

**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Complex Administrator Jerry Starnes, Tara Diaz, CBOD
From: R. Sullivan Warden/ Cerbat
Date: 03/03/2015
Re: Monthly 703 Reports February 2015

TOURS

Tours/inspections were completed by all assigned staff during the month of February 2015

(See attached)

SECURITY OPERATIONS:

Security Operations

Security Operations are reviewed during our daily morning meetings to include all IIRs, SIRs, Disciplinary Reports, Work orders and Service Journal Logs. All pertinent information is passed along to supervisors and is briefed to line staff during the daily shift briefings. All ADC tour and GAR findings are passed on to supervisors for redirection, completion or corrective action. I also hold monthly CO/Warden Meetings, Town Hall meetings with inmates and meetings with the Case Managers.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

All security device discrepancies have been reported and work orders have been submitted via service journal entries, IIRs and work order request. This paper work is reviewed daily during the morning meeting by department heads and security Supervisors. A security work order reconciliation report is generated by the Caption monthly. The following are security work orders held over for the Month of October.

9/23/14 14-M81-4925 11898 Camera # 58 showing black screen — Open

9/23/14 14-M81- 4701 Camera # 32 showing blue screen — Open

9/27/14 14-M81-3078 12133 Fire panel system shows fault — Open

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

All MTC staff members are required to follow the MTC, ADC code of conduct.

Staff are held accountable up to and including dismissal if they do not comply. No disciplinary was issued during the month of February.

STAFF/INMATE RELATIONS:

No inappropriate staff/ Inmate relationships were reported during the month of February

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

All required staff meetings were conducted and briefings are being held daily per shift. Cerbat holds a daily face to face or phone briefing with all shifts to include operation staff. The briefing consists of daily operations, daily movement, past issues, security issues and GAR or tour findings needing addressed

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONSHIPS:

Administrative and supervisory staff continues to walk and talk with line staff/inmates daily. All supervisors are required to hold themselves to a higher standard. Supervisors continue to interact with other supervisors and staff during their daily assignments. There were no reports of inappropriate behavior in February.

MONTHLY SUMMARY

A conference was held on 02/22/2015 concerning the new ADC inmate menu and two separate town hall meetings were held to explain the difference in the menus to the Inmate population. There was some complaints with the sausage patty but spices were changed and inmates found it to be better. There have been no other issues with the menu. Officer Olivas lost a 9-mm round that was found later in the day. The officer was held accountable for this action. During a tour by Ms T. Diaz on 02/20/2015 it was found a number of off unit Inmate work crew members did not match the Inmate ID or escape fiver. These inmates have been held in during the process of getting new photos taken and escape fivers and IDs returned to Cerbat. WE are approximately 75% completed. We are also conducting reviews of incoming inmates and are sending the new photos before they are placed into a job assignment. Cerbat staff are looking at IDs when they conduct counts and getting the new IDs as needed. All IRs, SIRs, and disciplinary reports are forwarded or copies are sent to ADC personal daily



**Management
& Training
Corporation**

ARIZONA STATE PRISON- KINGMAN

MEMORANDUM

TO: Diaz, T Contract Bed Operations Director

CC: Complex Administrator J. Sternes, D/W B. Barnes

FROM: Warden R. Sullivan

DATE: 02/19/2015

On 02/12/2015 [REDACTED] was able to enter the Cerbat Facility via the vehicle sally port with the following described contraband. 5 Smart Cell phones, 2 large wrapped bundles of a crystal like substance consistent with Bath Salts, and 3 larger wrapped bundles of a green leafy substance that was tested for synthetic cannabis. During unclothed searches in the p-2 building Officer Collana 1028 discovered this contraband in the area of [REDACTED] strip out. Officer Collana discovered said items and initiated ICS. The inmate was able to enter the facility due to no searches conducted at the Vehicle sally port as required by policy. [REDACTED] also passed the Cell Sense detector with this contraband with his arm extended as to not alarm the detector.

- To ensure that this issue is corrected going forward the following have been implemented.
- All inmates returning to the facility via the vehicle gate will be pat searched and hand scanned in the sally port restricted area prior to being allowed onto the facility.
- Upon completion and clearing the pat and wand search the inmates will be escorted by staff to the strip out area currently in the P-2 building.
- Upon entry to the P-2 the inmate will be staged in an area for removing outer items of clothing where staff will be staged to search. (See attached map)
- Inmate will be directed to remove all excess clothes, ID, boots ect. for staff search.
- Inmates will then be directed to attempt clearing of the Cell Sense detector, should inmate not clear a supervisor will notified immediately and sequestered out of range of other inmates until it can be determined the reason for failure to clear the detector. If there is no physical reason (Medical or prosthetic) the inmate cannot clear the detector the inmate will be placed on a dry cell watch.
- After clearing the detector the inmate will be directed into the strip search numbered cubical as assigned upon entry.
- There the inmate will be strip searched by officers per policy.
- All staff conducting strip searches have been recently re-trained on the proper strip search procedures. A written procedural sheet has been placed at each cubical for staff to review.

- After all inmates have cleared the strip search area and re-dressed, under staff escort the inmate will depart the P-2 building back to the yard in full compliance.
- The strip out area has been re-configured to address any avenue the inmates may have to introduce contraband into the facility. Staff have been re trained and are being held accountable for past inconsistencies and for any future failures.

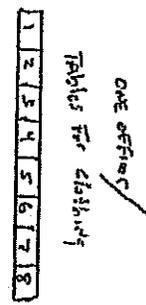
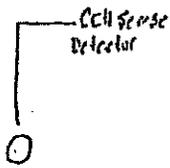
Cerbat Warden ASPC-Kingman

R. Sullivan

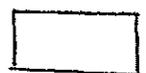


Strip out Cubicles

8	7	6	5	4	3	2	1
---	---	---	---	---	---	---	---



MRO LINE



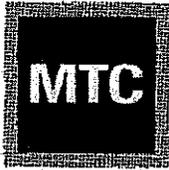
OFFICES
Pending Work
Entry

N. YARD

Roll up Door

Door
WAY/EXIT

Bath Rooms / office



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Complex Administrator Jerry Stemes, Tara Diaz, CBOD
From: Warden Pamala Rider, Hualapai Unit *P. Rider*
Date: 03/02/15
Re: February 703 memo

Tours:

Tours and inspections of the unit were conducted in accordance with DO 703 and met the 10 hour weekly requirement with the following exception:

- Monday-January 2-bereavement leave
- Thursday-January 12-flexing off duty days
- Friday-January 13-flexing off duty days
- Monday-January 16-holiday

SECURITY OPERATIONS:

Security Operations are reviewed through daily observation of practices and review of documentation including: Correctional Service Logs, Information Reports, Disciplinary Reports, Work Requests, tool disposition forms and unit search log. Additionally, monthly Warden/CO roundtable meetings, monthly Town Hall meetings, quarterly Internal audits, annual external audits, debriefing of all incidents and GAR findings, corrective actions and review of monthly report submitted by the unit Chief of Security are utilized to identify and address issues.

- On 02/09/15 Hualapai started controlled movement for a minimum 60 day period. This was in response to an increase in staff assaults, inmate assaults and groupings.

Several Town Hall meetings were held with inmates to talk about controlled movement, necessity to exhibit pro-social behavior and discussion about what pro-social behavior is.

- Extended briefings were held for staff and the same topics were discussed.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Security Device Inspections are completed on every post, every shift and documented in the Correctional Service Logs. Logs are reviewed daily by Lieutenants, Captain, CPS, ADW and Warden. Security Device discrepancies are reported to shift Supervisors verbally and via Information Report which has a copy of the CSL attached. The Correctional Service Logs are notated with Information Report # and Work Request #. Supervisors place a work request into "FacilWorks" for maintenance staff to access the following day. **Maintenance generates the Work Order and prioritizes repairs.** The Supervisor then attaches a copy of the work request to the CSL and IR. If the discrepancy is a life/safety issue it is addressed immediately by Maintenance. All Security Device discrepancies are reviewed at the 0800 briefing and are tracked for completion. The Chief of Security provides a monthly report to the Warden as per policy. Within that report are all Security Device inspections, discrepancies, work requests and resolution. The Administration Lieutenant also provides weekly updates and a monthly reconciliation. These are discussed weekly with the Maintenance Supervisor.

Hualapai has seven outstanding SD work orders for February:

- CDU recreation cages #6 and #7 not operational. **IT is troubleshooting the cables**
- Dorm 1 DR skips one minute increments during review, video cannot be saved. **IT is researching this issue**
- Camera #66 is not functioning correctly. **IT needs to look at the main cabinet. The camera does record.**
- Camera #49 brightness and contrast does not function in Hualapai MC. **This has not been addressed yet.**

- Yard Control window has a 2 inch circular crack on the east side. Glass has been ordered and window will be repaired when it arrives.
- CDU-F07-night light flashes off and on. This has not been addressed yet.
- 40 foot light pole behind dorm 2 has an intermittent bulb. Awaiting rain to stop before replacing.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

All staff are required to abide by the Code of Conduct as established by DOC and MTC policy. If a breach occurs, appropriate action (to include dismissal) is initiated.

No staff were disciplined in February for violating the code of conduct.

STAFF/INMATE RELATIONSHIP:

- No inappropriate staff/inmate relationships were reported in February.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

Hualapai holds a phone briefing on every shift. In addition, a daily Briefing Sheet is posted at the time clock in the hallway where all staff have access to read it prior to the beginning of shift. Supervisors utilize this to conduct phone briefings as well as adding/discussing information pertinent to their shift. Posts without access to the phone briefing are briefed on post by Supervisors. Supervisors are doing a separate phone briefing for kitchen and CDU staff and are briefing perimeters during post checks. The Daily Briefing Sheet is updated to reflect all significant incidents, GAR findings, audit findings, security bulletins and to recognize outstanding staff.

There were 13 GAR findings for February that corrective action plans were submitted for.

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONSHIPS:

Supervisors are required to maintain a standard of professionalism at all times to include when they are interacting with their staff. If this is breached, appropriate action is taken to correct. No incidents of inappropriate relationships or behavior were reported in February .

- Several EPL entries were issued in February as responses to GAR findings.
- Officer Theford received formal disciplinary for driving his personal vehicle to Phoenix when he reported for hospital duty. This had not been approved.

Other Areas as Noted:

- On 02/14/15 monitors for HU/pod cameras were installed in HU 2-3-4. Hu 5 already had them. A monitor is on order for HU 1.
- On 02/16/15 Hualapai Unit had its last inmate assault in February
- On 02/10/15 Hualapai kitchen received an Excellent rating on the monthly inspection
- Painting on the Hualapai Unit is as follows:
 - ✓ CDU-All showers were painted, all cell doors are currently being painted
 - ✓ Kitchen- DA tables are being painted at Complex paint booth at the rate of 4 weekly. Both DA's are $\frac{3}{4}$ completed. All doors in kitchen are scheduled for painting in March. Upon completion, walls will be assessed and painted as needed.
 - ✓ HU's-shower dividers are scheduled to be painted in the Complex paint booth upon completion of the DA tables
 - ✓ Yard-red lines were all repainted In February



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Sternes, Complex Administrator

From: Shahna Fredrick, ADW Hualapai Unit

Date: March 3, 2015

Re: Monthly 703 Reports—February 2015

SECURITY OPERATIONS:

Security Operations are discussed on a daily basis with Hualapai administrative staff. Any deficiencies in security operations/protocol are addressed with the appropriate staff/supervisor.

Hualapai Unit has been on controlled or restricted movement since the beginning of February. Town Hall meetings were held with selected groups of inmates to discuss the movement and the reasoning behind it. Inmate were advised that the controlled movement would be in effect for a minimum of sixty days and the removal of the restrictions would be dependent upon the behavior of the inmate population.

Extended briefings were also held with staff regarding the controlled movement. Staff was encouraged to begin addressing negative behavior of inmates by utilizing disciplinary and classification systems. Inmate are expected to follow rules and staff is responsible for enforcing those rules and holding inmates accountable.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Documentation for security device deficiencies is reviewed daily during morning briefings by supervisors and discussed. Captain ensures that items requiring immediate attention are handled by maintenance.

Security staff has been advised to check with supervisors before carrying over Information Reports for security device deficiencies.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

No issues noted

STAFF/INMATE RELATIONS:

No issues noted

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

No issues noted

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONS:

No issues noted

TOURS

Tours of areas of responsibility were conducted in accordance with ADC policy, meeting the 10 hours per week requirement with the exception of the following dates:

February 16 & 17: holiday and sick leave

February 19: ADOCT. Diaz toured the unit. Inmate attitude and interaction with staff was discussed along with minor 704 compliance issues. Staff professionalism was complimented.



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: P. Rider, Warden, ASPC-K/Hualapai

From: Capt J. Winckler, COS, ASPC-K/Hualapai *capt J. Winckler*

Date: 3/4/15

Re: Monthly 703 Reports—February 2015

SECURITY OPERATIONS:

Security Operations are monitored utilizing tours, inspections and the GAR. All discrepancies noted are addressed with the appropriate supervisors.

February 3rd the Hualapai unit went under ICS for groupings of the Inmate population among racial lines. The unit was locked down with no additional incidents. As a result of the grouping and two severe assaults within days of the grouping the Hualapai unit has been placed on control movement for a period not less than 60 days based on the Inmates behavior.

The Hualapai unit (Complex) Armory was inspected by the ATF for explosive storage on February 25th. The armory passed and the ATF Inspector was complimentary of the operational practices concerning our explosive storage and tracking.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Documentation for security device deficiencies is reviewed daily during morning briefings by supervisors and discussed.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

Disciplinary was issued to one staff member for violation of MTC policies.

STAFF/INMATE RELATIONS:

Staff and Inmates maintain a professional distance. No instances of inappropriate staff/inmate relations reported for the month of February.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

No issues noted

Extended briefings held with all shifts to discuss the incidents and control movement plans and the need for the inmate population to display pro-social behavior.

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONS:

None noted

TOURS

Tours of areas of responsibility were conducted in accordance with ADC policy, meeting the 10 hours per week requirement with the exception of the following dates:

February 20 – vacation day

February 23 & 24 – ICS MAG 400 class



**Management
& Training
Corporation**

ARIZONA STATE PRISON- KINGMAN

TO: Staff, ASPC-K/Hualapai

CC: Warden Rider

FROM: Capt J. Winckler, ASPC-K/Hualapai, COS

DATE: 02/13/15

SUBJ: Yard Movement Protocol

Following is the protocol for the Hualapai unit for the time period of: **02-14-15 thru 02-16-15;**

1. All movement for inmate activities will be conducted in a controlled fashion and called by the Set B officer only.
2. All movement not called out by the Set B officer must be cleared by Set B prior to releasing from the housing unit.
3. Feeding will be conducted utilizing both dining areas containing only three pods at a time.
4. All movement will be called by the Set B officer. Pods scheduled for first recreation period will be called for and fed first. At no time will inmates from another housing unit be sent to the dining area until the previous housing unit is locked down.
5. Recreation will be provided to the inmate population as scheduled below.

Saturday 02-14

1130-1230 D2 CD, D4 DEF, D3 DEF
1240-1340 D2 AB, D4 ABC, D3 ABC
1345-1545 D1 and D5

Sunday 02-15

1130-1230 D2 AB, D4ABC, D3 ABC
1240-1340 D2 CD, D4 DEF, D3 DEF
1345-1545 D1 and D5

Monday 02-16

0830-1030 D1 and D5
1330-1430 D2 CD, D4 DEF, D3 DEF
1445-1545 D2 AB, D4ABC, D3 ABC

Prior to being released to either rec or chow all movement will be given a 10 minute standby period announced throughout the housing unit. Once called the inmates have 5 minutes to depart the pod. At the end of the release period the doors will be secured until the inmates are returned.



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Sternes, Complex Administrator

From: Yvonne Rydgren, CPS Hualapai Unit

Date: March 4, 2015

Re: Monthly 703 Reports—February 2015

SECURITY OPERATIONS:

Security Operations are discussed on a daily basis with Hualapai administrative staff. Any deficiencies in security operations/protocol are addressed with the appropriate staff/supervisor.

2/3/15 - Inmate grouping on the yard which resulted in the yard being locked down and placed under controlled movement for a minimum of sixty days.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Documentation for security device deficiencies is reviewed daily during morning briefings by supervisors and discussed. Captain ensures that items requiring immediate attention are handled by maintenance.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

All staff is held accountable for their behavior and issues are handled appropriately.

STAFF/INMATE RELATIONS:

No issues noted.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

No issues noted.

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONS:

No deficiencies were noted this month.

TOURS

Tours of areas of responsibility were conducted in accordance with ADC policy, meeting the 10 hours per week requirement.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASP-Hualapai/Complex/Maintenance	Inspector Name (Last, First M.I.) (Please print) Sternes, Jerry L.	Report Date 02/13/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 0400 02/13/2015	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 9	Number of Inmates Interviewed 6	Inspector Signature <i>Jerry L. Sternes</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other <u>Complex Maintenance</u> (Specify)				✓

Comments/Assessment

Currently have 24 hour maintenance coverage during the week which greatly improves response to devlce, safety and hygiene issues.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASP-Complex Hualapai Perimeter	Inspector Name (Last, First MI.) (Please print) Sternes, Jerry L.	Report Date 02/26/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 1400 02/26/2015	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 7	Inspector Signature <i>Jerry L. Sternes</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance		✓		
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other Perimeter <i>(Specify)</i>		✓		
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other <i>(Specify)</i>				

Comments/Assessment

Weeds south side of Hualapai perimeter road need to be removed. DW Santiago and Complex Operations Lieutenant advised as to the weed abatement.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASP-Hualapai	Inspector Name (Last, First M.I.) (Please print) Sternes, Jerry L.	Report Date 02/19/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 0745 02/19/2015	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 11	Number of Inmates Interviewed 10	Inspector Signature <i>Jerry L. Sternes</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation		✓		
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Stalling			✓	
Programs			✓	
Written Directives, Post Order Compliance		✓		
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other <u>704 Compliance</u> _____ (Specify)		✓		

Comments/Assessment

Sanitation and 704 compliance needs improvement. Warden, ADW and Captain are aware and addressing staff and inmates regarding improvement/compliance.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman/ Perimeter	Inspector Name (Last, First MI.) (Please print) Santiago, Hector Deputy OPS	Report Date 2-1-15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 2-1-15/2200-2400	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 5	Number of Inmates Interviewed 0	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Conducted rounds/inspections of the following ASPC-Kingman Perimeter, see attached summary.



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Sternes, Complex Administrator
From: Hector Santiago, Deputy Warden Operations *(HS)*
Date: 2-1-15
Re: 703 Report Summary

On 2-1-15 from 2200-2400 I conducted rounds/inspections of the following ASPC-Kingman areas:

Traffic Control- The officer properly challenged me to show identification before allowing me to access the facility and conducted a detailed search of my vehicle entering and exiting. This officer's weapons and ammunition was accounted for and in good working order.

Hualapai/Cerbat Perimeters- Lights were operational, the fence fabric and razor ribbon were intact and had no areas of concern or breaches. The sand traps were raked and free of trash or foot prints. All perimeter officers were posted.

Static Post zone 9/10- The officer properly challenged me to show identification before allowing me to approach his post. I observed the shift relief and weapons exchange, officers reported all secure with no issues or concerns to report. Checked the officers weapon and ammunition, all was accounted for and in good working order.

Roving officer #2- The officer properly challenged me to show identification before allowing me to approach his vehicle. I spoke to the officer who reported all secure with no fence alarms other or concerns to report. Checked the officers weapon and ammunition, all was accounted for and in good working order. The vehicle was in good working order.

Roving Post#1- The officer properly challenged me to show identification before allowing me to approach his vehicle. I spoke to the officer who reported all secure with no issues or concerns to report and his vehicle was working well, to include all lights. Checked the officers weapon and ammunition, all was accounted for and in good working order.

Roving officer #3- The officer properly challenged me to show identification before allowing me to approach his vehicle. I spoke to the officer who reported all secure with no fence alarms other or concerns to report. I inspected the vehicle and found that the vehicle was working well, to include all lights. Checked the officers weapon and ammunition, all was accounted for and in good working order.

