

**Arizona Department of Corrections  
Prison Rape Elimination Act (PREA)  
Annual Report**

**PREA Background**

PREA was established in 2003 to address sexual abuse and sexual harassment of persons in the custody of U.S. correctional agencies. Major provisions of PREA include the development of standards for detection, prevention, reduction and punishment of sexual abuse and sexual harassment in confinement settings. The Act applies to all public and private institutions that house adult or juvenile offenders. On August 20, 2012, the PREA standards final rule became effective.

The Arizona Department of Corrections has a zero tolerance policy on issues pertaining to sexual abuse and sexual harassment involving inmates and is working continuously to develop and implement policies to prevent, detect, and respond to sexual misconduct allegations.

**Definitions**

***Substantiated allegation*** means an allegation was investigated and determined to have occurred.

***Unsubstantiated allegation*** means an allegation was investigated and the investigation produced insufficient evidence to make a final determination as to whether or not the event occurred.

***Unfounded allegation*** means an allegation was investigated and determined not to have occurred.

**2017 Analysis/Corrective Action**

The Arizona Department of Corrections started the second year of the second three year PREA audit cycle. Two ADC facilities and one Re-Entry Center were audited at the beginning of 2018 for this audit. Both of the facilities required corrective action for several standards, including opposite gender staff announcing their presence, criminal and administrative investigations, and risk assessment screenings. The Re-Entry Center had two findings, including reassessment screenings and training. The corrective action included re-training all staff at the facilities and Re-Entry Center of these PREA standards. We also conducted refresher training with all of our criminal investigators and supervisors and mandated an oversight from the CIU managers of all PREA investigations. The Arizona Department of Corrections is currently under an appeal with the Department of Justice in regards to our risk assessment screening findings.

**2018 Calendar Year: Will be added upon submittal to the Bureau of Justice Statistics (BJS).**

<b>2017 Calendar Year: Report based upon the Annual Survey of Sexual Victimization for the Arizona Department of Corrections</b>						
	<b>Allegations</b>	<b>Substantiated</b>	<b>Unsubstantiated</b>	<b>Unfounded</b>	<b>Ongoing Investigation</b>	<b>Sub-total Results</b>
Inmate on Inmate Nonconsensual Sexual Acts	<b>83</b>	<b>3</b>	<b>28</b>	<b>52</b>	<b>0</b>	<b>83</b>
Inmate on Inmate Abusive Sexual Contacts	<b>36</b>	<b>2</b>	<b>13</b>	<b>21</b>	<b>0</b>	<b>36</b>
Inmate on Inmate Sexual Harassment	<b>29</b>	<b>0</b>	<b>5</b>	<b>24</b>	<b>0</b>	<b>29</b>
Staff Sexual Misconduct	<b>90</b>	<b>6</b>	<b>27</b>	<b>56</b>	<b>1</b>	<b>90</b>
Staff Sexual Harassment	<b>15</b>	<b>0</b>	<b>6</b>	<b>9</b>	<b>0</b>	<b>15</b>
<b>Totals</b>	<b>253</b>	<b>11</b>	<b>79</b>	<b>162</b>	<b>1</b>	<b>253</b>

<b>2017 Calendar Year: Report based upon the Annual Survey of Sexual Victimization for Arizona Department of Corrections Contracted Private Prisons</b>						
	<b>Allegations</b>	<b>Substantiated</b>	<b>Unsubstantiated</b>	<b>Unfounded</b>	<b>Ongoing Investigation</b>	<b>Sub-total Results</b>
Inmate on Inmate Sexual Abuse (Combined Nonconsensual Sexual Acts and Abusive Sexual Contact)	<b>7</b>	<b>1</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>7</b>
Inmate on Inmate Sexual Harassment	<b>5</b>	<b>1</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>5</b>
Staff Sexual Misconduct	<b>10</b>	<b>0</b>	<b>2</b>	<b>7</b>	<b>1</b>	<b>10</b>
Staff Sexual Harassment	<b>2</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>2</b>
<b>Total</b>	<b>24</b>	<b>2</b>	<b>9</b>	<b>12</b>	<b>1</b>	<b>24</b>

<b>2016 Calendar Year: Report based upon the Annual Survey of Sexual Victimization for the Arizona Department of Corrections</b>						
	<b>Allegations</b>	<b>Substantiated</b>	<b>Unsubstantiated</b>	<b>Unfounded</b>	<b>Ongoing Investigation</b>	<b>Sub-total Results</b>
Inmate on Inmate Nonconsensual Sexual Acts	<b>67</b>	<b>2</b>	<b>20</b>	<b>44</b>	<b>1</b>	<b>67</b>
Inmate on Inmate Abusive Sexual Contacts	<b>56</b>	<b>1</b>	<b>13</b>	<b>42</b>	<b>0</b>	<b>56</b>
Inmate on Inmate Sexual Harassment	<b>52</b>	<b>1</b>	<b>5</b>	<b>46</b>	<b>0</b>	<b>52</b>
Staff Sexual Misconduct	<b>94</b>	<b>6</b>	<b>20</b>	<b>68</b>	<b>0</b>	<b>94</b>
Staff Sexual Harassment	<b>20</b>	<b>1</b>	<b>1</b>	<b>18</b>	<b>0</b>	<b>20</b>
<b>Totals</b>	<b>289</b>	<b>11</b>	<b>59</b>	<b>218</b>	<b>1</b>	<b>289</b>

