500)	(66,400)	(3,761,100)	(4,889,200)	(9,012,400)	(42,003,400)	(272,500)
ADC NON-APPROP - BLDG RENEWAL	-	-	-	(500,000)	-	(500,000)	(1,000,000)	-
OTHER AGY EXPENDITURES	-	-	-	-	-	-	-	-
ADC APPROP - Inmate Education expansion ¹⁹	-	-	-	(955,000)	-	-	-	-
ADC APPROP - Substance Abuse tx expansion ²⁰	-	-	-	-	-	(1,200,700)	-	-
LOCK FUNDING	-	-	-	(1,700,000)	-	(4,000,000)	(4,000,000)	-
AIMS 2	-	-	(3,888,200)	-	-	-	-	(2,000,000)
TOTAL USE OF FUNDS	(9,147,600)	(422,500)	(3,954,600)	(6,916,100)	(4,889,200)	(14,713,100)	(47,003,400)	(2,272,500)
FY 2020 PROJECTED SURPLUS/(DEFICIT)	103,475	310,336	159	5,379,481	1,024,406	5,126,905	9,704,682	1,307,390
FY 2021 BEGINNING BALANCE	103,475	310,336	159	5,379,481	1,024,406	5,126,905	9,704,682	1,307,390
REVENUE	8,618,900	378,700	39,800	7,197,600	3,867,700	9,940,000	46,106,600	591,300
TOTAL AVAILABLE FUNDING	8,722,375	689,036	39,959	12,577,081	4,892,106	15,066,905	55,811,282	1,898,690
USE OF FUNDS								
ADC NON-APPROP - ONGOING	(8,618,900)	(422,500)	(39,700)	(3,761,100)	(4,889,200)	(9,012,400)	(42,003,400)	(272,500)
ADC NON-APPROP - BLDG RENEWAL	-	-	-	(500,000)	-	(500,000)	(1,000,000)	-
OTHER AGY EXPENDITURES	-	-	-	-	-	-	-	-
ADC APPROP - Inmate Education expansion ¹⁹	-	-	-	(955,000)	-	-	-	-
ADC APPROP - Substance Abuse tx expansion ²⁰	-	-	-	-	-	(1,200,700)	-	-
TOTAL USE OF FUNDS	(8,618,900)	(422,500)	(39,700)	(5,216,100)	(4,889,200)	(10,713,100)	(43,003,400)	(272,500)
FY 2021 PROJECTED SURPLUS/(DEFICIT)	103,475	266,536	259	7,360,981	2,906	4,353,805	12,807,882	1,626,190
FY 2022 BEGINNING BALANCE	103,475	266,536	259	7,360,981	2,906	4,353,805	12,807,882	1,626,190
REVENUE	8,618,900	378,700	39,800	7,197,600	3,867,700	9,940,000	46,106,600	591,300
TOTAL AVAILABLE FUNDING	8,722,375	645,236	40,059	14,558,581	3,870,606	14,293,805	58,914,482	2,217,490
USE OF FUNDS								
ADC NON-APPROP - ONGOING	(8,618,900)	(422,500)	(39,700)	(3,761,100)	(4,889,200)	(9,012,400)	(42,003,400)	(272,500)
ADC NON-APPROP - BLDG RENEWAL	-	-	-	(500,000)	-	(500,000)	(1,000,000)	-
OTHER AGY EXPENDITURES	-	-	-	-	-	-	-	-
ADC APPROP - Inmate Education expansion ¹⁹	-	-	-	(955,000)	-	-	-	-
ADC APPROP - Substance Abuse tx expansion ²⁰	-	-	-	-	-	(1,200,700)	-	-
TOTAL USE OF FUNDS	(8,618,900)	(422,500)	(39,700)	(5,216,100)	(4,889,200)	(10,713,100)	(43,003,400)	(272,500)
FY 2022 PROJECTED SURPLUS/(DEFICIT)	103,475	222,736	359	9,342,481	(1,018,594)	3,580,705	15,911,082	1,944,990

/footnotes at end of section

ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2019 - FY 2022 APPROPRIATED NON-GENERAL FUND PROJECTED CASH FLOW
with FY 2020 Approved Budget
 FY 2020 July 2019

	Appropriated Funds							
	Corrections Fund ^{1/21} 2088	State Education Fund ² 2107	Alcohol Abuse Treatment Fund ³ 2204	Transition Program Fund ^{4/22} 2379	Prison Construction & Operations Fund ⁵ 2504	Building Renewal Fund ^{6/25/26} 2551	Penitentiary Land Fund ^{7/10} 3140	State Charitable Land Fund ^{8/9} 3141
FY 2019 BEGINNING BALANCE	21,986,510	1,047,680	1,205,326	2,058,654	5,973,287	4,311,036	3,574,848	3,418,861
REVENUE	32,168,706	484,905	450,008	3,061,923	10,348,656	5,672,567	2,541,021	2,859,328
TOTAL AVAILABLE FUNDING	54,155,216	1,532,585	1,655,334	5,120,576	16,321,942	9,983,603	6,115,870	6,278,189
USE OF FUNDS								
ADC APPROPRIATED EXPENDITURES	(22,233,926)	(726,026)	(443,723)	(1,202,434)	(10,000,000)	(4,326,702)	(2,361,604)	(3,046,450)
ADC ADMINSTRATIVE ADJUSTMENTS	(11,599,758)	-	(44,361)	(131,012)	(3,034,655)	(2,168,768)	(690,080)	(384,387)
ADC NON-APPROP - ONGOING	-	-	-	-	-	-	-	-
ADC APPROP - BLDG RENEWAL	(2,500,000)	-	-	-	-	-	-	-
OTHER AGY EXPENDITURES	(513,152)	-	-	-	-	-	-	-
FUND SWEEP	(1,500,000)	-	-	-	-	(500,000)	-	(500,000)
TOTAL USE OF FUNDS	(38,346,836)	(726,026)	(488,084)	(1,333,447)	(13,034,655)	(6,995,470)	(3,051,684)	(3,930,836)
FY 2019 PROJECTED SURPLUS/(DEFICIT)	15,808,380	806,559	1,167,250	3,787,130	3,287,287	2,988,133	3,064,186	2,347,353
FY 2020 BEGINNING BALANCE	15,808,380	806,559	1,167,250	3,787,130	3,287,287	2,988,133	3,064,186	2,347,353
REVENUE	32,068,600	529,000	468,200	3,209,200	10,400,000	5,647,000	2,603,900	3,062,500
TOTAL AVAILABLE FUNDING	47,876,980	1,335,559	1,635,450	6,996,330	13,687,287	8,635,133	5,668,086	5,409,853
USE OF FUNDS								
ADC APPROPRIATED EXPENDITURES	(30,312,300)	(729,300)	(555,500)	(2,400,100)	(12,500,000)	(6,864,300)	(2,780,300)	(2,661,800)
ADC ADMINSTRATIVE ADJUSTMENTS	(6,348,600)	-	(81,400)	(164,300)	-	(1,521,613)	-	(33,100)
ADC NON-APPROP - ONGOING	-	-	-	-	-	-	-	-
ADC APPROP - BLDG RENEWAL	(2,500,000)	-	-	-	-	-	-	-
OTHER AGY EXPENDITURES	(573,700)	-	-	-	-	-	-	-
FUND SWEEP	-	-	-	(750,000)	-	-	-	(500,000)
TOTAL USE OF FUNDS	(39,734,600)	(729,300)	(636,900)	(3,314,400)	(12,500,000)	(8,385,913)	(2,780,300)	(3,194,900)
FY 2020 PROJECTED SURPLUS/(DEFICIT)	8,142,380	606,259	998,550	3,681,930	1,187,287	249,220	2,887,786	2,214,953
FY 2021 BEGINNING BALANCE	8,142,380	606,259	998,550	3,681,930	1,187,287	249,220	2,887,786	2,214,953
REVENUE	32,068,600	529,000	468,200	3,209,200	10,400,000	5,647,000	2,603,900	3,062,500
TOTAL AVAILABLE FUNDING	40,210,980	1,135,259	1,466,750	6,891,130	11,587,287	5,896,220	5,491,686	5,277,453
USE OF FUNDS								
ADC APPROPRIATED EXPENDITURES	(30,312,300)	(729,300)	(555,500)	(2,400,100)	(12,500,000)	(5,464,300)	(2,780,300)	(2,661,800)
ADC NON-APPROP - ONGOING	-	-	-	-	-	-	-	-
ADC APPROP - BLDG RENEWAL	(2,500,000)	-	-	-	-	-	-	-
OTHER AGY EXPENDITURES	(573,700)	-	-	-	-	-	-	-
FUND SWEEP	-	-	-	-	-	-	-	(500,000)
TOTAL USE OF FUNDS	(33,386,000)	(729,300)	(555,500)	(2,400,100)	(12,500,000)	(5,464,300)	(2,780,300)	(3,161,800)
FY 2021 PROJECTED SURPLUS/(DEFICIT)	6,824,980	405,959	911,250	4,491,030	(912,713)	431,920	2,711,386	2,115,653
FY 2022 BEGINNING BALANCE	6,824,980	405,959	911,250	4,491,030	(912,713)	431,920	2,711,386	2,115,653
REVENUE	32,068,600	529,000	468,200	3,209,200	10,400,000	5,647,000	2,603,900	3,062,500
TOTAL AVAILABLE FUNDING	38,893,580	934,959	1,379,450	7,700,230	9,487,287	6,078,920	5,315,286	5,178,153
USE OF FUNDS								
ADC APPROPRIATED EXPENDITURES	(30,312,300)	(729,300)	(555,500)	(2,400,100)	(12,500,000)	(5,464,300)	(2,780,300)	(2,661,800)
ADC NON-APPROP - ONGOING	-	-	-	-	-	-	-	-
ADC APPROP - BLDG RENEWAL	(2,500,000)	-	-	-	-	-	-	-
OTHER AGY EXPENDITURES	(573,700)	-	-	-	-	-	-	-
FUND SWEEP	-	-	-	-	-	-	-	-
TOTAL USE OF FUNDS	(33,386,000)	(729,300)	(555,500)	(2,400,100)	(12,500,000)	(5,464,300)	(2,780,300)	(2,661,800)
FY 2022 PROJECTED SURPLUS/(DEFICIT)	5,507,580	205,659	823,950	5,300,130	(3,012,713)	614,620	2,534,986	2,516,353

/footnotes at end of section

ARIZONA DEPARTMENT OF CORRECTIONS
 FY 2019 - FY 2022 NON-APPROPRIATED PROJECTED CASH FLOW REPORT
 with FY 2020 Approved Budget
 FY 2020 July 2019