2238- I observed a Cerbat unit officers conducting a fence alarm zone check, which cleared with all zones activating and resetting properly.

2300- Formal count began and was cleared.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman/ Complex	Inspector Name (Last, First M.I.) (Please print) Santiago, Hector Deputy OPS	Report Date 2-10-15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2-10-15/1035-1310	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 20	Number of Inmates Interviewed 33	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security		✓		
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Conducted rounds/inspections of the following ASPC-Kingman Complex areas, see attached summary.



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Stemes, Complex Administrator
From: Hector Santiago, Deputy Warden Operations *(HS)*
Date: 2-10-15
Re: 703 Report Summary

On 2-10-15, from 1035-1310, I conducted Tours/Inspections of the following Complex areas:

Maintenance Shop- This area was secure, clean and organized. I conducted an inspection of this area with the Physical Plant Manager. There were 12 inmates and 4 staff present, all tools were accounted for and properly issued.

Deficiency Noted: Found B-class tool cage unsecure, the cage was immediately secured and all staff will be reminded of securing gates.

ACI Industry Building (JimGlo) - This area was secure; I made rounds with the posted officer, who reported no issues or concerns. All tools were accounted for and secure. I spoke to the company staff person, there were 11 inmates working in this area. The key inventory and tool inventory were conducted, no issues noted.

Fitness room- This area was secure, clean and organized. There were several staff using this area.

Laundry- This areas was secure and (1) officer was posted with (6) inmates working. All areas were very clean and organized, the officers were properly supervising the inmates and the correctional service log was complete and detailed. All machines were operational.

Deficiency Noted: Found a uniform shirt hanging in the secure office. I addressed this with the posted officer, the uniform was removed and secure in his private vehicle.

Tactical room- This area was secure, clean and organized no issues to report.

Traffic Control- This post was secure, clean and organized. I observed the posted officer conducting vehicle searches. The officer was checking all individuals entering and exiting for proper identification and searching vehicles. The officer was in possession of all issued weapons and ammunition and was practicing good weapons safety.

Mailroom- This area was secure, clean and organized. I spoke to the mailroom supervisor who reported no issues or concerns. I also spoke to the 7 posted mailroom staff, who were in the process of sorting mail, they expressed the need for additional computers to process mail. I will address this with our IT department.

Training- This area was clean and organized, inmate porters were working. I spoke to the Training Lt, Compliance Manger and health and Safety Coordinator, they had no issues or concerns. There were a NEO class and Block training in progress.

Warehouse- This area was secure, clean and organized. I spoke to the warehouse supervisor who reported no issues or concerns. All tools were accounted for and properly issued. There were (4) inmates working at the time and all were in good (704) compliance. I checked the graduation gown inventory and it was accurate.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman/ Off Site Crews	Inspector Name (Last, First M.I.) (Please print) Sauliago, Hector Deputy OPS	Report Date 2-19-15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2-19-15/0930-1420	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 8	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Conducted rounds/inspections of the following off-site inmate work crews.



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Stemes, Complex Administrator
From: Hector Santiago, Deputy Warden Operations (H.S.)
Date: 2-19-15
Re: 703 Report Summary

On 2-19-15 from 0930-1420 I, along with Sgt. Short conducted inspections of the following off-site Inmate Work Crews:

Lake Havasu College - There were (2) inmates working on the college grounds under college staff supervision. The inmates were involved in grounds keeping and light maintenance at (2) different locations on the campus. We accounted for all inmates present, all inmates were wearing safety equipment and were in good 704 compliance. We met with the college Dean, who expressed no issues; expect the need for the replacement of an inmate removed from the crew.

Lake Havasu Windsor Beach- There were (6) inmates working to clear weeds along the beach line, supervised by an MTC officer. The inmates were in good 704 compliance, the supervisor reported no issues or concerns.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

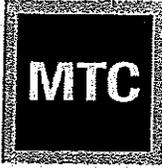
Unit Inspected ASPC-Kingman/ Complex	Inspector Name (Last, First M.I.) (Please print) Santiago, Hector Deputy OPS	Report Date 2-20-15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2-20-15/0850-1130	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 7	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Conducted rounds/inspections of the following Complex areas, see attached summary



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Sternes, Complex Administrator

From: Hector Santiago, Deputy Warden Operations (H.S.)

Date: 2-20-15

Re: 703 Report Summary

On 2-20-15 from 0850-1130 I conducted Tours/Inspections of the following Complex areas:

Grounds- I found all areas to be clean and raked, I observed the complex inmate landscape work crew working along the Hualapai unit perimeter.

Key Shop- This area was secure, clean and organized. I conducted the monthly key inventory with the key control officer, all keys accounted for. The key control officer reported no issues or concerns.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman/ Complex	Inspector Name (Last, First MI) (Please print) Santiago, Hector Deputy OPS	Report Date 2-26-15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2-26-15/1400-1510	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 12	Number of Inmates Interviewed 15	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Conducted rounds/inspections of the following Complex areas, see attached summary



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Sternes, Complex Administrator
From: Hector Santiago, Deputy Warden Operations (HS)
Date: 2-26-15
Re: 703 Report Summary

On 2-26-15, from 1400-1510, I conducted Tours/Inspections of the following Complex areas:

Maintenance Shop- This area was secure, clean and organized. I conducted an inspection of this area with the Physical Plant Manager. There were 5 inmates and 4 staff present, I observed the end of the day tool inventory, all tools were accounted for.

ACI Industry Building (JimGlo) - This area was secure; I made rounds with the posted officer, who reported no issues or concerns. All tools were accounted for and secure. I spoke to the company staff person, there were 10 inmates working in this area. The key inventory and tool inventory were conducted, no issues noted.

Deficiency I found a fire extinguisher blocked, the posted officer immediately corrected the issue.

Fitness room- This area was secure, clean and organized. There was no staff using this area at the time.

Laundry- This areas was secure, there were no staff or inmates in this area. All areas were very clean and organized.

Tactical room- This area was secure, it was in need or cleaning and organization, this was addressed with the training Lt.

Traffic Control- This post was secure, clean and organized. I observed the posted officer conducting vehicle searches. The officer was checking all individuals entering and exiting for proper identification and searching vehicles. The officer was in possession of all issued weapons and ammunition and was practicing good weapons safety.

Grounds- The grounds were in need of weeding, this was addressed with the grounds crew supervisor.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman/ Perimeter	Inspector Name (Last, First MI.) (Please print) Santiago, Hector Deputy OPS	Report Date 2-27-15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 2-27-15/2245-2440	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 0	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Conducted rounds/inspections of the ASPC-Kingman Perimeter, see attached summary

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Stames, Complex Administrator
From: Hector Santiago, Deputy Warden Operations *(HS)*
Date: 2-27-15
Re: 703 Report Summary

On 2-27-15 from 2245-2440 I conducted rounds/inspections of the following ASPC-Kingman areas:

Traffic Control- The officer properly challenged me to show identification before allowing me to access the facility and conducted a detailed search of my vehicle entering and exiting. This officer's weapons and ammunition was accounted for and in good working order.

Hualapai/Cerbat Perimeters- Lights were operational, the fence fabric and razor ribbon were intact and had no areas of concern or breaches. The sand traps were raked and free of trash or foot prints. All perimeter officers were posted.

Roving Post#1- The officer properly challenged me to show identification before allowing me to approach his vehicle. I spoke to the officer who reported all secure with no issues or concerns to report and his vehicle was working well, to include all lights. Checked the officers weapon and ammunition, all was accounted for and in good working order.

Roving officer #2- The officer properly challenged me to show identification before allowing me to approach his vehicle. I spoke to the officer who reported all secure with no fence alarms other or concerns to report. Checked the officers weapon and ammunition, all was accounted for and in good working order. The vehicle was in good working order.

Roving officer #3- The officer properly challenged me to show identification before allowing me to approach his vehicle. I spoke to the officer who reported all secure with no fence alarms other or concerns to report. I inspected the vehicle and found that the vehicle was working well, to include all lights. Checked the officers weapon and ammunition, all was accounted for and in good working order.

Static Post zone 9/10- The officer properly challenged me to show identification before allowing me to approach his post. I observed the shift relief and weapons exchange, officers reported all secure with no issues or concerns to report. Checked the officers weapon and ammunition, all was accounted for and in good working order.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman/ Cerbat Unit	Inspector Name (Last, First MI.) (Please print) Santiago, Hector Deputy OPS	Report Date 2-17-15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2-17-15/1236-1315	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 2	Number of Inmates Interviewed 0	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Conducted rounds/inspections of the following Cerbat Unit areas, see attached summary.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Starnes, Complex Administrator

From: Hector Santiago, Deputy Warden Operations *(H.S.)*

Date: 2-17-15

Re: 703 Report Summary

On 2-17-15 from 1236-1315 I conducted Tours/Inspections of the following Cerbat unit areas:

Entry- All entry gates, fencing and razor ribbon was in place, secure and functioning properly. These areas were very clean. I observed the perimeter vehicles moving along the perimeter.

Lobby- This area was clean and organized, with (2) officer posted. The searches he conducted were detailed and the officer challenged me on property I possessed. The walkthrough metal detector was being utilized and appeared to be operating properly. I was properly identified before being allowed access into the facility.

Armory- I and officer Arias conducted an inventory of the Cerbat armory, all items were present and in good working order.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman/ Hualapai Unit	Inspector Name (Last, First M.I.) (Please print) Santiago, Hector Deputy OPS	Report Date 2-17-15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2-17-15/0900-1220	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 11	Number of Inmates Interviewed 2	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Conducted rounds/inspections of the following Hualapai Unit areas, see attached summary.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Stemes, Complex Administrator
From: Hector Santiago, Deputy Warden Operations

H.S.

Date: 2-17-15

Re: 703 Report Summary

On 2-17-15 from 0900-1220 I conducted Tours/Inspections of the following Hualapai unit areas:

Entry- All entry gates, fencing and razor ribbon was in place, secured and functioning properly. These areas were very clean. Roving perimeter was moving along the perimeter, sand traps were raked and clean.

Lobby- This area was clean and organized, with (2) officers and a Sgt. posted, the search conducted was detailed. The walkthrough metal detector was being utilized and appeared to be operating properly. The officers properly identified me before allowing me access to the facility.

Armory- This area was clean, organized and secure. The "Correctional Service log" was complete with all required entries. I, along with Sgt. Ciofalo, and officer Arias conducted a Monthly Armory Inventory", all weapons, ammunition and equipment were accounted for and in good working order.

Control- This area was clean and organized, (2) officer were posted, the officer requested our identification prior to allowing us access to the area. I found all doors secure and I spoke to the posted officers, who reported that all security devices were operational, and that both the key inventory and fence zone checks were completed with no discrepancies reported. We inventoried all armory weapons, ammo and equipment in the ready armory, all was accounted for.

Yard- All areas were very clean and raked, all gates and doors that I accessed were found to be secure and operating properly. The yards were involved in controlled movement, 3 officers at set-B were conducting compliance checks of inmates passing through the spline.

Tower- This area was very clean and organized; the Set- A officer requested our identification, secured the base of the tower and requested verbal authorization prior to allowing us access to the tower. Upon entering the tower, I found all doors secure and I spoke to the posted officer. An inventory of all weapons; ammunition and equipment in the weapons cage was conducted; all were accounted for and in good working order.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat	Inspector Name (Last, First M.I.) (Please print) Sullivan Rieck	Report Date 02-10-2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/10/15 0830-1130	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 16	Number of Inmates Interviewed 35+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

I conducted a tour on Day Shift along with an inspection of the ACI and wheels for the World area's. There was some issues with 704 I/M living areas these were addressed during my tour with I/Ms and staff. Both ACI and Wheels for the World had no issues.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat	Inspector Name (Last, First M.I.) (Please print) Sullivan Rick	Report Date 02-12-2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/12/15 1430-1630	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 9	Number of Inmates Interviewed 35+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

I conducted a tour on Swing Shift along with an inspection of the Medical Unit and dorms on the East yard. The staff in the Medical Unit were busy and engaged in their daily activities. The unit was clean with no issues. The dorms had a few 704 living area discrepancies, these were addressed and fixed during the ture.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat	Inspector Name (Last, First M.I.) (Please print) Sullivan Riek	Report Date 02-13-2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02/13/15 0330-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 11	Number of Inmates Interviewed 45+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 I conducted a tour on Grave Yard shift along with an inspection of the kitchen, medical and shift command. The kitchen had 2 discrepancies (wet nesting some pans and the sample tray on the North chow hall did not match the menu). These were addressed with Trinity and security staff during the tour and fixed at the time of the tour. The medical unit staff were clearing the sharps count with no discrepancies. Supervisors and staff in the shift were completing paper work from the shift. There were no other issues.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement"



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat	Inspector Name (Last, First M.I.) (Please print) Sullivan Rick	Report Date 02-17-2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/17/15 0900-1130	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 16	Number of Inmates Interviewed 30+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
IICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 I conducted a tour on Day Shift along with an inspection of the kitchen. There was some issues with 704 I/M living areas these were addressed during my tour with I/MS and staff. The kitchen was clean with no issues. Medical was clean and staff were going about their daily assignments. The transition to MTC medical has had no issues.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat	Inspector Name (Last, First M.I.) (Please print) Sullivan Riek	Report Date 02-23-2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/23/15 1400-1630	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 20+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

I conducted a tour on Swing Shift with R. Credio and D/W Barnes an inspection of the kitchen was conducted with some issues that were addressed during the tour. The incoming crews were watched and there were 3- I/Ms that did not match the face to ID/escape flier. this was fixed on Tue. Dorms 10 was OK. The kitchen was clean but had wet nesting and 3 items were not marked in the coolers this was fixed on the spot. Medical was clean with 1 issue a can of Blazer spray was not on inventory, fixed on Tue. Medical/ yard tools were good.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat	Inspector Name (Last, First M.I.) (Please print) Sullivan Rick	Report Date 02-25-2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02/25/15 0400-0630	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 25+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
IICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

I conducted a tour on Grave Yard shift to observe the crew turn out. Two escape fliers were reprinted for the Jim-Glow crew there were no other issues. The kitchen was serving the population breakfast with no issues. I tasted the meal and found it to be good. I/MS had no issues with the meal. I talked with the shift staff during the tour for a staff meeting and answered questions concerning the shift and Unit.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First M.I.) (Please print) Palosaari, John	Report Date 03/03/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/03/2015 0730-1400	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 35	Inspector Signature <i>J. Palosaari</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured kitchen, north yard dorms, and programs areas.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Corral	Inspector Name (Last, First M.I.) (Please print) Palosari, John	Report Date 03/03/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/04/2015 1400 - 1730	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 20	Number of Inmates Interviewed 45	Inspector Signature <i>J. Palosari</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured kitchen, dorms on both yards. ICS due to assault on Officer Mullins by an Inmate while Mullins was conducting 1600 count.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First M.I.) (Please print) Palosanti, John	Report Date 03/03/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/10/2015 0730	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 20	Number of Inmates Interviewed 40	Inspector Signature <i>J. Palosanti</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Toured kitchen, dorms on both yards, medical and programs areas.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First MI.) (Please print) Palosuari, John	Report Date 03/03/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/13/2015 1400 - 1700	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 35	Inspector Signature <i>J. Palosuari</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured kitchen, dorms on both yards.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First M.I.) (Please print) Palasnari, John	Report Date 02/22/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02/22/2015 0330 - 0530	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 12	Number of Inmates Interviewed 5	Inspector Signature <i>J. Palasnari</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured kitchen, north yard dorms, inspected perimeter lighting.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First M.I.) (Please print) Palosnari, John	Report Date 02/23/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02/23/2015 0400 - 0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 25	Inspector Signature <i>J. Palosnari</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured kitchen. Observed release of Inmate work crews in the vehicle sallyport. Havasu crews did not go out. Reviewed all escape flyers. Officer Kirby was conducting proper face to ID to escape flyer confirmations. All Inmates that were allowed to exit the unit matched the photos on their ID's and escape flyers.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Cerbat	Inspector Name (Last, First M.I.) (Please print) ADW Robert Poland	Report Date 3/3/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2/4/15 / 10:00-12:00	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 35	Number of Inmates Interviewed 40	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

The facility look really good, a focus needs to be put on cigarette butts outside of the dorms.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPCK-Cerbat	Inspector Name (Last, First M.I.) (Please print) ADW Robert Polaud	Report Date 3/3/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2/10/15 / 14:00- 1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 31	Number of Inmates Interviewed 46	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security			✓	
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

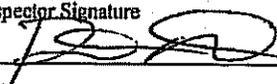
Comments/Assessment

The staff and inmate were in really good spirits.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Cerbat	Inspector Name (Last, First MI.) (Please print) ADW Robert Poland	Report Date 3/3/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2/13/15 -09:00-11:00	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 35	Number of Inmates Interviewed 51	Inspector Signature 

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

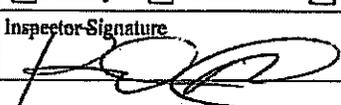
Comments/Assessment

The facility was very clean and the inmates were busy working or in class.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Cerbat	Inspector Name (Last, First M.I.) (Please print) ADW Robert Poland	Report Date 3/3/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2/19/2015 14:00-16:00	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 36	Number of Inmates Interviewed 58	Inspector Signature 

Inspection Summary (Attach additional pages as necessary)

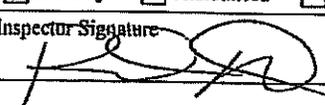
FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Inmates and staff seemed to be in good spirits.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Cerbat	Inspector Name (Last, First M.I.) (Please print) ADW Robert Poland	Report Date 3/3/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 2/21/15 -04:00-06:00	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 25	Number of Inmates Interviewed 36	Inspector Signature 

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling			✓	
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

The staff were in very good spirits the facility looked good as a whole but the yard had a little debris by the sidewalks that needs to be addressed.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPCK-Cerbat	Inspector Name (Last, First M.I.) (Please print) ADW Robert Poland	Report Date 3/3/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 2/28/15- 04:00-06:00	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 23	Number of Inmates Interviewed 30	Inspector Signature 

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

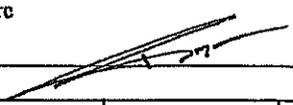
Comments/Assessment

the yards were quiet and the inmates were up preparing for the days activities.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat Unit	Inspector Name (Last, First M.I.) (Please print) Schwartzkopf, T. 212 Captain	Report Date 02/02/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02/02/2015 @0400	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 5	Inspector Signature 

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment

Lieutenant Bussart is doing a great job with staff and all were professional and within compliance.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat	Inspector Name (Last, First M.I.) (Please print) Schwartzkopf, T. 212 Captain	Report Date 02/03/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/03/2015 @ 1150	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 15	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance	✓			✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other <u>N/A</u> (Specify)				

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				✓
Other <u>N/A</u> (Specify)				

Comments/Assessment

Staff appearance was address as to staff missing the Blonic Pin, and name tags. Radio traffic will be followed up on regarding professional behavior. Staff morale is down due to the ongoing overtime at the facility. Staff will need to address inmate regarding sanitation in the dorms.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat Unit	Inspector Name (Last, First MI) (Please print) Schwartzkopf, T. 212 Captain	Report Date 02/11/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/11/2015 / 1600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 11	Number of Inmates Interviewed 12	Inspector Signature 

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment
Swing shift having some issues as day shift. These issues are being addressed by the Chief of Security daily.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat Unit	Inspector Name (Last, First M.I.) (Please print) Schwartzkopf, T. 212 Captain	Report Date 02/19/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/19/2015 / 1300	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 12	Number of Inmates Interviewed 14	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment

Conducted a back to back in conjunction with my last day shift tour. Was not much change. This tour was on the East yard.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat Unit	Inspector Name (Last, First M.I.) (Please print) Schwartzkopf, T. 212 Captain	Report Date 02/23/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/23/2015 / 1400	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 11	Number of Inmates Interviewed 12	Inspector Signature 

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment

These issues are being addressed by the Chief of Security daily.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected	Inspector Name <i>(Last, First M.I.) (Please print)</i>	Report Date
Cerbat Unit	Schwartzkopf, T. 212 Captain	02/24/2015
Shift Inspected <i>(Check one)</i> <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02/24/2015 @0400	<i>(Check all that apply)</i> <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 10	Inspector Signature