<b>2016 Calendar Year: Report based upon the Annual Survey of Sexual Victimization for Arizona Department of Corrections Contracted Private Prisons</b>						
	<b>Allegations</b>	<b>Substantiated</b>	<b>Unsubstantiated</b>	<b>Unfounded</b>	<b>Ongoing Investigation</b>	<b>Sub-total Results</b>
Inmate on Inmate Sexual Abuse (Combined Nonconsensual Sexual Acts and Abusive Sexual Contact)	<b>13</b>	<b>0</b>	<b>4</b>	<b>9</b>	<b>0</b>	<b>13</b>
Inmate on Inmate Sexual Harassment	<b>10</b>	<b>0</b>	<b>2</b>	<b>8</b>	<b>0</b>	<b>10</b>
Staff Sexual Misconduct	<b>7</b>	<b>1</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>7</b>
Staff Sexual Harassment	<b>6</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>0</b>	<b>6</b>
<b>Total</b>	<b>36</b>	<b>2</b>	<b>11</b>	<b>23</b>	<b>0</b>	<b>36</b>

<b>2015 Calendar Year: Report based upon the Annual Survey of Sexual Victimization for the Arizona Department of Corrections</b>						
	<b>Allegations</b>	<b>Substantiated</b>	<b>Unsubstantiated</b>	<b>Unfounded</b>	<b>Ongoing Investigation</b>	<b>Sub-total Results</b>
Inmate on Inmate Nonconsensual Sexual Acts	<b>83</b>	<b>0</b>	<b>17</b>	<b>*64</b>	<b>*2</b>	<b>83</b>
Inmate on Inmate Abusive Sexual Contacts	<b>47</b>	<b>0</b>	<b>3</b>	<b>44</b>	<b>0</b>	<b>47</b>
Inmate on Inmate Sexual Harassment	<b>37</b>	<b>0</b>	<b>*1</b>	<b>36</b>	<b>*0</b>	<b>37</b>
Staff Sexual Misconduct	<b>102</b>	<b>6</b>	<b>11</b>	<b>85</b>	<b>0</b>	<b>102</b>
Staff Sexual Harassment	<b>17</b>	<b>3</b>	<b>0</b>	<b>14</b>	<b>0</b>	<b>17</b>
<b>Totals</b>	<b>286</b>	<b>9</b>	<b>*32</b>	<b>*243</b>	<b>*2</b>	<b>286</b>

\*2015 BJS submitted statistics adjusted November, 2016

\*2015 BJS submitted statistics adjusted February, 2017

<b>2015 Calendar Year: Report based upon the Annual Survey of Sexual Victimization for Arizona Department of Corrections Contracted Private Prisons</b>						
	<b>Allegations</b>	<b>Substantiated</b>	<b>Unsubstantiated</b>	<b>Unfounded</b>	<b>Ongoing Investigation</b>	<b>Sub-total Results</b>
Inmate on Inmate Sexual Abuse (Combined Nonconsensual Sexual Acts and Abusive Sexual Contact)	<b>11</b>	<b>1</b>	<b>5</b>	<b>4</b>	<b>1</b>	<b>11</b>
Inmate on Inmate Sexual Harassment	<b>5</b>	<b>0</b>	<b>1</b>	<b>4</b>	<b>0</b>	<b>5</b>
Staff Sexual Misconduct	<b>5</b>	<b>0</b>	<b>1</b>	<b>4</b>	<b>0</b>	<b>5</b>
Staff Sexual Harassment	<b>7</b>	<b>0</b>	<b>2</b>	<b>5</b>	<b>0</b>	<b>7</b>
<b>Totals</b>	<b>28</b>	<b>1</b>	<b>9</b>	<b>17</b>	<b>1</b>	<b>28</b>

<b>2014 Calendar Year: Report based upon the Annual Survey of Sexual Victimization for the Arizona Department of Corrections</b>						
	<b>Allegations</b>	<b>Substantiated</b>	<b>Unsubstantiated</b>	<b>Unfounded</b>	<b>Ongoing Investigation</b>	<b>Sub-total Results</b>
Inmate on Inmate Nonconsensual Sexual Acts	<b>82</b>	<b>4*</b>	<b>22*</b>	<b>56</b>	<b>0*</b>	<b>82</b>
Inmate on Inmate Abusive Sexual Contacts	<b>33</b>	<b>0</b>	<b>2</b>	<b>31</b>	<b>0</b>	<b>33</b>
Inmate on Inmate Sexual Harassment	<b>19</b>	<b>0</b>	<b>4</b>	<b>15</b>	<b>0</b>	<b>19</b>
Staff Sexual Misconduct	<b>72</b>	<b>4</b>	<b>7</b>	<b>60</b>	<b>1</b>	<b>72</b>
Staff Sexual Harassment	<b>12</b>	<b>1</b>	<b>1</b>	<b>10</b>	<b>0</b>	<b>12</b>
<b>Totals</b>	<b>218</b>	<b>9*</b>	<b>36*</b>	<b>172</b>	<b>1*</b>	<b>218</b>