	Non-Appropriated Funds							
	Federal Fund ¹¹ 2000	Community Corrections Enhancement Fund ¹² 2395	IGA/ISA Fund ^{13/23} 2500	Inmate Store Proceeds Fund ^{14/19/24} 2505	DOC Revolving Fund ¹⁵ 2515	Special Services (A&R) Fund ^{16/20/27} 3187	ARCOR Enterprise Revolving Fund ^{17/28/29} 4002	Indirect Cost Recovery Fund ¹⁸ 9000
FY 2019 BEGINNING BALANCE	584,227	586,662	789,503	5,714,678	1,690,701	9,083,152	11,984,784	3,203,387
REVENUE	7,954,369	344,057	3,107,108	7,243,075	3,891,848	8,965,875	48,848,558	660,144
TOTAL AVAILABLE FUNDING	8,538,596	930,719	3,896,611	12,957,753	5,582,549	18,049,027	60,833,342	3,863,531
USE OF FUNDS								
ADC NON-APPROP - ONGOING	(8,649,221)	(576,583)	(2,152)	(3,577,551)	(3,536,643)	(5,883,122)	(41,231,860)	(392,764)
ADC NON-APPROP - BLDG RENEWAL	-	-	-	(500,000)	-	(500,000)	(1,000,000)	-
OTHER AGY EXPENDITURES	-	-	-	-	-	-	-	-
ADC APPROP - Inmate Education expansion ¹⁹	-	-	-	(282,221)	-	-	-	-
ADC APPROP - Substance Abuse tx expansion ²⁰	-	-	-	-	-	(1,740,700)	-	-
LOCK FUNDING	-	-	-	(3,500,000)	-	-	(6,500,000)	-
FUND SWEEP	-	-	-	-	-	(25,200)	(1,500,000)	(499,977)
TOTAL USE OF FUNDS	(8,649,221)	(576,583)	(2,152)	(7,859,772)	(3,536,643)	(8,149,022)	(50,231,860)	(892,741)
FY 2019 PROJECTED SURPLUS/(DEFICIT)	(110,625)	354,136	3,894,459	5,097,981	2,045,906	9,900,005	10,601,482	2,970,790
FY 2020 BEGINNING BALANCE	(110,625)	354,136	3,894,459	5,097,981	2,045,906	9,900,005	10,601,482	2,970,790
REVENUE	9,361,700	378,700	60,300	7,197,600	3,867,700	9,940,000	46,106,600	609,100
TOTAL AVAILABLE FUNDING	9,251,075	732,836	3,954,759	12,295,581	5,913,606	19,840,005	56,708,082	3,579,890
USE OF FUNDS								
ADC NON-APPROP - ONGOING	(9,147,600)	(422,500)	(66,400)	(3,761,100)	(4,889,200)	(9,012,400)	(42,003,400)	(272,500)
ADC NON-APPROP - BLDG RENEWAL	-	-	-	(500,000)	-	(500,000)	(1,000,000)	-
OTHER AGY EXPENDITURES	-	-	-	-	-	-	-	-
ADC APPROP - Inmate Education expansion ¹⁹	-	-	-	(955,000)	-	-	-	-
ADC APPROP - Substance Abuse tx expansion ²⁰	-	-	-	-	-	(1,200,700)	-	-
LOCK FUNDING	-	-	-	(1,700,000)	-	(4,000,000)	(4,000,000)	-
AIMS 2	-	-	(3,888,200)	-	-	-	-	(2,000,000)
TOTAL USE OF FUNDS	(9,147,600)	(422,500)	(3,954,600)	(6,916,100)	(4,889,200)	(14,713,100)	(47,003,400)	(2,272,500)
FY 2020 PROJECTED SURPLUS/(DEFICIT)	103,475	310,336	159	5,379,481	1,024,406	5,126,905	9,704,682	1,307,390
FY 2021 BEGINNING BALANCE	103,475	310,336	159	5,379,481	1,024,406	5,126,905	9,704,682	1,307,390
REVENUE	8,618,900	378,700	39,800	7,197,600	3,867,700	9,940,000	46,106,600	591,300
TOTAL AVAILABLE FUNDING	8,722,375	689,036	39,959	12,577,081	4,892,106	15,066,905	55,811,282	1,898,690
USE OF FUNDS								
ADC NON-APPROP - ONGOING	(8,618,900)	(422,500)	(39,700)	(3,761,100)	(4,889,200)	(9,012,400)	(42,003,400)	(272,500)
ADC NON-APPROP - BLDG RENEWAL	-	-	-	(500,000)	-	(500,000)	(1,000,000)	-
OTHER AGY EXPENDITURES	-	-	-	-	-	-	-	-
ADC APPROP - Inmate Education expansion ¹⁹	-	-	-	(955,000)	-	-	-	-
ADC APPROP - Substance Abuse tx expansion ²⁰	-	-	-	-	-	(1,200,700)	-	-
TOTAL USE OF FUNDS	(8,618,900)	(422,500)	(39,700)	(5,216,100)	(4,889,200)	(10,713,100)	(43,003,400)	(272,500)
FY 2021 PROJECTED SURPLUS/(DEFICIT)	103,475	266,536	259	7,360,981	2,906	4,353,805	12,807,882	1,626,190
FY 2022 BEGINNING BALANCE	103,475	266,536	259	7,360,981	2,906	4,353,805	12,807,882	1,626,190
REVENUE	8,618,900	378,700	39,800	7,197,600	3,867,700	9,940,000	46,106,600	591,300
TOTAL AVAILABLE FUNDING	8,722,375	645,236	40,059	14,558,581	3,870,606	14,293,805	58,914,482	2,217,490
USE OF FUNDS								
ADC NON-APPROP - ONGOING	(8,618,900)	(422,500)	(39,700)	(3,761,100)	(4,889,200)	(9,012,400)	(42,003,400)	(272,500)
ADC NON-APPROP - BLDG RENEWAL	-	-	-	(500,000)	-	(500,000)	(1,000,000)	-
OTHER AGY EXPENDITURES	-	-	-	-	-	-	-	-
ADC APPROP - Inmate Education expansion ¹⁹	-	-	-	(955,000)	-	-	-	-
ADC APPROP - Substance Abuse tx expansion ²⁰	-	-	-	-	-	(1,200,700)	-	-
TOTAL USE OF FUNDS	(8,618,900)	(422,500)	(39,700)	(5,216,100)	(4,889,200)	(10,713,100)	(43,003,400)	(272,500)
FY 2022 PROJECTED SURPLUS/(DEFICIT)	103,475	222,736	359	9,342,481	(1,018,594)	3,580,705	15,911,082	1,944,990

/footnotes at end of section

ARIZONA DEPARTMENT OF CORRECTIONS
FY 2019 - FY 2022 PROJECTED CASH FLOW

FOOTNOTES

- 1 Revenue is received from luxury taxes on alcohol and tobacco.
Fund use is for construction, major maintenance, purchase or lease of correctional facilities. Funding is appropriated to ADC for operating requirements of contracted private prisons.
- 2 Revenue is received from state equalization aid, federal grants, and other monies and is used for educating minors incarcerated in state prisons. The ADC receives basic state aid funding from the Department of Education based on the number of Average Daily Membership pupils attending ADC education programs.
- 3 Revenue is received from inmates sentenced for DUI (the lesser of sixty-seven percent or fifty cents per hour of the monies earned by inmates).
- 4 Revenue is received from five percent of the wages earned by inmates not convicted of DUI offenses and shall be used for costs related to administration of the transition program and program related services. Revenue from eliminated fund 2383 is received from cost savings resulting from implementation of a transition drug treatment program that benefits non-violent drug offenders. The cost reductions are directed to the transition program pursuant to A.R.S. 31-285. The reduction rate shall equal at least seventeen dollars per inmate per day.
- 5 Revenue is received from persons convicted of driving under the influence (fines of \$500 to \$1500 per person).
Fund use is for costs related to prison overcrowding and ADC support and maintenance. Currently, the fund expenditures are allocated to contracted inmate health services and contracted food services.
- 6 Revenue is derived from fund transfers, visitation background check fees, and inmate banking fees. Fund use is for capital projects and preventive maintenance.
- 7 Revenue is received from interest on monies in the fund and money derived from the rental of land and properties.
Funding is appropriated to ADC for operating requirements of contracted private prisons.
- 8 Revenue is received from interest on monies in the fund and 25% of monies derived from the rental of land and properties.
Fund is a continuous source of monies for the benefit and support of state penal institutions. Currently, the fund expenditures are for the lump sum appropriation.
- 9 In FY 2019, Laws 2018, 2nd Regular Session, Chapter 342 (SB1476), Section 1 - County Release Planning transfers \$500K in FY's 19, 20 & 21 to a county sheriff (Yavapai County) for administering release coordination reentry planning services.
- 10 The approved FY19 budget includes an appropriation increase of \$444,900 for Second Chance Center (Employment Center) Expansion.
- 11 Revenue is received from law enforcement related grant awards.
- 12 Revenue is received from prisoners during the time that the prisoners remain on community supervision.
- 13 Revenue is received from Intergovernmental agreements between ADC and other state and local entities.
- 14 Revenue is received from profits resulting from the privatization of inmate stores.
Fund use is for inmate activities, incentive pay increase for corrections officers, equipment to enhance safety for the ADC, inmates or other official needs.
- 15 Revenue is generated from 3% of tax revenues collected on spirituous liquors and 7% of tax revenue collected on vinous and malt liquor. Fund use is for offender participation in appropriate drug treatment or substance abuse education programs administered by a qualified agency, organization or individual, and for reentry, education or mental health assistance programs that are administered by the state Department of Corrections or by a qualified agency, organization or individual.
- 16 Revenue is received from commissions of telephone services. Fund use is for the benefit, education and welfare of committed offenders e.g. community college Career and Technical Education contracts and Inmate Trust Account supplies.
- 17 Revenue is generated from the sale of goods produced by Arizona Correctional Industries (ACI).
- 18 Revenue is received from administration portion of the State Criminal Alien Assistance Program (SCAAP) and indirect charges to grants.
- 19 The approved FY19 budget includes an appropriation increase of \$954,100 from the Inmate Store Proceeds Fund (2505), which is a non-appropriated fund, for Inmate Education Expansion.
- 20 The FY 2019 budget included an appropriation increase to this fund of \$1,200,700 for Substance Abuse Treatment Expansion plus a one-time appropriation increase of \$540,000 for one-time costs to be funded from the Transition Program Fund (2379).
- 21 In FY 19 \$1,500,000 is transferred from the Corrections Fund (2088) to the General Fund (1000) pursuant to Laws 2018, Second Regular Session, Chapter 276 (SB 1520), Section 140, for the purpose of providing adequate support and maintenance for agencies of this state.
- 22 In FY 2020, \$750,000 is transferred from this fund to the Arizona Criminal Justice Commission to distribute to the Yavapai county sheriff to administer felony pretrial intervention programs, pursuant to Laws 2019, First Regular Session, Chapter 263 (HB 2747), Section 131.
- 23 This fund includes activity for the AIMS replacement project appropriated to ADOA. Funds have been transferred from the Automated Projects Fund for ADC to expend on approved project expenditures. AIMS replacement project expenditures are expected to be fully completed by December 31, 2019.
- 24 On June 18, 2019, JCCR gave a favorable review to the proposed expenditure for the first phase of the Locking, Fire, HVAC upgrades for ASPC-Lewis and ASPC-Yuma. \$5,200,000 is approved to be transferred from this fund to ADOA (amendment to existing ISA/IGA 16-042-21, project # PCS-19-042). In June 2019 \$3,500,000 was transferred.
- 25 In FY 2019, \$500,000 is transferred from the Building Renewal Fund (2551) to the General Fund (1000) pursuant to Laws 2018, Second Regular Session, Chapter 276 (SB 1520), Section 140, for the purpose of providing adequate support and maintenance for agencies of this state.
- 26 Laws 2019, First Regular Session, Chapter 268 (HB2748), Section 2, C. includes an appropriation for FY 2020 of \$6,684,300 for building renewal.
- 27 On June 18, 2019, JCCR gave a favorable review to the proposed expenditure for the first phase of the Locking, Fire, HVAC upgrades for ASPC-Lewis and ASPC-Yuma. \$4,000,000 is approved to be transferred from this fund to ADOA (amendment to existing ISA/IGA 16-042-21, project # PCS-19-042).
- 28 In FY 2019, \$1,500,000 is transferred from the Arizona Correctional Industries revolving Fund (4002) to the General Fund (1000) pursuant to Laws 2018, Second Regular Session, Chapter 276 (SB 1520), Section 140, for the purpose of providing adequate support and maintenance for agencies of this state.
- 29 On June 18, 2019, JCCR gave a favorable review to the proposed expenditure for the first phase of the Locking, Fire, HVAC upgrades for ASPC-Lewis and ASPC-Yuma. \$10,500,000 is approved to be transferred from this fund to ADOA (amendment to existing ISA/IGA 16-042-21, project # PCS-19-042).