Inspection Summary *(Attach additional pages as necessary)*

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ <i>(Specify)</i>				

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale				✓
Other _____ <i>(Specify)</i>				

Comments/Assessment

Lieutenant Bussart is doing a great job with staff and all were professional and within compliance. Observed personally the turn out of all inmate crews through the Vehicle Sally Port. Out of 140 inmates only 43 were released as the Escape flier issue is being addressed.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC Kingman	Inspector Name (Last, First M.I.) (Please print) Bartley, Jeffery	Report Date 02/09/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/09/15 0910-1110	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 21	Number of Inmates Interviewed 23	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Facility looked clean. Staff and inmates were in good spirits.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC Kingman	Inspector Name (Last, First MI) (Please print) Bartley, Jeffery	Report Date 02/11/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/11/15 1410-1610	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 18	Number of Inmates Interviewed 19	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Facility looked clean. Staff and inmates were in good spirits.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASP Kingman	Inspector Name (Last, First M.I.) (Please print) Bartley, Jeffery	Report Date 02/12/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02/12/15 0350-0550	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 18	Number of Inmates Interviewed 21	Inspector Signature <i>[Signature]</i>

Digitally signed by Jeffery Bartley, DN: cn=Jeffery Bartley, o=Arizona Department of Corrections, ou=ADOC, email=jbartley@adoc.state.az.us, c=US

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Cerbat looks clean, staff seemed to have good morale.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC Kingman	Inspector Name (Last, First M.I.) (Please print) Bartley, Jeffery	Report Date 02/19/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/19/15 1400-1600	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 18	Number of Inmates Interviewed 22	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Facility looked clean. Staff and inmates were in good spirits.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASP Kingman	Inspector Name (Last, First M.I.) (Please print) Bartley, Jeffery	Report Date 02/26/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02/26/15 0355-0555	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 18	Number of Inmates Interviewed 21	Inspector Signature <i>[Signature]</i>

Digitally signed by Jeffery Bartley
DN: cn=Jeffery Bartley, o=Arizona Department of Corrections, ou=DOA, email=j.bartley@azdocr.gov, c=US
Date: 2015.02.26 15:55:47 -0700

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Cerbat look clean, staff seemed to be in with good morale.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC Kingman	Inspector Name (Last, First M.I.) (Please print) Bartley, Jeffery	Report Date 02/27/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/27/15 0930-1130	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 18	Number of Inmates Interviewed 20	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Facility looked clean. Staff and inmates were in good spirits.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First M.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 02/03/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02/03/2015 0400-0600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 5	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment

Unit was clean and within compliance. No issues to report.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First M.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 02/05/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/05/2015 1400-1600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 20	Number of Inmates Interviewed 10	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment

Unit was clean and within compliance. Spoke to staff and inmates concerning any issues. No issues to report.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First M.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 02/10/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/10/2015 1400-1600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 20	Number of Inmates Interviewed 10	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment

Unit was clean and within compliance. Spoke to staff and inmates concerning any issues. No issues to report.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First M.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 02/12/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/12/2015 1000-1200	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 10	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment

Unit was clean and within compliance. Spoke to staff and inmates concerning any issues. No issues to report.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First M.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 02/19/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/19/2015 1100-1300	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 14	Number of Inmates Interviewed 5	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment

Unit was clean and within compliance. Spoke to staff and inmates concerning any issues. No issues to report.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First M.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 02/23/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02/23/2015 0400-0600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 12	Number of Inmates Interviewed 8	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment

Unit was clean and within compliance. No issues to report.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Rider, Pamala M.	Report Date 02/03/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02/03/15 2045-1205	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Mostly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 5	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale		✓		
Other <u>Under ICS for groupings</u> (Specify)			✓	

Comments/Assessment
 Responded to unit for groupings on all yards. Met with staff and supervisors involved and took information and details. Assisted with short interviews of selected inmates of all races. Formulated a plan for the following day. Completed e mail update to ADOC and MTC Corporate VP.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Rider, Pamela M.	Report Date 02/05/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/05/15 1500-1800	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 8-10	Number of Inmates Interviewed 25-30	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale		✓		
Other <u>ICS/groupings/staff assault</u> (Specify)			✓	

Comments/Assessment

At 1500 held a Town Hall meeting with 21 inmates of different races to advise of plan for w/e and 60 day controlled movement. Discussed pro-social behavior expected from medium custody inmates and history of issues since becoming an open yard. Targeted searches were taking place in HU 5A-pod at this time and some staff were assaulted by inmates. Ended TH meeting, met with involved staff and assisted SS in plan for HU 5-A pod for next day. Watched chow movement from Set B and spoke with inmates and staff.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Rider, Pamela M.	Report Date 02/07/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/07/15 0845-1130	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 11	Number of Inmates Interviewed 50+	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale		✓		
Other <u>Under ICS</u> _____ (Specify)			✓	

Comments/Assessment

On site Duty Officer. Spent entire morning at Hualapai at Set B, in the Dining Areas, in the kitchen and on the yard. Observed chow and recreation movement while under ICS with restricted movements. talked with large # of inmates about reasons for 60 days of controlled movement, expectations for pro-social behavior, schedules, activities and movement. Talked with staff and Supervisors about calling out pods, standby-staging-release, the importance of "echoing" back to Set B and keeping Set B advised of all movement in DA's, HU's etc.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Rider, Pamela M.	Report Date 02/18/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/18/15 1140-1315	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 9	Number of Inmates Interviewed 15-20	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Rider, Pamela M.	Report Date 02/24/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/24/15 0815-1030	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 7	Number of Inmates Interviewed 10-12	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other <u>Town Hall</u> (Specify)			✓	

Comments/Assessment

Toured with R. Credio and DW Barnes. Held TH meeting



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Rider, Pamela M.	Report Date 02/25/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/25/15 1410-1600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 15+	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment

Walked recreation fields and assisted staff in addressing inmates regarding grooming. Watched class and commissary movement. Talked with inmates in medical.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Rider, Pamela M.	Report Date 02/27/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02/27/15 2200-0130	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 30+	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment Conducted GY tour



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Fredrick, Shabna	Report Date 03/01/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02/05/15 0400-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 4	Number of Inmates Interviewed 0	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Rounds In Hualapai Control and Medical. Observed staff ingress for dayshift



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Fredrick, Shahna	Report Date 03/01/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2/13/15 1405-1630	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 5	Number of Inmates Interviewed 10+	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured Dorms 4 & 5 and North Yard. Addressed 704 compliance issues with dorm officers.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Fredrick, Shahna	Report Date 03/01/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2/19/15 0900-1100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 7	Number of Inmates Interviewed 10+	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured with CBOD T. Diaz and monitoring staff. Made rounds in Dorms 2 and 3 and yards. Discussed minor 704 compliance issues.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Fredrick, Shahna	Report Date 03/01/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2/20/15 1405-1630	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 5	Number of Inmates Interviewed 0	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Rounds in Hualapai Control, perimeter and zone 9.

ARIZONA DEPARTMENT OF CORRECTIONS



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Fredrick, Shahna	Report Date 03/01/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 2/27/15 2130-0100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 7	Number of Inmates Interviewed 0	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Observed staff ingress for graveyard shift. Conducted rounds in Medical and kitchen.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Fredrick, Shanna	Report Date 03/01/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2/28/15 1000-1300	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 4	Number of Inmates Interviewed 10+	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Observed visitation on both units. Made rounds in Hualapai medical and kitchen. Observed movement at Set-B.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winckler, James	Report Date 02-04-15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02/03/15 22-2400	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 8	Inspector Signature <i>Capt J. Winckler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling				✓
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale		✓		
Other ICS Procedures _____ (Specify)				

Comments/Assessment

Responded to the unit due to grouping of the inmate population. Toured and interviewed inmates in dorms 4 and 5.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winckler, James	Report Date 02-04-15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/04/15 09-1100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 15	Inspector Signature <i>Capt J.W. Winckler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling				✓
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other ICS Procedures _____ (Specify)			✓	

Comments/Assessment
toured dorms 1 and 2



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winckler, James	Report Date 02-14-15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/14/15 14-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 4	Inspector Signature <i>Capt. J. Winckler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling				✓
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured all yards during rec activities.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winckler, James	Report Date 02-18-15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/18/15 14-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 5	Number of Inmates Interviewed 4	Inspector Signature <i>Capt J. Winckler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling				✓
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured the P-bldg and observed classes and the return of inmates from classes.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Wincler, James	Report Date 02-19-15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 02-19-15 04-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 8	Number of Inmates Interviewed 0	Inspector Signature <i>Capt J. Wincler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Observed count procedures and toured kitchen and medical



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winelder, James	Report Date 02-25-15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 02/25/15 10-1200	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 8	Number of Inmates Interviewed 6	Inspector Signature <i>Capt J. Winelder</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling				✓
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured the East yard and Dorm 3



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First M.I.) (Please print) Rydgren, Yvonne	Report Date 3/4/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2/4/2015 0900-1100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 5	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit		Inspector Name (Last, First M.I.) (Please print) Rydgren, Yvonne		Report Date 3/4/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard		Date/Time of Inspection 2/04/15 1000-0000	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other	
Number of Staff Interviewed 5	Number of Inmates Interviewed 2	Inspector Signature		

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First M.I.) (Please print) Rydgren, Yvonne	Report Date 3/4/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2/16/15 1200-1400	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 4	Number of Inmates Interviewed 3	Inspector Signature <i>Yvonne Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Empty box for comments/assessment.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First M.I.) (Please print) Rydgren, Yvonne	Report Date 3/4/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2/18/15 1400-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 2	Number of Inmates Interviewed 5	Inspector Signature <i>Yvonne Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Blank area for handwritten comments and assessment.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First M.I.) (Please print) Rydgren, Yvonne	Report Date 3/4/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 2/26/15 0400-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 0	Inspector Signature <i>Yvonne Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First M.I.) (Please print) Rydgren, Yvonne	Report Date 3/4/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 2/27/15 0500-0700	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 4	Inspector Signature <i>Yvonne Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Blank area for handwritten comments and assessment.



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Complex Administrator Jerry Starnes, Tara Diaz, CBOD
From: R. Sullivan Warden/ Cerbat *R. Sullivan*
Date: 04/03/2015
Re: Monthly 703 Reports March 2015

TOURS

Tours/inspections were completed by all assigned staff during the month of February 2015

(See attached)

SECURITY OPERATIONS:

Security Operations are reviewed during our daily morning meetings to include all IRs, SIRs, Disciplinary Reports, Work orders and Service Journal Logs. All pertinent information is passed along to supervisors and is briefed to line staff during the daily shift briefings. All ADC tour and GAR findings are passed on to supervisors for redirection, completion or corrective action. I also hold monthly CO/ Warden Meetings, Town Hall meetings with inmates and meetings with the Case Managers.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

All security device discrepancies have been reported and work orders have been submitted. Via service journal entries, IRs and work order request. This paper work is reviewed daily during the morning meeting by department heads and security Supervisors. A security work order reconciliation report is generated by the Cention monthly. The following are security work orders held over for the Month of October.

3/24/15 15-M61-5413 0410 Dorm 2 B-side door window cracked——Open

9/23/14 14-M61-4701 0339 Camera Shows blue screen——Open

3/30/15 15-M61-8527 0898 Dorm 5 Wall pack out——Open

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

All MTC staff members are required to follow the MTC, ADC code of conduct.

Staff are held accountable up to and including dismissal if they do not comply. No disciplinary was issued during the month of March.

STAFF/INMATE RELATIONS:

No inappropriate staff/inmate relationships were reported during the month of March

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

All required staff meetings were conducted and briefings are being held daily per shift. Cerbat holds a daily face to face or phone briefing with all shifts to include operation staff. The briefing consists of daily operations, daily movement, past issues, security issues and GAR or tour findings needing addressed

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONSHIPS:

Administrative and supervisory staff continues to walk and talk with line staff/inmates daily. All supervisors are required to hold themselves to a higher standard. Supervisors continue to interact with other supervisors and staff during their daily assignments. There were no reports of inappropriate behavior in March.

MONTHLY SUMMARY

On 03/03/2015 Officer Olivas reported he had left his pepper spray in his personal CDU vest in the CDU control room. When he returned to work the spray was not in the vest. Olivas Failed to report the missing spray in a timely manner. ICS was initiated and searches of the CDU control room, supervisors office and main control was completed with negative results. Officers on all three shifts were and operations were interviewed with negative results. On 03/04/2015 Cerbat stood down from ICS and resumed normal operations. Cerbat supervisors will continue to investigate this issue. Officer Olivas was held accountable. More information will follow as it becomes available. The spray has not been recovered as of 04/03/2015. On 03/19/2015

On 03/19/15 North yard Black/Mexican National inmates were grouping up due to a disrespect issue between one of each race getting into a verbal confrontation which led to the groupings. The yard was locked down and interviews were conducted to ascertain the reason for the groupings. The interviews were completed and the disrespect issue was found to be the reason for the groupings. A slow feed was conducted the following morning along with more interviews. The interviews indicated the disrespect issue was worked out with no further conflict between the two races. The slow feed was completed with no issues or visual implications of tension between any of the races. After the feeding was completed the North yard was opened slowly dorm by dorm to observe for any issues that may arise from the previous night's problems. Mr. R. Credio was touring the unit during this time and had no issues with the way we handled the situation.

There have been no other issues of this nature during the month.



Management
& Training
Corporation

Arizona State Prison
Kingman

Memo

To: Complex Administrator Jerry Sternes, Tara Diaz, CBOD
From: Warden Pamela Rider, Hualapai Unit *P. Rider*
Date: 04/06/15
Re: March 703 memo

Tours:

Tours and inspections of the unit were conducted in accordance with DO 703 and met the 10 hour weekly requirement with the following exception:

- 03/11-Annual Leave
- 03/16 through 03/20-Gone for MTC Warden's conference

SECURITY OPERATIONS:

Security Operations are reviewed through daily observation of practices and review of documentation including: Correctional Service Logs, Information Reports, Disciplinary Reports, Work Requests, tool disposition forms and unit search log. Additionally, monthly Warden/CO roundtable meetings, monthly Town Hall meetings, quarterly Internal audits, annual external audits, debriefing of all incidents and GAR findings, corrective actions and review of monthly report submitted by the unit Chief of Security are utilized to identify and address issues.

- 03/5-WEBEOC training was held at Complex training for all Lieutenants
- 03/09-10-ADOC Programs Mar' Kuehlman and Jan Wethers were on site observing Substance Abuse programs
- 03/27-participated in a 4 hour ICS exercise regarding a potential Ebola outbreak
- 3/30-31-Carl Nink from MTC Corporate on site evaluating PREA requirements

- 03/03-04-Quarterly searches of HU 1 and HU 2 were completed
- 03/25-26-Quarterly searches of HU 4 and HU 5 were completed

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Security Device Inspections are completed on every post, every shift and documented in the Correctional Service Logs. Logs are reviewed daily by Lieutenants, Captain, CPS, ADW and Warden. Security Device discrepancies are reported to shift Supervisors verbally and via Information Report which has a copy of the CSL attached. The Correctional Service Logs are notated with Information Report # and Work Request #. Supervisors place a work request into "FacillWorks" for maintenance staff to access the following day. **Maintenance generates the Work Order and prioritizes repairs.** The Supervisor then attaches a copy of the work request to the CSL and IR. If the discrepancy is a life/safety issue it is addressed immediately by Maintenance . All Security Device discrepancies are reviewed at the 0800 briefing and are tracked for completion. The Chief of Security provides a monthly report to the Warden as per policy. Within that report are all Security Device inspections, discrepancies, work requests and resolution. The Administration Lieutenant also provides weekly updates and a monthly reconciliation. These are discussed weekly with the Maintenance Supervisor.

Hualapai has four outstanding security device work orders for March:

- CDU recreation cages #6 and #7 not operational. IT is troubleshooting the cables
- Yard Control window has a 2 Inch circular crack on the east side. Glass has been ordered and window will be repaired when it arrives.
- Dorm 3 floor office window which faces the East yard is broken. Glass has been ordered and the window will be repaired when it arrives.
- Dorm 3 A pod window is broken. Glass has been ordered and the window will be repaired when it arrives.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

All staff are required to abide by the Code of Conduct as established by DOC and MTC policy. If a breach occurs, appropriate action (to include dismissal) is initiated.

- No staff were disciplined in March for violating the code of conduct.
- Eleven staff received disciplinary for other issues

STAFF/INMATE RELATIONSHIP:

- No inappropriate staff/inmate relationships were reported in March.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

Hualapai holds a phone briefing on every shift. In addition, a daily Briefing Sheet is posted at the time clock in the hallway where all staff have access to read it prior to the beginning of shift. Supervisors utilize this to conduct phone briefings as well as adding/discussing information pertinent to their shift. Posts without access to the phone briefing are briefed on post by Supervisors. Supervisors are doing a separate phone briefing for kitchen and CDU staff and are briefing perimeters during post checks. The Daily Briefing Sheet is updated to reflect all significant incidents, GAR findings, audit findings, security bulletins and to recognize outstanding staff.

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONSHIPS:

Supervisors are required to maintain a standard of professionalism at all times to include when they are interacting with their staff. If this is breached, appropriate action is taken to correct. No incidents of inappropriate relationships or behavior were reported in February .

Other Areas as Noted:

- Searches: Hualapai conducted 4 major searches in March. There were 510 random searches and 50 target searches
- UA's: Hualapai conducted 148 random UA's and 200 target UA's in March. There were 30 positive UA's
- 03/17/15: the monthly kitchen inspection was conducted. An S rating was received
- Painting on the Hualapai Unit is as follows:
 - ✓ CDU-All shower and cell doors were painted in March

- ✓ CDU-completing painting of all ceilings in the CDU cells
 - ✓ Kitchen- DA tables are being painted at Complex paint booth at the rate of 4 weekly. Both DA's are ¾ completed.
 - ✓ All doors in the kitchen were repainted inside and out. Most have had diamond plate placed on them
 - ✓ HU's-shower dividers are scheduled to be painted in the Complex paint booth upon completion of the DA table project
 - ✓ Re-stenciling of "no smoking" signs has been started
 - ✓ Stenciling of "remove hats and sunglasses" has been completed on the entrance doors to both Dining Areas
- Hualapai Town Hall meeting was held on 03/31. Most questions were regarding when and how we would return the yard to "open" status.



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Sternes, Complex Administrator

From: Shahna Fredrick, ADW Hualapai Unit

Date: April 3, 2015

Re: Monthly 703 Reports—March 2015

SECURITY OPERATIONS:

Security Operations are discussed on a daily basis with Hualapai administrative staff. Any deficiencies in security operations/protocol are addressed with the appropriate staff/supervisor.

Hualapai Unit is still under restricted movement.

Inmates are still expected to follow rules and staff is responsible for enforcing those rules and holding inmates accountable.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Documentation for security device deficiencies is reviewed daily during morning briefings by supervisors and discussed. Captain ensures that items requiring immediate attention are handled by maintenance.

Security staff has been advised to check with supervisors before carrying over Information Reports for security device deficiencies.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

No issues noted

STAFF/INMATE RELATIONS:

No issues noted

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

No issues noted

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONS:

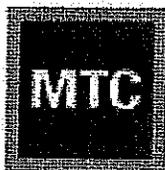
No issues noted

TOURS

Tours of areas of responsibility were conducted in accordance with ADC policy, meeting the 10 hours per week requirement with the exception of the following dates:

March 4 through March 11, 2015: RDOs and annual leave

March 19: Toured unit with ADOC R. Credio and DW Barnes. Addressed 704 issues and some CDU issues.



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: P. Rider, Warden, ASPC-K/Hualapai

From: Capt J. Winckler, COS, ASPC-K/Hualapai *JW177*

Date: 4/3/15

Re: Monthly 703 Reports—March 2015

SECURITY OPERATIONS:

Security Operations are monitored utilizing tours, inspections and the GAR. All discrepancies noted are addressed with the appropriate supervisors.

March 3 and 4 Searches conducted of Dorms 4, 5, kitchen and grid searches of all yards. A small amount of spice was found and a large quantity of nuisance contraband was removed.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Documentation for security device deficiencies is reviewed daily during morning briefings by supervisors and discussed.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

Disciplinary was issued to 5 staff member for violation of MTC policies. These disciplines included a termination and a suspension.