\*2014 BJS submitted statistics adjusted September, 2016

<b>2014 Calendar Year: Report based upon the Annual Survey of Sexual Victimization for Arizona Department of Corrections Contracted Private Prisons</b>						
	<b>Allegations</b>	<b>Substantiated</b>	<b>Unsubstantiated</b>	<b>Unfounded</b>	<b>Ongoing Investigation</b>	<b>Sub-total Results</b>
Inmate on Inmate Nonconsensual Sexual Acts	<b>4</b>	<b>0</b>	<b>1</b>	<b>3</b>	<b>0</b>	<b>4</b>
Inmate on Inmate Abusive Sexual Contacts	<b>2</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>2</b>
Inmate on Inmate Sexual Harassment	<b>4</b>	<b>0</b>	<b>1</b>	<b>3</b>	<b>0</b>	<b>4</b>
Staff Sexual Misconduct	<b>6</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>6</b>
Staff Sexual Harassment	<b>5</b>	<b>0</b>	<b>3</b>	<b>2</b>	<b>0</b>	<b>5</b>
<b>Totals</b>	<b>21</b>	<b>0</b>	<b>9</b>	<b>12</b>	<b>0</b>	<b>21</b>

<b>2013 Calendar Year: Report based upon the Annual Survey of Sexual Victimization for the Arizona Department of Corrections</b>						
	Allegations	Substantiated	Unsubstantiated	Unfounded	Ongoing Investigation	Sub-total Results
Inmate on Inmate Nonconsensual Sexual Acts	67	0	21*	46	0*	67
Inmate on Inmate Abusive Sexual Contacts	43	1	11	31	0	43
Inmate on Inmate Sexual Harassment	13	1	3	9	0	13
Staff Sexual Misconduct	48	4	17	27	0	48
Staff Sexual Harassment	1	1	0	0	0	1
<b>Totals</b>	<b>172</b>	<b>7</b>	<b>52*</b>	<b>113</b>	<b>0*</b>	<b>172</b>

\*2013 BJS submitted statistics adjusted March, 2015

<b>2013 Calendar Year: Report based upon the Annual Survey of Sexual Victimization for Arizona Department of Corrections Contracted Private Prisons</b>						
	Allegations	Substantiated	Unsubstantiated	Unfounded	Ongoing Investigation	Sub-total Results
Inmate on Inmate Nonconsensual Sexual Acts	3	0	1	2	0	3
Inmate on Inmate Abusive Sexual Contacts	3	0	3	0	0	3
Inmate on Inmate Sexual Harassment	0	0	0	0	0	0
Staff Sexual Misconduct	1	1	0	0	0	1
Staff Sexual Harassment	0	0	0	0	0	0
<b>Totals</b>	<b>7</b>	<b>1</b>	<b>4</b>	<b>2</b>	<b>0</b>	<b>7</b>

<b>2012 Calendar Year: Report based upon the Annual Survey of Sexual Violence for the Arizona Department of Corrections</b>						
	Allegations	Substantiated	Unsubstantiated	Unfounded	Ongoing Investigation	Sub-total Results
Inmate on Inmate Nonconsensual Sexual Acts	54	0	40*	14	0*	54
Inmate on Inmate Abusive Sexual Contacts	30	0	16	14	0	30
Staff on Inmate Sexual Misconduct and Harassment	39	4	19	16	0	39
<b>Totals</b>	<b>123</b>	<b>4</b>	<b>75*</b>	<b>44</b>	<b>0*</b>	<b>123</b>

\*2012 BJS submitted statistics adjusted June, 2014

<b>2012 Calendar Year: Report based upon the Annual Survey of Sexual Violence for Arizona Department of Corrections Contracted Private Prisons</b>						
	Allegations	Substantiated	Unsubstantiated	Unfounded	Ongoing Investigation	Sub-total Results
Inmate on Inmate Nonconsensual Sexual Acts	0	0	0	0	0	0
Inmate on Inmate Abusive Sexual Contacts	2	0	0	1	1	2
Staff on Inmate Sexual Misconduct and Harassment	3	1	0	2	0	3
<b>Totals</b>	<b>5</b>	<b>1</b>	<b>0</b>	<b>3</b>	<b>1</b>	<b>5</b>

APPROVED  
 2/13/17  
 AAA