ARIZONA DEPARTMENT OF CORRECTIONS

FY 2021 Budget Request

Standard Equipment Matrix

Item	PACKAGE NUMBERS			
	1 Grade 23 and Up	2 Grade 18 thru 22	3 Grade 11 thru 17	4 Correctional Series
Bookcase, 60", 3 Adjustable Shelf	\$ 200	\$ 200	\$ 200	
Chair, Ergonomic Swivel, with Arms	300	300	300	
Chair, Side, with Arms	200	200		
Computer HP, Monitor & Software License Fees	1,300	1,300	1,300	
Credenza, Exec, Wood (71"X20"X29")	800			
Desk, Conventional, Dbl Pedestal 30" X 60"	900	900	900	
File Cabinet, 4-Dwr Lateral w/Lock (Legal Size)	500	500	500	
Round Work Table	200			
Telephone Set - Cisco CP8845	300	300	300	
Badge				40
Flashlight - Rechargeable				80
Gloves				20
Hand Cuffs/Carrier				60
Pepper Spray/Carrier				30
Radio, Carry Case Leather two knob				50
Charger, single unit desktop				200
Radio, Motorola APX 4000				2,100
Radio, Batteries (2 each required)				300
CPR Mask				20
TOTAL COST	\$ 4,700	\$ 3,700	\$ 3,500	\$ 2,900

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST**

PROFESSIONAL AND OUTSIDE SERVICES BUDGET JUSTIFICATION

6221 – ATTORNEY GENERAL LEGAL SERVICES

These are charges for legal services provided by the Attorney General's Office. The ADC contracts with the Attorney General's Office through an intergovernmental agreement for legal services.

6222 – EXTERNAL LEGAL SERVICES

These are charges for legal services provided by non-state employees, court reporting, paralegals, legal filing services, professional witnesses, prosecution costs, and other outside legal services. Vendors include Maricopa County, Struck Love Bojanowski & Acedo PLC, Pima County, Pinal County, and Prison Law Office.

6241 – TEMPORARY AGENCY SERVICES

These are charges for services provided by employment temporary agencies. Vendors include Guidesoft Inc. and Accounting and Finance Professionals Inc.

6259 – OTHER MEDICAL SERVICES

These are charges for medical, dental, or mental health services provided by ADC contracted vendor for inmate healthcare; for mental and psychological employment or pre-employment related screenings for staff; for high health costs (payments to ADC private prisons contracted vendors for inmate health costs in excess of medical cap); and for substance abuse, behavior modification programs and transitional services for inmates and released offenders. Vendors include Centurion, ViVRE, Sage Counseling, Treatment Assessment Screening Center Inc., Correctional Healthcare Companies Inc., Behavioral Systems Southwest, Inc., The GEO Group Inc., and Management & Training Corp.

6261 – INSTITUTIONAL CARE

These are charges for the secure institutional care of inmates. These include the in-state private prison per diem costs.

6271 – EDUCATION, TRAINING & RELATED SERVICES

These are charges for education and training services provided by individuals and community colleges to inmates and staff; includes charges for GED testing and education materials. Vendors include Eleutheria, G&M Consulting Inc., GED Testing Service LLC, and ASU Bob Ramsey Executive Education Program. Providers for inmate Career and Technical Education training include Maricopa,

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST**

Pinal (Central Arizona College), Pima, Graham, and Cochise counties Community College Districts, Arizona Western College, Northland Pioneer College, and Arizona State University.

6295 – COSTS RELATED TO THOSE IN CUSTODY OF THE STATE

These are charges associated with the transportation of inmates; vendors include Security Transport Services Inc.

6299 – OTHER PROFESSIONAL & OUTSIDE SERVICES

These are charges for other professional and outside services such as counseling and treatment, consulting, interpreting, lecture fees, audits, security, CDL physicals, electronic monitoring of paroled inmates, assessment screening, funerals/cremations, veterinarian services (K-9), polygraph testing, records review and other services provided by external entities; vendors include APS Energy Services Company Inc., Grant Thornton LLP, Guidesoft Inc., Attenti US Inc, Capna NewCo LLC, Pima, Yavapai, and Pinal counties, and Abel Funeral Services Inc.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST**

CAPITAL EQUIPMENT BUDGET JUSTIFICATION

8411 – VEHICLES – CAPITAL PURCHASE

These are charges of \$5,000 or more for the costs associated with the purchase of vehicles including automobiles, trucks, buses, and other vehicle types; vendors include Creative Bus Sales Inc., Courtesy Chevrolet, and Don Sanderson Ford Inc.

8431 – COMPUTER EQUIPMENT – CAPITAL PURCHASE

These are charges of \$5,000 or more for the costs associated with the purchase of computer hardware; vendors include Commercial Computer Services Inc. and Trace3 Inc.

8461 – TELECOMMUNICATIONS EQUIPMENT – CAPITAL PURCHASE

These are charges of \$5,000 or more for the costs associated with the purchase of telecommunications equipment. Vendors include Insight Public Sector Inc. and Motorola Solutions Inc.

8471 – OTHER EQUIPMENT – CAPITAL PURCHASE

These are charges of \$5,000 or more for the costs associated with the purchase of other equipment such as kitchen equipment, metal detectors, trash compactors, and automotive shop equipment. Vendors include RWC International LTD, Creative Bus Sales Inc., Kirby West Printing Equipment LLC, CDW Government Inc., Loven Contracting Inc., GHD Services Inc., WW Grainger Inc., CVK Enterprises, Cooks Correctional Kitchen Equipment, and Motorola Solutions Inc.

8491 – OTHER CAPITAL ASSET PURCHASES

These are charges of \$5,000 or more for the costs associated with the purchase of other assets such as service dogs. Vendors include, Zdenek Jan Blabla and Southwest Mobile Storage.

**ARIZONA DEPARTMENT OF CORRECTIONS
FY 2021 BUDGET REQUEST**

NON-CAPITAL EQUIPMENT BUDGET JUSTIFICATION

8511 – VEHICLES – NON-CAPITAL PURCHASE

These are charges associated with the purchase of vehicles with a cost of less than \$5,000; includes trailers and purchases from ADOA State Surplus Property.

8521 – FURNITURE – NON-CAPITAL PURCHASE

These are charges associated with the purchase of furniture with a cost of less than \$5,000; includes chairs, desks, bookcases, or other furnishings as required. Vendors include Arizona Correctional Industries, Elontec LLC, Staples Contract and Commercial Inc., Office Depot, Varidesk LLC, and Goodmans Inc.

8531 – COMPUTER EQUIPMENT – NON-CAPITAL PURCHASE

These are charges associated with the purchase of computer equipment with a cost of less than \$5,000 such as desktop computers, servers, and other information technology equipment. Vendors include HP Inc, Dell Marketing LP, Milestone Computer Technology, Commercial Computer Services Inc., and CDW Government Inc.

8561 – TELECOMM EQUIPMENT – NON-CAPITAL PURCHASE

These are charges associated with the purchase of telecommunications equipment with a cost of less than \$5,000. Vendors include Vodafone Airtouch Licenses LLC, Visiplex Inc., and CDW Government Inc.

8571 – OTHER EQUIPMENT – NON-CAPITAL PURCHASE

These are charges associated with the purchase of all other equipment with a cost of less than \$5,000; includes diagnostic equipment, shop repair equipment, kitchen equipment, laundry equipment, security equipment (bulletproof vests, tear gas ejector, etc.), metal detectors, and other equipment as required. Vendors include Troxell Communications Inc., Lowes Companies Inc., Cooks Correctional Kitchen Equipment Co, WW Grainger Inc., CVK Enterprises, and Coin and Professional Equipment Co.

8581 – PURCHASED OR LICENSED SOFTWARE/WEBSITE

These are charges associated with the cost of purchasing or licensing software/website to be expensed. Vendors include Medianet Solutions Inc., CDW Government Inc., and Harris Corporation.

INMATE PROGRAMS

ADC assesses the recidivism risk and programming needs of each inmate during intake. Assessment results, along with sentence structure, are used to prioritize inmate programmatic goals and placement.

Program Enrollment	Sub-Total	TOTAL
ADC Education		4,695
Functional Literacy	1,234	
High School Equivalency	2,188	
Special Education	105	
Career & Technical Education	1,168	
Addiction Treatment *		805
Sex Offender Treatment		233
Self-Improvement		6,353
Work Programs		24,468
Arizona Correctional Industries		
· Labor Contracts	1,226	
· Owned & Operated	742	
Intergovernmental Agreements	1,946	
Work Incentive Pay Program	20,554	
Total Program Enrollments **		36,554

* Seventy-eight percent of inmates assessed at intake have significant substance abuse histories.

** Inmates may be enrolled in more than one program.

INMATE CONTRIBUTIONS / REPARATIONS

AZ COMMUNITY LABOR	This Month	FY YTD
Fire Crew Hours	41,469	299,472
Public Sector Work Crew Hours	182,017	2,003,934
ADOT Crews Hours	46,658	242,357

AZ CRIME VICTIMS

Court Ordered Restitution Collected	\$107,299	\$1,480,177
Victims' Compensation Collected	\$7,497	\$41,535
Victim Notifications of Release	311	3,616

INMATE HEALTH SERVICES

Hospital Admissions.....	101
Inmates With: HIV...276 Active TB...0 Hepatitis C...7,559	
Inmates Requiring Ongoing Mental Health Services.....	12,244

INMATE CONDUCT / INMATE GRIEVANCES

Inmate / Inmate Assaults.....21	Inmate Grievances.....323
Inmate / Staff Assaults.....63	

INMATE COMMITMENT OFFENSES

OFFENSE	US Citizens	Crim. Aliens	TOTAL	%
Arson	137	7	144	0.3%
Assault	5,286	304	5,590	13.2%
Auto Theft	2,021	40	2,061	4.9%
Burglary/Criminal Trespass	2,803	68	2,871	6.8%
Child/Adult Abuse	302	18	320	0.8%
Child Molestation	1,443	302	1,745	4.1%
Criminal Damage	147	8	155	0.4%
Domestic Violence	164	3	167	0.4%
Drug Possession (All)	3,791	66	3,857	9.1%
<i>Marijuana Only</i>	218	6	224	0.5%
Drug Sales/Trafficking	3,958	892	4,850	11.5%
DUI	1,384	117	1,501	3.5%
Escape	171	8	179	0.4%
Forgery	372	8	380	0.9%
Fraud	282	7	289	0.7%
Identity Theft	384	9	393	0.9%
Kidnapping	1,084	228	1,312	3.1%
Manslaughter/Neg. Homicide	659	94	753	1.8%
Murder	2,777	389	3,166	7.5%
Other	1,569	84	1,653	3.9%
Rape/Sexual Assault	519	71	590	1.4%
Robbery	3,408	166	3,574	8.4%
Sex Offense	2,608	302	2,910	6.9%
Theft	1,011	23	1,034	2.4%
Trafficking in Stolen Property	639	12	651	1.5%
Weapons Offense	1,892	51	1,943	4.6%
TOTAL	39,029	3,283	42,312	100.0%
%	92.2%	7.8%		100.0%

INMATE CRIMINAL HISTORY

	US Citizens	Crim. Aliens	TOTAL	%
Violent Offenders *	29,286	2,236	31,522	74.5%
· <i>Current</i>	20,483	1,906	22,389	52.9%
· <i>Historical</i>	8,803	330	9,133	21.6%
Non-Violent Offenders	9,743	1,047	10,790	25.5%
TOTAL	39,029	3,283	42,312	100.0%

* Total Violent Offenders; includes offenders of a non-violent statute who have used a weapon or caused an injury

	US Citizens	Crim. Aliens	TOTAL	%
Prior ADC Prison Term	20,899	560	21,459	50.7%
First ADC Prison Term	18,130	2,723	20,853	49.3%
TOTAL	39,029	3,283	42,312	100.0%

The Arizona Department of Corrections

Charles L. Ryan
Director

CORRECTIONS
AT A
GLANCE



JUNE 2019

This report contains preliminary statistics as of the end of the month. Changes/updates will be posted on the ADC website. Please refer to the online reports for the most current data.