STAFF/INMATE RELATIONS:

Staff and Inmates maintain a professional distance. No instances of inappropriate staff/inmate relations reported for the month of March.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

No Issues noted

Communication is achieved utilizing the Phone briefings, the briefing book, face to face briefings on post and distribution of memorandums.

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONS:

None noted

TOURS

Tours of areas of responsibility were conducted in accordance with ADC policy, meeting the 10 hours per week requirement with the exception of the following dates:

March 27 - Flex day



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Sternes, Complex Administrator

From: Yvonne Rydgren, CPS Hualapai Unit

A handwritten signature in black ink, appearing to read "Yvonne Rydgren", is written over the "From:" line.

Date: April 2, 2015

Re: Monthly 703 Reports—March 2015

SECURITY OPERATIONS:

Security Operations are discussed on a daily basis with Hualapai administrative staff. Any deficiencies in security operations/protocol are addressed with the appropriate staff/supervisor.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Documentation for security device deficiencies is reviewed daily during morning briefings by supervisors and discussed. Captain ensures that items requiring immediate attention are handled by maintenance.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

All staff is held accountable for their behavior and issues are handled appropriately.

STAFF/INMATE RELATIONS:

No issues noted.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

No issues noted.

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONS:

No deficiencies were noted this month.

TOURS

Tours of areas of responsibility were conducted in accordance with ADC policy, meeting the 10 hours per week requirement.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected AS/Kingman - Complex	Inspector Name (Last, First M.I.) (Please print) Sternes, Jerry L.	Report Date 03/11/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/11/2015 1100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 12	Number of Inmates Interviewed 9	Inspector Signature <i>Jerry L. Sternes</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance				✓
Sanitation				✓
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASP Kingman - Complex	Inspector Name (Last, First MI) (Please print) Sternes, Jerry L.	Report Date 03/13/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/13/2015 1425	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 4	Inspector Signature <i>Jerry L. Sternes</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASP Kingman - IWC	Inspector Name (Last, First M.I.) (Please print) Sternes, Jerry L.	Report Date 03/24/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/24/2015 0930	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed	Number of Inmates Interviewed	Inspector Signature <i>Jerry L. Sternes</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				
Appearance				
Sanitation				
Security				
Safety				
Heating/Cooling				
General Maintenance/Work Orders				
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling				
Productivity				✓
Staff Morale				
Professional Behavior				
Inmate Morale			✓	
Other <u>Kingman City Work Crew</u> (Specify)			✓	

Comments/Assessment

Observed IWC City of Kingman at I-40 and Andy Devine performing landscape work. Supervision of 4 Inmates provided by 2 City supervisors. All security and safety procedures being followed. Inmates were motivated and highly productive.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASP Kingman - KRMC	Inspector Name (Last, First M.I.) (Please print) Sternes, Jerry L.	Report Date 03/26/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/26/2015 1430	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 2	Number of Inmates Interviewed	Inspector Signature <i>Jerry L. Sternes</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				
Appearance				
Sanitation				
Security				
Safety				
Heating/Cooling				
General Maintenance/Work Orders				
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling				
Productivity				
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				
Other KRMC Hospital Check _____ (Specify)			✓	

Comments/Assessment

Checked on staff assigned to hospital duty room 268 for inmate [REDACTED] Security protocol being followed.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASP Kingman - Cerbat		Inspector Name (Last, First M.I.) (Please print) Sternes, Jerry L.		Report Date 03/27/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard		Date/Time of Inspection 03/27/2015 0340	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other	
Number of Staff Interviewed 10	Number of Inmates Interviewed 3	Inspector Signature <i>Jerry L. Sternes</i>		

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation		✓		
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other <u>Traffic Control</u> (Specify)			✓	

Comments/Assessment

Sanitation in Dorm 10 was substandard. Warden Sullivan notified to address facility sanitation.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman/ Complex	Inspector Name (Last, First MI.) (Please print) Santiago, Hector Deputy OPS	Report Date 3-2-15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 3-2-15/0300-0500	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 1	Number of Inmates Interviewed 0	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security		✓		
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				
Other _____ (Specify)				

Comments/Assessment

Conducted rounds/inspections of the following ASPC-Kingman Complex areas, see attached summary.



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Stemes, Complex Administrator
From: Hector Santiago, Deputy Warden Operations (H/S)
Date: 3-2-15
Re: 703 Report Summary

On 3-2-15, from 0300-0500, I conducted Tours/Inspections of the following Complex areas:

Traffic Control- This post was secure, clean and organized. The posted officer was alert and quickly exited the post building in order to process me in. The officer conducted a very detailed inspection of my vehicle and properly identified me before allowing me access. The officer reported no issues or concerns and was in possession of all issued weapons and ammunition and was practicing good weapons safety.

Deficiency noted- As I pulled up to this post the vehicle barrier arm was in the up position with the officer inside the post building. I reminded the officer that the barrier arms are to be in the lowered closed position when not in use.

Grounds- The grounds were secure with all complex buildings secure. There was no suspicious activity observed.

Perimeter- I observed all (3) posted roving officers moving along the perimeter. All lighting, fencing, razor wire and sand traps appeared in good condition.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman/ Complex	Inspector Name (Last, First MI.) (Please print) Santiago, Hector Deputy OPS	Report Date 3-4-15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3-4-15/1345-1530	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 16	Number of Inmates Interviewed 12	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Conducted rounds/inspections of the following ASPC-Kingman Complex areas, see attached summary.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Stemes, Complex Administrator
From: Hector Santiago, Deputy Warden Operations *(HS)*
Date: 3-4-15
Re: 703 Report Summary

On 3-4-15, from 1345-1530, I conducted Tours/Inspections of the following Complex areas:

ACI Industry Building (JimGlo) - This area was secure; I made rounds with the posted officer, who reported no issues or concerns. All tools were accounted for and secure. I spoke to the company staff person, there were 11 inmates working in this area. The key inventory and tool inventory were conducted, no issues noted.

Traffic Control- This post was secure, clean and organized. I observed the posted officer conducting vehicle searches. The officer was checking all individuals entering and exiting for proper identification and searching vehicles. The officer was in possession of all issued weapons and ammunition and was practicing good weapons safety.

Grounds- The grounds were in good shape, needed weeding was completed.

Inmate Off-site Work Crews-Conducted searches of all incoming offsite work crews, utilizing complex staff. All off-site work crews were searched to include the vehicles and inmates. There was no major contraband recovered, only nuisance excess



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman/ Perimeter	Inspector Name (Last, First MI) (Please print) Santiago, Hector Deputy OPS	Report Date 3-7-15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3-7-15/0800-1040	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 7	Number of Inmates Interviewed 0	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance		✓		
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders		✓		
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				
Other _____ (Specify)				

Comments/Assessment

Conducted rounds/inspections of the ASPC-Kingman perimeter, see attached summary.



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Stemes, Complex Administrator
From: Hector Santiago, Deputy Warden Operations 
Date: 3-7-15
Re: 703 Report Summary

On 3-7-15, from 0855-1040, conducted rounds/inspections of the Hualapai and Cerbat perimeters, as Duty officer:

All perimeter officers were posted and the three rovers were moving along the perimeter and the officer in zone#9 was alert. I observed Sgt. Doze on rounds checking the perimeter posts. The perimeter was secure.

Deficiency noted: Cerbat sand trap is in need of additional sand and raking, I will follow-up with the Captain. This issue was corrected the following day with the delivery of additional sand and deployment of inmate work crews.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman/ Hualapai Unit	Inspector Name (Last, First MI) (Please print) Santiago, Hector Deputy OPS	Report Date 3-7-15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3-7-15/1235-1410	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 7	Number of Inmates Interviewed 5	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance				✓
Sanitation				✓
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Conducted rounds/inspections of the ASPC-Kingman perimeter, see attached summary.



Management
& Training
Corporation

Arizona State Prison
Kingman

Memo

To: Jerry Stemes, Complex Administrator
From: Hector Santiago, Deputy Warden Operations (H.S.)
Date: 3-7-15
Re: 703 Report Summary

On 3-7-15, from 1235-1410, conducted rounds/inspections of the following Hualapai unit areas, as Duty Officer:

Entry- All gates and doors I accessed were secure.

Lobby- The lobby area was secure and clean, the lobby was manned by 2 officers and a Sgt. they reported no issues or concerns. The officer conducted a detailed search of me and my property, to include my duty phone; they properly identified me before allowing me access to the facility.

Visitation- I entered visitation and observed 2 posted officers making rounds. There were 16 inmates' with visitors. The officers reported no issues or concerns and were properly supervising the room.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman/ Hualapai Unit	Inspector Name (Last, First MI.) (Please print) Santiago, Hector Deputy OPS	Report Date 3-8-15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3-8-15/0905-1400	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 12	Number of Inmates Interviewed 11	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Conducted rounds/inspections of the ASPC-Kingman Hualapai Unit, see attached summary.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Sternes, Complex Administrator
From: Hector Santiago, Deputy Warden Operations *HS*
Date: 3-8-15
Re: 703 Report Summary

On 3-8-15, from 0905-1400, I conducted Tours/Inspections of the following Cerbat unit areas as Duty Officer:

Entry- all areas were secure, observed the roving perimeter officer moving along the perimeter, the sand trap was raked and free of trash.

Lobby- This area was secure with 2 officers posted. The officers were processing in visitors. I observed the process; the officers were courteous and were conducting detailed searches of visitors and staff.

North Visitation- This area was secure with 2 officers posted. There were 18 inmates with visitors, the officers reported no issues or concerns. I observed visit room activity, I observed no issues, and the staff was properly supervising the room.

East Visitation- This area was secure with 2 officers posted. There were 13 inmates with visitors, the officers reported no issues or concerns. I observed visit room activity, I observed no issues, and the staff was properly supervising the room. The Shift Sgt. came in on rounds.

Accountability- met with the Shift commander who reported no issues or concerns, I observed the 1100 count procedure.

Yard- These areas were clean, but not raked; there was limited inmate activity as the yard was being locked down for count.

Dorm 10- There was 1 officer posted; the dorm was quiet and calm. The log book looked good with all security rounds documented. I observed the count conducted, the process was good. 1127hrs. formal count cleared.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

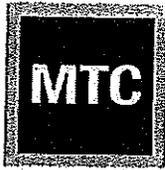
Unit Inspected ASPC-Kingman/ Complex	Inspector Name (Last, First M.I.) (Please print) Santiago, Hector Deputy OPS	Report Date 3-16-15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3-16-15/0800-1000	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 17	Number of Inmates Interviewed 8	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Conducted rounds/inspections of the ASPC-Kingman Complex areas, see attached summary. Note- ICS drill Conducted



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Stemes, Complex Administrator
From: Hector Santiago, Deputy Warden Operations (H.S.)
Date: 3-16-15
Re: 703 Report Summary

On 3-16-15, from 0800-1000, conducted rounds/inspections of the following Complex Areas:

Maintenance Shop- This area was secure, clean and organized. I conducted an inspection of this area with the Physical Plant Manager. There were 8 inmates and 5 staff present; all inmates were involved in work and using proper safety equipment.

Warehouse- I met with the warehouse Manager and Assistant, who reported to issues or concerns. I toured with warehouse with the manager, I found no discrepancies, and the warehouse was clean, organized. All tools and equipment was accounted for.

Mallroom- This post was secure, clean and organized. I observed the (6) posted officers sorting mail and processing property. I found no discrepancies and the posted Sgt. reported that all mail and packages were up to date.

ACI Industry Building (Jim Glo) - This area was secure; I made rounds with the posted officer, who reported no issues or concerns. All tools were accounted for and secure. The key inventory and tool inventory were conducted, no issues noted.

Traffic Control- This post was secure, clean and organized. I observed the posted officer conducting vehicle searches. The officer was in possession of all issued weapons and ammunition and was practicing good weapons safety.

Note: Conducted an ICS drill at 0900hrs. (Fire in the Complex Administration Building). ICS was initiated with a type 5 response. The building was evacuated and all inmates and staff accounted for, stood down from ICS at 0907 hrs. This was a good response by type 5 staff and staff and inmates evacuated.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman/ Complex	Inspector Name (Last, First M.I.) (Please print) Santiago, Hector Deputy OPS	Report Date 3-25-15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3-25-15/1330-1500	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 18	Number of Inmates Interviewed 5	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Conducted rounds/inspections of the ASPC-Kingman Complex areas, see attached summary.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Stemes, Complex Administrator
From: Hector Santiago, Deputy Warden Operations *(HS)*
Date: 3-25-15
Re: 703 Report Summary

On 3-25-15, from 1330-1500, conducted rounds/inspections of the following Complex Areas:

Maintenance Shop- This area was secure, clean and organized. I conducted an inspection of this area with the Physical Plant Manager. There were 9 inmates and 4 staff present; all inmates were involved in work and using proper safety equipment.

Warehouse- I met with the warehouse Manager and Assistant, who reported to issues or concerns. I toured with warehouse with the manager, I found no discrepancies, and the warehouse was clean, organized. All tools and equipment was accounted for.

Training- I met with the training Lt. and Sgt., who reported no issues or concerns. I sat in on a Block Training class and observed the "Sexual Harassment/Employee Discrimination" class being taught, ADOC Ms. Barnes was also in the class observing. I also sat in on an academy class, no discrepancies noted.

Mallroom- This post was secure, clean and organized. I observed the (6) posted officers sorting mail and processing property. I found no discrepancies and the posted Sgt. reported that all mail and packages were up to date.

ACI Industry Building (JimGlo) - This area was secure; I made rounds with the posted officer, who reported no issues or concerns. All tools were accounted for and secure. I spoke to the company staff person, there were 10 inmates working in this area. The key inventory and tool inventory were conducted, no issues noted.

Traffic Control- This post was secure, clean and organized. I observed the posted officer conducting vehicle searches. The officer was checking all individuals entering and exiting for proper identification and searching vehicles. The officer was in possession of all issued weapons and ammunition and was practicing good weapons safety.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat	Inspector Name (Last, First M.I.) (Please print) Sullivan Riek	Report Date 03-06-2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 03/06/15 0345-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 11	Number of Inmates Interviewed 25+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

I conducted a tour on Grave Yard shift along with an inspection of the kitchen. There was some issues with I/M grooming, these were addressed during my tour with I/Ms and staff. The kitchen was clean with no issues. The meal was per the menu. I talked with staff concerning any issues they may have and answered or will research and answer later, their questions.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat	Inspector Name (Last, First M.I.) (Please print) Sullivan Rick	Report Date 03-12-2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/12/15 0730-1130	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 18	Number of Inmates Interviewed 25+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 I conducted a tour on Swing Shift on the East yard of the doms and Medical unit. During the tour I addressed any 704 living/grooming issue I observed with the I/rms and line/supervisory staff. the M unit was clean and staff were busy conducting their daily assignments.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat	Inspector Name (Last, First M.I.) (Please print) Sullivan Rick	Report Date 03-20-2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/20/15 0730-1130	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 14	Number of Inmates Interviewed 30+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

I conducted a tour on Day Shift to observe the North after a incident the prior evening with the Mexican American and Black I/Ms and an assault on a Mexican National. After interviewing several I/Ms it was determined the issue was a disrespect issue with the Black and Mexican American I/Ms and the assailants were found and placed in Dentition. The yard was reopened after count with no issues. R. Credlo was on site during the day.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat	Inspector Name (Last, First M.I.) (Please print) Sullivan Rick	Report Date 03-23-2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/23/15 1430-1630	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 16	Number of Inmates Interviewed 20+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

I conducted a tour on Swing Shift along with an inspection of the kitchen. There was some issues with 704 I/M living areas these were addressed during my tour with I/Ms and staff. The kitchen was clean with no issues. I also observed-and critiqued a ICS simulation during the inspection. No issues were observed.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat	Inspector Name (Last, First MI.) (Please print) Sullivan Rick	Report Date 03-27-2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 03/27/15 0400-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 11	Number of Inmates Interviewed 50+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

I conducted a tour on Grave Yard Shift along with an inspection of the kitchen. The kitchen was clean with no issues. The meal was per the menu with the weights, presentation and measurements were consistent with the menu, Medical unit was clean with staff conducting their daily assignments (pH call and acu-checks).



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat	Inspector Name (Last, First M.I.) (Please print) Sullivan Rick	Report Date 03-27-2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/27/15 0830-1000	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 17	Number of Inmates Interviewed 40+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

I conducted a tour on Day Shift along with an inspection of the kitchen. The kitchen was clean with no issues. Observed a ICS simulation with day shift staff (I/Ms fighting with tools) all went well.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First M.I.) (Please print) Palosanri, John	Report Date 04/03/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/02/2015 1400~1630	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 40	Inspector Signature <i>J. Palosanri</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Toured kitchen, dorms on both yards.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First MI.) (Please print) Palosaari, John	Report Date 03/05/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 03/05/2015 0345 - 0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 85	Inspector Signature <i>J. Palosaari</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior			✓	
Inmate Morale				✓
Other _____ (Specify)			✓	

Comments/Assessment

Toured kitchen. Observed release of Inmate work crews in the vehicle sallyport. Sent three inmates back to yard because ID/escape flyer/current appearance did not match. Reviewed all escape flyers. Officer Kirby was conducting proper face to ID to escape flyer confirmations. All inmates that were allowed to exit the unit matched the photos on their ID's and escape flyers.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First MI.) (Please print) Palosaari, John	Report Date 04/03/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/06/2015 0740 -1400	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 20	Number of Inmates Interviewed 35	Inspector Signature <i>J. Palosaari</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured kitchen, programs areas and dorms on north yard.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First MI.) (Please print) Falsonari, John	Report Date 04/03/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/16/2015 1400 - 1700	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 18	Number of Inmates Interviewed 45	Inspector Signature <i>J. Falsonari</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured kitchen, dorms on both yards.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First M.I.) (Please print) Palosaari, John	Report Date 04/03/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/18/2015 0730 - 1400	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 40	Inspector Signature <i>J. Palosaari</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured kitchen, ACI, programs areas and dorms on north yard.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First M.I.) (Please print) Faloznari, John	Report Date 03/20/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 03/20/2015 0400 - 0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 40	Inspector Signature <i>J. Faloznari</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				

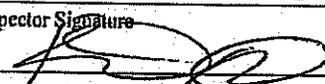
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)			✓	
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 ICS - Inmate groupings on North Yard. Walked all North Yard dorms and spoke with inmates and staff, Inmate behavior was appropriate. Toured kitchen. Observed meal turnout. North Yard was locked down on 3/19 and reopened after breakfast on 3/20.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected AsPC-Kingman-Cerbat	Inspector Name (Last, First M.I.) (Please print) Poland, Robert,	Report Date 4/3/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 3/2/15 14:00-66:00	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 35	Number of Inmates Interviewed 53	Inspector Signature 

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs			✓	✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected AsPC-Kingman-Cerbat	Inspector Name (Last, First MI.) (Please print) Poland, Robert,	Report Date 4/6/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 3/13/15 14:00-16:00	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 36	Number of Inmates Interviewed 65	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

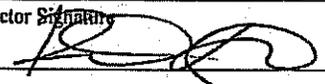
FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				✓
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior			✓	
Inmate Morale				✓
Other _____ (Specify)				✓

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected AsPC-Kingman-Cerbat	Inspector Name (Last, First MI.) (Please print) Poland, Robert,	Report Date 4/6/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3/21/15 09:00-13:00	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 36	Number of Inmates Interviewed 80	Inspector Signature 

Inspection Summary (Attach additional pages as necessary)

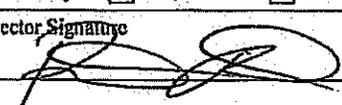
FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected AsPC-Kingman-Cerbat	Inspector Name (Last, First M.I.) (Please print) Poland, Robert,	Report Date 4/6/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3/22/15 09:00-13:00	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 36	Number of Inmates Interviewed 75	Inspector Signature 

Inspection Summary (Attach additional pages as necessary)