For further information, contact:
Arizona Department of Corrections
Strategic Planning and Policy
1601 West Jefferson Street
Phoenix, Arizona 85007
602-542-1576
<https://corrections.az.gov/>

This document is available in alternative formats by contacting Central Office Public Access at: 602-542-5886

ADC CENSUS

*OP CAP: Operating Capacity Beds = Rated Beds + Temporary Beds

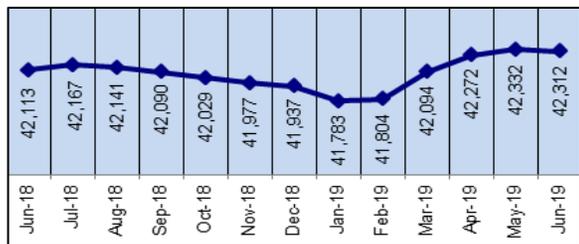
FACILITY	RATED	TEMP	OP CAP*	POP
MALE - STATE				
ASPC-Douglas	1,805	343	2,148	2,047
ASPC-Eyman	3,984	1,793	5,777	5,686
ASPC-Florence	3,284	697	3,981	3,948
ASPC-Phoenix	532	168	700	497
ASPC-Lewis	5,104	870	5,974	4,719
ASPC-Safford	1,453	416	1,869	1,702
ASPC-Tucson	4,575	493	5,068	4,983
ASPC-Winslow	1,626	216	1,842	1,290
ASPC-Yuma	4,350	420	4,770	4,815
STATE TOTAL	26,713	5,416	32,129	29,687
PRIVATE TOTAL	7,900	662	8,562	8,299
MALE - TOTAL	34,613	6,078	40,691	37,986
FEMALE STATE				
ASPC-Perryville	4,214	141	4,355	4,323
ASPC-Tucson	25	0	25	3
ASPC-Phoenix	20	0	20	0
FEMALE -TOTAL	4,259	141	4,400	4,326
PRISON TOTAL	38,872	6,219	45,091	42,312
COMMUNITY SUPERVISION OFFENDERS				5,635
ADC TOTAL				47,947

INMATE BED CAPACITY & POPULATION DETAIL

CATEGORY	MALE	FEMALE	TOTAL
Operating Capacity Beds	40,691	4,400	45,091
Inmate Population	37,986	4,326	42,312
Bed Surplus / (Deficit)	2,705	74	2,779
Month's Admissions	1,224	238	1,462
Month's Releases*	1,254	228	1,482
Month's Growth	(30)	10	(20)

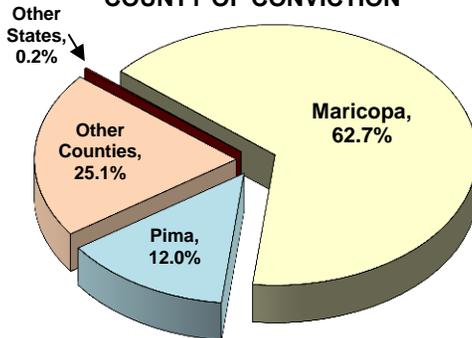
(*Releasees Served an Average of 26 months)

MONTHLY POPULATION CHANGE

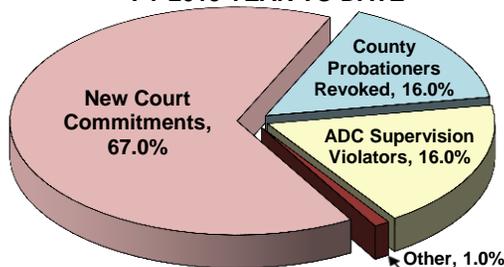


Snap-shot of Population on Last Day of Each Month

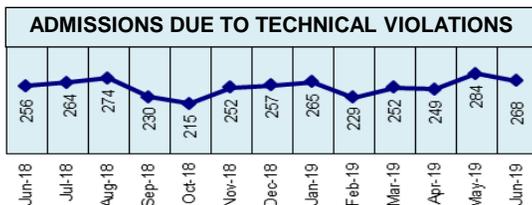
COMMITTED POPULATION BY COUNTY OF CONVICTION



ADC HAS ADMITTED 18,159 INMATES FY 2019 YEAR TO DATE



ADC HAS RELEASED 17,960 INMATES FY 2019 YEAR TO DATE



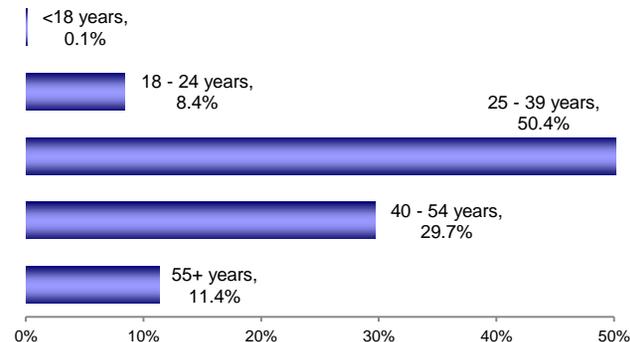
SPECIAL POPULATION GROUPS

SENTENCE TYPE	MALE	FEMALE	TOTAL
Death Row	113	3	116
Minors	43	3	46
Veterans	2,351	25	2,376

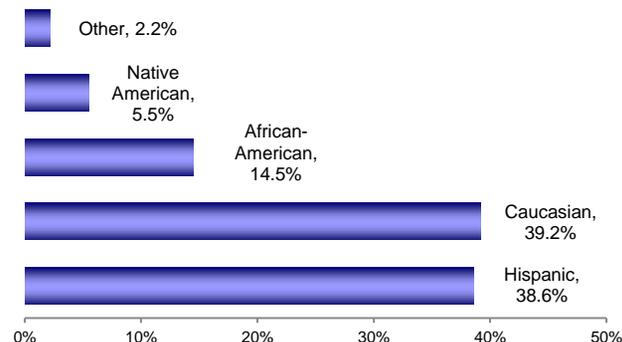
CITIZENSHIP / GENDER DISTRIBUTION

	MALE	FEMALE	TOTAL	%
US Citizens	34,836	4,193	39,029	92.2%
Crim Aliens	3,150	133	3,283	7.8%
TOTAL	37,986	4,326	42,312	100.0%
%	89.8%	10.2%		100.0%

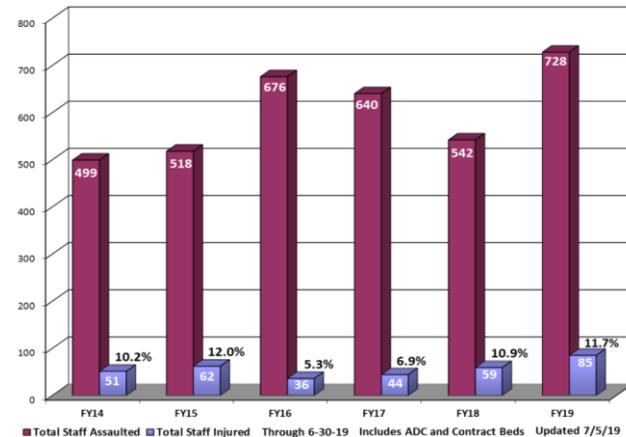
AGE DISTRIBUTION



ETHNIC DISTRIBUTION



TOTAL STAFF ASSAULTED - WITH INJURY



ADC CONSTITUENT CONTACTS	3,526
ADC PERSONNEL ON MILITARY LEAVE	109
ADC PERSONNEL ON MILITARY STANDBY	43

ADC INSTITUTIONAL CAPACITY COMMITTED POPULATION

30-Jun-19		OPERATING CAPACITY							INMATE COMMITTED POPULATION AS MIDNIGHT										
Custody	UNIT	USE	RATED		TEMPORARY		TOTAL	SPECIAL USE			RATED		TEMPORARY		TOTAL	S.U./T.S.U.	INSIDE TOTAL	OUTSIDE TOTAL	GRAND TOTAL
			G.P.	M/MH	T/G.P.	T M/MH		S.U.	T/S.U.	TOTAL	G.P.	M/MH	T/G.P.	T M/MH					
ASPC-DOUGLAS																			
MIN	Gila	GP	632		632	203		835			0	632		160	792	0	792	21	813
MED	Mohave	GP	803		803	140		943			0	803		120	923	0	923	24	947
	Complex Detention	DET			0			0	45	44	89				40	40	9	49	
MED	Eggers	GP	240		240			240			0	238		0	238	0	238	0	238
MIN	Maricopa	GP	130		130			130			0	0		0	0	0	0	0	0
	TOTAL		1805	0	1805	343	0	2148	45	44	89	1673	0	280	1953	40	1993	54	2047
ASPC-EYMAN																			
MED	Cook	SO	796		796	718		1514				796		629	1425	0	1425	3	1428
MED	Meadows	SO	796		796	483		1279				796		473	1269	0	1269	5	1274
CLOSE	Meadows	SO			0	80		80						77	77	0	77	0	77
	Rynning Detention	DET			0			0	40	40	80					46	46	2	48
CLOSE	Rynning A69	GP	400		400			400				389			389	0	389	1	390
CLOSE	Rynning A12	GP	400		400			400				393			393	0	393	2	395
MAX	SMU I	GP	352		352	32		384	7	7	349				349	0	349	1	350
MAX	SMU I SO	SO	88		88	56		144				88		50	138	0	138	0	138
CLOSE	SMU I SO CLOSE	SO	328		328	184		512				328		103	431	0	431	4	435
MAX	SMU I P.C.	PC	32		32	0		32	1		1			29	29	0	29	1	30
	SMU I Detention	DET			0			0	192	192	384					235	235	4	239
MAX	SMU I M/H Watch	MH		24	24			24						21	21	0	21	0	21
MAX	Browning Intake	GP	30		30	30		60				30		0	30	0	30	1	31
MAX	Browning Unit	GP	344		344	146		490				344		112	456	0	456	8	464
MAX	Browning STG	STG	230		230	10		240				179		0	179	0	179	2	181
MAX	Browning D/Row	DR	35		35			35				24		0	24	0	24	1	25
CLOSE	Browning D/Row	DR	5		5			5				5		0	5	0	5	0	5
MAX	Browning M/H Watch	MH		10	10			10						8	8	0	8	0	8
MAX	Browning Enhanced	GP	60		60			60				52		0	52	0	52	6	58
MAX	Browning RSHP	GP	30		30	30		60				30		0	48	0	48	1	49
CLOSE	Browning Close Management	GP	24		24	24		48				24		0	40	0	40	0	40
	TOTAL		3950	34	3984	1793	0	5777	240	232	472	3856	29	1478	5363	281	5644	42	5686
ASPC-FLORENCE																			
CLOSE	Central Unit Close	GP	707		707			707			0	700			700	0	700	7	707
CLOSE	Central Unit Death Row	GP	97		97			97				82			82	0	82	1	83
MAX	Kasson BMU	MH		24	24			24					15		15	0	15	0	15
MAX	Kasson MH	MH		152	152			152			0		131		131	0	131	2	133
MAX	Kasson MH Watch	MH	16		16			16	8		8		21		21	0	21	1	22
MAX	Housing Unit 8	MED	22		22	20		42			0		22		42	0	42	0	42
MAX	Health Unit	MED			0			0	15		15				15	0	15	0	15
MED	East Unit	GP	600		600	80		680				600		72	672	0	672	10	682
MIN	North Unit	GP	872		872	124		996				872		78	950	0	950	37	987
MED	South Unit	SO	544		544	421		965				544		418	962	0	962	2	964
MIN	Globe	GP	250		250	52		302				250		48	298	0	298	0	298
	Globe Detention	DET							9		9				0	0	0	0	0
	TOTAL		3070	214	3284	697	0	3981	32	0	32	3048	189	616	3873	15	3888	60	3948
ASPC-PERRYVILLE-F																			
MED	Santa Cruz	GP	576		576			576			0	573		0	573	0	573	13	586
CLOSE	Lumley 1	GP	192		192			192			0	182		0	182	0	182	3	185
CLOSE	Santa Cruz 2	GP	192		192			192				182		0	182	0	182	1	183
CLOSE	Lumley Mental Health	MH		36	36			36					12		12	0	12	0	12
CLOSE	Perryville SNU	MED			10			10						4	4	0	4	0	4
MED	Lumley Medium	GP	528		528			528				524		0	524	0	524	10	534
MED	Santa Maria WTU	MH		24	24			24			0		0		0	0	0	0	0
CLOSE	Perryville Watch Cells	MH			0			0				0		20	20	0	20	0	20
	Recp&Asmnt	GP	144		144			144				144		0	144	0	144	2	146
CLOSE	Building 45 Central MH Unit	MH		12	12			12	2		2		8		8	0	8	0	8
CLOSE	Treatment MH Ward	MH			16			16						12	12	0	12	0	12
MIN	San Pedro	GP	432		432			432				431		0	431	0	431	2	433
MED	Santa-Maria	GP	168		168		4	172				168		23	191	0	191	1	192
	Santa Maria Detention	DET							24	20	44				0	17	0	17	
CLOSE	Perryville IPC	MED		10	10		5	15					6		6	0	6	0	6
MIN	Piestewa	GP	210		210			210				209		0	209	0	209	0	209
MIN	Piestewa Second Chance Center	GP	50		50			50				49		0	49	0	49	1	50
MIN	Santa Rosa	GP	390		390			390				348		0	348	0	348	45	393
MIN	San Carlos	GP	1250		1250	80		1330				1250		77	1327	0	1327	6	1333
	TOTAL		4132	82	4214	84	57	4355	26	20	46	4060	26	100	4222	17	4239	84	4323
ASPC-PHOENIX																			
MAX	Reception	GP	207		207	129		336			0	207		21	228	0	228	2	230
MIN	Inmate Worker	GP	30		30	31		61				30		25	55	0	55	0	55
MAX	B-Ward	MH		40	40		8	48					19		19	0	19	2	21
CLOSE	Flamenco Ida Ward- M	MH		25	25			25					20		20	7	27	0	27
CLOSE	Flamenco Ida Watch M	MH		15	15			15					4		4	0	4	0	4
CLOSE	Flamenco John PS- M	MH		30	30			30		9	9		24		24	0	24	0	24
CLOSE	Flamenco King - M	MH		35	35			35					3		3	0	3	0	3
CLOSE	Flamenco-George -F	MH		20	20			20		2	2		0		0	0	0	0	0
MED	Aspen/SPU	MH		150	150			150					133		133	0	133	0	133
	TOTAL		237	315	552	160	8	720	11	0	11	237	203	46	486	7	493	4	497

General Information 8-3

ADC INSTITUTIONAL CAPACITY COMMITTED POPULATION

30-Jun-19		OPERATING CAPACITY							INMATE COMMITTED POPULATION AS MIDNIGHT													
Custody	UNIT	USE	RATED			TEMPORARY		TOTAL	SPECIAL USE			RATED				TEMPORARY		TOTAL	S.U./T.S.U.	INSIDE TOTAL	OUTSIDE TOTAL	GRAND TOTAL
			G.P.	M/MH	TOTAL	T/G.P.	T M/MH		S.U.	T/S.U.	TOTAL	G.P.	M/MH	T/G.P.	T M/MH							
ASPC-LEWIS																						
CLOSE	Morey	GP	800		800			800	16		16	0			0		0	0	0	0	0	
	Morey Detention	DET			0		0		80		80						76	76	1	77		
CLOSE	Rast	PC	394		394			394				346			346		0	346	3	349		
CLOSE	Rast II	PC	84		84			84				27			27		0	27	0	27		
CLOSE	RAST III	PC	96		96			96				94			94		0	94	0	94		
MAX	Rast PC	PC	320		320			320				317			317		0	317	6	323		
CLOSE	Rast Close Mgt.	PC	10		10			10				0			0		0	0	0	0		
	Lewis Medical	MED			0			0	17		17						11	11	1	12		
MED	Stiner I	GP	400		400	208		608			400		101		501		0	501	5	506		
MED	Stiner II	GP	400		400	208		608			400		168		568		0	568	5	573		
	Stiner Detention	DET			0			0	70		70						66	66	0	66		
MIN	Bachman PC	PC	300		300	76		376			300		33		333		0	333	6	339		
MED	Bachman GP	GP	278		278	66		344			278		52		330		0	330	1	331		
MED	Bachman Transitory	TRANS	22		22	10		32			15		0		15		0	15	0	15		
	Bachman Detention	DET			0			0	80		80						73	73	0	73		
CLOSE	Buckley PC	PC	750		750			750	16		16	664			664		0	664	6	670		
CLOSE	Buckley PC II	PC	50		50			50				46			46		0	46	1	47		
MED	Barchey PC I	PC	370		370	150		520			0	370		45	415		0	415	2	417		
MED	Barchey PC II	PC	370		370	120		490				334		0	334		0	334	0	334		
MED	Barchey PC III	PC	60		60			60				47		0	47		0	47	0	47		
MED	Barchey PC Watch Cells	MH			0			0						0	0		17	0	17	0		
MIN	Sunrise	GP	100		100	12		112				64		0	64		0	64	40	104		
MIN	Eagle Point Second Chance Center	GP	300		300			300				298			298		0	298	0	298		
	TOTAL		5104	0	5104	850	20	5974	279	0	279	4000	0	399	4416	226	4642	77	4719			
ASPC-SAFFORD																						
MIN	Fort Grant	GP	588		588	160		748				588		48	636		0	636	19	655		
	Miles Detention	DET			0			0	25		24	49					26	26	2	28		
MIN	Graham	GP	615		615	96		711				615		15	630		0	630	1	631		
MED	Tonto	PC	250		250	160		410				250		138	388		0	388	0	388		
	Tonto Detention	DET			0			0	6		6						0	0	0	0		
	TOTAL		1453	0	1453	416	0	1869	31	24	55	1453	0	201	1654	26	1680	22	1702			
ASPC-YUCSON																						
CLOSE	Cimarron	GP	648		648			648				591		0	591		0	591	15	606		
	Cimarron Detention	DET			0			0	48		48	96			0		87	87	4	91		
CLOSE	Rincon MH Watch	MH	79		79		6	85				0		41	0		41	0	41			
CLOSE	Rincon Medical	MED			0			0	66		66			0	60		60	4	64			
CLOSE	Rincon S.N.U.	MED	16		16			16						12	0		12	0	12			
CLOSE	Cimarron Transitory	TRANS			0		24	24				0		1	0		1	1	1	2		
CLOSE	Rincon	GP	340		340			340			327				327		0	327	3	330		
CLOSE	Rincon MH Program			228	228			228					177		177		0	177	0	177		
CLOSE	Minors Male	GP	152		152			152				41			41		0	41	0	41		
CLOSE	Minors Female	GP	25		25			25				3			3		0	3	0	3		
	Minors Intake	GP			0			0	16		16				0		2	2	0	2		
MED	Santa Rita	GP	768		768			768				748		0	748		0	748	4	752		
MED	Manzanita S.N.U.	MED	25		25		20	45			0		25		43		0	43	2	45		
MED	Manzanita	GP	179		179	107		286		0	179		97		276		NA	276	0	276		
MED	Manzanita Second Chance Center	GP	48		48			48				48			48		NA	48	0	48		
MED	Manzanita Watch Cells	MH		24	24			24						14	0		14	0	14			
MED	Manzanita Residential	MED		58	58			58						56	0		56	0	56			
	Manzanita Detention	DET			0			0	12		11	23					21	21	1	22		
MED	Winchester	GP	400		400	336		736				400		320	720		0	720	16	736		
	Winchester Detention	DET			0			0	12		12	24					22	22	1	23		
	Complex Detention	DET			0			0	40		40	80			0		67	67	6	73		
MIN	Catalina	GP	360		360			360				330		0	330		0	330	20	350		
MIN	Whetstone	GP	1250		1250			1250				1220		0	1220		0	1220	2	1222		
	TOTAL		4170	430	4600	467	26	5093	194	111	305	3887	325	418	4648	259	4907	79	4986			
ASPC-WINSLOW																						
MIN	Coronado	GP	492		492	136		628				0		105	0		105	15	120			
CLOSE	Kaibab	GP	800		800			800				0		748	0		748	5	753			
	Complex Detention	DET			0			0	20		19	39			0		33	33	5	38		
MIN	Apache	GP	334		334	80		414				0		334		27	361	18	379			
	Apache Detention	DET			0			0	12		12				0		0	0	0	0		
	TOTAL		1626	0	1626	216	0	1842	32	19	51	1187	0	27	1214	33	1247	43	1290			
ASPC-YUMA																						
MED	Cheyenne	GP	800		800	324		1124				800		292	1092		0	1092	12	1104		
	Cheyenne Detention	DET			0			0	40		39	79			68		68	0	68			
MIN	Cocopah	GP	250		250	80		330				0		57	307		0	307	20	327		
CLOSE	Dakota Y03	GP	200		200			200	16		16	203			203		0	203	3	206		
CLOSE	Dakota Y13	GP	600		600			600				578		0	578		0	578	3	581		
	Dakota Detention	DET			0			0	80		80				70		70	2	72			
MED	Cibola	GP	1250		1250	16		1266				1239		0	1239		0	1239	12	1251		
MIN	La Paz	GP	1250		1250			1250				1204		0	1204		0	1204	2	1206		
	TOTAL		4350	0	4350	420	0	4770	136	39	175	4274	0	349	4623	138	4761	54	4815			
	TOTAL IN-STATE		29897	1075	30972	5446	111	36529	1026	489	1515	27675	772	3914	32452	1042	33494	519	34013			