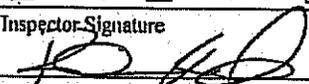
FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior			✓	
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected AsPC-Kingman-Cerbat	Inspector Name (Last, First MI.) (Please print) Poland, Robert,	Report Date 4/3/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 3/25/15 04:00-06:00	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 25	Number of Inmates Interviewed 33	Inspector Signature 

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected	Inspector Name (Last, First M.I.) (Please print)	Report Date
Cerbat	Schwartzkopf, T. 212 Captain	03/03/2015
Shift Inspected (Check one)	Date/Time of Inspection	(Check all that apply)
<input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	03/03/2015 @ 1150	<input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed	Number of Inmates Interviewed	Inspector Signature
10	15	

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance	NA			✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other N/A (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				✓
Other N/A (Specify)				

Comments/Assessment

Staff appearance was address as to staff missing the Bionic Pin, and name tags. Radio traffic will be followed up on regarding professional behavior. Staff morale is down due to the ongoing overtime at the facility. Staff will need to address inmate regarding sanitation in the dorms and inmate dress compliance on the yards.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat Unit	Inspector Name (Last, First M.I.) (Please print) Schwartzkopf, T. 212 Captain	Report Date 03/11/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/11/2015 / 1600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 09	Number of Inmates Interviewed 07	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment

Swing shift having some issues with Inmate Compliance as day shift. These issues are being addressed by the Chief of Security daily.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat Unit	Inspector Name (Last, First M.I.) (Please print) Schwartzkopf, T. 212 Captain	Report Date 03/12/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 03/12/2015 @0400	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 5	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment
 Lieutenant Bussart is doing a great job with staff and all were professional and within compliance. Observed Sally Port Crew Turnout. 100% Face to ID to Escape filer compliance.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected	Inspector Name (Last, First M.I.) (Please print)	Report Date
Cerbat Unit	Schwartzkopf, T. 212 Captain	03/18/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 03/18/2015 @0400	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 10	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment

Lieutenant Bussart is doing a great job with staff and all were professional and within compliance. Observed personally the turn out of all inmate crews through the Vehicle Sally Port. Observed Sally Port inmate Crew turn out. 100% Face to ID to escape flier compliance.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected	Inspector Name (Last, First MI) (Please print)	Report Date
Cerbat Unit	Schwartzkopf, T. 212 Captain	03/19/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/19/2015 / 1300	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 09	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment
 Compliance is still being addressed. This tour was on the East yard.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected	Inspector Name (Last, First M.I.) (Please print)	Report Date
Cerbat Unit	Schwartzkopf, T. 212 Captain	03/23/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/23/2015 / 1400	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 05	Number of Inmates Interviewed 08	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment
 These issues are being addressed by the Chief of Security daily.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC Kingman	Inspector Name (Last, First MI.) (Please print) Bartley, Jeffery	Report Date 03/04/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/04/15 0910-1110	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 17	Number of Inmates Interviewed 19	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Facility looked clean. Staff and inmates were in good spirits.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC Kingman	Inspector Name (Last, First M.I.) (Please print) Bartley, Jeffery	Report Date 03/17/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/17/15 1410-1610	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input checked="" type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 19	Number of Inmates Interviewed 21	Inspector Signature <i>Bartley</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Facility looked clean. Staff and Inmates were in good spirits.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC Kingman	Inspector Name (Last, First M.I.) (Please print) Bartley, Jeffery	Report Date 03/19/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/19/15 1400-1600	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 23	Inspector Signature <i>Bartley</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Facility looked clean. Staff and Inmates were in good spirits.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASP Kingman	Inspector Name (Last, First M.I.) (Please print) Bartley, Jeffery	Report Date 03/20/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 03/20/15 0350-0550	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 16	Number of Inmates Interviewed 19	Inspector Signature <i>Bartley</i>

Digitally signed by Jeffery Bartley
DN: cn=Jeffery Bartley, o=Arizona Department of Corrections
Date: 2015.03.20 15:47:52 -0700

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Cerbat looks clean, staff seemed to have good morale.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC Kingman	Inspector Name (Last, First M.I.) (Please print) Bartley, Jeffery	Report Date 03/23/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/23/15 0930-1130	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 16	Number of Inmates Interviewed 19	Inspector Signature <i>Jeffery Bartley</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Facility looked clean. Staff and inmates were in good spirits.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASP Kingman	Inspector Name (Last, First M.I.) (Please print) Bartley, Jeffery	Report Date 03/27/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 03/27/15 0355-0555	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 16	Number of Inmates Interviewed 19	Inspector Signature

Designed by Hwy Work
 DA and Hwy Work, a.s.
 4141 Hwy 101, Suite 100, Phoenix, AZ 85042
 Date: 2011.02.18 13:42:21

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Verbat look clean, staff seemed to be in with good morale.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First M.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 03/11/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/11/15 1000-1200	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 7	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment
 Unit was clean and within compliance. No issues to report.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First A.L.L.) (Please print) FERNANDEZ, STEVEN A.	Report Date 03/17/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/17/15 1000-1200	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 20	Number of Inmates Interviewed 5	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment

Unit was clean and within compliance. No issues to report.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First M.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 03/19/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/19/15 1400-1600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 10	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment
Unit was clean and within compliance. No Issues to report.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First MI.) (Please print) FERNANDEZ, STEVEN A.	Report Date 03/23/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 03/23/15 0350-0550	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 8	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment
 Unit was clean and within compliance. No issues to report.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First M.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 03/24/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/24/15 1400-1600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 10	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment
 Unit was clean and within compliance. No issues to report.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First M.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 03/31/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 03/31/15 0350-0550	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 8	Number of Inmates Interviewed 4	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders				✓
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)			✓	

Comments/Assessment

It was clean and within compliance. No issues to report.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Rider, Pamela M.	Report Date 03/02/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/02/15 1400-1530	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 8	Number of Inmates Interviewed 20+	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Blank area for comments and assessment.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Rider, Pamela M.	Report Date 03/03/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/03/15 1030-1215	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 5	Number of Inmates Interviewed 25+	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI.) (Please print) Rider, Pamala M.	Report Date 03/06/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/06/15 1500-1630	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 12	Number of Inmates Interviewed 10-15	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Rider, Pamela M.	Report Date 03/10/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/10/15 0930-1100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 4	Number of Inmates Interviewed 6-15	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Rider, Pamala M.	Report Date 03/13/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/13/15 1530-1630	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 4	Number of Inmates Interviewed 11	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Rider, Pamela M.	Report Date 03/23/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/23/15 1445-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 2	Number of Inmates Interviewed 15-20	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured detention



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Rider, Pamela M.	Report Date 03/29/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 03/29/15 2000-1200	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 9	Number of Inmates Interviewed 1	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				
Other _____ (Specify)				

Comments/Assessment

Conducted GY tour - Observed ICS simulation regarding obtaining emergency keys from Complex.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Rider, Pamela M.	Report Date 03/30/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 03/30/15 1200-0230	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 0	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				✓
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				
Other _____ (Specify)				

Comments/Assessment

Did not run an ICS simulation as Hualapai was under ICS for loss of power/control in Main Control. Observed, assisted and evaluated during ICS. Helped pass out emergency keys to control and floor staff. Assisted Supervisors in determining alternate methods of entry and exit should any issues arise with emergency keys. Maintenance staff responded and replaced a fuse in the electrical room which restored power and functionality to all areas of the unit.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Fredrick, Shanna	Report Date 04/03/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/13/15 1330-1530	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 12+	Inspector Signature <i>Shanna Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured Dorm 4 and Dorm 5.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Fredrick, Shanna	Report Date 04/03/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/17/15 1100-1300	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 15+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Toured P Building and Medical. Observed count in Dorm 3.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Fredrick, Shahn	Report Date 04/03/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/20/15 1000-1200	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 7	Number of Inmates Interviewed 15+	Inspector Signature <i>Fredrick Shahn</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Toured Kitchen and B Building. Observed count in Dorm 2.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Fredrick, Shabna	Report Date 04/03/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 03/26/15 1400-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 5	Number of Inmates Interviewed 20+	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Observed movement through Set-B and addressed inmate questions



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Fredrick, Shanna	Report Date 04/03/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 03/29/2015 2200-0045	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 0	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Conducted ICS emergency key drill.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Fredrick, Shanna	Report Date 04/03/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 03/31/2015 0400-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 0	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
Toured Medical and Dorm 1



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winckler, James	Report Date 3/5/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3/5/15 09-1100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 8	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured the east yard and Dorm 3.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winckler, James	Report Date 3/10/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 3/10/15 04-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 0	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				
Other _____ (Specify)				

Comments/Assessment

Touted buildings 1 and 2. Conducted an ICS drill in Dorm 1 for inmates fighting. Conducted training with responding staff concerning the drill.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winckler, James	Report Date 3/12/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3/12/15 09-1100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 5	Number of Inmates Interviewed 6	Inspector Signature <i>J. Winckler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

toured the P-Bldg, kitchen and sallyport.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winckler, James	Report Date 3/17/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3/17/15 14-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 8	Number of Inmates Interviewed 5	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured the north yard and housing units. Observed rec activities and movement for classes returning to the yard.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Winckler, James	Report Date 3/26/15
Shift Inspected. (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 3/25/15 22-2400	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 12	Number of Inmates Interviewed 0	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				
Other _____ (Specify)				

Comments/Assessment

Observed shift change from Swings to Graves and the ingress/egress procedures. Monitored as staff U/A's were being conducted.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Winckler, James	Report Date 3/27/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3/27/15 17-2000	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 7	Number of Inmates Interviewed 8	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured medical and B-bldg. Monitored turnout for the evening meal. Conducted an ICS Drill for inmates fighting in medical. Conducted training with responding staff.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First M.I.) (Please print) Rydgren, Yvonne	Report Date 4/02/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 3/12/15 0400-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 2	Inspector Signature <i>Yvonne Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				✓
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First MI) (Please print) Rydgren, Yvonne	Report Date 4/02/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3/23/15 1200-1400	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 2	Number of Inmates Interviewed 8	Inspector Signature <i>Yvonne Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

During this tour I discussed programs with inmates to include removals/refusals and the consequences associated with those actions.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First MI) (Please print) Rydgren, Yvonne	Report Date 4/02/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3/24/2015 0900-1100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 6	Inspector Signature <i>Yvonne Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First MI) (Please print) Rydgren, Yvonne	Report Date 4/02/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3/27/2015 1700-1900	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 5	Number of Inmates Interviewed 4	Inspector Signature <i>CRS Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First M.I.) (Please print) Rydgreen, Yvonne	Report Date 4/02/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 3/30/2015 1700-1900	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 2	Number of Inmates Interviewed 3	Inspector Signature <i>CPS Rydgreen</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First MI) (Please print) Rydgren, Yvonne	Report Date 4/02/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 3/31/15 0400-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 3	Inspector Signature <i>Yvonne Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

ARIZONA DEPARTMENT OF CORRECTIONS

Food Safety Evaluation Report

Rating
Satisfactory

Institution Name <i>ASPC Kingman Cerbat</i>	Unit <i>Cerbat Food Services, Training</i>
Unit Food Service Manager <i>Mr. Stanley</i>	Team Chairperson <i>MOMA LEE MASON - MEDICAL</i>

Ratings: E = Excellent S = Satisfactory N = Needs Improvement U = Unacceptable
 The checked items indicate whether the critical items were met during the food safety evaluation.
 Y = yes N = no N/O = not observed N/A = not applicable

Cooking, Holding & Cooling Temperature Controls	Y	N	N/O	N/A
Potentially hazardous foods cooked to proper temperature.	<input checked="" type="checkbox"/>			
Cooked potentially hazardous foods held at 130 F or above.	<input checked="" type="checkbox"/>			
Potentially hazardous foods reheated properly.			<input checked="" type="checkbox"/>	
Cooked potentially hazardous foods cooled properly.			<input checked="" type="checkbox"/>	
Potentially hazardous foods held at proper cooling temperature.			<input checked="" type="checkbox"/>	
Food/Equipment	Temp	Food/Equipment	Temp	
<i>Freezer</i>	<i>-2</i>			
<i>Fridge</i>	<i>38</i>			
<i>Freezer</i>	<i>39</i>			
<i>Cooler #3</i>	<i>38</i>			
<i>Cooler</i>	<i>185</i>			
	<i>(Per Log)</i>			
Employee Health and Hygiene	Y	N	N/O	N/A
Hands and exposed arms cleaned and properly washed.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
Hand washing facilities available and functional.	<input checked="" type="checkbox"/>			
Employees using proper hand & arm cleaning procedures.		<input checked="" type="checkbox"/>		
Person in charge requires employees to report illnesses.	<input checked="" type="checkbox"/>			
Employees eating, drinking, or using tobaccos only in designated areas.	<input checked="" type="checkbox"/>			
Persons with discharges from eyes, nose & mouth prohibited from working with exposed foods.	<input checked="" type="checkbox"/>			
Food handlers preventing contamination of ready to eat food by limiting bare hand contact to approved methods.	<input checked="" type="checkbox"/>			
Food handlers tasting food properly. (Methods)		<input checked="" type="checkbox"/>		

Food Condition & Sources	Y	N	N/O	N/A
All foods are safe, untampered & well presented.	<input checked="" type="checkbox"/>			
Foods received in proper condition and temperature.	<input checked="" type="checkbox"/>			
Prevention of Contamination	Y	N	N/O	N/A
Food separation, packaging, segregation & substitution methods are preventing food contamination.	<input checked="" type="checkbox"/>			
Effective food contact controls are preventing food contamination.	<input checked="" type="checkbox"/>			
Food contact surfaces and equipment are cleaned properly to prevent food contamination.	<input checked="" type="checkbox"/>			
Food contact surfaces sanitized properly and approved equipment being used.	<input checked="" type="checkbox"/>			
Date Marking & Disposal	Y	N	N/O	N/A
Food are correctly date marked. (Date stored and use by date)	<input checked="" type="checkbox"/>			
Demonstration of Food Safety Knowledge	Y	N	N/O	N/A
Designated person in charge demonstrates adequate food safety knowledge applicable to operation.				<input checked="" type="checkbox"/>
Time Only as a Food Safety Control	Y	N	N/O	N/A
Food holding and storage time is within food safety limits.	<input checked="" type="checkbox"/>			
Critical Maintenance Needs	Y	N	N/O	N/A
Dishmachine final rinse temperature reached.				<input checked="" type="checkbox"/>
Door sweeps & gaps observed.				<input checked="" type="checkbox"/>
Floor surfaces in good repair.	<input checked="" type="checkbox"/>			
Holes/cracks that allow rodent or insect access.	<input checked="" type="checkbox"/>			
				<i>Back door</i>
Standard Operating Procedures	Y	N	N/O	N/A
Other critical and non critical items in compliance. (Specify violations under comment section)	<input checked="" type="checkbox"/>			

Comments and corrective action necessary:

Person in Charge

Date

11-11-10 Chairperson

Date

Food Safety Evaluation Report Cont'd

Ratings:

- E = Excellent (All critical items met during the food safety inspection.)
- S = Satisfactory (Critical violation(s) noted and corrected prior to completion of the inspection.)
- N = Needs Improvement (Critical violation(s) not corrected prior to the completion of the inspection.)
- U = Unacceptable (Gross unsanitary conditions representing an imminent health hazard.)

Cooking, Holding and Cooling Temperature Control Points:

- * Potentially hazardous food cooked to proper temperature. (Fish, eggs, beef and pork @ 145 F - Ground beef @ 155 F - Chicken @ 165 F)
- * Cooked potentially hazardous foods held at 130 F or above. (Cooked product in heated cabinets and serving lines)
- * Potentially hazardous foods reheated properly. (At a minimum of 165 F)
- * Cooked potentially hazardous foods cooled properly. (In ice bath from 140 F to 70 F in 2 hours and from 70 F to 40 F in 4 hours)
- * Potentially hazardous foods held at proper cooling temperatures. (at 40 F or below)

Employee Health and Hygiene:

- * Employees' use and knowledge of proper hand and arm cleaning procedures. (In hot water for 20 seconds to the elbow and dry properly)
- * Hand washing facilities available and functional. (Minimum to two hand washing sinks at each end of kitchen. Check for hot water.)
- * Person in charge requires employees to report illnesses.
- * Persons with discharges from eyes, nose and mouth prohibited from working with food. (Interview PIC to see his/her procedures)
- * Employees eating, drinking, and smoking only in designated areas. (Observe for containers, trays with food, drinking cups)
- * Food handlers preventing contamination of food by limiting bare hand contact. (The use of serving gloves and/or serving utensils)
- * Food handlers tasting food properly. (Using a disposable plate, cup, spork or serving glove for single use only and dispose - Don't reuse)

Food Condition and Source:

- * All foods are safe, untampered and well presented.
- * Foods are received in proper condition in original containers or packaging and at proper temperatures.

Prevention of Contamination:

- * Section 3-302.11, 3-302.13, 3-302.14 - Proper separation from raw animal to include eggs foods from ready to eat cook product.
- * Section 3-304.11 - Foods shall only contact surfaces of Equipment and Utensils that are cleaned and for food preparation use only.
- * Section 4-601.11, 4-602.11 - Equipment and food contact surfaces and utensils shall be clean to sight and touch.
- * Section 4-702.11, 4-703.11 - Equipment and food contact surfaces and utensils properly sanitized including Igloos.
- * Section 5-101.12, 5-201.11, 5-202.11 - Plumbing fixtures such as hand washing facility, toilet or urinal shall be easily cleanable.
- * Proper hand washing, utilizing hand gloves and proper serving utensils, personal hygiene and nothing stored under condensation units.

Date Marking and Disposal:

- * Stored cooked product shall have date when item was stored and used by date. (Use by third day from storage date or discard)

Demonstration of Food Safety Knowledge:

- * Section 2-101.11, 2-102.11 - PIC shall be knowledgeable of prevention of foodborne disease and the personal hygiene of a food employee.
- * Will identify the four major diseases - Hepatitis A (Fever and Jaundice), Salmonella (Fever), Shigella (Diarrhea and Fever), E-Coli (Diarrhea and Vomiting).

Time as a Food Safety Control:

- * The food shall be cooked and served, served if ready to eat or discarded, within 4 hours from the point in time when it is removed from the temperature control.

Critical Maintenance Needs:

- * Dishmachine final rinse temperature reaching 180 F.
- * Exit door sweeps and gaps in good repair.
- * Floor surfaces in smooth cleaning order (no floor tiles cracked or missing and smooth floors easy to clean).
- * Holes or cracks on walls and floors that allow easy access to rodents and insects.

Standard Operating Procedures:

- * Other critical and non-critical items in compliance.

Danger Zone:

- When the temperature is between 41 F and 140 F.
- PH level of sanitizing solution in 3 compartment sink and sanitizing buckets.
- Wiping cloths stored in sanitizing solution.
- Evidence of rodent or insect infestation.
- Improper storage of bulk packed food not in enclosed containers.
- Improper thawing practices.
- Wet nesting.
- Poor floor and/or wall surfaces.
- Hot temperature dishmachines not reaching final rinse temperature.
- Hood/filters greasy dirty.
- Proper PPM levels in sanitizing solutions.
- Gaps and holes that allow rodent/insect invasions. Etc.

Food Safety Evaluation Report Continuation Sheet

Institution/Unit Name	Rating
-----------------------	--------

Anna Taylor Manager - finance
 Mona Lee Mason Medical Room
 Brenda Fisher Medical
 Mark HK

Panel (ceiling) Back entrance
 Vent in door Jam - Rolling door

Fridge Full food away from wall.
 2nd Fridg - 1st 2 days of Temp not there > sheet missing
 ② door → bottom gasket falling off

Ice machine - plastic in drain - taken care of
 Rest Room - TP not on TP holder!