General Information 8-4

ADC INSTITUTIONAL CAPACITY COMMITTED POPULATION

30-Jun-19		OPERATING CAPACITY							INMATE COMMITTED POPULATION AS MIDNIGHT											
Custody	UNIT	USE	RATED		TEMPORARY		TOTAL	S.U.	SPECIAL USE		TOTAL	RATED		TEMPORARY		TOTAL	S.U./T.S.U.	INSIDE TOTAL	OUTSIDE TOTAL	GRAND TOTAL
			G.P.	M/MH	T/G.P.	T M/MH			T/S.U.	T/S.U.		G.P.	M/MH	T/G.P.	T M/MH					
CONTRACT BEDS																				
MED	CACF - GEO	SO	1000		1000	280		1280	40		40	1000		261		1261	11	1272	2	1274
MIN	Phx. West- DWI - GEO	DUI	400		400	100		500	19		19	400		81		481	9	490	1	491
MIN	Flor. West- GEO	GP	200		200	50		250	4	4	8	200		19		219	1	220	0	220
MIN	Flor. West- DWI - GEO	DUI	400		400	100		500	10	7	17	400		93		493	2	495	0	495
MED	Kingman GEO- Huachuca	SO	1400		1400	108		1508	73		73	1400		55		1455	16	1471	2	1473
MIN	Kingman GEO- Cerbat	GP	2000		2000			2000	80		80	1784				1784	64	1848	11	1859
MIN	Marana - MTC	GP	500		500			500	7		7	484				484	0	484	2	486
MED	Red Rock- GP- CCA	GP	2000		2000	24		2024				1908		0		1908		1908	30	1938
	Red Rock Det- CCA	DET							78		78						63	63		63
TOTAL CONTRACT			7900	0	7900	662	0	8562	311	11	322	7576	0	509	0	8085	166	8251	48	8299
TOTAL IN-STATE			29897	1075	30972	5446	111	36529	1026	489	1515	27675	772	3914	91	32452	1042	33494	519	34013
TOTAL CONTRACT			7900	0	7900	662		8562	311	11	322	7576	0	509	0	8085	166	8251	48	8299
GRAND TOTAL			37797	1075	38872	6108	111	45091	1337	500	1837	35251	772	4423	91	40537	1208	41745	567	42312
TOTAL STATE MALE			25740	973	26713	5362	54	32129	998	469	1467	23612	746	3814	55	28227	1025	29252	435	29687
TOTAL CONTRACT MALE			7900	0	7900	662	0	8562	311	11	322	7576	0	509	0	8085	166	8251	48	8299
TOTAL MALE			33640	973	34613	6024	54	40691	1309	480	1789	31188	746	4323	55	36312	1191	37503	483	37986
TOTAL STATE FEMALE			4157	102	4259	84	57	4400	28	20	48	4063	26	100	36	4225	17	4242	84	4326
GRAND TOTAL			37797	1075	38872	6108	111	45091	1337	500	1837	35251	772	4423	91	40537	1208	41745	567	42312
STATE MALE		Rated Beds	Temp Beds	Total Operating Capacity		POPULATION	VACANCIES	OUT COUNT			COMMUNITY SUPERVISION OFFENDERS									
MINIMUM CUSTODY		7753	1050	8803		7895	908				Arizona Parole Prior TIS									
MEDIUM CUSTODY		9631	3587	13218		13045	173	Hospital			20		1	21	Interstate Parole					
CLOSE CUSTODY		7313	318	7631		6540	1091	Fire Crew			128		15	143	Work Furlough					
MAXIMUM CUSTODY		1809	332	2141		1977	164	Other Work			60		26	86	Home Arrest					
RECEPTION -MAX		207	129	336		230	106	Court			275		42	317	Truth In Sentencing (TIS)					
TOTAL		26713	5416	32129		29687	2442	Total Out			483		84	567						
CONTRACT MALE		Rated Beds	Temp Beds	Total Operating Capacity		POPULATION	VACANCIES	OUT TO COURT/AGENCY BREAKDOWN			Total									
MINIMUM CUSTODY		3500	250	3750		3551	199	Apache			0		0	0	Maricopa Re-Entry Center					
MEDIUM CUSTODY		4400	412	4812		4748	64	Cochise			7		1	8	Sex Offender					
TOTAL		7900	662	8562		8299	263	Coconino			10		1	11	Sanctioned					
TOTAL MALE		34613	6078	40691		37986	2705	Gila			3		1	4	Intensive Treatment					
STATE FEMALE		Rated Beds	Temp Beds	Total Operating Capacity		POPULATION	VACANCIES	Graham			0		0	0	Without Placement					
MINIMUM CUSTODY		2332	80	2412		2418	-6	Greenlee			0		0	0	Total Maricopa Re-Entry Center					
MEDIUM CUSTODY		1296	4	1300		1319	-19	Maricopa			150		26	176	Sex Offender					
CLOSE CUSTODY		487	57	544		443	101	Mohave			1		1	2	Non-Sex Offender					
RECEPTION		144	0	144		146	-2	Navajo			0		1	1	Sanctioned					
TOTAL		4259	141	4400		4326	74	Pima			40		2	42	Intensive Treatment					
GRAND TOTAL		38872	6219	45091		42312	2779	Pinal			0		2	2	Without Placement					
RATED/TEMP. BED VACANCY BREAKDOWN		MINIMUM	MEDIUM	CLOSE	MAXIMUM	TOTAL		Santa Cruz			1		1	2	Total Pima Re-Entry Center					
MALE RATED BED VACANCIES:		0	0	773	0	773		Yavapai			12		2	14	Community Corrections Grand Total					
MALE TEMP BED VACANCIES:		1107	237	318	164	1826		Yuma			10		0	10	5635					
TOTAL MALE BED VACANCIES:		1107	237	1091	164	2599		La Paz			2		0	2	COUNTY JAIL INTAKE					
FEMALE RATED BED VACANCIES:		0	0	44	NA	44		Other			14		0	14	Male					
FEMALE TEMP BED VACANCIES:		-6	-19	57	NA	32		Federal			25		4	29	Female					
TOTAL FEMALE BED VACANCIES:		-6	-19	101	NA	76		Total Court Out			275		42	317	Both					
GRAND TOTAL VACANCIES		1101	218	1192	164	2675									Official Daily Count					

Count Sheet Changes Effective January 2019

Rated Bed Changes:
 Douglas, Maricopa Unit: Add 130 beds -will remain red-lined.
 Douglas, Eggers Unit: Add 240 beds- will be reactivated and converted to medium custody.
 Florence, North Unit: Remove 100 tent beds due to deterioration.
 Tucson, Minors Unit: Remove 5 beds for conversion to day rooms for female minors; 25 beds converted to female minors.
 Perryville, Minors Unit: Remove 10 beds from building 45 and repurpose beds to IPC unit - no change in rated bed no.

Temporary Bed Changes:
 Red Rock: Add 24 beds. Additional authorization is required to activate and fund the beds.
 Eyman, Cook Unit: add 159 beds; Eyman SMU I: add 48 beds
 Florence, Globe Unit: add 52 beds.
 Winslow, Apache Unit: add 40 beds; Winslow, Coronado Unit: add 136 beds
 Safford, Ft. Grant Unit: add 160 beds; Safford, Graham Unit: add 96 beds
 Perryville, IPC: add 5 beds; Perryville Licensed MH Unit: add 16 beds

RATED BEDS PLUS TEMPORARY BEDS = OPERATING CAPACITY (R+T=OC).

CURRENTLY THERE ARE NO FEMALE INMATES IN CONTRACT BEDS

Arizona Department of Corrections
Admissions, Releases, Confined Population Fact Sheet

	Admissions			Releases			End of Fiscal Year Confined Population		
	Male	Female	Total	Male	Female	Total	Male	Female	Total
Admissions, Releases, Confined - History									
FY 2009	19,246	3,045	22,291	17,904	2,862	20,766	36,590	3,822	40,412
FY 2010	17,899	2,737	20,636	17,763	2,808	20,571	36,735	3,742	40,477
FY 2011	16,315	2,444	18,759	16,469	2,586	19,055	36,568	3,613	40,181
FY 2012	15,646	2,424	18,070	15,909	2,465	18,374	36,325	3,552	39,877
FY 2013	16,155	2,522	18,677	15,477	2,391	17,868	36,998	3,688	40,686
FY 2014	17,557	2,743	20,300	16,581	2,632	19,213	37,962	3,811	41,773
FY 2015	17,624	2,987	20,611	16,958	2,815	19,773	38,613	3,998	42,611
FY 2016	16,685	2,768	19,453	16,438	2,724	19,162	38,874	4,028	42,902
FY 2017	15,521	2,741	18,262	16,266	2,698	18,964	38,137	4,063	42,200
FY 2018	15,599	2,762	18,361	15,699	2,749	18,448	38,023	4,090	42,113
FY 2019	15,128	3,031	18,159	15,170	2,790	17,960	37,986	4,326	42,312
Average Length of Stay (Years) - History									
FY 2009	2.4	1.4	2.2	1.7	1.2	1.6	7.6	4.2	7.3
FY 2010	2.5	1.6	2.4	1.7	1.2	1.7	8.0	4.5	7.7
FY 2011	2.5	1.5	2.3	1.9	1.3	1.8	8.2	4.7	7.9
FY 2012	2.4	1.5	2.3	2.0	1.3	1.9	8.5	4.9	8.1
FY 2013	2.3	1.5	2.2	2.1	1.4	2.0	8.5	4.8	8.2
FY 2014	2.3	1.5	2.2	2.0	1.3	1.9	8.5	4.8	8.1
FY 2015	2.3	1.6	2.2	2.0	1.3	1.9	8.6	4.9	8.2
FY 2016	2.4	1.4	2.2	2.0	1.3	1.9	8.7	4.9	8.4
FY 2017	2.4	1.5	2.3	2.2	1.4	2.1	9.0	5.0	8.6
FY 2018	2.4	1.6	2.3	2.3	1.4	2.1	9.1	5.1	8.7
FY 2019	2.5	1.6	2.3	2.3	1.4	2.2	9.1	5.1	8.7
Median Length of Stay (Years) - History									
FY 2009	1.0	0.7	1.0	0.9	0.6	0.8	4.1	2.6	3.9
FY 2010	1.0	0.7	1.0	0.9	0.6	0.9	4.6	2.8	4.3
FY 2011	1.1	0.7	1.3	1.0	0.7	1.0	4.8	3.0	4.6
FY 2012	1.1	0.7	1.0	1.1	0.7	1.0	5.0	3.2	4.8
FY 2013	1.2	0.7	1.1	1.1	0.7	1.0	5.0	3.2	4.8
FY 2014	1.1	0.6	1.0	1.0	0.6	1.0	5.0	3.1	4.8
FY 2015	1.1	0.7	1.0	1.0	0.6	0.9	5.0	3.0	5.0
FY 2016	1.2	0.7	1.1	1.1	0.6	1.0	5.0	3.1	4.8
FY 2017	1.2	0.8	1.1	1.2	0.7	1.2	5.2	3.1	4.9
FY 2018	1.3	0.7	1.2	1.3	0.7	1.2	5.3	3.2	5.0
FY 2019	1.3	0.9	1.3	1.3	0.7	1.2	5.3	3.0	5.0
Admissions - FY 2016									
	Male	Female	Total	Releases - FY 2016			Confined Population as of June 30, 2016		
Gender #	15,128	3,031	18,159	Male	Female	Total	Male	Female	Total
Gender %	83.3%	16.7%	100.0%	15,170	2,790	17,960	37,986	4,326	42,312
Average Age	35.6	34.7	35.4	84.5%	15.5%	100.0%	89.8%	10.2%	100.0%
Age Distribution #									
< 18 Years	58	3	61	13	1	14	43	3	46
18-24 Years	2,122	337	2,459	1,614	241	1,855	3,223	317	3,540
25-39 Years	8,213	1,873	10,086	8,193	1,682	9,875	18,832	2,472	21,304
40-54 Years	3,733	704	4,437	4,115	742	4,857	11,346	1,237	12,583
55+ Years	1,002	114	1,116	1,235	124	1,359	4,542	297	4,839
Total	15,128	3,031	18,159	15,170	2,790	17,960	37,986	4,326	42,312
Age Distribution %									
< 18 Years	0.4%	0.1%	0.3%	0.1%	0.0%	0.1%	0.1%	0.1%	0.1%
18-24 Years	14.0%	11.1%	13.5%	10.6%	8.6%	10.3%	8.5%	7.3%	8.4%
25-39 Years	54.3%	61.8%	55.5%	54.0%	60.3%	55.0%	49.6%	57.1%	50.3%
40-54 Years	24.7%	23.2%	24.4%	27.1%	26.6%	27.0%	29.9%	28.6%	29.7%
55+ Years	6.6%	3.8%	6.1%	8.1%	4.4%	7.6%	12.0%	6.9%	11.4%
Total	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Felony History #									
Prior Felony History #	9,662	1,869	11,531	10,173	1,764	11,937	24,600	3,392	27,992
No Prior Felony History #	5,466	1,162	6,628	4,997	1,026	6,023	13,386	934	14,320
Total	15,128	3,031	18,159	15,170	2,790	17,960	37,986	4,326	42,312
Felony History %									
Prior Felony History %	63.9%	61.7%	63.5%	67.1%	63.2%	66.5%	64.8%	78.4%	66.2%
No Prior Felony History %	36.1%	38.3%	36.5%	32.9%	36.8%	33.5%	35.2%	21.6%	33.8%
Total	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Prison History #									
Prior ADC Prison Term #	7,808	1,088	8,896	7,597	1,029	8,626	19,667	1,792	21,459
No Prior ADC Prison Term #	7,320	1,943	9,263	7,573	1,761	9,334	18,319	2,534	20,853
Total	15,128	3,031	18,159	15,170	2,790	17,960	37,986	4,326	42,312
Prison History %									
Prior ADC Prison Term %	51.6%	35.9%	49.0%	50.1%	36.9%	48.0%	51.8%	41.4%	50.7%
No Prior ADC Prison Term %	48.4%	64.1%	51.0%	49.9%	63.1%	52.0%	48.2%	58.6%	49.3%
Total	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Arizona Department of Corrections
Admissions, Releases, Confined Population Fact Sheet

	Admissions			Releases			End of Fiscal Year Confined Population		
	Male	Female	Total	Male	Female	Total	Male	Female	Total
Criminal History # (current and/or prior offenses)									
Violent History	3,861	409	4,270	4,168	410	4,578	8,477	656	9,133
Current Violent	5,502	555	6,057	5,005	458	5,463	21,103	1,286	22,389
Non-Violent #	5,765	2,067	7,832	5,997	1,922	7,919	8,406	2,384	10,790
Total	15,128	3,031	18,159	15,170	2,790	17,960	37,986	4,326	42,312
Criminal History % (current and/or prior offenses)									
Violent %	25.5%	13.5%	23.5%	27.5%	14.7%	25.5%	22.3%	15.2%	21.6%
Current Violent	36.4%	18.3%	33.4%	33.0%	16.4%	30.4%	55.6%	29.7%	52.9%
Non-Violent %	38.1%	68.2%	43.1%	39.5%	68.9%	44.1%	22.1%	55.1%	25.5%
Total	100.0%	100.0%	100.0%						
Felony Class #									
Felony Class 1	124	14	138	90	2	92	2,611	210	2,821
Felony Class 2	1,930	275	2,205	1,852	244	2,096	12,782	1,124	13,906
Felony Class 3	2,930	491	3,421	3,169	373	3,542	9,717	1,003	10,720
Felony Class 4	5,714	1,134	6,848	5,706	1,054	6,760	9,476	1,400	10,876
Felony Class 5	1,269	228	1,497	1,215	245	1,460	1,470	214	1,684
Felony Class 6	3,161	889	4,050	3,138	872	4,010	1,930	375	2,305
Total	15,128	3,031	18,159	15,170	2,790	17,960	37,986	4,326	42,312
Felony Class %									
Felony Class 1	0.8%	0.5%	0.8%	0.6%	0.1%	0.5%	6.9%	4.9%	6.7%
Felony Class 2	12.8%	9.1%	12.1%	12.2%	8.7%	11.7%	33.6%	26.0%	32.9%
Felony Class 3	19.4%	16.2%	18.8%	20.9%	13.4%	19.7%	25.6%	23.2%	25.3%
Felony Class 4	37.8%	37.4%	37.7%	37.6%	37.8%	37.6%	24.9%	32.4%	25.7%
Felony Class 5	8.4%	7.5%	8.2%	8.0%	8.8%	8.1%	3.9%	4.9%	4.0%
Felony Class 6	20.9%	29.3%	22.3%	20.7%	31.3%	22.3%	5.1%	8.7%	5.4%
Total	100.0%	100.0%	100.0%						
Admit Type #									
New Court Commitments	10,311	1,767	12,078	8,777	1,246	10,023	33,807	3,579	37,386
County Probationers Revoked	2,114	737	2,851	3,747	1,046	4,793	2,423	547	2,970
ADC Paroles Revoked	2,494	511	3,005	2,444	486	2,930	1,161	148	1,309
Other	209	16	225	202	12	214	595	52	647
Total	15,128	3,031	18,159	15,170	2,790	17,960	37,986	4,326	42,312
Admit Type %									
New Court Commitments	68.2%	58.3%	66.5%	57.9%	44.7%	55.8%	89.0%	82.7%	88.4%
County Probationers Revoked	14.0%	24.3%	15.7%	24.7%	37.5%	26.7%	6.4%	12.6%	7.0%
ADC Paroles Revoked	16.5%	16.9%	16.5%	16.1%	17.4%	16.3%	3.1%	3.4%	3.1%
Other	1.4%	0.5%	1.2%	1.3%	0.4%	1.2%	1.6%	1.2%	1.5%
Total	100.0%	100.0%	100.0%						
County of Conviction #									
Maricopa County	8,796	1,656	10,452	8,802	1,507	10,309	23,982	2,531	26,513
Pima County	2,085	315	2,400	2,176	348	2,524	4,733	361	5,094
Other Counties	4,247	1,060	5,307	4,192	935	5,127	9,271	1,434	10,705
Total	15,128	3,031	18,159	15,170	2,790	17,960	37,986	4,326	42,312
County of Conviction %									
Maricopa County	58.1%	54.6%	57.6%	58.0%	54.0%	57.4%	63.1%	58.5%	62.7%
Pima County	13.8%	10.4%	13.2%	14.3%	12.5%	14.1%	12.5%	8.3%	12.0%
Other Counties	28.1%	35.0%	29.2%	27.6%	33.5%	28.5%	24.4%	33.1%	25.3%
Total	100.0%	100.0%	100.0%						
Citizenship #									
US Citizens #	14,297	2,973	17,270	13,862	2,736	16,598	34,836	4,193	39,029
Criminal Aliens #	831	58	889	1,308	54	1,362	3,150	133	3,283
Total	15,128	3,031	18,159	15,170	2,790	17,960	37,986	4,326	42,312
Citizenship %									
US Citizens %	94.5%	98.1%	95.1%	91.4%	98.1%	92.4%	91.7%	96.9%	92.2%
Criminal Aliens %	5.5%	1.9%	4.9%	8.6%	1.9%	7.6%	8.3%	3.1%	7.8%
Total	100.0%	100.0%	100.0%						
Substance Abuse History #									
Score 0: No need	950	75	1,025	808	62	870	3,527	245	3,772
Score 1: Need for education but not treatment	1,612	136	1,748	1,586	148	1,734	5,133	245	5,378
Score 2: Moderate need for treatment	6,789	809	7,598	6,842	783	7,625	15,568	1,140	16,708
Score 3: Intense need for treatment	5,777	2,011	7,788	5,934	1,797	7,731	13,758	2,696	16,454
Total	15,128	3,031	18,159	15,170	2,790	17,960	37,986	4,326	42,312
Substance Abuse History %									
Score 0: No need	6.3%	2.5%	5.6%	5.3%	2.2%	4.8%	9.3%	5.7%	8.9%
Score 1: Need for education but not treatment	10.7%	4.5%	9.6%	10.5%	5.3%	9.7%	13.5%	5.7%	12.7%
Score 2: Moderate need for treatment	44.9%	26.7%	41.8%	45.1%	28.1%	42.5%	41.0%	26.4%	39.5%
Score 3: Intense need for treatment	38.2%	66.3%	42.9%	39.1%	64.4%	43.0%	36.2%	62.3%	38.9%
Total	100.0%	100.0%	100.0%						

Arizona Department of Corrections
Admissions, Releases, Confined Population Fact Sheet