Person in Charge Shanley	Chairperson MONA LEE MASON	Date 26 MARCH 2015
-----------------------------	-------------------------------	-----------------------

Complex SDI

Work Order #	Date of Work Order	Description of the Security Device	Unit	Maintenance Rep.	Why it's open
1400021052	10/16/2014	I.R 14-M59-2908: CDU recreation cage cameras #6 and #7 are not working	Hualapai	Dave Weimer	Trouble shooting the cables Dave needs to trouble shoot the main cabinet. The camera is still recording
1500004701	2/17/2015	I.R # 15-M61-0339 Camera's is a blue screen. Captain Schwartzkopf	Cerbat	Dave Weimer	
1400023970	11/17/2014	I.R # 14-M59-3211 Yard Control Tower window is cracked in an approximate 2' circle facing the East Yard	Hualapai	John Johnson	Waiting for the glass
1500007731	3/19/2015	I.R # 15-M59-0733 Dorm 3 Floor office window facing east yard shattered	Hualapai	John Johnson	Waiting for the glass
1500007999	3/23/2015	I.R # 15-M59-0756 Dorm 3 A pod window shattered and needs to be replaced	Hualapai	John Johnson	Waiting for the glass
1500005413	3/24/2015	I.R # 15-M61-0410 Cerbat Dorm 2 B-Pod window north of the pod floor is cracked	Cerbat	Anthony Caserta	Waiting for the glass

Hualapai

Mar-15

SECURITY DEVICE RECONCILIATION LOG

DATE	IR#	WO#	DESCRIPTION	PRIORITY	STATUS	ASSIGNED
10/16/2014	14-M59 2908	21052	CDU rec cameras #6 and #7 are not working.		Open.	
11/14/2014	14-M59 3211	23970	North East window in Yard Control is cracked.		Open.	
2/11/2015	15-M59 0355	4206	Dorm 1 Speco DVR Adips 1 minute increments during playback.		Closed 03/09/2015	
2/13/2015	15-M59 0380	4716	Camera #66 not functioning properly.		Closed 03/25/2015	
2/23/2015	14-M59 3601	5577	Camera #49 brightness and contrast doesn't work. IR turned in on 02/23/15.		Closed 03/24/2015	
2/24/2015	15-M59 0473	5781	Night light in CDU cell 5-F-07 flashes off and on.		Closed 03/03/2015	
2/27/2015	15-M59 0499	5904	40 foot light pole behind Dorm 2 has bulb that goes out intermittently.		Closed 03/23/2015	
2/27/2015	15-M59 0499	5905	Zone light, pole 128 has light out.		Closed 02/27/2015	
2/27/2015	15-M59 0499	5906	Zone light, pole 19C has light out.		Closed 02/27/2015	
2/27/2015	15-M59 0513	5987	Zone lights, poles 3C and 11B have bulbs out.		Closed 03/09/2015	
3/2/2015	15-M59 0541	6156	Wall pack light above Gate 17 is out.		Closed 03/04/2015	
2/27/2015	15-M59 0512	6157	Dorm 3 front slider shows unsecured on screen, but is secure.		Closed 03/04/2015	
3/5/2015	15-M59 0561	6377	Crulling in Medical Cell 3 @ needs redone in the corners of walls.		Closed 03/06/2015	
3/7/2015	15-M59 0603	6714	Medical cameras 40 and 41 work intermittently and pictures are distorted.		Closed 03/09/2015	
3/6/2015	15-M59 0588	6715	Door B11168 always shows unsecured.		Closed 03/10/2015	
3/6/2015	15-M59 0587	6717	Door B1102A always shows unsecured.		Closed 03/10/2015	
3/6/2015	15-M59 0586	6718	Door B1108D always shows unsecured.		Closed 03/10/2015	
3/6/2015	15-M59 0585	6720	Gate 6 shows unsecured almost all of the time.		Closed 03/10/2015	
3/6/2015	15-M59 0584	6721	Gate 17 shows secure when unsecured, and vice versa.		Closed 03/10/2015	
3/6/2015	15-M59 0583	6722	Gate 18 shows unsecured when it's secure.		Closed 03/10/2015	
3/7/2015	15-M59 0593	6978	Zoom on camera 25 is not working.		Closed 03/10/2015	
3/7/2015	15-M59 0591	6981	B Building camera 18 is not working. Black screen.		Closed 03/25/2015	
3/7/2015	15-M59 0594	6983	Speaker boxes at gates 3, 10, 12, 14, 15, 16, 17 and 18 not working.		Closed 03/17/2015	

Admin Lt. _____ Date: _____ PPM Signature _____ Date: _____ C.O.S Review _____ Date: _____



Management
& Training
Corporation

Arizona State Prison
Kingman

Memo

To: Complex Administrator Jerry Stemes, Tara Diaz, CBOD
From: Warden Pamela Rider, Hualapai Unit *P. Rider*
Date: 05/01/15
Re: April 703 memo

Tours:

Tours and inspections of the unit were conducted in accordance with DO 703 and met the 10 hour weekly requirement with the following exception:

- April 3rd-8 hours SL

SECURITY OPERATIONS:

Security Operations are reviewed through daily observation of practices and review of documentation including: Correctional Service Logs, Information Reports, Disciplinary Reports, Work Requests, tool disposition forms and unit search log. Additionally, monthly Warden/CO roundtable meetings, monthly Town Hall meetings, quarterly internal audits, annual external audits, debriefing of all incidents and GAR findings, corrective actions and review of monthly report submitted by the unit Chief of Security are utilized to identify and address issues.

- Correctional Service Logs are closely reviewed for all required entries. Emphasis for April was on searches conducted in HU's being correctly logged in CSL's
- Hualapai began a slow and controlled return to open yard status. On 04/13/15 chow was conducted as it was when the yard was open. This continued for two weeks with no issues. On 04/27/15 recreation returned to all HU's being recreated at the same time upon chow completion. This will continue until 5/11/15 and if no issues arise, the additional evening recreation period will be conducted with all HU's participating.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Security Device Inspections are completed on every post, every shift and documented in the Correctional Service Logs. Logs are reviewed daily by Lieutenants, Captain, CPS, ADW and Warden. Security Device discrepancies are reported to shift Supervisors verbally and via Information Report which has a copy of the CSL attached. The Correctional Service Logs are notated with Information Report # and Work Request #. Supervisors place a work request into "FaciliWorks" for maintenance staff to access the following day. Maintenance generates the Work Order and prioritizes repairs. The Supervisor then attaches a copy of the work request to the CSL and IR. If the discrepancy is a life/safety issue it is addressed immediately by Maintenance. All Security Device discrepancies are reviewed at the 0800 briefing and are tracked for completion. The Chief of Security provides a monthly report to the Warden as per policy. Within that report are all Security Device Inspections, discrepancies, work requests and resolution. The Administration Lieutenant also provides weekly updates and a monthly reconciliation. These are discussed weekly with the Maintenance Supervisor.

Hualapai has four outstanding security device work orders for April:

- *CDU recreation cages #6 and #7 not operational. IT is troubleshooting the cables*
- Dorm 3 floor office window which faces the East yard is broken. Glass has been ordered and the window will be repaired when it arrives.
- Dorm 3 A pod window is broken. Glass has been ordered and the window will be repaired when it arrives.
- Cell #4 in medical has a broken window. Glass has been ordered and the window will be repaired when it arrives.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

All staff are required to abide by the Code of Conduct as established by DOC and MTC policy.

If a breach occurs; appropriate action (to include dismissal) is initiated.

- No staffs were disciplined in April for violating the code of conduct.

Three staff received disciplinary in April for minor issues

STAFF/INMATE RELATIONSHIP:

- On 04/25/15 Trinity staff Andrade was escorted off the unit and had her clearance revoked. This was due to two cell phones being recovered in areas adjacent to the kitchen and an Inmate who was in possession of one of the cell phones identifying her as the staff member who provided the phones. Incriminating evidence was seized from her personal vehicle. Mohave County Sheriff and CIU were both notified and provided guidance during the questioning and search of the vehicle.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

Hualapai holds a phone briefing on every shift. In addition, a daily Briefing Sheet is posted at the time clock in the hallway where all staff have access to read it prior to the beginning of shift. Supervisors utilize this to conduct phone briefings as well as adding/discussing information pertinent to their shift. Posts without access to the phone briefing are briefed on post by Supervisors. Supervisors are doing a separate phone briefing for kitchen and CDU staff and are briefing perimeters during post checks. The Daily Briefing Sheet is updated to reflect all significant incidents, GAR findings, audit findings, security bulletins and to recognize outstanding staff.

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONSHIPS:

Supervisors are required to maintain a standard of professionalism at all times to include when they are interacting with their staff. If this is breached, appropriate action is taken to correct. No incidents of inappropriate relationships or behavior were reported in April.

Other Areas as Noted:

- Searches: Hualapai conducted a major K-9 search on April 8th and 9th. ADOC supported with 12 K-9's.
- UA's: Hualapai conducted a total of 357 ua's in April. There were 138 random and 219 targeted with a total of 50 positive.
- 04/21/15: the monthly kitchen inspection was conducted. An S rating was received

- Continuing paint projects on the Hualapai Unit as follows:
 - ✓ There are 14 dining tables remaining to be painted
 - ✓ upon completion of the dining tables the CDU shower doors will be removed (one at a time) and painted in the Complex paint booth
 - ✓ Operations Lieutenant Fagan is completing a plan for painting throughout the unit (kitchen, classrooms, HU's)
- Hualapai Town Hall meeting was held on 04/28/15. It was civil and productive with Complex M&P receiving the most questions.
- Hualapai is having a number (26 to date) of inmates with rash complaints. Only four have severe rash and all have been placed in medical isolation, received a treatment for scabies (no diagnosed scabies cases) and additional treatment as determined by the medical Provider. The majority of the cases are from 4D pod, with a smaller number (4-6) being reported from HU 1 and 2. A plan which includes regular monitoring of sanitation in all the HU's, medical intervention, constant communication with affected inmates and inmate and staff education regarding rashes was implemented on 4/24/15.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Rider, Pamela M.	Report Date 04/01/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 04/01/15 1500-1630	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 15-20	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation				
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity				
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Walked through HU's 4 and 5, observed a class, monitored and observed inmate work and recreation activities. ~~classes are missing many inmates. Attendance must be monitored and improved via holding inmates accountable. Sanitation is not what it could be.~~ Bathrooms in particular need attention.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI.) (Please print) Rider, Pamela M.	Report Date 04/09/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 04/09/15 0915-1100	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 50-60	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety				✓
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other K-9 search _____ (Specify)				

Comments/Assessment

walked the yard during K-9 searches. Observed stringent security control measures by both TSU and K-9 staff when moving inmates from HU's to Chapel (holding area). Inmates were mostly compliant but a few voiced anger at the "zero tolerance" for negative behavior during the searches.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First & I.L.) (Please print) Rider, Pamela M.	Report Date 8/13/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 04/13/15 1300-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 20+	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance				
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment

Met with several SS staff on post. Talked with them about enforcing small things and why this is effective in enforcing larger issues. ~~Noted a general lack of oversight in sanitation around the facility's.~~ Talked with several inmates regarding return to "open yard". A surprising number of inmates told me they preferred the controlled yard as it limited exposure to the "knuckleheads"



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Rider, Pamela M.	Report Date 04/15/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 04/15/15 0945-1215	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 11	Number of Inmates Interviewed 30-40	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance				✓
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Walked kitchen and classrooms. Observed inmates in school, work and recreation. Observed staff interaction with inmates in all these areas. Staff were professional and appropriate in their approach. Captain Winckler had an "extra duty" crew out working so all walls and sidewalks had been swept and scrubbed.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI.) (Please print) Rider, Pamela M.	Report Date 04/21/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 04/21/15 2200-1245	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 0	Inspector Signature <i>P. Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation				✓
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale				✓
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Met with Lt. Johnston and watched and listened as he interacted with his staff. He corrected grooming, praised staff, reviewed journals and assigned duties. His staff respect him and work hard for him. Toured and inspected the library, kitchen and classrooms. The kitchen was immaculate with the exception of the floor in front of the freezer. East DA was not sanitary. North DA was immaculate. Inspected the library and removed a class coffee carafe from the librarians office.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First ALL) (Please print) Rider, Pamela DL	Report Date 04/30/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 04/30/15 2210-1221	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 0	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation				✓
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
TCS Simulation (per DO-705)				
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Talked with SS staff as they exited the unit. Talked with several GY staff and both supervisors. Toured medical and checked on the inmate housed there as well as one being sent out to KRMC. Toured and inspected the kitchen and both DA's. All were very clean and orderly. Kitchen office was immaculate and refrigerator had recently been cleaned. Toured the P building and checked condition of paint and sanitation. Painting needs to be done in this building.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Starnes, Complex Administrator

From: Shahna Fredrick, ADW Hualapai Unit

Date: May 1, 2015

Re: Monthly 703 Reports—April 2015

SECURITY OPERATIONS:

Security Operations are discussed on a daily basis with Hualapai administrative staff. Any deficiencies in security operations/protocol are addressed with the appropriate staff/supervisor.

Hualapai Unit is still under restricted movement. The unit has began transitioning back into an open yard. Recreation has been extended during the daylight hours to include all yards.

Inmates are still expected to follow rules and staff is responsible for enforcing those rules and holding inmates accountable.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Documentation for security device deficiencies is reviewed daily during morning briefings by supervisors and discussed. Captain ensures that items requiring immediate attention are handled by maintenance.

Security staff has been advised to check with supervisors before carrying over Information Reports for security device deficiencies.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

No Issues noted

STAFF/INMATE RELATIONS:

4/24/15: Spoke with inmates in Dorm 4 D Pod about the possibility of moving the inmates with rash issues into the double bunk areas of that pod in order to isolate them for treatment. Inmates had no issue with this suggestion.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

No Issues noted

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONS:

No Issues noted

TOURS

Tours of areas of responsibility were conducted in accordance with ADC policy, meeting the 10 hours per week requirement with the exception of the following dates:

April 27, 28 & 29-sick leave

April 23: Toured with ADOC T. Diaz & DW Barnes. Made rounds in Dorm 4 D Pod to discuss the complaints of rash with the inmates in that pod. Addressed some sanitation issues with staff and inmates as well as some maintenance issues.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First I.L.) (Please print) Fredrick, Shasha	Report Date 04/30/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Times of Inspection 4/6/15 0900-1100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 5	Number of Inmates Interviewed 10+	Inspector Signature <i>Shasha Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Order				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Observed movement at Set B. Observed staff pat searching inmates and addressing compliance issues. Staff were professional when interacting with inmate population.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Fredrick, Shanna	Report Date 04/30/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 4/10/15 0930-1130	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 5	Number of Inmates Interviewed 10+	Inspector Signature <i>Shanna Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Order				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICB Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Observed count procedures in Dorm 5. Addressed concerns of inmates moving around during count with the floor officer. Observed inmate movement through Set A. Staff was professional with inmates when addressing compliance issues.

*Attach an explanation of any How rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Fredrick, Shamus	Report Date 04/30/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 4/13/15 1312-1530	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 5	Number of Inmates Interviewed 10+	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Made rounds in the kitchen, B & P Buildings. Addressed some maintenance issues in the kitchen with APPM McCullough



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI.) (Please print) Fredrick, Shanna	Report Date 04/30/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 4/23/15 1530-1730	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 4	Number of Inmates Interviewed 10+	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation				
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				
ICS Simulation (per DC-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured Dorm 4 with ADOCT. Diaz & DW Barnes and Warden Rider. Addressed issues concerning inmate rashes and the sanitation of the restrooms. Captain Winkler addressed maintenance issues within "D-Pod" concerning inoperable showers/rollers and a running mop sink.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Fredrick, Shaban	Report Date 04/30/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 4/24/15 2200-0020	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 5	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured Medical and Dorms 1 & 2, Observed the Dorm 1 floor officer conducting count while walking through B Pod. Answered several inmate questions during this time.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI.) (Please print) Fredrick, Shabns	Report Date 04/30/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 4/25/15 2100-0055	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 3	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Made rounds in Medical, and Dorms 3 & 5. Answered questions for inmates housed in medical.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



Management
& Training
Corporation

Arizona State Prison
Kingman

Memo

To: P. Rider, Warden, ASPC-K/Hualapal

From: Capt J. Winckler, COS, ASPC-K/Hualapal

Date: 4/29/15

Re: Monthly 703 Reports—April 2015

SECURITY OPERATIONS:

Security Operations are monitored utilizing tours, inspections and the GAR. All discrepancies noted are addressed with the appropriate supervisors.

Searches of the Hualapal unit were conducted on April 8th and 9th utilizing ADC K-9 support and TSU. Recovered a cell phone battery, chargers, and small quantities of spice and methamphetamine.

April 22 – Recovered 2 cell phones in buildings 2 and 5

April 25 – Recovered 2 cell phones one from a strip out returning to his housing unit from the kitchen and one based on information that was slid under a classroom door during the recovery earlier. Based on information received following the recovery a search of Ms. Andrade's vehicle was conducted. The search conducted in consultation with CIU recovered contraband to include drug paraphernalia. Ms. Andrade's Security clearance was pulled and seized items placed into evidence. All documentation forwarded to CIU and contract beds administrator.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Documentation for security device deficiencies is reviewed daily during morning briefings by supervisors and discussed.

Follow up with maintenance supervisor concerning open Security Device discrepancies conducted. All discrepancies have been addressed and parts are on order to bring them up to operational once they arrive. Unknown time frame for the arrival of Glass needed for building 3, Tower and Medical.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

No instances of violations of the Code of Conduct resulting in disciplinary for the Month of April.

STAFF/INMATE RELATIONS:

Staff and inmates maintain a professional distance. No instances of inappropriate staff/inmate relations reported for the month of April.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

No issues noted

Communication is achieved utilizing the phone briefings, the briefing book, face to face briefings on post and distribution of memorandums.

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONS:

No issues noted for the month of April.

TOURS

Tours of areas of responsibility were conducted in accordance with ADC policy, meeting the 10 hours per week requirement with the exception of the following dates:

Conducted checks of all Hualapai unit chases – Minor issues discussed with maintenance concerning the overall cleanliness of the chases.

April 30 - Duty day



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Winckler, James	Report Date 4/3/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 4/3/15 11-1300	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 10	Inspector Signature <i>Capt W. Winckler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment *Toured Kitchen & substance abuse classes. Monitored meal movement & chawhalls.*

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapuni	Inspector Name (Last, First M.I.) (Please print) Winekler, James	Report Date 4/10/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 4/10/15 14-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 9	Number of Inmates Interviewed 5	Inspector Signature <i>Capt J.W. Lizz</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				/
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				/
Programs			/	
Written Directives, Post Order Compliance			/	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment *Toured Probly classrooms, the Inmate perimeter and medical.*



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hunlapal	Inspector Name (Last, First M.I.) (Please print) Winckler, James	Report Date 4/15/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 4/15/15 09-1100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 8	Number of Inmates Interviewed 7	Inspector Signature <i>Capt. [Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation				✓
Security				✓
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment *Toured the South Yard to include Dorms 1 & 2.*

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winckler, James	Report Date 4/17/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 4/17/15 04:00-06:00	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 7	Number of Inmates Interviewed 0	Inspector Signature <i>James Winckler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance				✓
Sanitation				✓
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment *Toured medical, kitchen and observed by shift ingress/shift change.*

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hinlajpai	Inspector Name (Last, First MI.) (Please print) Winckler, James	Report Date 4/23/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 4/23/15 14:1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 11	Inspector Signature <i>Capt. Winckler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation		✓		
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment *Toured North Yard during Rec of Dorm 4 D-Pod w/ CBOD Diaz. Sanitation within Dorm 4 D-Pod was lacking. In Porters redirected by Swing shift and Pod cleaned.*

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Winekler, James	Report Date 4/29/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 4/29/15 04-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 5	Number of Inmates Interviewed 0	Inspector Signature Capt Wil 122

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment **Toured Dornis 3 & 6. Graves completed grid searches of All 3 yards.**



Management
& Training
Corporation

Arizona State Prison
Kingman

Memo

To: P. Rider, Complex Administrator

From: Yvonne Rydgran, CPS Hualapai Unit *Yvonne Rydgran*

Date: May 1, 2015

Re: Monthly 703 Reports—April 2015

SECURITY OPERATIONS:

Security Operations are discussed on a daily basis with Hualapai administrative staff. Any deficiencies in security operations/protocol are addressed with the appropriate staff/supervisor.

The detention report is reviewed daily. There were 25 inmates placed into the 805 process.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Documentation for security device deficiencies is reviewed daily during morning briefings by supervisors and discussed. Captain ensures that items requiring immediate attention are handled by maintenance.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

All staff is held accountable for their behavior and issues are handled appropriately.