	Admissions			Releases			End of Fiscal Year Confined Population		
	Male	Female	Total	Male	Female	Total	Male	Female	Total
Gang Affiliation # STG									
Yes #	802	8	810	995	13	1,008	3,828	42	3,870
No #	14,326	3,023	17,349	14,175	2,777	16,952	34,158	4,284	38,442
Total	15,128	3,031	18,159	15,170	2,790	17,960	37,986	4,326	42,312
Gang Affiliation %									
Yes %	5.3%	0.3%	4.5%	6.6%	0.5%	5.6%	10.1%	1.0%	9.1%
No %	94.7%	99.7%	95.5%	93.4%	99.5%	94.4%	89.9%	99.0%	90.9%
Total	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Sex Offender #									
Yes #	1,892	2,971	4,863	1,896	40	1,936	8,404	154	8,558
No #	13,236	60	13,296	13,274	2,750	16,024	29,582	4,172	33,754
Total	15,128	3,031	18,159	15,170	2,790	17,960	37,986	4,326	42,312
Sex Offender %									
Yes %	12.5%	98.0%	26.8%	12.5%	1.4%	10.8%	22.1%	3.6%	20.2%
No %	87.5%	2.0%	73.2%	87.5%	98.6%	89.2%	77.9%	96.4%	79.8%
Total	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
* Mental Health Score #									
Score 1: No need	7,612	969	8,581	7,140	831	7,971	16,122	1,089	17,211
Score 2: Low need	2,419	838	3,257	4,032	785	4,817	10,364	1,056	11,420
Score 3: Includes both moderate need and moderate to high inmates	4,750	1,117	5,867	3,797	1,161	4,958	9,715	1,939	11,654
Score 4: High need	0	0	-	174	12	186	489	24	513
Score 5: Acute Need	1	0	1	31	5	36	65	12	77
Total	14,782	2,924	17,706	15,174	2,794	17,968	36,755	4,120	40,875
Mental Health Score %									
Score 1: No need	51.5%	33.1%	48.5%	47.1%	29.7%	44.4%	43.9%	26.4%	42.1%
Score 2: Low need	16.4%	28.7%	18.4%	26.6%	28.1%	26.8%	28.2%	25.6%	27.9%
Score 3: Includes both moderate need and moderate to high inmates	32.1%	38.2%	33.1%	25.0%	41.6%	27.6%	26.4%	47.1%	28.5%
Score 4: High need	0.0%	0.0%	0.0%	1.1%	0.4%	1.0%	1.3%	0.6%	1.3%
Score 5: Acute Need	0.0%	0.0%	0.0%	0.2%	0.2%	0.2%	0.2%	0.3%	0.2%
Total	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
* Medical Score #									
Score 1: Maximum sustained physical capacity consistent with age; no special requirements	7,898	2,625	10,523	6,991	2,105	9,096	16,391	3,039	19,430
Score 2: Sustained physical capacity consistent with age; stable physical illness or chronic condition, no special requirements.	6,360	291	6,651	6,841	517	7,358	17,539	977	18,516
Score 3: Restricted physical capacity; requires special housing or reasonable accommodations.	721	106	827	1,104	156	1,260	3,338	297	3,635
Score 4: Limited physical capacity and stamina; severe physical illness or chronic condition; requires housing in a corridor institution.	115	0	115	188	8	196	658	3	661
Score 5: Severely limited physical capacity and stamina; requires assistance with Activities of Daily Living (ADLS); requires housing in Inpatient Component or Assisted Living area	6	0	6	19	1	20	18	1	19
Total	15,100	3,022	18,122	15,143	2,787	17,930	37,944	4,317	42,261
Medical Score %									
Score 1: Maximum sustained physical capacity consistent with age; no special requirements	52.3%	86.9%	58.1%	46.2%	75.5%	50.7%	43.2%	70.4%	46.0%
Score 2: Sustained physical capacity consistent with age; stable physical illness or chronic condition, no special requirements.	42.1%	9.6%	36.7%	45.2%	18.6%	41.0%	46.2%	22.6%	43.8%
Score 3: Restricted physical capacity; requires special housing or reasonable accommodations.	4.8%	3.5%	4.6%	7.3%	5.6%	7.0%	8.8%	6.9%	8.6%
Score 4: Limited physical capacity and stamina; severe physical illness or chronic condition; requires housing in a corridor institution.	0.8%	0.0%	0.6%	1.2%	0.3%	1.1%	1.7%	0.1%	1.6%
Score 5: Severely limited physical capacity and stamina; requires assistance with Activities of Daily Living (ADLS); requires housing in Inpatient Component or Assisted Living area	0.0%	0.0%	0.0%	0.1%	0.0%	0.1%	0.0%	0.0%	0.0%
Total	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Arizona Department of Corrections
Admissions, Releases, Confined Population Fact Sheet

	Admissions			Releases			End of Fiscal Year Confined Population		
	Male	Female	Total	Male	Female	Total	Male	Female	Total
Inmate Commitment Offenses #									
Arson	42	14	56	47	6	53	128	16	144
Assault	2,040	241	2,281	2,031	239	2,270	5,191	399	5,590
Auto Theft	786	171	957	704	116	820	1,822	239	2,061
Burglary/Criminal Trespass	1,267	177	1,444	1,361	168	1,529	2,636	235	2,871
Child/Adult Abuse	112	55	167	104	54	158	223	97	320
Child Molestation	140	1	141	153	2	155	1,726	19	1,745
Criminal Damage	94	16	110	124	13	137	139	16	155
Domestic Violence	177	18	195	168	15	183	159	8	167
Drug Offense -- Breakout Listed Below	4,540	1,246	5,786	4,785	1,158	5,943	7,478	1,453	8,931
DUI	1,017	194	1,211	951	193	1,144	1,305	196	1,501
Escape	101	18	119	95	16	111	154	25	179
Forgery	208	114	322	191	108	299	256	124	380
Fraud	59	33	92	78	33	111	210	79	289
Identity Theft	126	64	190	119	66	185	260	133	393
Kidnapping	208	13	221	233	18	251	1,265	47	1,312
Manslaughter/Neg. Homicide	93	17	110	104	12	116	656	97	753
Murder	152	17	169	110	6	116	2,945	221	3,166
Other	871	187	1,058	813	164	977	1,435	218	1,653
Rape/Sexual Assault	86	0	86	62	0	62	584	6	590
Robbery	753	81	834	742	71	813	3,360	214	3,574
Sex Offense	576	23	599	522	12	534	2,842	68	2,910
Theft	591	237	828	557	227	784	783	251	1,034
Trafficking in Stolen Property	228	39	267	260	46	306	565	86	651
Weapons Offense	861	55	916	856	47	903	1,864	79	1,943
Total	15,128	3,031	18,159	15,170	2,790	17,960	37,986	4,326	42,312
Inmate Commitment Offenses %									
Arson	0.3%	0.5%	0.3%	0.3%	0.2%	0.3%	0.3%	0.4%	0.3%
Assault	13.5%	8.0%	12.6%	13.4%	8.6%	12.6%	13.7%	9.2%	13.2%
Auto Theft	5.2%	5.6%	5.3%	4.6%	4.2%	4.6%	4.8%	5.5%	4.9%
Burglary/Criminal Trespass	8.4%	5.8%	8.0%	9.0%	6.0%	8.5%	6.9%	5.4%	6.8%
Child/Adult Abuse	0.7%	1.8%	0.9%	0.7%	1.9%	0.9%	0.6%	2.2%	0.8%
Child Molestation	0.9%	0.0%	0.8%	1.0%	0.1%	0.9%	4.5%	0.4%	4.1%
Criminal Damage	0.6%	0.5%	0.6%	0.8%	0.5%	0.8%	0.4%	0.4%	0.4%
Domestic Violence	1.2%	0.6%	1.1%	1.1%	0.5%	1.0%	0.4%	0.2%	0.4%
Drug Offense -- Breakout Listed Below	30.0%	41.1%	31.9%	31.5%	41.5%	33.1%	19.7%	33.6%	21.1%
DUI	6.7%	6.4%	6.7%	6.3%	6.9%	6.4%	3.4%	4.5%	3.5%
Escape	0.7%	0.6%	0.7%	0.6%	0.6%	0.6%	0.4%	0.6%	0.4%
Forgery	1.4%	3.8%	1.8%	1.3%	3.9%	1.7%	0.7%	2.9%	0.9%
Fraud	0.4%	1.1%	0.5%	0.5%	1.2%	0.6%	0.6%	1.8%	0.7%
Identity Theft	0.8%	2.1%	1.0%	0.8%	2.4%	1.0%	0.7%	3.1%	0.9%
Kidnapping	1.4%	0.4%	1.2%	1.5%	0.6%	1.4%	3.3%	1.1%	3.1%
Manslaughter/Neg. Homicide	0.6%	0.6%	0.6%	0.7%	0.4%	0.6%	1.7%	2.2%	1.8%
Murder	1.0%	0.6%	0.9%	0.7%	0.2%	0.6%	7.8%	5.1%	7.5%
Other	5.8%	6.2%	5.8%	5.4%	5.9%	5.4%	3.8%	5.0%	3.9%
Rape/Sexual Assault	0.6%	0.0%	0.5%	0.4%	0.0%	0.3%	1.5%	0.1%	1.4%
Robbery	5.0%	2.7%	4.6%	4.9%	2.5%	4.5%	8.8%	4.9%	8.4%
Sex Offense	3.8%	0.8%	3.3%	3.4%	0.4%	3.0%	7.5%	1.6%	6.9%
Theft	3.9%	7.8%	4.6%	3.7%	8.1%	4.4%	2.1%	5.8%	2.4%
Trafficking in Stolen Property	1.5%	1.3%	1.5%	1.7%	1.6%	1.7%	1.5%	2.0%	1.5%
Weapons Offense	5.7%	1.8%	5.0%	5.6%	1.7%	5.0%	4.9%	1.8%	4.6%
Total	100.0%	100.0%	100.0%						
Possession									
Marijuana	222	33	255	210	33	243	205	19	224
Methamphetamine	1,728	426	2,154	1,632	411	2,043	2,350	451	2,801
Other	1,216	441	1,657	1,241	437	1,678	843	213	1,056
Total	3,166	900	4,066	3,083	881	3,964	3,398	683	4,081
Trafficking									
Marijuana	269	36	305	608	37	645	591	55	646
Methamphetamine	660	207	867	638	157	795	2,276	519	2,795
Other	444	106	550	464	87	551	1,213	196	1,409
Total	1,373	349	1,722	1,710	281	1,991	4,080	770	4,850
Total ADC Drug Offenses									
Marijuana	491	69	560	818	70	888	796	74	870
Methamphetamine	2,388	633	3,021	2,270	568	2,838	4,626	970	5,596
Other	1,660	547	2,207	1,705	524	2,229	2,056	409	2,465
Total	4,539	1,249	5,788	4,793	1,162	5,955	7,478	1,453	8,931

* Does not add up to the total number of inmates in other categories due to missing data.

LIST OF ACRONYMS

ACRONYM	DEFINITION	ACRONYM	DEFINITION
ACI	Arizona Correctional Industries	FY	Fiscal Year
ACIC	Arizona Criminal Information Center	GAO	General Accounting Office
ADC/DOC	Arizona Department of Corrections or the Department	GED	General Equivalency Diploma
ADE	Arizona Department of Education	HB	House Bill
ADP	Average Daily Population	HRIS	Human Resources Information System
ADOA	Arizona Department of Administration	IGA	Intergovernmental Agreement
ADOR	Arizona Department of Revenue	IPC	Inpatient Component
AHCCCS	Arizona Health Care Cost Containment System	ISA	Interagency Service Agreement
AIMS	Adult Inmate Management System	ITH	Intensive Treatment with Housing
AMS	Arizona Management System	JLBC	Joint Legislative Budget Committee
AOOE/OOE	All Other Operating Expenses	MAP	Managing Accountability & Performance
ARS	Arizona Revised Statute	MI	Motivational Interviewing
ASCA	Association of State Correctional Administrators	MRC	Maricopa Reentry Center
ASET	Arizona Strategic Enterprise Technology	NCIC	National Criminal Information Center
ASP	Arizona State Prison (contracted private prison)	OMS	Offender Management System
ASPC	Arizona State Prison Complex (state-run prison)	OSPB	Governor's Office of Strategic Planning & Budgeting
ASRS	Arizona State Retirement System	OT	Overtime
AY	Appropriation Year	PBI	Permanent Benefit Increase
CCTV	Closed Circuit Television	P&O	Professional and Outside Services
CCO	Community Corrections Officer	PIC	Position Inventory Control
CCTV	Closed Circuit Television	PIPD	Per Inmate Per Day
CICS	Customer Information Control System	PRC	Pima Reentry Center
COP	Certificates of Participation	PS	Personal Services
CORP	Corrections Officer Retirement Plan	RFI	Request for Information
COSF	Capital Outlay Stabilization Fund	RFP	Request for Proposal
COTA	Correctional Officer Training Academy	RMS	Release Management System
CPI	Consumer Price Index	RSAT	Residential Substance Abuse Treatment
CY	Calendar Year	RTC	Return to Custody
DES	Arizona Department of Economic Security	RUSH	Recruitment Unit for Selection Hiring
DUI	Driving Under the Influence	SB	Senate Bill
DWI	Driving While Intoxicated	SCAAP	State Criminal Alien Assistance Program
ERE	Employee Related Expenses	SLI	Special Line Item
FCC	Federal Communications Commission	SMU	Special Management Unit
FLSA	Fair Labor Standards Act	SO&T	Special Operations and Tactics Teams
FTE	Full Time Equivalent positions	SPS	State Personnel System
FTO	Field Training Officer	SSA	Social Security Administration