STAFF/INMATE RELATIONS:

One grievance was received claiming excessive force by MTC and ADOC staff during the quarterly facility search on 4/09/2015.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

No issues noted.

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONS:

No deficiencies were noted this month.

TOURS

Tours of areas of responsibility were conducted in accordance with ADC policy, meeting the 10 hours per week requirement.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First M.I.) (Please print) Rydgren, Yvonne	Report Date May 1, 2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 4/03/15 0400-0600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 4	Number of Inmates Interviewed 1	Inspector Signature <i>Yvonne Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First M.I.) (Please print) Rydgren, Yvonna	Report Date May 1, 2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 4/13/15 1400-1600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 4	Inspector Signature <i>Yvonna Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Blank area for comments and assessment.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected A&PC-K-Hualapai Unit	Inspector Name (Last, First MI) (Please print) Rydgren, Yvonne	Report Date May 1, 2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 4/14/2015 0900-1100	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 2	Number of Inmates Interviewed 5	Inspector Signature <i>CRS Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First MI) (Please print) Rydgren, Yvonne	Report Date May 1, 2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 4/17/15 0400-0600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 4	Number of Inmates Interviewed 2	Inspector Signature <i>CR. Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DC-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement"



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First MI) (Please print) Rydgren, Yvonne	Report Date May 1, 2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 4/24/2015 1800-2000	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 5	Inspector Signature <i>Yvonne Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected A&PC-K-Hualapai Unit	Inspector Name (Last, First MI) (Please print) Rydger, Yvonne	Report Date May 1, 2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 4/27/15 1330-1530	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 2	Number of Inmates Interviewed 6	Inspector Signature <i>Yvonne Rydger</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other Inmate Orientation _____ (Specify)			✓	

Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



**Management
& Training
Corporation**

Arizona State Prison
Kingman

MEMO

To: Tara Diaz CBOD, P. Shaw Complex Administrator

From: R. Sullivan Warden/ Cerbat *R. Sullivan*

Date: 06/01/2015

Re: Monthly 703 Reports May, 2015

TOURS

Tours/Inspections were completed by all assigned staff during the month of May, 2015 complying with the 10 hour requirement for yard observation.

(See attached)

SECURITY OPERATIONS

Security Operations are reviewed during our daily morning meetings to include all IRs, SIRs, Disciplinary Reports, Work orders and Service Journal Logs. All pertinent information is passed along to supervisors and is briefed to line staff during the daily shift briefings. All ADC tour and GAR findings are passed on to supervisors for redirection, completion or corrective action. I also hold monthly CO/ Warden Meetings, Town Hall meetings with Inmates.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES;

All security device discrepancies have been reported and work orders have been submitted. Via service journal entries, IRs and work order request. This paper work is reviewed daily during the morning meeting by department heads and security Supervisors a security work order reconciliation report is generated by Captain T. Schwartzkopf monthly. The following are security work orders held over for the Month of May.

2/13/15 IR#-15-M61-0339 WO#-2736 Camera # 152 inoperable waiting outside vendor Tech-Open

3/23/15 IR#-15-M61-0649 WO#-4396 Camera # 16 inoperable waiting outside vendor Tech-Open

4/4/15 IR# 15-M61-0738 WO#-4946 Camera # 145 inoperable waiting outside vendor Tech-Open

4/16/15 IR# 15-M61-0820 WO#-5356 Camera # 153 inoperable waiting on parts. Open

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT;

All MTC staff members are required to follow the MTC, ADC code of conduct. Staff are held accountable up to and including dismissal if they do not comply. Disciplinary was issued to Officer Fontaine badge number 1205 due to being found sleeping on duty twice in May. Officer Fontaine

was given a 10 day suspension for the first offence and terminated from service for the second offence.

STAFF/INMATE RELATIONS;

None to report.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS;

All required staff meetings were conducted and briefings are being held daily per shift. Cerbat holds a daily face to face or phone briefing with all shifts to include operation staff. The briefing consists of daily operations, daily movement, past issues, security issues and GAR or tour findings needing addressed

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONSHIPS;

Administrative and supervisory staff continues to walk and talk with line staff/Inmates daily. All supervisors are required to hold themselves to a higher standard. Supervisors continue to interact with other supervisors and staff during their daily assignments. There were no reports of inappropriate behavior in May.

MONTHLY SUMMARY

On 05/05/2015 Mr. J. Henry toured the Cerbat unit during the morning to include the Medical unit, the East yard dorms and kitchen. The unit was clean and dorms were being kept in 704 compliance.

On 05/10/2015 Ms. T. Diaz was at ASPC Kingman for her monthly tour. She toured both units and left on 05/11/2015.

On 05/18/2015 D/W B. Barnes attended the Cerbat morning meeting. Wardens attended a meeting with the interns at complex and gave a brief overview of the units. The interns will be at ASPC-Kingman for the entire week observing daily job duties.

On 05/19/2015 SSU staff from ADC, Lt. Reyna, Sgt. Chavez and COII Steber were here at ASPC Kingman to help our staff with interviews and identifying STG related inmates. They were on site until 05/22/2015.

On 05/28/2015 an inspection of the Cerbat kitchen was conducted and we received an excellent statues.

On 05/29/2015 Mr. R. Crideo toured the Cerbat unit to include dorm-7, 6 and P-3 building. There were only a couple of issues needing redirection. These were addressed during the tour.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected	Inspector Name (Last, First M.I.) (Please print)	Report Date
Cerbat Unit	Warden R. Sullivan	05/06/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/06/15-0900-1100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 8	Number of Inmates Interviewed 20+	Inspector Signature <i>R. Sullivan</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation		✓		
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Red colored glasses?

Comments/Assessment
 I conducted a tour of the East yard with Jeff Henry and Pam Rider. We toured the medical unit, no issues were observed. We then went to dorm #6 some 704 living area issues were addressed during the dorm tour. Dorm #7 was toured also to show Mr. Henry the finished painting project of the showers. The yard needed some trash pick up and this was completed during the tour. The kitchen was toured and some sanitation issues were observed, these were addressed and fixed during the tour.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected	Inspector Name (Last, First MI) (Please print)	Report Date
Corrbt Unit	Warden R. Sullivan	05/06/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 05/06/15- 2130-2300	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 30+	Number of Inmates Interviewed 6	Inspector Signature <i>R. Sullivan</i>

Inspection Summary (Attach additional pages as necessary)

*Re-calibrated
GLASS ?*

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

I conducted a tour during corrections officer week on Graveyard to help cook and talk with the Graveyard and Swing Shift staff. The staff were appreciative of the meal and visit. I observed the Ingress of Graveyard staff and the egress of the Swing staff with no issues.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected	Inspector Name (Last, First A.I.) (Please print)	Report Date
Cerbat Unit	Warden R. Sullivan	05/14/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/14/15-1330-1545	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 16	Number of Inmates Interviewed 30+	Inspector Signature <i>R. Sullivan</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

I conducted a tour on Swing shift. The kitchen was clean with no issues the yard was clean. All of the VM and living area and grooming deficiencies were addressed during the tour and fixed on the spot.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerberat Unit	Inspector Name (Last, First M.I.) (Please print) Warden R. Sullivan	Report Date 05/15/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 05/15/15- 0330-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 12	Number of Inmates Interviewed 30+	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 I conducted a tour on Graveyard shift. The kitchen was clean with no issues the off site I/M crews were being turned out to their daily jobs, all ID's and escape flyers matched the I/M's appearance.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat Unit	Inspector Name (Last, First M.I.) (Please print) Warden R. Sullivan	Report Date 05/19/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/19/15 1100-1400	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 20+	Number of Inmates Interviewed 15+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

I conducted a tour of the North and East yard and programs/education classes, ACI, Kitchen, CDU and Wheels for the World with the Interns from corporate. The Interns were excited with the tour and the unit looked very good. There were no issues during the tour.

*Attach an explanation of any items rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected	Inspector Name (Last, First M.I.) (Please print)	Report Date
Cerbat Unit	Warden R. Sullivan	05/20/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05//20/15- 1430-1630	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 11	Number of Inmates Interviewed 25+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

I conducted a tour of the North and East yard after the meal served by the culinary class for the interns. There were no issues with the tour.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected	Inspector Name (Last, First MI) (Please print)	Report Date
Cerbat Unit	Warden R. Sullivan	05/22/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/22/15 1130-1330	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 18	Number of Inmates Interviewed 20+	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

I conducted a tour of the North and East yard and programs/education classes, ACI, Kitchen, CDU and Wheels for the World with Mr. Marquardt and other MTC staff.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



Management
& Training
Corporation

Arizona State Prison
Kingman

Memo

To: Warden R. Sullivan, ASPC-Kingman / Cerbat Unit

From: ADW J. Palosaari, ASPC-Kingman / Cerbat Unit

Date: 06/01/2015

Re: Monthly 703 Report, May 2015

TOURS

I conducted two graveyard, two swing shift, and two dayshift tours in the month of May. I also conducted daily tours on the yard and all my areas of responsibility to exceed the ten hours per week required by ADC policy.

On May 29, I accompanied Deputy CBOD Credio, Deputy Warden Barnes, Warden Sullivan, and Captain Schwartzkopf on a tour of Cerbat Unit. We toured dorms 7, 8, and programs areas in the F building and the P-3 building. There were no notable issues raised on this tour.

(See attached)

SECURITY OPERATIONS:

Security Operations are discussed on a daily basis with Cerbat administrative staff. Incident Reports and inmate disciplinary reports are reviewed during the morning meeting and any deficiencies in security operations/protocol are addressed with the appropriate staff/supervisor.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Documentation for security device deficiencies is reviewed daily during morning briefings by supervisors and discussed. Captain ensures that items requiring immediate attention are handled by maintenance.

COMPLIANCE WITH DEPARTMENT CODE OF CONDUCT:

No issues noted.

STAFF/INMATE RELATIONS:

The allegations regarding Officer Hamey from April were determined unfounded and the officer was reinstated to full duty on May 12.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

No issues noted.

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONSHIPS:

There were no reports of inappropriate behavior in May.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First & MI) (Please print) Palosari, John	Report Date 06/01/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/01/15 0730-1400	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 18	Number of Inmates Interviewed 40	Inspector Signature <i>J. Palosari</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Inspected kitchen, toured North Yard dorms. Observed afternoon pill call.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First MI.) (Please print) Palosmar, John	Report Date 06/01/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/2/15 1400-1630	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 20	Number of Inmates Interviewed 50	Inspector Signature <i>J. Palosmar</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Toured dorms, kitchen, and observed visitation during inmate food visit.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First MI.) (Please print) Palasani, John	Report Date 05/25/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Sving <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 05/25/15 0330-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 10	Inspector Signature <i>J. Palasani</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Inspected perimeter lighting. Inspected kitchen and observed morning meal. Toured North Yard dorms. Conducted ICS simulation - Officer in Cerbat control down and unresponsive. Good training for officers on where to obtain keys and how to access secure areas in an emergency.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First M.I.) (Please print) Palosanci, John	Report Date 06/01/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/26/15 0800-1400	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 20	Number of Inmates Interviewed 35	Inspector Signature <i>J. Palosanci</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Toured East Yard dorms, kitchen, programs areas, WBE classrooms in P-3 building.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement"



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First MI.) (Please print) Palosanti, John	Report Date 06/01/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/27/15 1400-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 25	Inspector Signature <i>J. Palosanti</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Toured east yard dorms, kitchen, and commissary.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC - Kingman / Cerbat	Inspector Name (Last, First M.I.) (Please print) Palosanni, John	Report Date 05/29/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 05/29/15 0400-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 10	Inspector Signature <i>J. Palosanni</i>

Inspection Summary (Attach additional pages if necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Inspected perimeter lighting. Inspected kitchen and observed morning meal. Toured East Yard dorms. Observed work crew turnout.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Rick Sullivan, Warden

From: Robert Poland, ADW Cerbat Unit

Date: June 1, 2015

Re: Monthly 703 Reports—May2015

SECURITY OPERATIONS:

May 5 observed security briefings and noted that all pertinent information was relayed to all members of the shift.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

No issues noted

STAFF/INMATE RELATIONS:

No issues noted

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

No issues noted

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONS:

No issues noted

TOURS

Tours of areas of responsibility were conducted in accordance with ADC policy, meeting the 10 hours per week requirement.

Conducted many tours throughout the month. The facility looked very good kitchen has made huge improvements getting to adequate standards of sanitation.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Klugman-Cerbat	Inspector Name (Last, First ALL) (Please print) Poland, Robert	Report Date 5/27/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 5/05/15 1400-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 32	Number of Inmates Interviewed 40	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				✓
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale				✓
Other _____ (Specify)				✓

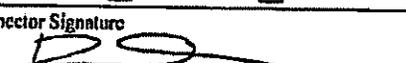
Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman-Cerbat	Inspector Name (Last, First MI) (Please print) Poland, Robert	Report Date 5/27/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 5/15/15 0400-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 26	Number of Inmates Interviewed 35	Inspector Signature 

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				✓
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				✓

Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman-Cerbat	Inspector Name (Last, First M.I.) (Please print) Poland, Robert	Report Date 5/27/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 5/19/15 1400-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 30	Number of Inmates Interviewed 50	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				✓

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale				✓
Other _____ (Specify)				✓

Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman-Cerbat	Inspector Name (Last, First MI.) (Please print) Poland, Robert	Report Date 5/27/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 5/23/15 1200-1400	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 27	Number of Inmates Interviewed 60	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safely				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				✓
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale				✓
Other _____ (Specify)				✓

Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman-Cerbat	Inspector Name (Last, First M.I.) (Please print) Poland, Robert	Report Date 5/27/2015
Shift Inspected (Check one) x <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 5/24/15 0900-1100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 30	Number of Inmates Interviewed 44	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				✓
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale				✓
Other _____ (Specify)				✓

Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-Kingman-Cerbat	Inspector Name (Last, First MI.) (Please print) Poland, Robert	Report Date 5/27/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 5/27/15 0400-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 20	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance				✓
Sanitation			✓	
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				✓
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				✓

Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



**Management
& Training
Corporation**

ARIZONA STATE PRISON- KINGMAN

MEMORANDUM

TO: R. Sullivan, Warden Cerbat

CC: Shaw, F. Complex Administrator

FROM: COS, Captain T. Schwartzkopf 212

DATE: 05/31/2015

May 31, 2015 Monthly 703 Report

Throughout the month of May the TEAM at the Cerbat unit have been fine tuning daily operations as I the chief of security and my administration staff have been thoroughly reviewing all reports, inmate living areas, inmate grooming, sally port procedures to ensure that proper security protocols are being upheld and followed as directed by both MTC and ADC policy and procedures.

Basic Issues are listed below:

- Paperwork review by Lieutenants is being addressed by the Chief of Security, as many reports, service journals, and various paperwork are being submitted with errors or do not possess the proper information.
- Paperwork review at the Sergeants level is becoming an issue, but also being addressed by the Chief of Security, administration staff.
- Overtime at the facility is wearing on staff and becoming a morale issue. We are addressing the best we can and accommodating when able.
- Basic follow up at all levels is being reviewed starting with the Chief of Security with all supervisors / line staff to ensure proper MTC ADC policy and procedures are followed.

Monthly Overall Improvements / Achievements:

- On tour Friday May 29, 2015 accompanied by ADC Mr. Credio, Deputy Warden Barnes, Warden Sullivan basic tour during Quarterly Searches being conducted on the East yard. Hi point topic of discussion was that Mr. Credio informed that the east yard / north yard grooming standards regarding grounds keeping was "Immaculate". Review of WIPP was conducted, along with general sanitation review in the dorms. Was informed that this was a good tour, and the Warden was Happy with the results.
- Chief of Security held a Supervisor meeting on 05/31/2015 at 1400 hours all Cerbat Supervisors under the Chief of Security was in attendance to discuss all the above issues and successes.

Captain Schwartzkopf 212
Cerbat Unit Chief of Security



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected	Inspector Name (Last, First ALL) (Please print)	Report Date
Cerbat Unit	Schwartzkopf, T. 212 Captain	05/01/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 05/01/2015 @ 0400-0600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 8	Number of Inmates Interviewed 19	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment

Lieutenant Bussart is doing a great job with staff and all were professional and within compliance. Observed Formal face to ID co jnt, and early chow turnout for the Inmate crews and general population. Staff were in place completing compliance checks for inmates entering the chow hall, staff were ensuring that inmates were not wearing hats inside the dining area. Pat downs were being completed according to policy and procedures.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat	Inspector Name (Last, First M.I.) (Please print) Schwartzkopf, T. 212 Captain	Report Date 05/13/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/13/2015 @ 0900-1130	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 21	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other <u>N/A</u> (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				✓
Other <u>N/A</u> (Specify)				

Comments/Assessment
 Basic tour of the North Yard, Service Log review revealed that staff are improving on daily sanitation in the dorms among the inmate population. Spoke to staff about importance of 30 minute security and welfare check completion. Observed 1100 Formal count no issues noted.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected	Inspector Name (Last, First A.L.I.) (Please print)	Report Date
Cerbat Unit	Schwartzkopf, T. 212 Captain	05/14/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 05/14/2015 @0400-0600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 7	Number of Inmates Interviewed 11	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment

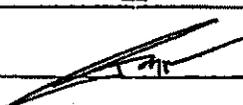
Lieutenant Bussart is doing a great job with staff and all were professional and within compliance. Observed personally medical turn-out to ensure that inmates are being distributed medication as needed and required.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat Unit	Inspector Name (Last, First M.I.) (Please print) Schwartzkopf, T. 212 Captain	Report Date 05/28/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/28/2015 / 1400-1630	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 07	Number of Inmates Interviewed 10	Inspector Signature 

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment

Tour on this day was during Quarterly searches being conducted on the East yard. Yard cleanliness is acceptable as LOP inmates have been utilized to maintain sanitation requirements on the yard under staff supervision.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Cerbat Unit	Inspector Name (Last, First MI.) (Please print) Schwartzkopf, T. 212 Captain	Report Date 05/29/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/29/2015 / 0900-1030	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 09	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment

This tour was on the East yard with ADC Mr. Credio, Deputy Warden Barnes, Warden Sullivan. Basic tour during Quarterly Searches being conducted on the East yard. Hi point topic of discussion was the Mr. Credio informed that the east yard / north yard grooming standards regarding grounds keeping was "Immaculate". Review of WIPP was conducted, along with general sanitation review in the dorms. Was informed that this was a good tour, and the Warden was Happy with the results.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected	Inspector Name (Last, First MI) (Please print)	Report Date
Cerbat Unit	Schwartzkopf, T. 212 Captain	05/29/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/29/2015 / 1400-1600	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 05	Number of Inmates Interviewed 08	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLEN
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLEN
Staff Appearance				✓
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				✓
Supervision				✓
Scheduling				✓
Productivity				✓
Staff Morale				✓
Professional Behavior				✓
Inmate Morale				✓
Other _____ (Specify)				

Comments/Assessment

East yard tour, as final adjustments of Quarterly searches were completed at 1200 hours. No Inmate complaints after lifting the lock down. Staff and inmates under normal operations. Observed store movement, and chow turnout. No issues noted.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



**Management
& Training
Corporation**

ARIZONA STATE PRISON- KINGMAN

MEMORANDUM

TO: Warden Sullivan

FROM: CPS Bartley

DATE: 05/30/2015

SUBJ: 703s

Dorms- All Case Manager offices were inspected for cleanliness and contraband. None was found and all offices were clean and in order.

Sanitation- The showers and restrooms were inspected and were clean and odor free.

Kitchen- The Kitchen was observed with all kitchen workers in compliance, with no noticeable discrepancies.

Medical- Medical was observed with nothing out of order.

Staff- Staff was observed and interviewed and appeared to be in good spirits.

Programs- Case manager classes were observed with inmates in compliance and involved.

Yard- The Cerbat Yard was observed with inmates working on the yard and cleaning the sidewalks. Inmates were monitored for compliance, with some inmates needing to be told to put their ID on and tuck in their shirts.

Detention- Twenty two inmates requested protective segregation during the month of May. Weekly meetings were held to discuss any and all issues arising from Detention placement.

Overall, I felt that Cerbat was clean and orderly with inmates, with a few exceptions, in compliance.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC Kingman	Inspector Name (Last, First MI.) (Please print) Bartley, Jeffery	Report Date 05/07/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/07/15 1400-1600	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 13	Number of Inmates Interviewed 17	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 All Dorms looked clean, showers were clean.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC Kingman	Inspector Name (Last, First M.I.) (Please print) Bariley, Jeffery	Report Date 05/12/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/12/15 0930-1130	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 12	Number of Inmates Interviewed 15	Inspector Signature <i>Jeffery Bariley</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Staff was doing compliance checks.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC Kingman	Inspector Name (Last, First A.I.) (Please print) Bartley, Jeffery	Report Date 05/19/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/19/15 0910-1110	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 12	Number of Inmates Interviewed 14	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Facility looked clean. Inmates were busy and in compliance.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASP Kingman	Inspector Name (Last, First M.I.) (Please print) Bartley, Jeffery	Report Date 05/21/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 05/21/15 0350-0550	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input checked="" type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 11	Number of Inmates Interviewed 15	Inspector Signature <i>Bartley</i>

Quality Control: Please Name
the employee being inspected
and the date of the inspection
DO-706 (11/10) (P. 2) (C. 2)

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance				✓
Sanitation			✓	
Security			✓	
Safety				✓
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

An inspection of the Case Managers offices was conducted and they were clean and in order.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC Kingman	Inspector Name (Last, First ALL) (Please print) Bariley, Jeffery	Report Date 05/27/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/27/15 1410-1610	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 17	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance				✓
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Case Managers were busy with open hours, with all inmates in compliance.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASP Kingman	Inspector Name (Last, First ALL) (Please print) Bartley, Jeffery	Report Date 05/28/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 05/28/15 0355-0555	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input checked="" type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 12	Number of Inmates Interviewed 16	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation				✓
Security			✓	
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
Dorms were clean, inmates were quiet.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



Management & Training Corporation



MEMO

To: Warden Sullivan
From: CPS Fernandez, S
Date: June 1, 2015
Re: MAY 2015: 703

During the month of May 2015 I observed the following issues while conducting my monthly 703 inspections: Any and all discrepancies were corrected when discovered.

Dorms: Inmate Housing areas were toured and 704 compliance was addressed with shift supervisors. Inmate Compliance was addressed and was brought to the attention of shift supervisors

Case Management: All Case Managers offices were inspected for contraband and unauthorized personal property. Updated personal property forms were requested.

*Supervisor
- This found
Sleeping or
Tending by ADC
staff*

Programs: Case Manager classes were reviewed for compliance with ADC mandates. The classes currently facilitated by Case Managers include T4C, M2W, Cultural Diversity, and Conflict Resolution.

CDU: CDU was toured and contact was made with all staff members. A review of the contact logs and any discrepancies were brought to the shift commanders' attention for corrections.

Medical: Medical was toured and I spoke to HSA Watson including all nursing staff. No discrepancies noted.

Kitchen: Toured Kitchen and inspected for overall cleanliness and inmate compliance.

Recreation: Observed the yard recreation and recreation rooms. I addressed inmate worker compliance and proper inventories completed. I addressed the issues with the Recreation Case Managers.

Visitation: Spoke to all visitation staff. Observed the ingress/egress of staff.

cc:
Warden Sullivan
ADW Poland
ADW Palosani



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT		Inspector Name (Last, First M.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 05/05/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard		Date/Time of Inspection 05/05/2015 1430-1630	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 4	Inspector Signature 	

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation				✓
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Toured the East Yard dorms, F building including the education classrooms and Medical. Observed recreation activities and the recreation storage room. No issues to report.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First M.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 05/07/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 05/07/2015 0355-0555	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 5	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				
Written Directives, Past Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Toured the Kitchen, CDU, and Medical. Observed the egress procedures for outside work crews including face to Id and escape flyers. No issues to report.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First M.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 05/13/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/13/2015 1200-1400	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 10	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation				✓
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Toured Medical, the F building including the education classrooms and CDU. Observed recreation activities and the recreation storage room. No issues to report.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First & I.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 05/21/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/21/2015 1200-1400	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 10	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation				✓
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured Medical, the F building including the education classrooms and CDU. Observed recreation activities and the recreation storage room. No issues to report.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERDAT UNIT	Inspector Name (Last, First A.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 05/26/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 05/26/2015 0355-0555	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 9	Number of Inmates Interviewed 3	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation				✓
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)				

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale		✓		
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Toured the Kitchen, CDU, and Medical. Observed the egress procedures for outside work crews including face to id and escape flyers. Staff Morale: Staff interviewed stated mandated overtime in lowering the morale of the security staff.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K CERBAT UNIT	Inspector Name (Last, First M.I.) (Please print) FERNANDEZ, STEVEN A.	Report Date 05/29/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/29/2015 1500-1700	(Check all that apply) <input type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 7	Number of Inmates Interviewed 5	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation			✓	
Security				✓
Safety			✓	
Heating/Cooling				✓
General Maintenance/Work Orders			✓	
Other _____ (Specify)				

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured the North Yard dorms and the F building including the education classrooms. Observed recreation activities and the recreation storage room. No issues to report.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: Jerry Sternes, Complex Administrator

From: Shahna Fredrick, ADW Hualapai Unit

Date: June 1, 2015

Re: Monthly 703 Reports—May 2015

A handwritten signature in black ink, appearing to read "Shahna", is written over a horizontal line that separates the header information from the main body of the memo.

SECURITY OPERATIONS:

Security Operations are discussed on a daily basis with Hualapai administrative staff. Any deficiencies in security operations/protocol are addressed with the appropriate staff/supervisor.

Hualapai Unit is still under restricted movement. Due to some inappropriate behavior throughout the unit, Dorms 1, 3 and 4 have transitioned back to more restrictive movement.

Inmates are still expected to follow rules and staff is responsible for enforcing those rules and holding inmates accountable.

While there has been some improvement regarding sanitation on the unit and within the dorms, staff still needs to address some issues happening consistently. There are still issues with hobbycraft items being displayed, pictures attached to the walls and general sanitation in the inmate rest rooms. This will continue to be addressed with staff and supervisors.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Documentation for security device deficiencies is reviewed daily during morning briefings by supervisors and discussed. Captain ensures that items requiring immediate attention are handled by maintenance.

Security staff has been advised to check with supervisors before carrying over Information Reports for security device deficiencies.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

No issues noted

STAFF/INMATE RELATIONS:

Inmates are complaining because of Case Manager shortages. CPS Rydgren addressed issue by giving Case Managers modified schedules to cover open dorms.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

No issues noted

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONS:

No issues noted

TOURS

Tours of areas of responsibility were conducted in accordance with ADC policy, meeting the 10 hours per week requirement with the exception of the following dates:

May 25 through May 29: holiday, RDOs and annual leave

May 6: Toured unit with MTC Corporate J. Henry.

May 20: Toured unit with MTC Corporate Interns

May 22: Toured unit with MTC Corporate S. Marquardt



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Rider, Pamela M.	Report Date 05/06/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/06/15 0815-0930	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 15	Number of Inmates Interviewed 30+	Inspector Signature <i>Pamela Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance				✓
Sanitation				✓
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other <u>Painting</u> (Specify)				✓
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured Cerbat Unit. Inspected kitchen, medical and Dorm 7. Kitchen was clean, but detail cleaning needs to be done. Medical was orderly and both dentists were seeing inmates. Toured Dorm 7. Bathrooms were immaculate and freshly painted. 704 compliance was good with the exception of several inmates with homemade CD cases. All noted issues were brought to Warden Sullivan's attention.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI.) (Please print) Rider, Pamela M.	Report Date 05/06/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/06/15 1230-1430	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 18	Number of Inmates Interviewed 10-15	Inspector Signature <i>Pamela Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation		✓		
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale				✓
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Staff instances

Comments/Assessment
 Toured Hualapai medical, kitchen, classrooms and detention. Met with medical staff for discussion of inmates with rash. Inspected kitchen, it was very clean except serving lines on East side were still in operation. Addressed with Trinity supervisor the following discrepancies: sanitation buckets with solution not available. Logs on warmers and coolers not current. Detention staff were changing shifts, we met with all of them and talked about recent GAR findings and necessary corrective actions. Yard sanitation needs improvement. Advised Warden

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Rider, Pamela M.	Report Date 05/19/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/19/15 1300-1530	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 9	Number of Inmates Interviewed 8-10	Inspector Signature <i>Pamela Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance				✓
Sanitation				✓
Security				✓
Safety				✓
Heating/Cooling				✓
General Maintenance/Work Orders				✓
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Security Concern

Comments/Assessment

Toured and inspected the following areas: Complex Lock shop, Toolroom, M&P, Jim Glo Trailers, Laundry, Warehouse and Maintenance. All areas were clean, organized and properly staffed. Inmates were being appropriately supervised. Tool room located in the Maintenance area needs to have all the fence ties painted red. Brent Maxwell, PP Manager, will have this completed this week.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Rider, Pamela M.	Report Date 05/22/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/22/15-0945-1200	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 20	Number of Inmates Interviewed 30+	Inspector Signature <i>Pamela Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance		✓		
Sanitation				✓
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured and Inspected HU 9, EF Block, Cerbat kitchen, Wheels of the World. Talked with staff and inmates in all areas. Sanitation in all areas was excellent. There were many inmates working on both yards cleaning up trash, cigarette butts and raking. Talked with inmates in the Culinary class about the Serve Safe certification they receive during their course of study. The only area on the unit that needed improvement was the spline. Staff had left a lot of cigarette butts inside the spline adjacent to the DA's.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Rider, Pamela M.	Report Date 05/30/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 5/30/15-2200-1200	(Check all that apply) <input type="checkbox"/> Inspection <input type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 0	Inspector Signature <i>Pamela Rider</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs				
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale				
Other _____ (Specify)				

Comments/Assessment

Completed required graveyard tour for May. Inspected Complex, talked with gate officer, inspected gate shack, sanitation was acceptable. Entered Hualapai, assessed Ingress procedure, inspected Main Control, sanitation acceptable, all logs current and correct. Met with shift commander, discussed unit, staffing, morale of staff and inmates.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Fredrick, Shanna	Report Date 06/01/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 05/02/15 2200-0000	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 5	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety				✓
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance			✓	✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Made rounds in Dorms 1, 2 & 3 and Hualapai Control. Addressed some 704 compliance issues within the dorms (hobbycraft items & drying clothing). Observed count in Dorm 1 E Pod and answered several inmate questions. Reviewed schedules to determine security staff overtime.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI.) (Please print) Fredrick, Shanna	Report Date 06/01/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/06/15 1330-1530	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 5	Number of Inmates Interviewed 10+	Inspector Signature <i>Shanna Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety				✓
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Toured with MTC J. Henry. Toured kitchen, medical and detention.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Fredrick, Shabba	Report Date 06/01/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/13/15 1330-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 4	Number of Inmates Interviewed 10+	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety				✓
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Made rounds in Dorm 4 & 5. Addressed some minor 704 compliance issues with the floor officer (pictures on the walls, hobbycraft t.v. stands, etc.).

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai (CERBAT)	Inspector Name (Last, First M.I.) (Please print) Fredrick, Shanna	Report Date 06/01/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/16/15 0800-1000	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 2	Number of Inmates Interviewed 5	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety				✓
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

Made rounds in visitation, Hualapai and Cerbat. Because it was food visit on both units, Cerbat could have used some additional staff presence in the visitation rooms, only two were present and one was constantly taking inmates to the restroom or checking in inmates.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Fredrick, Shanna	Report Date 06/01/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/19/15 1115-1230	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 2	Number of Inmates Interviewed 70	Inspector Signature <i>Shanna Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety				✓
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				✓
Written Directives, Past Order Compliance			✓	2 of 2
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Made rounds in detention to address inmate issues (status, movement, disciplinary, classification, etc.) Observed staff distributing lunch. Minor sanitation issues noted and addressed with floor officers.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Fredrick, Shabna	Report Date 06/01/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 05/19/15 1225-1345	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 2	Number of Inmates Interviewed 70	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety				✓
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Made rounds in detention to address inmate issues (status, movement, disciplinary, classification, etc.) Observed staff distributing lunch.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Fredrick, Shabna	Report Date 06/01/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 05/29/15 2200-0050	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 0	Inspector Signature <i>Fredrick</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality				✓
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety				✓
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs				✓
Written Directives, Post Order Compliance				✓
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior				✓
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Made rounds in Medical and kitchen. No issues noted.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: P. Rider, Warden, ASPC-K/Hualapai

From: Capt J. Winckler, COS, ASPC-K/Hualapai

A handwritten signature in black ink, appearing to read "J. Winckler", is written over the printed name.

Date: 06/01/15

Re: Monthly 703 Reports—May 2015

SECURITY OPERATIONS:

Security Operations are monitored utilizing tours, inspections and the GAR. All discrepancies noted are addressed with the appropriate supervisors.

During the month of May staff on the Hualapai unit recovered 2 cell phones with an additional one flushed. Spice continues to plague the unit and causes issues among the inmate users. Total drug recovers for the month are as follows;

Spice X 4

1 Heroin

1 Cocaine

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Documentation for security device deficiencies is reviewed daily during morning briefings by supervisors and discussed.

Follow up with maintenance supervisor concerning open Security Device discrepancies conducted. All discrepancies have been addressed and parts are on order to bring them up to operational once they arrive. Unknown time frame for the arrival of Glass needed for building 3, Tower and Medical.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

Three Hualapai unit staff disciplined for infractions of the company disciplinary policy. Two for absenteeism and 1 for tardiness.

STAFF/INMATE RELATIONS:

Staff and inmates maintain a professional distance. No instances of inappropriate staff/inmate relations reported for the month of May.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

No issues noted

Communication is achieved utilizing the Phone briefings, the briefing book, face to face briefings on post and distribution of memorandums.

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONS:

No issues noted for the month of May.

TOURS

Conducted checks of all Hualapai unit chases – No issues noted

Tours of areas of responsibility were conducted in accordance with ADC policy, meeting the 10 hours per week requirement with the exception of the following dates:

May 1st - Duty day

May 25th – Holiday Off



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winckler, James	Report Date 5/7/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 5/6/15 22-000	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 10	Number of Inmates Interviewed 0	Inspector Signature <i>James Winckler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			/	
Appearance			/	
Sanitation			/	
Security			/	
Safety			/	
Heating/Cooling			/	
General Maintenance/Work Orders			/	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				/
Staffing			/	
Programs			7/2	
Written Directives, Post Order Compliance			/	
ICS Simulation (per DO-706)				
Supervision			/	
Scheduling				/
Productivity			/	
Staff Morale			/	
Professional Behavior			/	
Inmate Morale			/	
Other _____ (Specify)				

Comments/Assessment **Toured medical, Dorm 3 & 2.**

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winckler, James	Report Date 5/11/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 5/11/15 14-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 8	Inspector Signature <i>James Winckler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment **Toured North Yard of building 4.**

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winkler, James	Report Date 5/19/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 5/19/15 14-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 7	Number of Inmates Interviewed 6	Inspector Signature <i>James Winkler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing				✓
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment **Toured B & P Bldgs classes to include WBE**

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First MI) (Please print) Winckler, James	Report Date 5/20/15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 5/20/15 09-1100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 6	Inspector Signature <i>Capt J. Winckler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				

OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing				✓
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision			✓	
Scheduling			✓	
Productivity				✓
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment **Toured Dorm 5 + main control**

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winckler, James	Report Date 5-26-15
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 5/26/15 09-1100	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 6	Number of Inmates Interviewed 11	Inspector Signature <i>Capt J. Winckler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance		7/2	✓	
Sanitation		X		
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance				✓
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment Toured All 3 Rec Yards during Rec periods. Yards littered with trash and cigarette butts. Addressed both sets A & B concerning Cigarette butts & sunflower seed hulls.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected Hualapai	Inspector Name (Last, First M.I.) (Please print) Winckler, James	Report Date 5/29/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 5/29/15	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input checked="" type="checkbox"/> Other
Number of Staff Interviewed 12	Number of Inmates Interviewed 0	Inspector Signature <i>James Winckler</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)				
Supervision				✓
Scheduling				✓
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment *Toured Kitchen, O-I and observed shift change of day shift monitored count procedures.*

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



**Management
& Training
Corporation**

**Arizona State Prison
Kingman**

Memo

To: P. Rider, Complex Administrator

From: Yvonne Rydgren, CPS Hualapai Unit *YR*

Date: June 1, 2015

Re: Monthly 703 Reports—May 2015

SECURITY OPERATIONS:

Security Operations are discussed on a daily basis with Hualapai administrative staff. Any deficiencies in security operations/protocol are addressed with the appropriate staff/supervisor.

The detention report is reviewed daily.

SECURITY DEVICE INSPECTIONS AND/OR PRACTICES:

Documentation for security device deficiencies is reviewed daily during morning briefings by supervisors and discussed. Captain ensures that items requiring immediate attention are handled by maintenance.

COMPLIANCE OF DEPARTMENT CODE OF CONDUCT:

All staff is held accountable for their behavior and issues are handled appropriately.

STAFF/INMATE RELATIONS:

No issues noted.

SUPERVISOR/SUBORDINATE STAFF COMMUNICATIONS:

No issues noted.

SUPERVISOR/SUBORDINATE PROFESSIONAL RELATIONS:

No deficiencies were noted this month.

TOURS

Tours of areas of responsibility were conducted in accordance with ADC policy, meeting the 10 hours per week requirement.



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First M.I.) (Please print) Rydgren, Yvonne	Report Date 6/01/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 5/4/15 1200-1400	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 5	Inspector Signature <i>CRS Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First MI) (Please print) Rydgren, Yvonne	Report Date 6/01/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 5/6/15 0400-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 4	Number of Inmates Interviewed 1	Inspector Signature <i>CPS Rydgren</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
 Toured Medical, Dorm 2 and Dorm 3.

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First MI) (Please print) Rydgren, Yvonne	Report Date 6/01/2015
Shift Inspected (Check one) <input checked="" type="checkbox"/> Day <input type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 5/12/15 1000-1200	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 4	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First MI) (Please print) Rydgren, Yvonne	Report Date 6/01/15
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 5/18/15 1400-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 3	Inspector Signature

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First MI.) (Please print) Rydgren, Yvonne	Report Date 6/01/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input checked="" type="checkbox"/> Swing <input type="checkbox"/> Graveyard	Date/Time of Inspection 5/27/15 1400-1600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 2	Number of Inmates Interviewed 4	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)				
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement"



ARIZONA DEPARTMENT OF CORRECTIONS

Inspections/Tour Report

Unit Inspected ASPC-K-Hualapai Unit	Inspector Name (Last, First MI.) (Please print) Rydgren, Yvonne	Report Date 6/01/2015
Shift Inspected (Check one) <input type="checkbox"/> Day <input type="checkbox"/> Swing <input checked="" type="checkbox"/> Graveyard	Date/Time of Inspection 5/29/15 0400-0600	(Check all that apply) <input checked="" type="checkbox"/> Inspection <input checked="" type="checkbox"/> Tour <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Announced <input checked="" type="checkbox"/> Unannounced <input type="checkbox"/> Other
Number of Staff Interviewed 3	Number of Inmates Interviewed 2	Inspector Signature <i>[Signature]</i>

Inspection Summary (Attach additional pages as necessary)

FACILITIES	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Functionality			✓	
Appearance			✓	
Sanitation			✓	
Security			✓	
Safety			✓	
Heating/Cooling			✓	
General Maintenance/Work Orders			✓	
Other _____ (Specify)			✓	
OPERATIONS	*UNACCEPTABLE	*NEEDS IMPROVEMENT	ADEQUATE	EXCELLENT
Staff Appearance			✓	
Staffing			✓	
Programs			✓	
Written Directives, Post Order Compliance			✓	
ICS Simulation (per DO-706)			✓	
Supervision			✓	
Scheduling			✓	
Productivity			✓	
Staff Morale			✓	
Professional Behavior			✓	
Inmate Morale			✓	
Other _____ (Specify)				

Comments/Assessment
Dorm 4 and Dorm 5

*Attach an explanation of any item rated "Unacceptable" or "Needs Improvement."